IFW BROKER DEALER OF RECORD (BDR) CHANGE

Sample Carrier Day 1 Response Message for Broker Dealer of Record Change (Carrier Reject for Business Reason)

OCTOBER 21, 2020

```xml
<?xml version="1.0" encoding="UTF-8"?>

<PolicyAdministration_Msg xmlns="http://ACORD.org/Standards/Life/2">

  <TXLife>

    <TXLifeResponse id="ID_1">

      <TransRefGUID>z1a97582-da48-41de-9999-eaf669999999</TransRefGUID>

      <TransType tc="1004900113">Policy Administration Transaction</TransType>

      <TransSubType tc="11300">Policy Administration Transaction</TransSubType>

      <TransExeDate>2020-09-15</TransExeDate>

      <TransExeTime>14:13:48-04:00</TransExeTime>

      <TransMode tc="2">Original request</TransMode>

      <NoResponseOK tc="0">False</NoResponseOK>

      <TestIndicator tc="0">False</TestIndicator>

      <PrimaryObjectType tc="4">Holding</PrimaryObjectType>

      <TransResult>

        <ResultCode tc="5">Failure</ResultCode>

        <ResultInfo>

          <ResultInfoCode tc="3026">No or Unknown Policy Number</ResultInfoCode>

        </ResultInfo>

        <ResultInfo>

          <ResultInfoCode tc="100">General Error</ResultInfoCode>

          <ResultInfoDesc>For agent with partial tax id of 7777, no active appointment or affiliation exists.</ResultInfoDesc>

        </ResultInfo>

      </TransResult>
```

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        </TXLifeResponse>

    </TXLife>

</PolicyAdministration_Msg>
```

FOR MORE INFORMATION

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www.dtcclearning.com