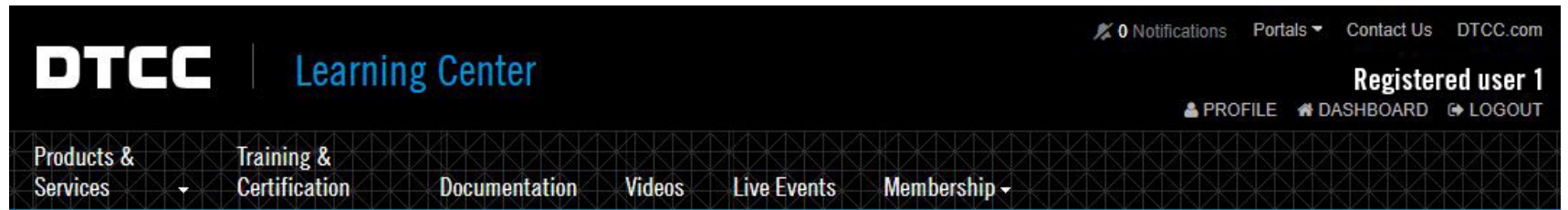


Learning Center

NAVIGATION GUIDE

DTCC

Welcome to the DTCC Learning Center!



The DTCC Learning Center is your one-stop source for information to help optimize your use of DTCC products and services. We invite you to take advantage of the various resources available at your fingertips.

Click the buttons below to learn how to personalize your Learning Center experience and find the content you are looking for more quickly.

For best results, be sure to log in. If you don't have a Learning Center account, [register today!](#)

FIND YOUR CONTENT

1 NAVIGATE BY DTCC SERVICE

3 CONDUCT A SEARCH

2 NAVIGATE BY CONTENT TYPE

4 MANAGE YOUR SEARCH RESULTS

CUSTOMIZE YOUR EXPERIENCE

5 VIEW YOUR DASHBOARD

7 SUBSCRIBE TO SERVICES

6 SEARCH FOR LIVE EVENTS

8 REGISTER FOR E-LEARNING

Learning Center

NAVIGATION GUIDE

DTCC

NAVIGATE BY SERVICE 1

One way to navigate to the content you're looking for is to use the Products & Services menu. From this menu, you can access all of the available resources for each DTCC product.

DTCC | Learning Center

0 Notifications | Portals | Contact Us | DTCC.com

Registered user 1
PROFILE | DASHBOARD | LOGOUT

- Products & Services**
 - Institutional Trade Processing
 - Equities Clearing**
 - Fixed Income Clearing
 - Asset Services
 - Settlement
 - Mutual Fund Services
 - Alternative Investment Product
- Training & Certification**
- AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)**
 - ACATS Overview
 - ACATS Documents
 - ACATS Modernization
 - ACATS Working Groups
- COST BASIS REPORTING SERVICE (CBRS)**
 - Using CBRS
 - CBRS Documents
 - Manual Transfer Statement
- CNS®**
 - CNS Overview
 - CNS Documents
 - CNS ACATS DTC
 - CNS Corporate Actions
- CONSOLIDATED TRADE SUMMARY (CTS)**
 - CTS Documents
 - CTS Working Groups

GO BACK

Learning Center

NAVIGATION GUIDE

DTCC

NAVIGATE BY CONTENT TYPE

2

The screenshot displays the DTCC Learning Center interface. At the top, the DTCC logo and 'Learning Center' are visible. Navigation tabs include 'Products & Services', 'Training & Certification', 'Documentation', 'Videos', 'Live Events', and 'Membership'. A search bar is located on the right. Below the navigation, a search filter sidebar on the left allows users to filter by 'Product & Services' with checkboxes for various categories like 'Alternative Investment Product Services', 'Asset Services', 'Cross-Product', etc. The main content area shows a grid of articles under the 'Videos' tab, with titles such as 'Confirm Archive: Overview', 'Confirm Archive: Getting Started', and 'Confirm Archive: How to Retrieve CTM Reports'. A red arrow points from the 'Videos' tab to the search filter sidebar, and another red arrow points from the search filter sidebar to the 'Videos' tab.

You can also navigate to the content you're looking for using either the Documentation or Videos menus.

Once in the tab, you can limit results to your products of interest by using the search tool on the left. Enter a search term and/or use the filter to more quickly find the content you need.

GO BACK

CONDUCT A SEARCH 3

The DTCC Learning Center provides two types of search functions, depending on where you are on the site.
For best results be sure to log in.

1

From the main landing page, enter your search term and then use the check boxes to filter by your product of interest to get the most relevant search results.

Find a learning asset, event, video, or document

Optimize your results by logging in and using the filters below.

[Hide filters](#)

FILTER BY:

Product & Services:

- Alternative Investment Product Services
- Asset Services
- DTCC Data Services
- Equities Clearing
- Fixed Income Clearing
- Global Trade Repository
- Institutional Trade Processing
- Insurance and Retirement Services
- Mutual Fund Services
- Settlement
- Trade Information Warehouse

2

From any other page: Enter your search term into the site search bar on the top right of the page. This will generate a generic search for all content related to the search term you entered. Once on the results page, you can filter by product to narrow down your search results.

Portals Contact Us DTCC.com

Registered user 1

PROFILE DASHBOARD LOGOUT

Search Site

GO BACK

Learning Center

NAVIGATION GUIDE

MANAGE SEARCH RESULTS

4

Search results are displayed by resource type. Click each tab to find resources related to your search, by format.

Products & Services | Training & Certification | Documentation | Videos | Live Events | Membership | Search Site

Articles (752) | Videos (160) | Documents (555) | Courses (50) | Events (0) | Relevance

Search Site
Search Clear
All words Any words Exact Phrase

FILTER BY:

Product & Services:

- Alternative Investment Product Services
- Asset Services
- Cross-Product
- DTCC Data Services
- Equities Clearing
- Fixed Income Clearing
- Global Trade Repository

Fixed Income Clearing Learning Center

Fixed Income Clearing | Corporate, Municipals and UITs (CMU) | Mortgage-Backed Securities (MBS) | Government Securities Division (GSD)

★★★★★
0.00 (0 votes)

The Fixed Income Clearing Corporation (FICC) was created in 2003 to reduce costs and give DTCC customers a common approach to fixed income transaction processing by integrating the Government Securities Clearing Corporation and the Mortgage-Backed Securities Clearing Corporation. Corporate, Municipals, and UITs (CMU) The Corporate, Municipal, and UITs (CMU) system provides all of its customers...

Institutional Trade Processing Learning Center

Institutional Trade Processing | CTM | OASYS | TradeSuite ID | Connect | OASYS Trade Match

★★★★★

Improve your search results by using keywords and filters to access the most relevant content. You get the best results if you are logged in.

GO BACK

Learning Center

NAVIGATION GUIDE

DTCC

VIEW YOUR DASHBOARD

5

The Dashboard is your central location for tracking your Learning Center activity.

From the dashboard, you can:

- View your bookmarked content
- Access and manage your video playlists
- View your scheduled events
- Find courses for which you are currently enrolled
- See your learning history

The screenshot shows the DTCC Learning Center dashboard for a registered user. The top navigation bar includes 'Products & Services', 'Training & Certification', 'Documentation', 'Videos', 'Live Events', and 'Membership'. A search bar is located on the right. The main content area is titled 'WELCOME BACK' and features several sections: 'GTR New User Resources' with a 'GTR LC New User Starter Kit' button, 'Getting Started' with a 'Take a Tour' button, and 'Sign up for Email Notifications & Alerts' with a 'Sign up Now' button. A navigation bar below the main content includes 'My Learning', 'My Bookmarks', 'My Events', 'My Video Playlist', and 'Learning History'. The 'My Learning' section is highlighted with a red box and contains three course cards: 'ALERT® FOR BROKER DEALERS - SECURITIES', 'ALERT® FOR BROKER DEALERS - FX AND CASH', and 'CIX100: MTU FOUNDATION TRAINING FOR BROKERS: USING CROSS IDENTIFIED REFERENCE (CIX)'. Each card has an 'Open Course' button. The 'My Profile' section on the right shows a '100% Complete' progress indicator and a 'Recently Uploaded' list with three items.

“My Profile” displays new and updated resources related to your selected subscriptions.

GO BACK

Learning Center

NAVIGATION GUIDE

DTCC

SEARCH FOR LIVE EVENTS

On this page, you can register for live events offered by DTCC Learning. Here you can search for events that interest you by using the filters to sort by product. You must be logged in to enroll in an event.

Home / Live Events

All events are displayed in the America/New York timezone. To view events in your timezone, login using your DTCC Learning account and update the timezone in your profile.

If you don't have a DTCC Learning account, you can create an [account](#)

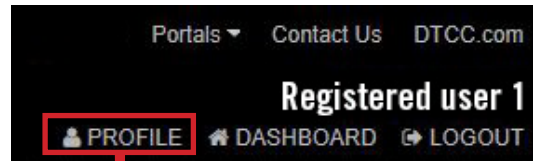
Filter by Product ▼

Event Name	Info	Date & Time	Add to Cal	Action
CTM: Using the UI 4.0 to match your trades Webinar		Monday, April 20, 2020 - Monday, April 20, 2020 10:00 pm - 11:00 pm America/New_York		Enroll
CTM: Using the UI 4.0 to manually enter trades Webinar		Thursday, April 23, 2020 - Thursday, April 23, 2020 2:00 am - 3:00 am America/New_York		Enroll

To view events in your local timezone, log in to your DTCC Learning account and update your timezone in your profile.

GO BACK

SUBSCRIBE TO SERVICES 7



Subscriptions

Select products of interest to receive updates, alerts and recommended content. *

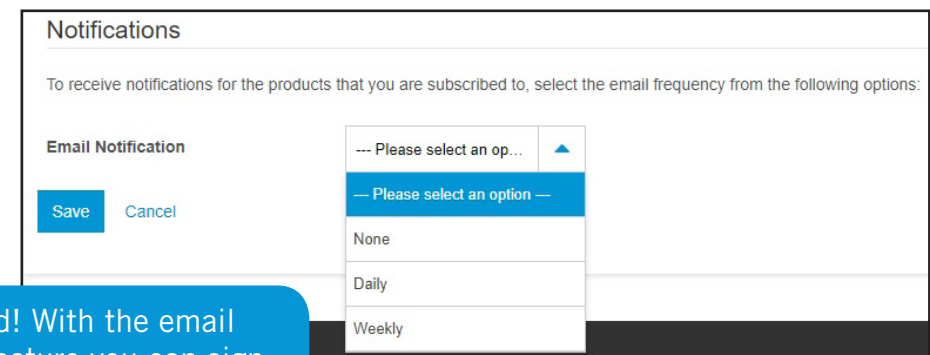
- Alternative Investment Product Services
- Asset Services
- DTCC Data Services
- Equities Clearing
- Fixed Income Clearing
- Global Trade Repository
- Institutional Trade Processing
- Insurance and Retirement Services
- Mutual Fund Services
- Settlement
- Trade Information Warehouse

- Instructional Videos
- Simulations

Subscribing to your products of interest helps to personalize the site for you for a faster and more accurate experience on the Learning Center.

To Subscribe, click “Profile” from the top of the page and select the services you are interested by using the appropriate check boxes.

For best results, limit your selections as much as possible so the most relevant content is displayed for you.



Stay informed! With the email notification feature you can sign up to receive either weekly or daily emails from DTCC Learning with information on new and updated resources based on your subscriptions.

GO BACK

Learning Center

NAVIGATION GUIDE

DTCC

REGISTER FOR E-LEARNING

8





From the Training & Certification page, enroll in one of DTCC Learning's interactive, self-paced courses. Filter by product to narrow results to your topics of interest. You must be logged in to register for a course.

Home / Training & Certification / Courses

Search Site

All Categories

50

Course Name	Category	Enroll	Status
 ALERT® for Broker Dealers - Securities The ALERT® for Broker/Dealers Training Curriculum will get you started using the ALERT® for Brokers application, or provide you with a refresher of the basics.	ALERT®	<input type="radio"/>	 Need to Enroll
 ALERT® for Broker Dealers – FX and Cash The ALERT® for Broker/Dealers – FX and Cash will get you started using the ALERT® for Brokers application, or provide you with a refresher of the basics.	ALERT®	<input type="radio"/>	 Need to Enroll

GO BACK