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# TradeSuite ID<sup>®</sup>

## PRODUCT HELP FOR BTI

VERSION  
JULY 18, 2025

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## BTI Product Help

### Accessing BTI

The modules of the web application for the TradeSuite ID<sup>®</sup> service support the latest versions of both Microsoft<sup>®</sup> Internet Explorer and Google Chrome. To ensure that the TradeSuite ID web application modules function correctly, upgrade to the latest versions.

To access the TradeSuite ID service, **MyDTCC** is the preferred method of access given its enhanced user benefits and security. The Learning Center **Access Product Services** page can be an alternative method to access.

For your initial access, use the temporary password that you receive from your Product Administrator. After using one of the URLs to access the TradeSuite ID service, type your user name and password in the corresponding fields, as illustrated in the next figure.

### Login to your account

User ID

Password

**Sign In** →

Forget Your Password?

[Reset it now +](#)

[Trouble logging in?](#)

[Click here +](#)

### Website Requirements:

Browser: Latest version of Microsoft Internet Explorer 11 or Google Chrome.  
Display: Can best be viewed at a display resolution of 1024 by 768 or higher with High Color (16 bit).

- Adobe Reader is required to view and print transactions.
- Microsoft Excel Viewer or Microsoft Excel is recommended to view and print lists of transactions.

### Need Help?

Our Client Contact Center is here to assist 24 hours a day, 6 days a week.

[Get support +](#)

If you are having trouble logging in to your account, choose **Click here** to access the troubleshooting page. If you forget your password, you can reset it by clicking **Reset it now**. Type your email address, and then click **Next**.

If your email address corresponds to an existing user account, you receive an email with password reset instructions. If you do not receive the email or have issues resetting your password, visit the **Client Center**.

## Password Details

### Complexity Rules

Users are prompted with the following password complexity rules when creating a new password.

Please change your password



Old Password:

New Password:

Confirm Password:

[Login](#)

- Passwords can only contain letters, numbers, and special characters (e.g., ?, !, %, \$, #, etc.)
- Passwords can not contain any spaces
- Passwords MUST be at least 8 characters but can not exceed 24 characters
- Passwords MUST contain at least 6 letters or special characters
- Passwords MUST contain at least 2 numbers
- Passwords MUST contain at least 4 different characters
- Passwords MUST be a combination of 3 of 4 (lower case, upper case, numbers, special characters)
- Passwords cannot be the same as your logon ID or match any part of it
- Passwords cannot be reused within a 12 month period and can only be reused after use of 12 different passwords

- Passwords can only contain letters, numbers, and special characters (e.g., %, \$, #, etc.)
- Passwords cannot contain any spaces
- Passwords MUST be at least 8 characters but cannot exceed 24 characters
- Passwords MUST contain at least 6 letters or special characters
- Passwords MUST contain at least 2 numbers
- Passwords MUST contain at least 4 different characters
- Passwords MUST be a combination of 3 of 4 (lower case, upper case, numbers, special characters)
- Passwords cannot be the same as your logon ID or match any part of it
- Passwords cannot be reused within a 12-month period and can only be reused after use of 12 different passwords

## Usage Rules

- Passwords expire every 90 days. Users will be prompted to change their password at the time of login.
- After three invalid attempts at entering the correct password, IDs will be locked.

## Announcements

When there is a new announcement available, the word **New!** in red text appears next to Announcements in the upper right corner, as illustrated in the next figure. This indicator remains until the announcement has reached its expiration date.

1. Click **Announcements** to open the Announcements page.
2. Read the announcements, then click **Close**.

- Profiles
  - BTI Export Profiles
    - Confirm Export
    - Allocation Export
  - BTI Import Profiles
    - Confirm Import
    - Cancel Import

Broker Trade Input

Refreshed : Oct 08, 2015 2:48 PM GMT

Export Queue

#	Number of Records	Output Format	Status	File Download	Request Sent Time	
1	134	Confirm Export	COMPLETE	Download	Aug 11, 2014 05:23:12 PM GMT	✗
2	983	Confirm Export	COMPLETE	Download	Jul 09, 2014 01:04:43 PM GMT	✗
3	132	TF Confirm Export	COMPLETE	Download	Jul 01, 2014 03:44:22 PM GMT	✗
4	12	Allocation Export	COMPLETE	Download	Jun 25, 2014 04:07:23 PM GMT	✗

All Export requests are being processed in background and the results are available in the Export Queue when completed.

Figure 3.1 Announcements



- ▶ Confirms
- ▶ Profiles
- ▼ Links
  - ▶ Locate DTC Control Numbers

### Confirm/Affirm

Refreshed: Sep 12, 2017 7:07 PM GMT

[Affirm](#) | [AOCC](#) | [Except](#) | [Reverse Affirm](#)

Retrieve Profile: TF2 - Standard Retrieve  
View Profile: Standard View

#	<input type="checkbox"/>	DTC Control Number (1)	Trade Status	Executing Broker Number	Executing Broker Name	Trade Date
1	<input type="checkbox"/>	[REDACTED]	Unmatched	00076541	WORKSTATION BROKE...	04/07/2015
2	<input type="checkbox"/>	[REDACTED]	Affirmed	00076541	WORKSTATION BROKE...	04/07/2015
3	<input type="checkbox"/>	[REDACTED]	Affirmed	00076541	WORKSTATION BROKE...	04/07/2015
4	<input type="checkbox"/>	[REDACTED]	Affirmed	00076541	WORKSTATION BROKE...	04/07/2015
5	<input type="checkbox"/>	[REDACTED]	Affirmed	00076541	WORKSTATION BROKE...	04/07/2015

Figure 3.2 Announcements

# BTI Page Elements

The next figure shows the BTI page with each area outlined and labeled.

The screenshot shows the DTCC TradeSuite ID interface. The **Header** contains the DTCC logo, TradeSuite ID™, and navigation links: Announcements | Contact Us | Tutorial | Help. A user greeting 'Hello [redacted] | Logout' is visible on the right. Below the header is a **Menu** with 'BTI' selected, and 'ID Directory' and 'Tabs' as other options. The main content area is titled 'Broker Trade Input' and includes 'Current display information' and a refresh timestamp: 'Refreshed: Oct 08, 2015 2:48 PM GMT'. A left sidebar contains a 'Profiles' menu with options: BTI Export Profiles (Confirm Export, Allocation Export), BTI Import Profiles (Confirm Import, Cancel Import). The main area features an 'Export Queue' table with columns: #, Number of Records, Output Format, Status, File Download, Request Sent Time, and an 'Icons' column. The table lists four completed export requests. Below the table is a 'Queue' section with a message: 'All Export requests are being processed in background and the results are available in the Export Queue when completed.' The **Footer** contains 'Legal Information' and 'Copyright © 2017 Omneo LLC All Rights Reserved'.



#	Number of Records	Output Format	Status	File Download	Request Sent Time	Icons
1	134	Confirm Export	COMPLETE	Download	Aug 11, 2014 05:23:12 PM GMT	✕
2	983	Confirm Export	COMPLETE	Download	Jul 09, 2014 01:04:43 PM GMT	✕
3	132	TF Confirm Export	COMPLETE	Download	Jul 01, 2014 03:44:22 PM GMT	✕
4	12	Allocation Export	COMPLETE	Download	Jun 25, 2014 04:07:23 PM GMT	✕

Page Elements

Header

Elements at the top of the page link to another web page or accesses user documentation, as described in the next table.

#### Header

Click	To
	Link to <a href="http://www.dtcc.com/">http://www.dtcc.com/</a> in a new browser window.
	Link to the main <b>TradeSuite ID</b> product information page.
Announcements	Link to the Announcements page to read current announcements.
Contact Us	Link to the support page on <a href="http://www.dtcc.com/locations">http://www.dtcc.com/locations</a> .
Tutorial	View a <b>tutorial</b> about the application.
Help	Open the <b>online help</b> that enables you to access information about each of the TradeSuite ID modules.
Logout	Exit the application. Close your browser to protect the integrity of your account and data.

## Tabs

Your subscription determines the tabs that are available to you. If you subscribe to more than one module, you can move from one to another by clicking the appropriate tab for the module you want to access. A tab is only available if you have a subscription to the module.



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#### Note

As you work on other pages in the BTI module, click the tab for the module name or **Return to Gridto** return to a refreshed home page.

## Export Queue

This section contains the queue for the export profile records. The screen displays up to ten export profile records. You can do the following tasks:

- Click Application Refresh  to update the information in the Export Queue.
- Click **Download** to download the export file to your computer.
- Click  Delete to remove the record from the Export Queue.

---

#### Note

You are limited to ten exports. If you request an export beyond the limit, the oldest record (10) is automatically deleted.

## Current Display Information

The next table shows the current profiles in use and the date and time the TradeSuite ID modules refreshed the data.












### *Current Display Information*

Display information	Description
Refreshed	Shows the date and time that the TradeSuite ID module refreshed the data on the page. Click Application Refresh or the BTICConfirm/AffirmNearMatch tab to update the screen.
Retrieve Profile	Shows the current retrieve profile in use. Click the profile name to open the Retrieve Profile edit page.
Matching Profile	Shows the current matching profile in use. Click the profile name to open the Matching Profile edit page. The matching profile field name appears only when the display shows the major and minor data grids.
View Profile	Shows the current View profile in use. Click the profile name to open the View Profile edit page. The view profile name appears only when you display the All, Unmatched Allocations, or Unmatched Confirms page.

## Icons

The next table describes the icons on the BTIConfirm/AffirmNearMatch page. To view the available functions, hold the mouse pointer over an icon.

*Icons*

Icon	Mouse Over Text	Description
	Save Field Sorts & Column Widths	Saves the sort order and column width user preferences for the specific profile.
	Clear Field Sorts & Column Widths	Clears the sort order and column width user preferences for the specific profile.
	View Data Grid in PDF	Shows the data in the blotter in a PDF file that you can save to your computer.
	View Data Grid in Excel	Shows the data in the blotter in an editable Microsoft Excel spreadsheet file that you can save to your computer.
	Print/View Confirms in PDF	Print confirms in PDF format. PDF formats are based on the security type and available data for the selected confirm or confirms.
	View Pending Jobs	Displays the Queue page that provides information about the data view, print, and export queue results. This option is only available when you have items in the queue.
	View Pending Jobs	Displays the Queue page that provides information about the data view and export queue results. This option is only available when you have items in the queue.
	Application Refresh	Refreshes the trade blotter. Refreshes the data grid. Refreshes the Export Queue.
	Delete	Deletes the record or user-defined profile.
	Maximize Data Grid	Maximizes the trade blotter in a larger window for a full view of the trade blotterConfirm/Affirm page.
	Minimize Data Grid	Returns to the normal view of the trade blotterConfirm/Affirm page. Available only when you are viewing the trade blotter in the maximum page mode.

**Menu**

From the Profiles menu on the left, click **BTI Export Profiles** or **BTI Import Profiles** to create a new export or import profile or update an existing profile. To retrieve a profile, click a specific export profile in the list, such as Confirm Export. To send a profile, click a specific import profile in the list, such as Confirm Import.

The next figure shows the menu that appears on the left side of the BTI page.



## Profiles

Profiles are filters that customize a display of data. There are two types of filters in BTI:

- Export profiles define the data fields that the TradeSuite ID<sup>®</sup> service includes in an export file.
- Import profiles define the data selection criteria, format characteristics of the data file, which data files to include, and the field order.

There are two export profiles and two import profiles for you to use. You cannot update these predefined profiles. However, you can use them as templates for new profiles that you can modify for immediate or future use. Create up to a total of six user-defined profiles for each type (export and import). The TradeSuite ID service maintains your profiles on the website, and you can reuse these profiles in future sessions. In addition, you can create ad hoc profiles for one-time use. The TradeSuite ID service does not maintain ad hoc profiles on the website. For more information about profiles, see [BTI Profiles Overview](#).

## Footer

Each of the elements at the bottom of the page is a link to another page, as shown in the next table.

### Footer

Click	To
Legal Information	Open the <a href="#">DTCC legal information web page</a> in a new browser window.
Copyright © 2022 DTCC LLC All Rights Reserved	Open the DTCC web page in a new browser window.

## BTI Profiles Overview

This section describes the export and import profiles used in the BTI module. The BTI module contains predefined import and export profiles, which contain the suggested order and default fields. Although you cannot modify or delete these predefined profiles, you can use them to create up to a total of six user-defined profiles. The next figure shows the BTI profiles.



### BTI Profiles

---

#### Note

The TradeSuite ID<sup>®</sup> service supports Microsoft Excel version 2003 and 2010. All other Microsoft Excel file versions are unsupported.

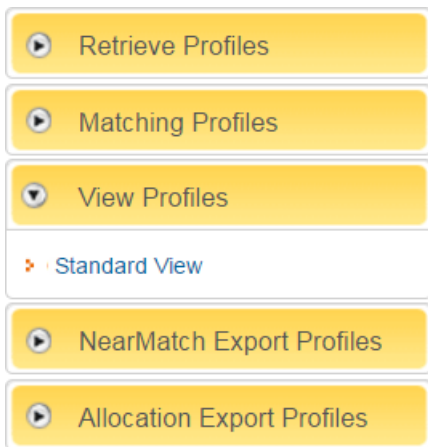
---

This section describes the retrieve, view, and export profiles used in BTI. The next figure shows the BTI profiles.



### *BTI Profiles*

This section describes the retrieve, matching, view, and export profiles used in the BTI module. The next figure shows the BTI profiles.



### *BTI Profiles*

## BTI Export Profile Page Overview

The BTIExport Profile page displays the lists of Available and Selected export fields. You can move the fields from one list to the other to define the fields to export. In the Selected Fields list, use the up and down arrows to arrange the order of the fields.

BTI contains two predefined export profiles called Confirm Export and Allocation Export. Use these predefined profiles as is or as a template to create a new export profile that you can modify for immediate or future use.

In the BTI Export Profile, add up to a total of six user-defined BTI export profiles by updating the user entity, date, export data format, and export fields. For example, you can create four confirm export profiles and two allocation export profiles. If you attempt to add more than six user-defined export profiles, you receive an error message. For more information about error messages, see [Appendix 1, Warning and error message](#).

Click the icons to expand, collapse, and print the content on this page.

### To open the BTI Export Profile page:

1. From the **Profiles** menu, click **Confirm/AffirmExport Profiles**.

The default export profile, **Confirm ExportStandard Export**, opens.

2. The following options are available:

- Modify the **Confirm ExportStandard Export** profile to create a user-defined confirm export profile.
- Click a previously created user-defined export profile from the left menu.
- Select the **Allocation Export** profile to create a user-defined profile based on this profile.

The next figure shows the BTI Export Profile page.

## BTI Export Profile (Retrieve): Confirm Export

[Return To Grid](#)

[Save](#)

[Delete](#)

[Save As](#)

Profile Name:

Confirm Export

[Collapse All](#) | [Expand All](#)

### User Entity

Selection is limited to 16 Ids

Role	Id	BIC	Name
<input checked="" type="checkbox"/> All Brokers	<input checked="" type="checkbox"/>		
	<input checked="" type="checkbox"/>		
	<input checked="" type="checkbox"/>		
	<input checked="" type="checkbox"/>		

### Date

Date Type	Date Format	Logical Date	Specific Date
<input checked="" type="radio"/> Process	<input checked="" type="radio"/> LogicalDate	Today+3 ▲ Today+2 Today+1 Today Today-1 ▼	From: <input type="text"/> To: <input type="text"/>
<input type="radio"/> Trade	<input type="radio"/> SpecificDate		
<input type="radio"/> Settlement			

### Export Data Format

Include Header    Fields:

### Export Field Selection

Available Fields

3rd Party Remuneration Indicator  
AOCC Message Text 1  
AOCC Message Text 2  
AOCC Message Text 3  
AOCC Recipient 1  
AOCC Recipient 10  
AOCC Recipient 11



Selected Fields

DTC Control Number  
Trade Status  
Executing Broker Number  
Executing Broker Name  
Clearing Broker Number  
Trade Date  
Settlement Date



The next figure shows the **Export Profile** page.

### Export Profile: Standard Export Return to Grid

Profile Name:

---

#### Export Data Format

Include Header    Fields:     Fields Separator:     Text Qualifier:

---

#### Export Field Selection

Available Fields		Selected Fields
3rd Party Remuneration Indicator		DTC Control Number
AOCC Message Text 1		Trade Status
AOCC Message Text 2		Executing Broker Number
AOCC Message Text 3		Executing Broker Name
AOCC Recipient 1	<input type="button" value="➤"/>	Clearing Broker Number
AOCC Recipient 10		Trade Date
AOCC Recipient 11	<input type="button" value="➤"/>	Settlement Date
AOCC Recipient 12		Side
AOCC Recipient 2		Security Type

*Export Profile*

The next figure shows the **Export Profile** page.

**Export Profile: Standard Export** Return to Grid

Profile Name:

---

**Export Data Format**

Include Header    Fields:     Fields Separator:     Text Qualifier:

---

**Export Field Selection**





Available Fields		Selected Fields
Agent Name		Message Type*
Broker Dealer Account Number		Executing Broker Number
Broker Dealer Confirmation Number		Clearing Broker Number
Common Security Numbering System		Institution Number
Country Tax		Security Identifier
Institution Block Reference Number	<input type="button" value="&gt;"/>	Side
Institution Det Reference Number	<input type="button" value="&lt;"/>	Trade Date
Institution Routing Reference Number		Settlement Date
Original Broker Confirmation Number		Settlement Location

*Export Profile*

## BTI Export Profile Buttons

The next table describes how to use the buttons on the **BTI Export Profile** page.

Table 3.1 BTI Export Profile Buttons

Click:	To:
Save	Save the settings when you modify a user-defined export profile.
Delete	Delete a user-defined export profile. You cannot delete the <b>Confirm Export</b> or <b>Allocation Export Standard Export</b> profile; therefore, this button is unavailable for these predefined export profiles.
Save As	Name a new export profile or change the name of a current export profile setting. <b>Save As</b> function becomes available when you type one or more valid characters in the <b>Profile Name</b> field.
	Move one or more selected fields from the <b>Available Fields</b> list to the <b>Selected Fields</b> list. Moved fields appear beneath any previously selected fields in the list. To select more than one field at a time, hold down the <b>Ctrl</b> key while you make your selections.
	Move one or more selected fields from the <b>Selected Fields</b> list to the <b>Available Fields</b> list. Moved fields appear in the <b>Available Fields</b> list in alphabetical order. To select more than one field at a time, hold down the <b>Ctrl</b> key while you make your selections.
	Move one or more fields in the <b>Selected Fields</b> list up one position. To select more than one field at a time, hold down the <b>Ctrl</b> key while you make your selections.
	Move one or more fields in the <b>Selected Fields</b> list down one position. To select more than one field at a time, hold down the <b>Ctrl</b> key while you make your selections.

## BTI Export Profile Fields

Specify the export data fields and formats. The next table describes the fields available from the BTI Export Profile page that you can use to create a user-defined confirm export profile. For more information, see [Maintaining Export Profiles](#).

### BTI Export Profile Fields

Field or Link	Description
Profile Name	Allows a maximum length of 40 characters for the name of the profile. Valid characters are: <ul style="list-style-type: none"><li>• Lowercase alphabetic characters (a-z)</li><li>• Uppercase alphabetic characters (A-Z)</li><li>• Numeric characters (0–9)</li><li>• Space</li><li>• Underscore (_)</li><li>• Plus (+)</li><li>• Minus (-)</li></ul>
Return to Grid	Return to the BTI Export Queue. Return to the BTI page. Return to the BTI Data Grids Main page.

The user entity filter defines the type of user roles to include in the export profile. The following table defines the fields in this category.

*User Entity*

<b>Field</b>	<b>Definition</b>
All Brokers	Includes all executing brokers. To select specific executing brokers, clear the check box, and then select up to 16 executing broker IDs.

You can limit the export profile by date. The following table shows the date filters available for the export profile.

*Date Fields*

Field	Definition
Date Type	Select the option for the type of date you want to use for this profile. Options are: <ul style="list-style-type: none"><li>• Process</li><li>• Trade</li><li>• Settlement</li></ul>
Date Format	Select the option for the type of date format you want to use for this profile. Options are: <ul style="list-style-type: none"><li>• Logical Date—Dates are based on today's date. You can reuse them at any future date. If you select this option, select one or more values from the Logical Date scroll box. To select more than one value at a time, hold down the Ctrl key while you make your selections.</li><li>• Specific Date—Dates are based on a calendar date or a range of dates. If you select this option, specify the From and To Dates. The default value is today's date.</li></ul>
Logical Date	Available if the Date Format is Logical Date. Select one or more values from the scroll box. To select more than one value at a time, hold down the Ctrl key while you make your selections. Logical dates are based on today's date. Dates in the past are referred to as Today -1, Today -2, and so on. Dates in the future are referred to as Today +1, Today +2, and so on. Logical date calculations do not include weekends and holidays.

#### Date Fields

Field	Definition
Specific Date— From	Available if the Date Format is Specific Date. Select a date from the Calendar. The date cannot be more than two years from today's date. The date format is: MM/DD/YYYY
Specific Date— To	Available if the Date Format is Specific Date. Select a date from the Calendar. The date cannot be more than two years from today's date. The date format is: MM/DD/YYYY

The following table shows the export data format used in the export profile.

#### Export Data Format

Field	Description
Include Header	Select this check box to include the header fields in the export file.
Fields	Select a value from the drop-down list to indicate the format for the fields that are available on either the Code or Description form in the export file. Selecting Description or Code affects the export data formats. The options are: <ul style="list-style-type: none"><li>• Description When selected, BTI exports all field values available as description (instead of using code).</li><li>• Code When selected, BTI exports all field values available as code (instead of using description)</li></ul> <b>Note:</b> Input requires all fields uploaded in Excel using the available Codes, not Description.

Field	Description
Fields Separator	Select a value from the drop-down list to indicate how the fields are separated in the export file. The options are: <ul style="list-style-type: none"> <li>• Tab</li> <li>• Comma</li> </ul>
Text Qualifier	Select a value from the drop-down list to indicate how to identify the text fields in the export file. The options are: <ul style="list-style-type: none"> <li>• Double Quote</li> <li>• Single Quote</li> <li>• None</li> </ul>

The predefined Confirm Export and Allocation Export profiles contain default fields selected by priority of importance. The following table describes the Available and Selected fields in this section.

*Export Field Selection*

Field	Description
Available Fields	Displays the fields not currently included in the export profile. Use the arrow buttons to move the fields from the Available Fields list to the Selected Fields list and vice versa.
Selected Fields	Displays the fields currently included in the export profile. Use the arrow buttons to move the fields from the Selected Fields list to the Available Fields list and vice versa.

You can update the fields in the user-defined export profiles by moving them from the Available Fields list to the Selected Fields list and vice versa. For more information about each field, see [BTI Export Profiles](#). For more information, see [Export Profile Fields](#). See [Export Profile Fields](#) for more information.

## Maintaining BTI Export Profiles

This topic describes how to create, modify, and delete export profiles.

When you create an export profile, the initial settings are the settings defined for the export profile you are using as a template. You can change these settings, as needed.

**To create a BTI Confirm/AffirmNearMatch export profile:**

1. From the Profiles menu, click **BTI Export Profiles**.  
The BTI Export Profile page displays the ConfirmStandard Export profile.
2. In the **Profile Name** field, type a name for the export profile.  
For a list of guidelines for creating a profile name, see [Profile Name on page 25](#)
3. Define the User Entity, Date, Export Data Format and Export Field Selection fields.
4. Click **Save As**.  
The page refreshes, and the newly created profile appears at the top of the BTI Export Profiles list.
5. Click the BTI tab or **Return to Grid** to return to a refreshed home page.

You cannot change the predefined Standard Export profiles. However, you can use these predefined profiles as a template to create a new export profile that you can modify for immediate or future use. You can modify any user-defined profile.


**To modify a user-defined export profile:**

1. From the **Profiles** menu, click **BTI Export Profiles**.
2. Select a user-defined profile from the **BTI Export Profiles** menu on the left side of the page.

3. Change the User Entity, Date, Export Data Format, and Export Field Selection fields, as needed.
4. Click **Save**.  
The page refreshes, and the changed profile appears at the top of the BTI Export Profiles list.
5. Click the BTI tab or to return to a refreshed home page.

You cannot delete the Confirm Export or Allocation Export profiles Standard Export profile; however, you can delete any user-defined profiles. If you delete a user-defined profile that is your default profile, the Confirm Export Standard Export profile becomes your default export profile.

**To delete a user-defined export profile from the BTI Export Profiles menu:**

1. Click **Profiles**.
2. From the BTIExport Profiles menu on the left side of the page, locate the profile.
3. To delete this profile, click  **Delete**.  
A warning message displays.
4. Click **OK** to confirm the deletion.

**To delete a user-defined export profile from the BTI Export Profiles page:**

1. From the Profiles menu, click **BTI Export Profiles**.
2. From the Profiles menu, click **Export Profiles**.
3. From the BTI Export Profiles menu on the left side of the page, select the profile.  
The profile opens on the BTI Export Profile page.
4. Click **Delete**.  
A warning message displays.

5. Click **OK** to confirm the deletion.

## BTI Import Profiles

The BTI Import profile defines the

- Data selection criteria.
- Format characteristics of the data file.
- Which data fields to include.
- Field order that is in the Confirm Import or Cancel Import file.

The field order that you specify in the Import profile must be an exact match to the Microsoft Excel spreadsheet that you are importing. Make sure that you have included all of the required fields to ensure that you send a successful confirm or cancellation of the trade.

BTI contains two predefined import profiles named Confirm Import and Cancel Import that you cannot change. Use these predefined profiles as is or as a template to create new profiles that you can modify for immediate or future use. Create up to a total of six user-defined BTI import profiles to define import fields and filter requirements for user entities (broker IDs) and date.

## BTI Import Profile Page

The BTI Import Profile page displays the filter settings for your import profile. To review or modify a different import profile, select it from the menu.

BTI contains two predefined import profiles named Confirm Import and Cancel Import. Use these predefined BTI Import profiles to send a confirm or cancel a confirm. You can also use these import profiles to create up to a total of six user-defined import profiles.

The BTI Import Profile page displays the lists of Available and Selected import fields. You can move the fields from one list to the other to define the fields to import. In the Selected Fields list, use the up and down arrows to arrange the order of the Selected Fields list. Ensure that the Selected Fields list matches the order and field names of the Microsoft Excel spreadsheet used to send or cancel the confirm.

In the BTI Import Profile, you can add up to a total of six user-defined BTI import profiles. For example, you can create three confirm import profiles and three cancel import profiles. If you attempt to add more than six user-defined import profiles, you receive an error message. For more information about error messages, see [Warning and Error Messages](#).

#### **To open the BTI Import Profile**

1. From the Profiles menu, click **BTI Import Profiles**.  
The default import profile, Confirm Import, opens.
2. The following options are available:
  - Modify the Confirm Import profile to create a user-defined confirm import profile.
  - Click a previously created user-defined import profile from the left menu.
  - Select the Cancel Import profile to create a user-defined profile based on this profile.

Click the icons to expand, collapse, and print the content on this page.

### **Click here to view the BTI Confirm Import page.**

Figure 1 shows the Confirm Import profile that you can use to create a user-defined confirm import profile. **For more information, see [Maintaining TradeSuite ID Import Profiles](#).**

## BTI Import Profile (Send): Confirm Import

[Return To Grid](#)

Save

Delete

Save As

Profile Name:

Confirm Import

### Import Data Format

Include Header

Fields:

Code ▼

### Import Field Selection

#### Available Fields

3rd Party Remuneration Indicator  
Accrual Method  
Accrued Interest  
Accrued Interest due at Maturity  
Additional Reference #1  
Additional Reference #2  
Agent Settle Loc  
Agent Sub Custodian /Clearing Csh Acct  
Agent Sub Custodian /Clearing Number



#### Selected Fields

Executing Broker Number \*  
Institution Number \*  
Agent Number \*  
Security Identifier \*  
Common Security Numbering System \*  
Security Type \*  
Side \*  
Settlement Location \*  
Trade Date \*







*BTI Import Profile: Confirm Import*

## BTI Import Profile Buttons

The next table describes how to use the buttons on the BTI Import Profile page.

### Import Profile Buttons

Click:	To:
Save	Save the settings when you modify a user-defined import profile.
Delete	Delete a user-defined import profile. You cannot delete either the <b>Confirm Import</b> or <b>Cancel Import</b> profile; therefore, this button is unavailable for these profiles.
Save As	Name a new import profile or change the name of a current import profile setting. <b>Save As</b> function becomes available when you type one or more valid characters in the <b>Profile Name</b> field.
	Move one or more selected fields from the <b>Available Fields</b> list to the <b>Selected Fields</b> list. Moved fields appear beneath any previously selected fields in the list. To select more than one field at a time, hold down the <b>Ctrl</b> key while you make your selections.
	Move one or more selected fields from the <b>Selected Fields</b> list to the <b>Available Fields</b> list. Moved fields appear in the <b>Available Fields</b> list in alphabetical order. To select more than one field at a time, hold down the <b>Ctrl</b> key while you make your selections.
	Move one or more fields in the <b>Selected Fields</b> list up one position. To select more than one field at a time, hold down the <b>Ctrl</b> key while you make your selections.
	Move one or more fields in the <b>Selected Fields</b> list down one position. To select more than one field at a time, hold down the <b>Ctrl</b> key while you make your selections.

# BTI Import Profile Fields

Specify the import data fields and formats. The following table describes the fields available from the BTI Import Profile page. To expand or collapse the fields for a specific category, click the plus sign (+) or minus sign (-), respectively, to the right of the category name.

**Table 1** describes the **Profile Name** and **Return to Grid** options available with the import profiles.

*Table 3.2 Import Profile Fields*

Field or Link	Description
Profile Name	Allows a maximum length of 40 characters for the name of the profile. Valid characters are: <ul style="list-style-type: none"><li>• Lowercase alphabetic characters (a-z)</li><li>• Uppercase alphabetic characters (A-Z)</li><li>• Numeric characters (0-9)</li><li>• Space</li><li>• Underscore (_)</li><li>• Plus (+)</li><li>• Minus (-)</li></ul>
Return to Grid	Return to the BTI Export Queue. Return to the Confirm/Affirm page. Return to the BTI Data Grids Main page

**Table 3.3** describes the import data formats that are read-only in the import profiles.

Table 3.3 Import Data Format

Field or Link	Description
Include Header	Header fields are included by default.
Fields	Data is imported as code. The Fields setting, Description, is unavailable in the import profiles.

The predefined Confirm Import and Cancel Import profiles contain default fields selected by priority of importance. **Table 3** describes the Available and Selected fields in this section.

Table 3.4 Import Field Selection

Field or Link	Description
Available Fields	Displays the fields not currently included in the import profile. Use the arrow buttons to move the fields from the Available Fields list to the Selected Fields list and vice versa.
Selected Fields	Displays the fields currently included in the import profile. Use the arrow buttons to move the fields from the Selected Fields list to the Available fields list and vice versa.

You can update the fields in the user-defined import profiles by moving them from the Available Fields list to the Selected Fields list and vice versa. An asterisk (\*) indicates a mandatory field. For more information about each field, see **BTI Import Profiles Overview**

## Maintaining TradeSuite ID Import Profiles

This topic describes how to create, modify, and delete import profiles.

### Creating an Import Profile

The Confirm Import and Cancel Import are two predefined import profiles available for you to send data about a confirm or to cancel a confirm. When you create an import profile, the initial settings are defined by the template that you are using for the confirm or cancel import profile. You can change these settings, as needed. Create up to a total of six user-defined BTI import profiles based on your settings.

#### To create an import profile:

1. From the Profiles menu, click **BTI Import Profiles** to display the Confirm Import profile. To create an import profile based on the Cancel Import profile instead of the Confirm Import profile, click the Cancel Import profile.
2. In the Profile Name field, type a unique name for the import profile.  
For a list of guidelines for creating a profile name, see [Profile Name on page 36](#).
3. From the Import Field Selection group, change the Available Fields and Selected Fields lists, as needed.  
You can move the fields from one list to the other to define the fields to import.  
Use the up and down arrows to arrange the order of the fields. Ensure that the Selected Fields list matches the order and field names of the Microsoft Excel spreadsheet used to send or cancel the confirm.
4. Click **Save As**.  
The page refreshes, and the newly created profile appears at the top of the BTI Import Profiles list.
5. Click the BTI tab or **Return to Grid** to return to a refreshed home page.

## Modifying an Import Profile

You cannot modify or delete the predefined import profiles. However, you can use these predefined profiles as a template to create a new import profile that you can modify for immediate or future use. You can change any user-defined profile.

**To modify a user-defined import profile:**


1. From the Profiles menu, click **BTINearMatch Import Profiles**.
2. Select a user-defined profile from the BTIConfirm/AffirmNearMatch Import Profiles menu on the left side of the page.
3. From the Import Field Selection group, change the Available Fields and Selected Fields lists, as needed.  
You can move the fields from one list to the other to define the fields to import. Use the up and down arrows to arrange the order of the fields. Ensure that the Selected Fields list matches the order and field names of the Microsoft Excel spreadsheet used to send or cancel the confirm.
4. Click **Save**.  
The page refreshes, and the changed profile appears at the top of the BTI Import Profiles list.
5. Click the BTI tab or **Return to Grid** to return to a refreshed home page.

## Deleting an Import Profile


You cannot delete the Confirm Import or Cancel Import profiles; however, you can delete any user-defined profile. If you delete a user-defined profile that is your default profile, the Confirm Import profile becomes your default import profile.

You cannot delete the Standard Import profile; however, you can delete any user-defined profile. If you delete a user-defined profile that is your default profile, the Standard Import profile becomes your default import profile.

**To delete a user-defined import profile from the BTI Import Profiles menu:**

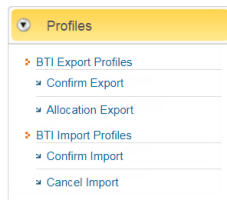
1. From the BTI Import Profiles menu on the left side of the page, locate the profile.
2. To delete this profile, click  Delete.  
A warning message displays.
3. Click **OK** to confirm the deletion.

**To delete a user-defined retrieve profile from the BTI Import Profile page:**

1. From the BTI Import Profiles menu on the left side of the page, select the profile.  
The profile opens on the BTI Import Profile page.
2. Click  Delete.  
A warning message displays.
3. Click **OK** to confirm the deletion.

## Using BTI

The BTI page consists of a menu on the left and a grid on the right.



From the Profiles menu on the left, click **BTI Export Profiles** or **BTI Import Profiles** to create a new export or import profile or update an existing profile. To retrieve a profile, click a specific export profile in the list, such as Confirm Export. To send a profile, click a specific import profile in the list, such as Confirm Import.

**Table 1** shows the folders in the **Profiles** menu.

*Table 1 Folder Categories*

Category	Description
BTI Export Profiles	Displays the predefined export profiles and any user-defined profiles.
BTI Import Profiles	Displays the predefined import profiles and any user-defined profiles.

**Table 2** shows the predefined profiles that you cannot modify or delete. Use these predefined profiles as a starting point to create new user-defined profiles for each category. Create up to a total of six user-defined BTI import profiles and six user-defined BTI export profiles based on your filter settings. For more information about the export profile fields, see **BTI Export Profiles**. For more information about the import profile fields, see **BTI Import Profiles Overview**.

*Table 2 Predefined Profiles*

Category	Description
Confirm Export	Default standard export profile.
Allocation Export	Standard export profile used to retrieve allocations.
Confirm Import	Default standard import profile.
Cancel Import	Standard import profile used to cancel confirms.

# Working on the TradeSuite ID BTI Page

Use the BTI module to retrieve and send confirms, retrieve allocations, and send confirm cancellations. To create and view data records, use Microsoft Excel files.

The screenshot displays the TradeSuite ID interface. At the top left is the DTCC logo, followed by the TradeSuite ID™ logo. Navigation links for Announcements, Contact Us, Tutorial, and Help are on the right. A user greeting 'Hello [name]' and a Logout link are also present. The main navigation bar includes 'BTI' and 'ID Directory'. A left sidebar menu is titled 'Profiles' and contains sub-items for BTI Export Profiles (Confirm Export, Allocation Export) and BTI Import Profiles (Confirm Import, Cancel Import). The main content area is titled 'Broker Trade Input' and shows a refresh timestamp of 'Aug 21, 2015 8:31 PM GMT'. Below this is an 'Export Queue' section with a table listing four completed export requests. A note at the bottom states: 'All Export requests are being processed in background and the results are available in the Export Queue when completed.'

#	Number of Records	Output Format	Status	File Download	Request Sent Time	
1	134	Confirm Export	COMPLETE	Download	Aug 11, 2014 05:23:12 PM GMT	✗
2	983	Confirm Export	COMPLETE	Download	Jul 09, 2014 01:04:43 PM GMT	✗
3	132	TF Confirm Export	COMPLETE	Download	Jul 01, 2014 03:44:22 PM GMT	✗
4	12	Allocation Export	COMPLETE	Download	Jun 25, 2014 04:07:23 PM GMT	✗

Figure 1 Elements of the BTI Page

## BTI Export Confirm Data

Authorized users can retrieve confirms using a confirm export profile. The predefined export profile, Confirm Export, contains default export fields. You can use this profile to create your own confirm export profiles.

The module of TradeSuite ID exports the details of the confirm to a Microsoft Excel file that you can download and view. See [BTI Export Profiles Overview](#).

---

### Note

You can export a limit of 5000 rows of data (confirmations) using TradeSuite ID.

---

### To export confirm data:

1. Select a confirm export profile from the BTI Export Profiles list.  
The exported data is based on the selection criteria in the Export Profile.

## Export ✕

Export - The data selected will be exported using the following profile. Click the Export button to schedule your export request.

**BTI Export Profile: Confirm Export**

Export Data Format

Include Header    Fields: Code

Export Field Selection

- DTC Control Number
- Trade Status
- Executing Broker Number
- Executing Broker Name
- Clearing Broker Number
- Trade Date
- Settlement Date
- Side
- Security Type
- Security Identifier
- Price Per Share

Figure 2 Confirm Export

2. Click **Export**.  
The record appears at the top of the Export Queue list.

3. Update the status by clicking Application Refresh .

---

**Note**

You are limited to ten exports. If you request an export beyond the limit, the oldest record (10) is automatically deleted.

---

4. From the File Download column, click **Download** to open the Microsoft Excel file. This file contains the export confirm data based on the fields set up in the export profile.

## BTI Export Allocation Data

Authorized users can retrieve allocations using an allocation export profile. The predefined export profile, Allocation Export, that contains default export fields. You can use this profile to create your own allocation export profiles.

The module of TradeSuite ID exports the details of the allocation to a Microsoft Excel file that you can download and view. See [BTI Export Profiles Overview](#).

---

**Note**

You can export a limit of 5000 rows of data (allocations) using TradeSuite ID.

---

**To export allocations:**

1. Select an allocation export profile from the BTI Export Profiles list.  
The exported data is based on the selection criteria in the export profile.

## Export ✕

Export - The data selected will be exported using the following profile. Click the Export button to schedule your export request.

### BTI Export Profile: Allocation Export

Export Data Format

Include Header    Fields: Code

Export Field Selection

Executing Broker Number ▲

Institution Number

Agent Number

Security Identifier

Common Security Numbering System

Security Type

Side

Settlement Location

Trade Date

Settlement Date

Settlement Type ▼

Figure 3 Allocation Export

2. Click **Export**.  
The record appears at the top of the Export Queue list.

3. Update the status by clicking Application Refresh .

---

**Note**

You are limited to ten exports. If you request an export beyond the limit, the oldest record (10) is automatically deleted.

---

4. From the File Download column, click **Download** to open the Microsoft Excel file. This file contains the exported allocations based on the fields set up in the profile.

## BTI Import Confirms

Authorized users can send confirms using a Confirm Import profile. The predefined import profile, Confirm Import, contains default import fields. You can use this profile to create your own import profiles.

In the BTI Import Profile, you can add up to a total of six user-defined BTI import profiles. For example, you can create three confirm import profiles and three cancel import profiles. If you attempt to add more than six user-defined import profiles, you receive an error message.

Use a Microsoft Excel file to list the details of the confirm. The field order that you specify in the Import profile must be an exact match to the Microsoft Excel spreadsheet that you are importing.

---

**Notes**

- You can import up to a total of 300 confirms or cancel transactions using the TradeSuite ID<sup>®</sup> service; therefore, only the first 300 rows of the Microsoft Excel spreadsheet are imported.
  - You cannot upload import files greater than 3 MB.
- 

**To import confirms,**

1. Select a confirm import profile from the BTI Import Profiles list.

## Import



Import - The data selected will be imported using the following profile. Click the Import button to upload file and schedule Import.

### BTI Import Profile : Confirm Import

#### Import File

Select an Excel file with data to process on the TradeSuite Mainframe:

Browse

#### Import Data Format

Include Header    Fields: Code

#### Import Field Selection

- Executing Broker Number \*
- Institution Number \*
- Agent Number \*
- Security Identifier \*
- Common Security Numbering System \*
- Security Type \*
- Side \*
- Settlement Location \*
- Trade Date \*
- Settlement Date \*

Import

Close

Figure 4 Confirm Import

2. To select a Microsoft Excel file containing the data that you want to process, click **Browse**.

---

**Notes**

- The TradeSuite ID<sup>®</sup> service supports Microsoft Excel version 2003 and 2010. All other Microsoft Excel file versions are unsupported.
  - Make sure the Microsoft Excel file contains the necessary fields and the Broker Dealer Confirm Number is unique.
-

3. Click **Import**.


- If the confirms are sent successfully, you receive a Transaction Send Summary.

## Transaction Send Summary ✕

Jul 02, 2014 6:02 PM GMT Action : Confirm

#	DTC Control Number	Broker Dealer Confirmation Number	Transaction Status
1	303882921	BDCN-043	Successful
2	303882922	BDCN-044	Successful
3	303882923	BDCN-045	Successful
4	303882924	BDCN-046	Successful
5	303882925	BDCN-047	Successful
6	303882926	BDCN-048	Successful

Figure 5 Transaction Send Summary


- If there is an issue with the transaction, one of the following actions occurs:
  - The Browse field is highlighted and the  button appears. Hover over the button to read the error message.
  - A message is provided in the Transaction Status section on the Transaction Send Summary.

### Transaction Send Summary

Aug 04, 2014 6:39 PM GMT Action : Confirm

#	DTC Control Number	Broker Dealer Confirmation Number	Transaction Status
1		BDCN-001C	BROKER/DEALER CONFIRM NUMBER CONFIRM NUMBER MUST BE UNIQUE FOR NEW AND RE-SUBMITTED CONFIRMATION;
2		BDCN-002C	BROKER/DEALER CONFIRM NUMBER CONFIRM NUMBER MUST BE UNIQUE FOR NEW AND RE-SUBMITTED CONFIRMATION;
3		BDCN-003C	BROKER/DEALER CONFIRM NUMBER CONFIRM NUMBER MUST BE UNIQUE FOR NEW AND RE-SUBMITTED CONFIRMATION;
4		BDCN-004C	BROKER/DEALER CONFIRM NUMBER CONFIRM NUMBER MUST BE UNIQUE FOR NEW AND RE-SUBMITTED CONFIRMATION;

Figure 6 Transaction Send Summary

4. Optionally, to open or save the transaction file to your computer, click PDF  icon.

5. To return to the main menu, click **Close**.

## BTI Cancel Confirms

Authorized users can send a cancellation of confirms using a cancel import profile. The predefined import profile, Cancel Import, that contains default import fields. You can use this profile to create your own cancel import profiles. **For more information, see [BTI Import Profiles](#)**

Use a Microsoft Excel file to list the details of the cancellation. Ensure that the mandatory Broker Dealer Confirmation Number is unique.

---

### Notes

- You can import up to a total of 300 confirms or cancel transactions using the TradeSuite ID<sup>®</sup> service; therefore, only the first 300 rows of the Microsoft Excel spreadsheet are imported.
  - You cannot upload import files greater than 3 MB.
- 

**To cancel a confirm:**

1. Select a cancel import profile from the BTI Import Profiles list.

## Import



Import - The data selected will be imported using the following profile. Click the Import button to upload file and schedule Import.

### BTI Import Profile : Cancel Import

#### Import File

Select an Excel file with data to process on the TradeSuite Mainframe:

Browse

#### Import Data Format

Include Header    Fields: Code

#### Import Field Selection

Cancel Reason \*  
Executing Broker Number \*  
Broker Dealer Confirmation Number \*  
Original Broker Confirmation Number \*

Import

Close

Figure 7 Cancel Import

2. To select the Microsoft Excel file containing the cancellation details, click **Browse**.

---

**Notes**

- The TradeSuite ID<sup>®</sup> service supports Microsoft Excel version 2003 and 2010. All other Microsoft Excel file versions are unsupported.
  - Make sure the Microsoft Excel file contains the necessary fields and the Broker Dealer Confirm Number is unique.
-

3. Click **Import**.


- If the cancels are sent successfully, you receive a Transaction Send Summary.

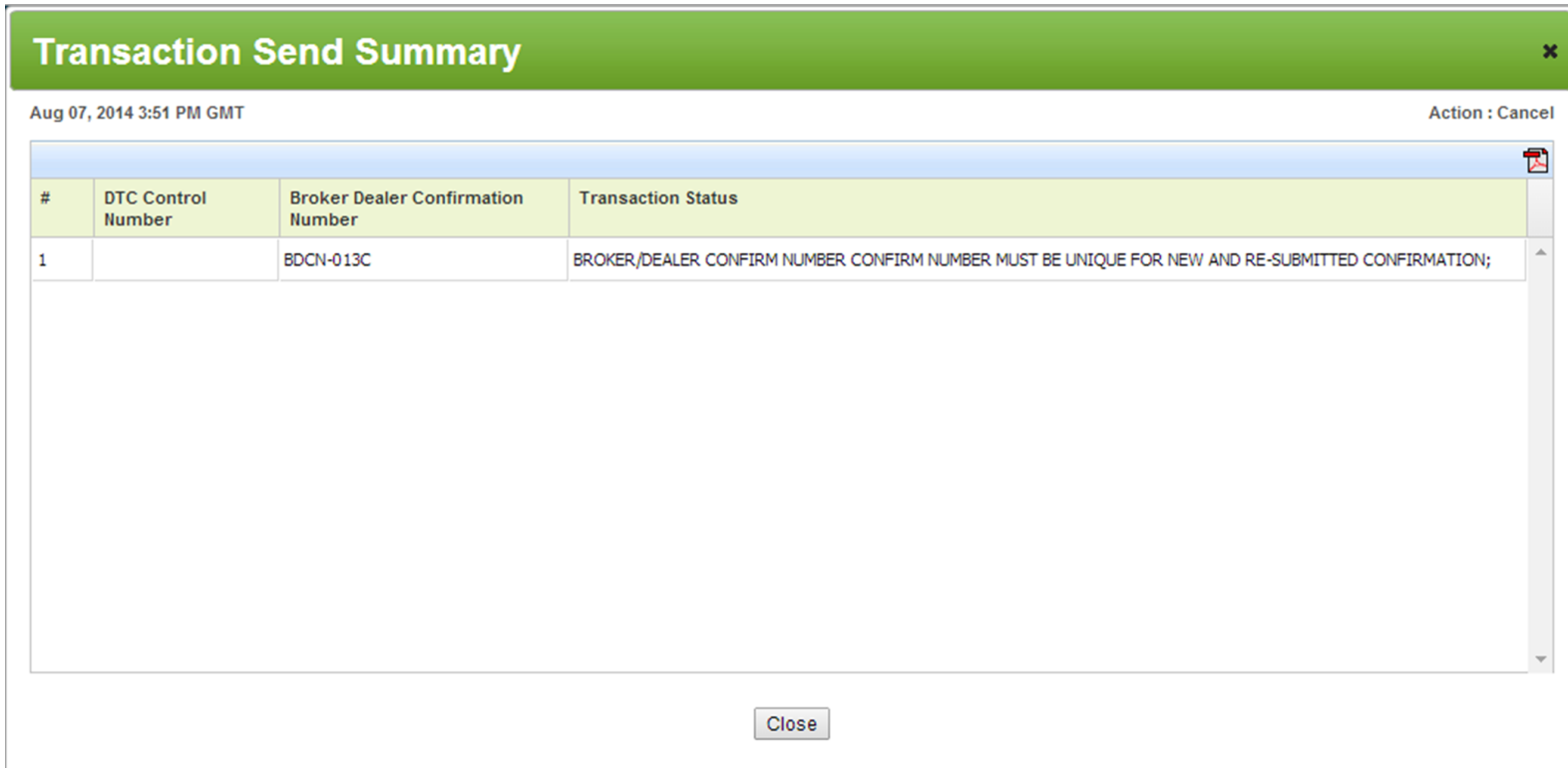
The screenshot shows a window titled "Transaction Send Summary" with a green header bar. Below the header, the date and time "Aug 06, 2014 6:03 PM GMT" are displayed on the left, and "Action : Cancel" is on the right. The main content area contains a table with the following data:

#	DTC Control Number	Broker Dealer Confirmation Number	Transaction Status
1	303893812	TG080614-11C	Successful

Below the table, there is a "Close" button.

Figure 8 Transaction Send Summary


- If there is an issue with the transaction, one of the following actions occurs:
  - The Browse field is highlighted and the  button appears. Hover over the button to read the error message.
  - A message is provided in the Transaction Status section on the Transaction Send Summary.



The screenshot shows a window titled "Transaction Send Summary" with a green header bar. Below the header, the date and time "Aug 07, 2014 3:51 PM GMT" are displayed on the left, and "Action : Cancel" is on the right. A table with four columns is shown: "#", "DTC Control Number", "Broker Dealer Confirmation Number", and "Transaction Status". The first row contains the value "1" in the first column, an empty cell in the second, "BDCN-013C" in the third, and an error message in the fourth: "BROKER/DEALER CONFIRM NUMBER CONFIRM NUMBER MUST BE UNIQUE FOR NEW AND RE-SUBMITTED CONFIRMATION;". A "Close" button is located at the bottom center of the window.

#	DTC Control Number	Broker Dealer Confirmation Number	Transaction Status
1		BDCN-013C	BROKER/DEALER CONFIRM NUMBER CONFIRM NUMBER MUST BE UNIQUE FOR NEW AND RE-SUBMITTED CONFIRMATION;

Figure 9 Transaction Send Summary

4. To download the report, click .

5. To return to the main menu, click **Close**.

# BTI Warning and Error Messages

The next table shows a list of BTI error and warning messages.

---

**Note**  
To view an error or warning message, hover over the Message that appears next to the field.

---

Table A.1 Warning and error messages

Message	Description
Your user ID is setup incorrectly. Please contact the <b>Client Center</b> for assistance.	Occurs when the setup for a BTI or TradeSuite ID user is invalid.
You are not authorized to access this resource page.	Notifies you that either the URL or page was copied incorrectly or you do not have authority.
No Export data available.	Occurs because you do not have any export data or export process available.

Table A.1 Warning and error messages

Message	Description
All Export requests are being processed in background and the results are available in the Export Queue when completed.	Always displays on the Export Queue page.
You are about to delete the <Request Sent Time> queue entry. Are you sure you want to delete this queue entry?	Occurs when you click <span style="color: red;">✗</span> Delete to remove an export queue record.
You are limited to 10 Export Queues. Requesting an additional export will automatically delete the oldest Export Request in the Queue.	Displays on the Export Queue page when you have 10 export queues, which is the limit.
You are about to delete the <profile name> profile. Are you sure you want to delete this profile?	Displays when you are deleting an export or import profile. Click OK to continue with the deletion.
Profile exists on file. Do you want to overwrite the existing profile?	Informs you that a profile with the same name already exists. Overwrite the existing profile by clicking OK to continue.
You have exceeded the maximum allowed number of user-defined profiles (6). Please delete a profile and try again.	Displays when you exceed the maximum allowed number of user-defined profiles of six for BTI export profiles or BTI import profiles.

Table A.1 Warning and error messages

Message	Description
The Profile Name Ad hoc is reserved. Please enter a different name.	Appears when you attempt to name a profile "ad hoc," in either uppercase or lowercase letters.
The Profile Name you chose is invalid. The Profile name must start with an upper case or lower case letter and may contain letters (upper and lower case a through z), numbers (0 through 9), spaces, underscores (_), pluses (+) and minuses (-). Please enter a different name.	Occurs when an invalid character was entered for a profile name. Type a valid profile name.
The Profile Name you chose is a Standard Profile. Cannot Delete a Standard Profile.	Displays when you attempt to delete a pre-defined profile. You can only modify or delete user-defined profiles.
The Profile Name you chose is a Standard Profile. You cannot change a Standard Profile.	Displays when you attempt to modify a pre-defined profile. You can only modify or delete user-defined profiles.
You cannot have more than 255 Export Fields. Please review your data requirements and keep the number of fields no more than 255.	Occurs when the Microsoft Excel file has reached the limit of 255 fields.
The application does not allow the selection of more than 16 IDs. Please limit your selections to 16 or less.	Informs you that you can select a maximum of 16 individual IDs.

Table A.1 Warning and error messages

Message	Description
Please make a Role or ID selection.	Notifies you to select either All or at least one individual ID in the User Entity section.
Please choose a date after 01/01/1980.	Occurs when you select an invalid date.
You cannot enter a From date that is later than a To date.	Informs you to specify a From date that is the same as or before the To date entered.
Export Profile requires at least one data field for Selected Fields list.	Appears because at least one export field must be listed in the Selected Fields.
Excel Import file does not have the correct number of columns as defined in the Import Profile.	<p>Occurs because of the following reasons:</p> <ul style="list-style-type: none"> <li>• Missing a column of data in the spreadsheet. The number of fields must match the number of columns in the Microsoft Excel file.</li> <li>• Data columns in the spreadsheet are out of order; therefore, the columns do not match the field order in the profile.</li> <li>• One or more field names in the data columns of the spreadsheet are misspelled.</li> </ul> <p>The TradeSuite ID service supports Microsoft Excel version 2003 and 2010. All other file versions are unsupported.</p>
Only the first 300 records are processed in an Import request.	Notifies you that the Import Confirm or Cancel Confirms has exceeded the maximum number of rows of data.

Table A.1 Warning and error messages

Message	Description
Data provided in Column X Row N has an incorrect data format. Please correct the spreadsheet and resubmit. (Where X is the Column letter and N is the Row number.)	Appears when the Import Confirm or Cancel Confirm has an error with the data when a date or numeric data was expected, but not provided.
Import file must be an Excel file (xls/xlsx).	Notifies you to use a Microsoft Excel file to list the details of the import. The TradeSuite ID <sup>®</sup> service supports Microsoft Excel version 2003 and 2010. All other file versions are unsupported.
You cannot import a file greater than 3 MB in size.	Occurs when you upload import files greater than 3 megabytes.
BTI application Help is not available at this time.	Informs you that help is unavailable from the website.

# BTI Export Profiles

BTI contains two predefined export profiles called Confirm Export, the default profile, and Allocation Export. You cannot modify or delete these predefined profiles. However, you can use the predefined profiles to create up to a total of six user-defined BTI export profiles. The following table shows the default settings for the predefined export profiles.

Table B.1 Default Settings for the Predefined Export Profiles

Section	Default Setting
User Entity	All your available (executing) broker IDs
Date	<ul style="list-style-type: none"><li>• Date Type = Process</li><li>• Date Format = LogicalDate</li><li>• Logical Date = Today</li></ul>
Export Data Format	<ul style="list-style-type: none"><li>• Include Header is selected.</li><li>• Code is provided in the export.</li></ul>

In NearMatch, there are the NearMatch export data fields and the allocation export data fields. Some fields are available as a code or description. In the Export Data Format, you can specify whether to use the code or description for those fields. What you specify impacts the formatting of numeric, date, and time fields, as described in the next table.

---

**Note**

When you specify the code or description, the data produced in the export is based on the same information, but presented differently. All fields that contain codes can be exported as either a code or description, depending on your preference.

---

*Table 2 Field Formatting Conventions*

<b>Field Type</b>	<b>Field: Code is selected</b>	<b>Field: Description is selected</b>
Fields with Codes (C)	Code is provided in the export. For example, for Security Type, SSH is displayed.	Description of the code is in the export. For example, for Security Type, EQUITIES (SHRS) is displayed.
Fields with Dates (D)	Date is in YYYYMMDD format. For example, <ul style="list-style-type: none"><li>• 20110322</li></ul>	Date is in MM/DD/YYYY format. For example, <ul style="list-style-type: none"><li>• 03/22/2011</li></ul>
Fields with Time Values (T)	Time is in HHMMSS format. For example, <ul style="list-style-type: none"><li>• 103500</li><li>• 192510</li></ul>	Time is in HH:MM:SS format. For example, <ul style="list-style-type: none"><li>• 10:35:00</li><li>• 19:25:10</li></ul>
Fields with Numeric Data (N)	Zero filled, no comma format. For example, <ul style="list-style-type: none"><li>• 400000000000.00000</li><li>• 000000000000.00003</li></ul>	Leading zeros removed and comma format added. For example, <ul style="list-style-type: none"><li>• 400,000,000,000.00</li><li>• 0.00003</li></ul>

## BTI Confirm Export Profile Fields

The following table shows the selected fields for the default export profile, Confirm Export. The Order column in the table corresponds with the columns in the Microsoft Excel spreadsheet that is available for download. You cannot modify or delete the Confirm Export predefined profile.

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
A	DTC Control Number	Unique control number to identify the trade.	Value	Text	9			
B	Trade Status	Status of the trade.	Description	Text	20	Code	Text	1
C	Executing Broker Number	ID number of the executing broker.	Value	Text	8			
D	Executing Broker Name	Name of the executing broker.	Value	Text	40			
E	Clearing Broker Number	ID number of the clearing broker.	Value	Text	8			

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
F	Trade Date	Date on which the transaction was executed as reported by the broker/dealer.	Value	Date	10			
G	Settlement Date	Date when the trade is due to settle.	Value	Date	10			
H	Side	Sell (1) or Buy (2), indicating whether the institution bought or sold the underlying securities.	Description	Text	10	Code	Text	1
I	Security Type	Type of expanded security that is the subject of the trade.	Description	Text	10	Code	Text	3

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
J	Security Identifier	Security identification number assigned to the traded security.	Value	Text	12			
K	Price Per Share	Price per share at which this security was traded (exclusive of commission and other charges).	Value	Float	11.5f			
L	Principal Amount	Shares/face value multiplied by the price.	Value	Float	12.2f			

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
M	Fee	Statutory fee levied on the sale of equity securities registered on an exchange or certain equity securities in OTC markets in the United States.	Value	Float	7.2f			

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
N	Net Amount	<p>Cash settlement amount, which is the sum of the principal amount and interest, plus or minus the following cash fields:</p> <ul style="list-style-type: none"> <li>• Registration/Shipping or Fees</li> <li>• Research Amount</li> <li>• Country Tax</li> <li>• Commission</li> <li>• Other Charges</li> </ul>	Value	Float	12.2f			

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
O	Commission	Fee, either directly charged or allocated to this transaction, that the broker/dealer charged to its customer.	Value	Float	7.2f			
P	Institution Name	Name of the institution.	Value	Text	40			
Q	Institution Number	ID number of the institution.	Value	Text	10			
R	Agent Number	ID number of the agent.	Value	Text	8			
S	Agent Name	Name of the agent.	Value	Text	40			

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
T	Settlement Location	Country or depository where the security is to settle. Outside of the US, settlement locations are by country, except for Euroclear and CEDEL (Clearstream).	Description	Text	40	Code	Text	3
U	Account Found	Yes/No indicator if an ALERT® account was found.	Description	Text	8	Code	Text	1
V	Accrued Interest	Accrued interest amount due at maturity.	Value	Float	9.2f			

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
W	Agent Internal Account Number	Internal account number of the agent.	Value	Text	35			
X	Confirm Purpose	Reason for the confirmation message or reason why a confirm is being issued.	Description	Text	35	Code	Text	2
Y	Current Yield	Annual percentage return.	Value	Float	11.5f			
Z	Disclosure Link	Path to view the confirm disclosure.	Value	Text	50			
AA	Disclosure Reference Number	Reference number of the disclosure.	Value	Text	16			

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
AB	Institution Internal Account Number	Internal account number of the institution.	Value	Text	16			
AC	International Currency	Code that represents the currency denomination of the trade and net amount.	Description	Text	10	Code	Text	3
AD	Security Description	Name or description of the traded security.	Value	Text	78			
AE	Settlement Mode	DTC settlement mode.	Description	Text	10	Code	Text	1
AF	Share Quantity	Face value of the shares when issued.	Value	Float	12.5f			

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
AG	User Disclosure Code	User-defined code used in the confirm backer/disclosure enrichment. It is broker/dealer supplied data that also resides on the backer/disclosure repository as enrichment criteria.	Value	Text	17			
AH	Eligibility Type	Indicates the trade's eligibility status for settlement at DTCC.	Description	Text	20	Code	Text	1

Table B.3 Confirm Export Profile Fields

Order	Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
AI	Interested Party 1 Number	Identification number of the interested party 1 or broker sub-custodian.	Value	Text	8			
AJ	Interested Party 1 Name	Identification name of the interested party 1 or broker sub-custodian.	Value	Text	40			

## Optional BTI Export Confirm Profile Fields

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
3rd Party Remuneration Indicator	Field for the broker/dealer to denote whether the broker/dealer received any third-party remuneration in the transaction (10b–10 field).	Description	Text	4	Code	Text	1
AOCC Message Text 1	Optional message that the institution entered when submitting an AOCC.	Value	Text	78			
AOCC Message Text 2	Optional message that the institution entered when submitting an AOCC.	Value	Text	78			
AOCC Message Text 3	Optional message that the institution entered when submitting an AOCC.	Value	Text	78			
AOCC Recipient 1	Notify up to 12 parties of an Advice of Cancellation or Correction (AOCC).	Value	Text	8			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
AOCC Recipient 10	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Recipient 11	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Recipient 12	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Recipient 2	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Recipient 3	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Recipient 4	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Recipient 5	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Recipient 6	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Recipient 7	Notify up to 12 parties of an AOCC.	Value	Text	8			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
AOCC Recipient 8	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Recipient 9	Notify up to 12 parties of an AOCC.	Value	Text	8			
AOCC Reference number	Optional reference number that the institution entered when submitting an AOCC.	Value	Number	16			
Account Type	Type or class of account in which the broker/dealer recorded the trade. For a list of codes, see <a href="#">Input/Output Information</a> .	Description	Text	10	Code	Text	2
Accrual Method	Calculation type used to arrive at the total interest payment on the trade.	Description	Text	20	Code	Text	2
Accrued Interest due at Maturity	Calculated value derived from the Repo rate and the number of interest days. (Includes sign).	Value	Float	12.2f			
Activity Date	Date of the last change to the confirm record.	Value	Date	10			
Activity Time	Time of the last change to the confirm record.	Value	Time	11			
Additional Reference #1	Potentially used to link buys and sells for SBB and BSB trades or for any other reference numbers.	Value	Text	16			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Additional Reference #2	Potentially used to link buys and sells for SBB and BSB trades or for any other reference numbers.	Value	Text	16			
Advice Of Cancellation Date	Issue date of an advice of cancellation	Value	Date	10			
Advice Of Cancellation Time	Issue time of an advice of cancellation.	Value	Time	11			
Advice of Correction Date	Issue date of an advice of correction.	Value	Date	10			
Advice of Correction Time	Issue time of an advice of correction.	Value	Time	11			
Advice of Cancel Reason	Reason the institution submitted an advice of cancellation.	Description	Text	25	Code	Text	3
Advice of Correction Reason 1	Reason the institution submitted an advice of correction for the selected Confirm field.	Description	Text	25	Code	Text	3
Advice of Correction Reason 2	Reason the institution submitted an advice of correction for the selected Confirm field.	Description	Text	25	Code	Text	3
Advice of Correction Reason 3	Reason the institution submitted an advice of correction for the selected Confirm field.	Description	Text	25	Code	Text	3
Advice of Correction Value 1	Value supplied by the institution as a correction to a selected and corrected Confirm field.	Value	Text	78			
Advice of Correction Value 2	Value supplied by the institution as a correction to a selected and corrected Confirm field.	Value	Text	78			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Advice of Correction Value 3	Value supplied by the institution as a correction to a selected and corrected Confirm field.	Value	Text	78			
Aff Sign-on	Sign-on ID for the confirm record when it was affirmed.	Value	Text	11			
Affirm Date	Date this record was affirmed.	Value	Date	10			
Affirm Pre-Post Indicator	Affirm pre-post indicator.	Description	Text	15	Code	Text	1
Affirm Time	Time the confirm record was affirmed.	Value	Time	11			
Affirm Type	Type of affirm.	Description	Text	15	Code	Text	1
Agent BIC	Business identifier code of the agent.	Value	Text	11			
Agent Preferred Numbering System	Preferred numbering system of the agent.	Description	Text	12	Code	Text	2
Agent Preferred Security Identifier	Preferred security identifier of the agent.	Value	Text	12			
Agent Settle Loc	Settlement location of the agent.	Description	Text	15	Code	Text	2
Agent Sub Custodian /Clearing BIC	Identifies the agent sub-custodian's clearing business identifier code (BIC).	Value	Text	11			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Agent Sub Custodian /Clearing Csh Acct	Identifies the agent sub-custodian's clearing cash account.	Value	Text	35			
Agent Sub Custodian /Clearing Sfk Acct	Identifies the agent sub-custodian's clearing safekeeping account.	Value	Text	35			
Agent Sub Custodian BIC	Identifies the agent sub-custodian's business identifier code (BIC).	Value	Text	11			
Agent Sub Custodian Cash Acct	Identifies the agent sub-custodian's cash account.	Value	Text	35			
Agent Sub Custodian Instructions 1	Text of instructions of the agent sub-custodian.	Value	Text	25			
Agent Sub Custodian Instructions 2	Text of instructions of the agent sub-custodian.	Value	Text	25			
Agent Sub Custodian Method	Settlement method in which a security settles in a particular country or market with multiple clearing methods.	Description	Text	10	Code	Text	3

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Agent Sub Custodian Name	Identifies the agent sub-custodian's name.	Value	Text	40			
Agent Sub Custodian Number	Identifies the agent sub-custodian's ID number.	Value	Text	8			
Agent Sub Custodian Sfk Acct	Identifies the agent sub-custodian's safekeeping account.	Value	Text	35			
Agent Sub Preferred Numbering System	Agent sub preferred numbering system.	Description	Text	10	Code	Text	2
Agent Sub Preferred Security Identifier	Agent sub preferred security identifier.	Value	Text	12			
Alert Override	Yes/No indicator when the settlement instruction override is required.	Description	Text	5	Code	Text	1
Allocation Source	Source or system used by the investment manager to send the allocation to the broker/dealer.	Description	Text	15	Code	Text	1
Alternate Currency ISO Code 2	ISO currency code of the alternate currency. For a list of codes, see <a href="#">Input/Output Information</a> .	Description	Text	20	Code	Text	3
Alternate Currency Net Amount 2	Cross/Border field of the alternate currency net amount 2.	Value	Float	15.2f			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Amort/Accret	Amortized/accredited factor. proration of the outstanding principal balance on pass-through Ginnie Maes, Freddie Macs, Fannie Maes, and mortgage-backed securities to its original principal balance. Expressed as a decimal.	Value	Float	6.12f			
Benchmark rate of Accrued Interest	Benchmark rate of interest accrual, associated with or considered a floating rate Repo.	Description	Text	20	Code	Text	2
Block Trade Indicator	Block trade indicator (BTI). Indicates when the confirm represents a block level trade.	Description	Text	3	Code	Text	1
Broker Branch Name	Defines the executing broker name that receives the most recently submitted AOCC.	Value	Text	40			
Broker Branch Number	Defines the executing broker number that receives the most recently submitted AOCC.	Value	Text	8			
Broker Custody Indicator	<b>Yes/No</b> Broker custody indicator.	Description	Text	5	Code	Text	1
Broker Dealer Account Number	Broker/dealer internal account number.	Value	Text	35			
Broker Dealer BIC	Business identifier code.	Value	Text	11			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Broker Dealer Confirmation Number	Broker's confirmation number assigned to the trade.	Value	Text	12			
Broker Legal Entity Identifier	A unique alphanumeric broker identifier (ISO17442). This is a MIFID II-related field.	Value	Text	20			
Broker Original Numbering System	Broker original numbering system.	Description	Text	10	Code	Text	2
Broker Original Security Identifier	Broker original security identifier.	Value	Text	12			
Broker Phone	Broker/dealer's telephone number.	Value	Text	21			
Broker Preferred Numbering System	Broker preferred numbering system.	Description	Text	10	Code	Text	2
Broker Preferred Security Identifier	Broker preferred security identifier.	Value	Text	12			
Broker Salesman	Name or details of the broker/dealer salesperson.	Value	Text	8			
Broker Sub Custodian /Clearing BIC	Cross/Border field for broker sub-custodian/clearing BIC.	Value	Text	11			
Broker Sub Custodian /Clearing Cash Account	Cross/Border field for broker sub-custodian/clearing cash account.	Value	Text	35			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Broker Sub Custodian /Clearing Safekeeping Account	Cross/Border field for broker sub-custodian/clearing safekeeping account.	Value	Text	35			
Broker Sub Custodian BIC	Identifies the broker sub-custodian's business identifier code (BIC).	Value	Text	11			
Broker Sub Custodian Cash Acct	Identifies the broker sub-custodian's cash account.	Value	Text	35			
Broker Sub Custodian Instructions 1	Text of instructions for the broker sub-custodian.	Value	Text	25			
Broker Sub Custodian Instructions 2	Text of instructions for the broker sub-custodian.	Value	Text	25			
Broker Sub Custodian Method	Settlement method in which a security settles in a particular country or market with multiple clearing methods. (Additional Party #1).	Description	Text	10	Code	Text	3
Broker Sub Custodian Name	Identifies the broker sub-custodian's name.	Value	Text	40			
Broker Sub Custodian Number	Identifies the broker sub-custodian's ID number.	Value	Text	8			
Broker Sub Custodian Sfk Acct	Identifies the broker sub-custodian's safekeeping account.	Value	Text	35			
Broker Sub Preferred Numbering System	Broker sub preferred numbering system.	Description	Text	10	Code	Text	2

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Broker Sub Preferred Security Identifier	Broker sub preferred security identifier.	Value	Text	12			
Call Delay	Minimum number of days notice a counter-party must terminate the transaction.	Value	Number	3			
Cancel Attempt Date	Date the executing broker attempted to cancel this transaction.	Value	Date	10			
Cancel Attempt Time	Time executing broker attempted to cancel this transaction.	Value	Time	11			
Cancel Date	Cancellation date of the confirm.	Value	Date	10			
Cancel Reason	Cancellation reason of the confirm.	Description	Text	25	Code	Text	3
Cancel Time	Cancellation time of the confirm.	Value	Time	11			
Clearing Agent Account Number	Receiving agent internal account number.	Value	Text	16			
Clearing Agent BIC	Business identifier code of the clearing agent.	Value	Text	11			
Clearing Agent Name	Name of the clearing agent.	Value	Text	40			
Clearing Agent Number	ID number of the clearing agent.	Value	Text	8			
Clearing Agent Preferred Numbering System	Preferred numbering system of the clearing agent.	Description	Text	10	Code	Text	2

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Clearing Agent Preferred Security Identifier	Preferred security identifier of the clearing agent.	Value	Text	12			
Clearing Broker Account Number	Internal account number of the clearing broker.	Value	Text	12			
Clearing Broker BIC	Business identifier code of the clearing broker.	Value	Text	11			
Clearing Broker Name	Name of the clearing broker.	Value	Text	40			
Clearing Broker Preferred Numbering System	Preferred numbering system of the clearing broker.	Description	Text	10	Code	Text	2
Clearing Broker Preferred Security Identifier	Preferred security identifier of the clearing broker.	Value	Text	12			
Collateral Type	Type of collateral involved in the transaction.	Description	Text	26	Code	Text	2
Comm Sharing	Yes/No Commission sharing indicator.	Description	Text	3	Code	Text	1
Commission Equivalent	Report non-monetary benefit amounts disclosed as commission equivalent, as far as possible, the same as the amounts and value of commission paid in a corresponding sale. Commission equivalent is often used to execute riskless principal trades.	Value	Number	7.2			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Common Security Numbering System	National or user-originated numbering system associated with the security identifier.	Description	Text	10	Code	Text	2
Confirm Type	Confirmation or transaction type.	Description	Text	15	Code	Text	1
Corres Bank BIC	Business identifier code (BIC) of the correspondent bank.	Value	Text	11			
Corres Bank Cash Account	Corresponding bank's cash account.	Value	Text	35			
Country Tax	Country and federal taxes that apply to this transaction. This field is also used for the American depositary receipt (ADR) foreign taxes.	Value	Float	7.2f			
Customer Account Number	Customer account number on the trade.	Value	Text	35			
Customer BIC	Customer BIC on the trade.	Value	Text	11			
Customer Instructions 1	Text of instructions of the customer.	Value	Text	25			
Customer Instructions 2	Text of instructions of the customer.	Value	Text	25			
Customer Name	Customer name on the trade.	Value	Text	40			
Customer Number	Customer number on the trade.	Value	Text	8			
DTC II Control Number	Unique reference number to identify the trade.	Value	Text	9			
DTC Security Type	Type of security that is the subject of the trade.	Description	Text	10	Code	Text	3

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Dated Date	Date on which a security is issued and starts accruing interest.	Value	Date	10			
Delivery Instruct Line 1	Where investment manager or fund delivers or receives securities from (Re: Physical deliveries — can be a physical street location).	Value	Text	32			
Delivery Instruct Line 2	Delivery instructions line two. Displayed in one field on the Trade Details tab in the Trade Information section.	Value	Text	32			
Delivery Method	Delivery method based on security type or purpose of trade.	Description	Text	15	Code	Text	2
Desg Party Type	Designated party type.	Description	Text	15	Code	Text	1
Disclosure Bypass Indicator	Enables the TradeSuite ID service to bypass or override the indicator for the confirm disclosure enrichment for a trade.	Description	Text	18	Code	Text	1
Disclosure Found	Yes/No indicator if a disclosure was found.	Description	Text	15	Code	Text	1
Eligibility Change Date	Date of the eligibility status changed on the confirm record.	Value	Date	10			
Eligibility Change Time	Time of the eligibility status changed on the Confirm record.	Value	Time	11			
End Date/Maturity Date	Close date of the Repo . Repo interest stops accruing.	Value	Date	10			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
FIN Rate	Interest rate that the transaction accrues at (includes sign).	Value	Float	2.6f			
FIN Rate Type	Specifies whether a fixed or variable rate.	Description	Text	10	Code	Text	1
FINRA Member	Denotes whether the broker/dealer is a member of FINRA (10b–10 field).	Description	Text	3	Code	Text	1
FINRA MSRB EMMA URL	The MSRB URL Link to specific Municipal Securities (by CUPID), for example, <a href="http://www.emma.msrb.org/cusip/006449ER9">http://www.emma.msrb.org/cusip/006449ER9</a> or the FINRA URL Link to specify Bond Securities (by CUPID), for example, <a href="https://bondfacts.finra.org/01F0224B8">https://bondfacts.finra.org/01F0224B8</a> , if provided.	Value	Text	50			
FX Indicator	Foreign exchange indicator.	Description	Text	10	Code	Text	1
FX Reference Number	If the trade is to settle in a currency different from the currency of the security, this field is mandatory. Use this field for information purposes with the Alternate Currency Conversion Rate. For a list of codes, see <a href="#">Input/Output Information</a> .	Value	Text	16			
Face(Par)/Cash Fill Repo	Basis for the Repo collateralization. If face, face times price equals collateral value.	Description	Text	10	Code	Text	1
First Flex Repayment Date	First date to base the schedule of the flexible repayments.	Value	Date	10			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
First Interest Cleanup Date	First date to base the schedule of the interest cleanup payments.	Value	Date	10			
First Rate Reset Date	First date to base the schedule of the rate reset dates.	Value	Date	10			
Flex Repo Payback Freq	Single maturity, but money giver's cash can be returned most often on a predetermined pay down schedule.	Description	Text	15	Code	Text	2
Foreign Exchange Currency Code	Foreign exchange currency ISO code. For a list of codes, see <a href="#">Input/Output Information</a>	Description	Text	20	Code	Text	3
Foreign Exchange Net Amount	Foreign exchange net amount.	Value	Float	12.2f			
Foreign Exchange Rate	Foreign exchange rate.	Value	Float	5.5f			
GMT Relation	Trade action performed to the confirm record.	Value	Text	5			
Give-Up Broker	Identifier of the give-up broker/dealer. Indicates that a fee can be due to the broker/dealer that arranged the transaction.	Value	Text	12			
Haircut %	Margin collateral associated with Repo type transactions to account for market or interest payments on the underlying securities.	Value	Float	3.5f			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
ID Net Disclosure Line 1	First line of disclosure text required by the ID Net process. No longer applicable.	Value	Text	70			
ID Net Disclosure Line 2	Second line of disclosure text required by the ID Net process. No longer applicable.	Value	Text	70			
ID Net Number	ID net number used to flip trades into prime broker Continuous Net Settlement (CNS). No longer applicable.	Value	Number	8			
Ineligible Reason 1	Describes the reasons this trade is ineligible for settlement – up to five reasons.	Description	Text	21	Code	Text	2
Ineligible Reason 2	Describes the reasons this trade is ineligible for settlement – up to five reasons.	Description	Text	21	Code	Text	2
Ineligible Reason 3	Describes the reasons this trade is ineligible for settlement – up to five reasons.	Description	Text	21	Code	Text	2
Ineligible Reason 4	Describes the reasons this trade is ineligible for settlement – up to five reasons.	Description	Text	21	Code	Text	2
Ineligible Reason 5	Describes the reasons this trade is ineligible for settlement – up to five reasons.	Description	Text	21	Code	Text	2
Institution BIC	Business identifier code of the institution.	Value	Text	11			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Institution Block Reference Number	Block reference number of the institution.	Value	Text	12			
Institution Det Reference Number	Detail reference number of the institution.	Value	Text	12			
Institution Legal Entity Identifier	A unique alphanumeric institution identifier (ISO17442) . This is a MIFID II-related field.	Value	Text	20			
Institution Preferred Numbering System	Preferred numbering system of the institution.	Description	Text	10	Code	Text	2
Institution Preferred Security Identifier	Preferred security identifier of the institution.	Value	Text	12			
Institution Routing Reference Number	Routing reference number of the institution.	Value	Text	16			
Int.Payment Calend Freq	Payment interval, such as three months or six months, for the interest cleanup schedule.	Description	Text	15	Code	Text	2
Interest Days	Interest accrual days.	Value	Number	5			
Interested Party 1 Account Number	Account number of the interested party 1 or broker sub-custodian.	Value	Text	35			
Interested Party 1 BIC	Business identifier code of the interested party 1 or broker sub-custodian.	Value	Text	11			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Interested Party 1 Instructions 1	Text of the first line of instructions of the interested party 1.	Value	Text	25			
Interested Party 1 Instructions 2	Text of second line of instructions of the interested party 1.	Value	Text	25			
Interested Party 1 Preferred Numbering System	Preferred numbering system of the interested party 1.	Description	Text	10	Code	Text	2
Interested Party 1 Preferred Security Identifier	Preferred security identifier of the interested party 1.	Value	Text	12			
Interested Party 2 Account Number	Account number of the interested party 2 or agent sub-custodian.	Value	Text	40			
Interested Party 2 BIC	BIC number of the interested party 2 or agent sub-custodian.	Value	Text	11			
Interested Party 2 Instructions 1	Text of instructions of the interested party 2.	Value	Text	25			
Interested Party 2 Instructions 2	Text of instructions of the interested party 2.	Value	Text	25			
Interested Party 2 Name	Name of the interested party 2 or agent sub-custodian.	Value	Text	40			
Interested Party 2 Number	Identification number of the interested party 2 or agent sub-custodian.	Value	Text	8			

*Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles*

<b>Field Name</b>	<b>Description</b>	<b>Field Type</b>	<b>Format</b>	<b>Length</b>	<b>Code Field Type</b>	<b>Code Format</b>	<b>Code Length</b>
Interested Party 2 Preferred Numbering System	Preferred numbering system of the interested party 2.	Description	Text	10	Code	Text	2
Interested Party 2 Preferred Security Identifier	Preferred security identifier of the interested party 2.	Value	Text	12			
Interested Party 3 Account Number	Account number of the interested party 3.	Value	Text	35			
Interested Party 3 BIC	Business identifier code of the interested party 3.	Value	Text	11			
Interested Party 3 Instructions 1	Text of instructions of the interested party 3.	Value	Text	25			
Interested Party 3 Instructions 2	Text of instructions of the interested party 3.	Value	Text	25			
Interested Party 3 Name	Name of the interested party 3.	Value	Text	40			
Interested Party 3 Number	Identification number of the interested party 3.	Value	Text	8			
Interested Party 3 Preferred Numbering System	Preferred numbering system of the interested party 3.	Description	Text	10	Code	Text	2
Interested Party 3 Preferred Security Identifier	Preferred security identifier of interested party 3.	Value	Text	12			
Leg Identifier	Identifies whether the confirm is a 1st leg confirm, 2nd leg confirm, or both.	Description	Text	10	Code	Text	2

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Local Trade Time	Local time of the trade.	Value	Time	11			
Long Short Indicator	Available to report when the institution on the trade is selling securities: <ul style="list-style-type: none"> <li>• L = Sell Long</li> <li>• S = Sell Short</li> <li>• Space = Not Available or Not Applicable.</li> </ul>	Description	Text	20	Code	Text	1
Look back days	Number of business days before the floating rate reset date when the benchmark price is captured and used to determine the new rate upon reset.	Value	Number	3			
MBS Class Code	Mortgage-backed securities class code security identifier. Indicates agency, product code, coupon, and maturity.	Value	Text	10			
MBS Class Name	Descriptive name of the security class code.	Value	Text	30			
MBS Current Face	MBS current face value.	Value	Float	12.5f			
MBS Days Accr Interest	Total number of days for which the interest is being calculated.	Value	Number	3			
MBS Delivery Date	Date when the securities are scheduled to be delivered to the contra party.	Value	Date	10			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
MBS EPN Time	Time when the electronic pool notification (EPN) messenger receives the message (EPN good delivery time stamp).	Value	Time	11			
MBS Factor Date	Effective date of the factor used.	Value	Date	10			
MBS Good Del Mil	Good delivery millions. Number of million dollar lots delivered in accordance with PSA guidelines.	Value	Float	9			
MBS Interest Rate	Rate of interest for the MBS.	Value	Float	3.5f			
MBS Internal ID	Unique reference number that the submitter assigned.	Value	Text	16			
MBS Issue Date	Date when the MBS was issued or originated.	Value	Date	10			
MBS Lot ID	Lot number and pool sequence within the lot, indicating a pool or group of pools consisting of one/many good delivery millions.	Value	Text	6			
MBS Maturity Date	Last possible date on which the last payment of the longest loan can be paid.	Value	Date	10			
MBS Message Identifier	Unique message ID that EPN assigned to the original message.	Value	Text	11			
MBS Num Pools	Number of pools.	Value	Float	4			
MBS Option Expiry Date	MBS option expiry date.	Value	Date	10			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
MBS Option Type	MBS option type.	Value	Text	10			
MBS Original Trd Par	Par value of the original trade.	Value	Float	9.2f			
MBS Pool Number	Agency-assigned number referencing the pool of mortgages that collateralizes an MBS.	Value	Text	7			
MBS Possible Dup	Possible duplicate.	Description	Text	11	Code	Text	1
MBS Record Date	Date the trustee sets to determine when principal and interests are paid on a security.	Value	Date	10			
MBS Rel Reference Number	Internal broker/dealer reference number for a TBA-related pool confirmation.	Value	Text	16			
MBS Service Type	Type of message input to the mortgage-backed security clearing corporation (MBSCC) indicating currently supported trade types. For a list of codes, see <a href="#">Input/Output Information</a> .	Value	Text	11			
MBS Spec Value 1	Value for specification codes.	Value	Text	20			
MBS Spec Value 10	Value for specification codes.	Value	Text	20			
MBS Spec Value 11	Value for specification codes.	Value	Text	20			
MBS Spec Value 12	Value for specification codes.	Value	Text	20			
MBS Spec Value 13	Value for specification codes.	Value	Text	20			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
MBS Spec Value 14	Value for specification codes.	Value	Text	20			
MBS Spec Value 15	Value for specification codes.	Value	Text	20			
MBS Spec Value 16	Value for specification codes.	Value	Text	20			
MBS Spec Value 2	Value for specification codes.	Value	Text	20			
MBS Spec Value 3	Value for specification codes.	Value	Text	20			
MBS Spec Value 4	Value for specification codes.	Value	Text	20			
MBS Spec Value 5	Value for specification codes.	Value	Text	20			
MBS Spec Value 6	Value for specification codes.	Value	Text	20			
MBS Spec Value 7	Value for specification codes.	Value	Text	20			
MBS Spec Value 8	Value for specification codes.	Value	Text	20			
MBS Spec Value 9	Value for specification codes.	Value	Text	20			
MBS Specification 1	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 10	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 11	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 12	Text for specification codes.	Description	Text	35	Code	Text	3

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
MBS Specification 13	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 14	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 15	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 16	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 2	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 3	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 4	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 5	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 6	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 7	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 8	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Specification 9	Text for specification codes.	Description	Text	35	Code	Text	3
MBS Sub Account Identifier	Account ID of the account submitting the message.	Value	Text	4			
MBS Terminator	Value of the good delivery millions of the preceding lot of pools.	Value	Float	10			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
MBS Trade #	Number referencing the MBSCC trade number.	Value	Text	10			
MBS Trade Type	Type of mortgage-backed securities transaction being confirmed.	Description	Text	20	Code	Text	2
MBS Yield	Yield annual percentage return, as computed in accordance with standard industry practices that is earned on a security.	Value	Float	15.5f			
MSRB Alt Min Tax	Indicates when the MSRB security is subject to alternate minimum tax.	Description	Text	6	Code	Text	1
MSRB Basis	Bond basis of the MSRB security. For a list of codes, see <a href="#">Input/Output Information</a> .	Description	Text	15	Code	Text	1
MSRB Bond Form Code	Identifies the bond form of the MSRB security.	Description	Text	20	Code	Text	1
MSRB Bond Type Code	Municipal Securities Rule Board (MSRB) code identifying the type of bond.	Description	Text	15	Code	Text	1
MSRB Call Date	Call date fixed by call notice. <b>Note:</b> The data export format is YYYYMMDD while the data import format is MMDDYY.	Value	Date	10			
MSRB Call Price	Amount of the call price of the MSRB security.	Value	Float	3.3f			
MSRB Call Put	Indicates the call or put feature of the MSRB security.	Description	Text	20	Code	Text	1

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
MSRB Def Flat Stat	Flat/default status of the MSRB security. Flat is a designation that a particular transaction has been effected on terms that do not include accrued interest. Securities in default as to payments of interest generally trade flat.	Description	Text	15	Code	Text	1
MSRB Discount Rate	Rate at which the trade was discounted.	Value	Float	2.3f			
MSRB Fed Tax	Indicates when the MSRB security is subject to federal tax.	Description	Text	6	Code	Text	1
MSRB Int Accr Date	Date when interest begins to accrue on the security. <b>Note:</b> The data export format is YYYYMMDD while the data import format is MMDDYY.	Value	Date	10			
MSRB Int Pymt Date	Date on which interest payments are due to be made to bondholders. <b>Note:</b> The data export format is YYYYMMDD while the data import format is MMDDYY.	Value	Date	10			
MSRB Int Pymt Freq	Frequency of the interest payments.	Description	Text	20	Code	Text	2
MSRB Int Rate	Rate of interest for interest bearing securities.	Value	Float	2.3f			
MSRB Legal Status	Legal status of the MSRB security.	Description	Text	15	Code	Text	1

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
MSRB Maturity Date	Date on which the principal amount is due. <b>Note:</b> The data export format is YYYYMMDD while the data import format is MMDDYY.	Value	Date	10			
MSRB Moody Rating	Moody's credit rating for the MSRB security.	Description	Text	12	Code	Text	2
MSRB Optl Call	Indicates the option call indicator of the MSRB security. For a list of codes, see <b>Input/Output Information</b> .	Description	Text	20	Code	Text	2
MSRB Payment Status	Payment status of the MSRB security.	Description	Text	20	Code	Text	1
MSRB Put Bond Date	Put bond date fixed by put notice. <b>Note:</b> The data export format is YYYYMMDD while the data import format is MMDDYY.	Value	Date	10			
MSRB Put Bond Price	Specified put bond price.	Value	Float	3.3f			
MSRB Put Bond Type	Indicates the put bond type of the MSRB security. For a list of codes, see <b>Input/Output Information</b> .	Description	Text	20	Code	Text	2
MSRB Result	Result indicator of the MSRB security. For a list of codes, see <b>Input/Output Information</b> .	Description	Text	20	Code	Text	2
MSRB S & P Rating	Standard & Poor's credit rating for the MSRB security.	Description	Text	12	Code	Text	2
MSRB Security Addl Info1	Additional security information.	Value	Text	78			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
MSRB Security Addl Info2	Additional security information.	Value	Text	78			
MSRB Security Mod1	MSRB security model 1.	Value	Text	78			
MSRB Security Mod2	MSRB security model 2.	Value	Text	78			
MSRB Spec Coupon	Special coupon indicator.	Description	Text	20	Code	Text	1
MSRB Tax Status	Tax status of the MSRB security.	Description	Text	15	Code	Text	1
MarkUp	Field information for broker/dealer to disclose any mark-up, mark-down, or difference between the reported price and the price to the customer involved in the transaction (10b-10 field).	Value	Float	13			
Mark Up/Mark Down Percentage	Field information for the broker/dealer to disclose any percentage of the mark-up / mark-down difference between the reported price and the price to the customer involved in the transaction. Displayed as a percentage.	Value	Float	3.5f			
Market	Market in which the trade was executed.	Description	Text	7	Code	Text	2
Market Maker	Denotes whether the broker/dealer is a market maker in the security (10b-10 field).	Description	Text	3	Code	Text	1
Match Date	Date the Confirm record was matched.	Value	Date	10			
Match Time	Time the Confirm record was matched.	Value	Time	11			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Match Variance	Difference between the net amounts on the trade confirmation and the institution instruction.	Value	Float	7.0f			
Match Variance Direction	Indicates whether the investment manager or broker/dealer exceeded the matching variance.	Description	Text	12	Code	Text	1
Matched Status	Matching status for a trade.	Description	Text	25	Code	Text	1
NYSE Member	Denotes whether the broker/dealer is a NYSE Member (10b-10 field).	Description	Text	3	Code	Text	1
National Registration	Institutions National Registration identification used to identify the institution when registered with local authorities.	Value	Text	35			
Net Price	Difference between the quoted price and the mark-up or mark-down (rule 10b-10).	Value	Float	5.6f			
Num of pcs of collateral	Item count for number of collateral pieces.	Value	Number	3			
Operating Procedure Link	URL link to the <b>Operating Procedures</b> guide available from the repository. This link is used to access the inSITE repository to view the TradeSuite ID Operating Procedures in effect on the date of the trade	Value	Text	50			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Operating Procedure Reference Number	Reference number assigned by the repository to identify the specific <i>TradeSuite ID Operating Procedures</i> guide associated with the trade confirmation. Required information along with the corresponding Operating Procedures Link URL to view the guide from the repository.	Value	Text	16			
Original Broker Confirmation Number	Original confirmation number assigned to the trade.	Value	Text	12			
Other Amount 1	Report other amount 1.	Value	Float	15.5f			
Other Amount 2	Report other amount 2.	Value	Float	15.5f			
Other Amount 3	Report other amount 3.	Value	Float	15.5f			
Other Amount 4	Report other amount 4.	Value	Float	15.5f			
Other Amount 5	Report other amount 5.	Value	Float	15.5f			
Other Amount Indicator 1	Plus or minus (+/-) indicator for Other Amount 1.	Value	Text	1			
Other Amount Indicator 2	Plus or minus (+/-) indicator. for Other Amount 2.	Value	Text	1			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Other Amount Indicator 3	Plus or minus (+/-) indicator. for Other Amount 3.	Value	Text	1			
Other Amount Indicator 4	Plus or minus (+/-) indicator. for Other Amount 4.	Value	Text	1			
Other Amount Indicator 5	Plus or minus (+/-) indicator. for Other Amount 5.	Value	Text	1			
Other Amount Type 1	Defines the Amount Type indicator for the reported Other Amount 1.	Description	Text	11	Code	Text	4
Other Amount Type 2	Defines the Amount Type indicator for the reported Other Amount 2.	Description	Text	11	Code	Text	4
Other Amount Type 3	Defines the Amount Type indicator for the reported Other Amount 3.	Description	Text	11	Code	Text	4
Other Amount Type 4	Defines the Amount Type indicator for the reported Other Amount 4.	Description	Text	11	Code	Text	4
Other Amount Type 5	Defines the Amount Type indicator for the reported Other Amount 5.	Description	Text	11	Code	Text	4
Other Charges	Sum of any charges that apply to this trade for which no other field has been designated.	Value	Float	7.2f			
Parent Reference Number	Cross references a parent trade with all associated child or collateral trades.	Value	Text	16			
Payment Method Type	Type of payment method.	Description	Text	1	Code	Text	1

*Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles*

<b>Field Name</b>	<b>Description</b>	<b>Field Type</b>	<b>Format</b>	<b>Length</b>	<b>Code Field Type</b>	<b>Code Format</b>	<b>Code Length</b>
Premium Amount	Difference in money between the open and close legs of the Repo transaction.	Value	Float	12.2f			
Price Type	Price type for the Price per Share field.	Description	Text	16	Code	Text	3
Process Date	Date the Confirm record was last processed.	Value	Date	10			
Process Time	Time the Confirm record was last processed.	Value	Time	11			
Repo Value	Market value rounded using the appropriate market practice convention for that particular instrument in the Repo market. Market value of the security with haircut considered.	Value	Float	12.2f			
Research Amount	The cost of the research provided by the sell-side.	Value	Float	7.2f			
Reset Interval Frequency	Reset interval, such as 3 months or 6 months.	Description	Text	11	Code	Text	2

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Role	<p>Identifies the broker/dealer's role as one of the following roles:</p> <p>1 = Executing broker/dealer acted as agent for customer (<b>AGNT</b>).</p> <p>2 = Executing broker/dealer acted as principal for own account (<b>PRIN</b>).</p> <p>3 = Executing broker/dealer acted as agent for a person other than customer (<b>OTHR</b>).</p> <p>4 = Executing broker/dealer acted as agent for both the customer and another person (<b>CUST</b>).</p> <p>5 = Average Price Trade; the broker/dealer acted as principal for some executions and agent for others in the transaction (<b>AVGR</b>).</p> <p>6 = Executing broker/dealer acted as both principal and agent in the transaction (<b>PRAG</b>).</p> <p>7 = Executing broker/dealer acted as principal, agent and agent for another person in the transaction (<b>PAGC</b>).</p> <p>8 = Crossing as agent – Executing broker/dealer is crossing transaction as agent on behalf of customer (<b>CAGN</b>).</p> <p>9 = Crossing as principal – Executing broker/dealer is crossing transaction as principal for own account (<b>CPRN</b>).</p> <p>A = Acting as riskless principal. Executing</p>	Description	Text	10	Code	Text	1

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
	<p>broker/dealer is trading as a riskless principal. That is, the order to buy (or sell) has been contemporaneously offset by an order to sell (or buy) with another party (<b>RISP</b>).</p> <p>When appropriate, the TradeSuite ID screens display character values, such as PRIN and AVGR, that follow the explanation.</p>						
SIPC Member	Denotes whether the broker/dealer is a SIPC member (10b-10 field).	Description	Text	3	Code	Text	1
Sec. Lending Fee	Security lending fee.	Value	Float	12.2f			
Security Description Type	Security description taken from DTC's files when the security is DTC-eligible or a corporate debt security. The security description comes from the broker/dealer when the security is not a DTC-eligible equity or corporate debt security.	Description	Text	9	Code	Text	1
Settle Condition 1	Settlement conditions associated with the trade.	Description	Text	20	Code	Text	3
Settle Condition 2	Settlement conditions associated with the trade.	Description	Text	20	Code	Text	3
Settle Condition 3	Settlement conditions associated with the trade.	Description	Text	20	Code	Text	3

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Settlement Type	Indicates how the trade is to settle. Regular way trades settle according to the local market conventions. Other values are: <ul style="list-style-type: none"> <li>• When-Issued</li> <li>• When-Distributed</li> <li>• When-Issued and When-Distributed</li> </ul>	Description	Text	20	Code	Text	1
Special Instruct Line 10	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruct Line 11	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruct Line 12	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruct Line 13	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruct Line 14	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruct Line 15	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruct Line 16	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Special Instruct Line 17	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruct Line 18	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruction Line # 19	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruct Line 9	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instructions 1	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instructions 2	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruction Line # 20	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instruction Line # 21	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instructions 3	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Special Instructions 4	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instructions 5	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instructions 6	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instructions 7	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Special Instructions 8	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32			
Split Indicator	Identifies when securities and cash settle in different locations.	Description	Text	5	Code	Text	1
Spread to Bnchmk/Ind(FIRt)	Basis points away from the benchmark or index, used to determine the rate (includes sign).	Value	Text	5			
Start Date	Date the Repo started accruing interest. Equivalent to settlement date of the first leg.	Value	Date	10			
Substitution Frequency	Period of time over which the specified number of substitutions can take place.	Description	Text	15	Code	Text	2
Substitution Number	Number of times that collateral can be substituted over the life of the Repo.	Value	Text	2			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Substitution Type	Type of collateral substitution. For a list of codes, see <a href="#">Input/Output Information</a> .	Description	Text	15	Code	Text	1
Substitution Variance	Percentage by which the principal value of the replacement collateral can differ from the principal value of the original.	Value	Text	3.5f			
Trade Execution Date	Date that the broker/dealer booked the trade	Value	Date	10			
Trade Execution Time	Time of day the broker/dealer booked the trade.	Value	Time	11			
Trade Execution Tm Zone	Time zone of the trade execution time.	Value	Text	3			
Trade Last Aff Date	Last date to affirm this trade.	Value	Date	10			
Trade Type	Identifies the type of Repo trade. For a list of codes, see <a href="#">Input/Output Information</a> .	Description	Text	20	Code	Text	2
Tri-Party Agent	Identifies the tri-party agent.	Value	Text	40			
Vendor Control ID	Indicates the control ID assigned by the broker's vendor to a broker-/dealer's trade.	Value	Text	9			
Wire Instructions	Free-form instructions for the tri-party or when physical security delivery necessary.	Value	Text	32			

Table B.4 Optional Fields Available for User-Defined Export Confirm Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Yield Call Redemption	Current yield of the security.	Value	Float	11.5f			
Yield To Maturity	Expected rate of return on a security when it is held to maturity.	Value	Float	11.5f			

## BTI Allocation Export Profile Fields

The next table shows the selected fields for export for the Allocation Export profile available in BTI. The Order column in the table corresponds with the columns in the Microsoft Excel spreadsheet that is available for download. You cannot modify or delete the Allocation Export predefined profile.

Table B.5 Allocation Export profile fields

Order	Field	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
A	Executing Broker Number	ID number of the executing broker.	Value	Text	8			
B	Institution Number	ID number of the institution.	Value	Text	10			

Table B.5 Allocation Export profile fields

Order	Field	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
C	Agent Number	ID number of the agent.	Value	Text	8			
D	Security Identifier	Security identification number assigned to the traded security.	Value	Text	12			
E	Common Security Numbering System	National or user-originated numbering system associated with the security identifier.	Description	Text	10	Code	Text	2
F	Security Type	Type of expanded security that is the subject of the trade.	Description	Text	10	Code	Text	3

Table B.5 Allocation Export profile fields

Order	Field	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
G	Side	BUY or SELL, indicating whether the institution bought or sold the underlying securities.	Description	Text	10	Code	Text	1
H	Settlement Location	Country or depository where the security is to settle. Outside of the US, settlement locations are by country, except for Euroclear and CEDEL (Clearstream).	Description	Text	40	Code	Text	3
I	Trade Date	Date on which the transaction was executed as reported by the broker-dealer.	Value	Date	10			

Table B.5 Allocation Export profile fields

Order	Field	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
J	Settlement Date	Date when the trade is due to settle.	Value	Date	10			
K	Settlement Type	Indicates how the trade is to settle. Regular Way trades settle according to local market conventions. Other values are: <ul style="list-style-type: none"> <li>◦ When-Issued</li> <li>◦ When-Distributed</li> <li>◦ When-Issued and When-Distributed</li> </ul>	Description	Text	20	Code	Text	1
L	Share Quantity	Face value of the shares when issued.	Value	Float	12.5f			

Table B.5 Allocation Export profile fields

Order	Field	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
M	Price Per Share	Price per share at which this security was traded (exclusive of commission and other charges).	Value	Float	11.5f			
N	Principal Amount	Shares/face value multiplied by the price.	Value	Float	12.2f			
O	International Currency	Code representing the currency denomination of the trade and net amount.	Description	Text	10	Code	Text	3

Table B.5 Allocation Export profile fields

Order	Field	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
P	Fee	Statutory fee levied on the sale of equity securities registered on an exchange or certain equity securities in OTC markets in the United States.	Value	Float	7.2f			
Q	Net Amount	<p>Cash settlement amount which is the sum of the principal amount and interest, plus or minus the following cash fields:</p> <ul style="list-style-type: none"> <li>◦ Registration/Shipping or Fees</li> <li>◦ Research Amount</li> <li>◦ Country Tax</li> <li>◦ Commission</li> <li>◦ Other Charges</li> </ul>	Value	Float	12.2f			

Table B.5 Allocation Export profile fields

Order	Field	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
R	Commission	Fee, either directly charged or allocated to this transaction, that the broker-/dealer charged to its customer.	Value	Float	7.2f			
S	Broker Dealer Account Number	Broker/dealer account number.	Value	Text	35			
T	Institution Internal Account Number	Institution internal account number.	Value	Text	35			
U	Agent Internal Account Number	Agent internal account number.	Value	Text	35			

## BTI Optional Allocation Export Profile Fields

The next table shows the export allocation profile fields available in the Allocation Export profile available in BTI. Use this export profile as a basis to create the user-defined allocation export profiles. **Table B.6** shows the remaining fields, in alphabetical order, that you can select when creating a user-defined allocation export profile.

*Table B.6 Optional Fields Available for User-Defined Allocation Export Profiles*

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Account Found	Yes/No indicator if an ALERT® account was found.	Description	Text	8	Code	Text	1
Accrued Interest	Accrued interest amount due at maturity.	Value	Float	9.2f			
Agent BIC	Business identifier code.	Value	Text	11			
Agent Name	Name of the agent.	Value	Text	40			
Broker Branch Name	Defines the executing broker name that receives the most recently submitted AOCC.	Value	Text	40			
Broker Branch Number	Defines the executing broker number that receives the most recently submitted AOCC.	Value	Text	8			
Broker Dealer BIC	Business identifier code of the dealer.	Value	Text	11			
Broker of Credit BIC	Business identifier code of the broker of credit.	Value	Text	11			
Broker of Credit Commission	Fee either directly charged or allocated to this transaction.	Value	Float	7.2f			
Broker of Credit Name	Name of the broker of credit.	Value	Text	40			
Broker of Credit Number	ID number of the broker of credit.	Value	Text	8			

Table B.6 Optional Fields Available for User-Defined Allocation Export Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Cancel Reason	Cancellation reason of the confirm.	Description	Text	25	Code	Text	3
Clearing Broker Account Number	Clearing broker internal account number.	Value	Text	35			
Clearing Broker BIC	Business identifier code of the clearing broker.	Value	Text	11			
Clearing Broker Name	Name of the clearing broker.	Value	Text	40			
Clearing Broker Number	ID number of the clearing broker.	Value	Text	8			
Commission Type	Type of commission for the transaction.	Value	Text	1			
Common Control Number	Institution internal reference identifier for the common record of an institution instruction (allocation instruction) previously submitted by the institution to the broker/dealer or the original identifier from the CTM block.	Value	Text	9			
Country Tax	Country/federal taxes that apply to this transaction. This field is also used for the American depository receipt (ADR) foreign taxes.	Value	Float	7.2f			
DTC II Control Number	Unique reference number to identify the trade.	Value	Text	9			
Executing Broker Name	Name of the executing broker.	Value	Text	40			
FED ABA Number	Unique number assigned by the American Bankers Association (ABA) that identifies a specific federal or state institution.	Value	Text	35			

*Table B.6 Optional Fields Available for User-Defined Allocation Export Profiles*

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
FED Account Mnemonic	FED account number assigned to the trade. The ALERT service enriches the code into the CTM service . When the confirm is matched to the allocation, the FED account mnemonic is captured in the secondary Delivery Instruction field.	Value	Text	35			
Institution BIC	Business identifier code (BIC) of the institution.	Value	Text	11			
Institution Block Reference Number	Block reference number of the institution.	Value	Text	12			
Institution Det Reference Number	Detail reference number of the institution.	Value	Text	12			
Institution Name	Name of the institution.	Value	Text	40			
Institution Routing Reference Number	Routing reference number of the institution.	Value	Text	16			
Interested Party 1 Account Number	Account number of the interested party 1 or broker sub-custodian.	Value	Text	35			
Interested Party 1 Name	Name of the interested party 1 or broker sub-custodian.	Value	Text	40			
Interested Party 1 Number	Identification number of the interested party 1 or broker sub-custodian.	Value	Text	8			
Interested Party 2 Account Number	Account number of the interested party 2 or agent sub-custodian.	Value	Text	40			
Interested Party 2 Name	Name of the interested party 2 or agent sub-custodian.	Value	Text	40			
Interested Party 2 Number	Identification number of the interested party 2 or agent sub-custodian.	Value	Text	8			

Table B.6 Optional Fields Available for User-Defined Allocation Export Profiles

Field Name	Description	Field Type	Format	Length	Code Field Type	Code Format	Code Length
Interested Party 3 Account Number	Account number of the interested party 3.	Value	Text	35			
Interested Party 3 Name	Name of the interested party 3.	Value	Text	40			
Interested Party 3 Number	Identification number of the interested party 3.	Value	Text	8			
Matched Status	Matching status for a trade.	Description	Text	25	Code	Text	1
Other Charges	Sum of any charges that apply to this trade for which no other field has been designated.	Value	Float	7.2f			
Process Date	Date the confirm record was last processed.	Value	Date	10			
Process Time	Time the confirm record was last processed.	Value	Time	11			
Research Amount	The cost of the research provided by the sell-side.	Value	Float	7.2f			
Security Description	Name or description of the traded security (Line 1).	Value	Text	78			
Split Indicator	Identifies when securities and cash settle in different locations.	Description	Text	5	Code	Text	1
Submitting Institution BIC	Business identifier code of the submitting institution.	Value	Text	11			
Submitting Institution Name	Name of the submitting institution.	Value	Text	40			
Submitting Institution Number	ID number of the submitting institution.	Value	Text	8			
Ticker Symbol	Set of characters that represent a particular security listed on an exchange or otherwise traded publicly.	Value	Text	14			

# BTI Import Profiles Overview

BTI contains two predefined import profiles called Confirm Import and Cancel Import, which contain the suggested order and default fields. You cannot modify or delete these predefined profiles. However, you can use them to create up to a total of six user-defined profiles.

This appendix explains the predefined import profiles and the fields available for you to select when creating user-defined import profiles.

## BTI Confirm Import Profile Fields

The next table shows the required fields for import for the Confirm Import profile. An asterisk (\*) indicates a mandatory field. The Order column corresponds with the way you must set up the columns in the Microsoft Excel spreadsheet before you can successfully import the confirms.

Table C.1 Confirm Import Profile Fields

Order	Field	Description	Field Type	Format	Length
A	Executing Broker Number *	ID number of the executing broker.	Value	Text	8
B	Institution Number *	ID number of the institution.	Value	Text	10
C	Agent Number *	ID number of the agent.	Value	Text	8

Table C.1 Confirm Import Profile Fields (continued)

Order	Field	Description	Field Type	Format	Length
D	Security Identifier *	Security identification number assigned to the traded security.	Value	Text	12
E	Common Security Numbering System *	National or user-originated numbering system associated with the security identifier.	10	Code	Text
F	Security Type *	Type of expanded security that is the subject of the trade.	10	Code	Text
G	Side *	BUY or SELL, indicating whether the institution bought or sold the underlying securities.	10	Code	Text
H	Settlement Location *	Country or depository where the security is to settle. Outside of the US, settlement locations are by country, except for Euroclear and CEDEL (Clearstream).	40	Code	Text
I	Trade Date *	Date on which the transaction was executed as reported by the broker/dealer.	Value	Date	10

Table C.1 Confirm Import Profile Fields (continued)

Order	Field	Description	Field Type	Format	Length
J	Settlement Date *	Date when the trade is due to settle.	Value	Date	10
K	Settlement Type *	An indicator of how the trade is to settle. Regular Way trades settle according to local market conventions. Other values are: <ul style="list-style-type: none"> <li>• When-Issued</li> <li>• When-Distributed</li> <li>• When-Issued and When-Distributed</li> </ul>	Code	Text	1
L	Share Quantity *	Face value of the shares when issued.	Value	Float	12.5f
M	Price Per Share *	Price per share at which this security was traded (exclusive of commission and other charges).	Value	Float	11.5f
N	Principal Amount *	Shares/face value multiplied by the price.	Value	Float	12.2f

Table C.1 Confirm Import Profile Fields (continued)

Order	Field	Description	Field Type	Format	Length
O	International Currency *	Code that represents the currency denomination of the trade and net amount.	Code	Text	3
P	Fee *	Statutory fee levied on the sale of equity securities registered on an exchange or certain equity securities in OTC markets in the United States.	Value	Float	7.2f
Q	Net Amount *	<p>Cash settlement amount which is the sum of the principal amount and interest, plus or minus the following cash fields:</p> <ul style="list-style-type: none"> <li>• Registration/Shipping or Fees</li> <li>• Research Amount</li> <li>• Country Tax</li> <li>• Commission</li> <li>• Other Charges</li> </ul>	Value	Float	12.2f

Table C.1 Confirm Import Profile Fields (continued)

Order	Field	Description	Field Type	Format	Length
R	Commission *	Fee, either directly charged or allocated to this transaction, that the broker/dealer charged to its customer.	Value	Float	7.2f
S	Broker Dealer Account Number *	Broker/dealer account number.	Value	Text	12
T	Institution Internal Account Number *	Institution internal account number.	Value	Text	16
U	Agent Internal Account Number *	Agent internal account number.	Value	Text	35
V	Role *	Identifies the broker/dealer's role on the trade – a number from 1 to 9 or letter A. For a list of codes, see <b>Input/Output Information</b> .	Code	Text	1
W	Broker Dealer Confirmation Number *	Broker's confirmation number assigned to the trade.	Value	Text	12

Table C.1 Confirm Import Profile Fields (continued)

Order	Field	Description	Field Type	Format	Length
X	Market *	Market in which the trade was executed.	Code	Text	2
Y	Account Type *	Type or class of account in which the broker/dealer recorded the trade. For a list of codes, see <b>Input/Output Information</b> .	Code	Text	2
Z	Split Indicator *	Identifies when securities and cash settle in different locations.	Code	Text	1
AA	Long Short Indicator	<p>Available to report when the institution on the trade is selling securities:</p> <ul style="list-style-type: none"> <li>• L = Sell Long</li> <li>• S = Sell Short</li> <li>• Space = Not Available or Not Applicable.</li> </ul> <p>Often provided on prime broker trades.</p>	Code	Text	1

## BTI Optional Confirm Import Profile Fields

The next table shows the remaining fields, in alphabetical order, that you can select when creating a user-defined confirm import profile.

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
3rd Party Remuneration Indicator	Field for the broker/dealer to denote whether the broker/dealer received any third-party remuneration in the transaction (10b–10 field).	Code	Text	1
Accrual Method	Calculation type used to arrive at the total interest payment on the trade.	Code	Text	2
Accrued Interest	Accrued interest amount due at maturity.	Value	Float	9.2f
Accrued Interest due at Maturity	Calculated value derived from the Repo rate and the number of interest days. (Includes sign).	Value	Float	12.2f
Additional Reference #1	Potentially used to link Buys and Sells for SBB and BSB trades or for any other reference numbers.	Value	Text	16
Additional Reference #2	Potentially used to link Buys and Sells for SBB and BSB trades or for any other reference numbers.	Value	Text	16
Agent Settle Loc	Settlement location for the agent.	Value	Text	15
Agent Sub Custodian /Clearing BIC	Identifies the agent sub-custodian's clearing business identifier code (BIC).	Value	Text	11
Agent Sub Custodian /Clearing Csh Acct	Identifies the agent sub-custodian's clearing cash account.	Value	Text	35

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Agent Sub Custodian /Clearing Sfk Acct	Identifies the agent sub-custodian's clearing safekeeping account.	Value	Text	35
Agent Sub Custodian Cash Acct	Identifies the agent sub-custodian's cash account.	Value	Text	35
Agent Sub Custodian Instructions 1	Text of instructions for the agent sub-custodian.	Value	Text	25
Agent Sub Custodian Instructions 2	Text of instructions for the agent sub-custodian.	Value	Text	25
Agent Sub Custodian Method	Settlement method in which a security settles in a particular country or market with multiple clearing methods.	Value	Text	10
Agent Sub Custodian Number	Identifies the agent sub-custodian's ID number.	Value	Text	8
Agent Sub Custodian Sfk Acct	Identifies the agent sub-custodian's safekeeping account.	Value	Text	35
Allocation Source	Source or system used by the investment manager to send the allocation to the broker/dealer.	Code	Text	1
Alternate Currency ISO Code 2	ISO currency code for the alternate currency For a list of codes, see <a href="#">Input/Output Information</a> .	Code	Text	3
Alternate Currency Net Amount 2	Cross/Border field for the alternate currency net amount 2.	Value	Float	15.2f
Amort/Accret	Amortized/Accreted Factor. Proration of the outstanding principal balance on pass-through Ginnie Maes, Freddie Macs, Fannie Maes, and mortgage-backed securities to its original principal balance. Expressed as a decimal.	Value	Float	6.12f
Benchmk rate of Accrued Interest	Benchmark rate of interest accrual, associated with or considered a floating rate Repo.	Code	Text	

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Block Trade Indicator	Block trade indicator. Indicates if the confirm represents a block level trade.	Code	Text	1
Broker Custody indicator	Yes/No Broker custody indicator.	Code	Text	
Broker Legal Entity Identifier	A unique alphanumeric broker identifier (ISO17442) . This is a MIFID II-related field.	Value	Text	20
Broker Phone	Broker/dealer's telephone number.	Value	Text	21
Broker Salesman	Name or details of the broker/dealer salesperson.	Value	Text	8
Broker Sub Custodian /Clearing BIC	Identifies the broker sub-custodian's clearing business identifier code (BIC).	Value	Text	11
Broker Sub Custodian /Clearing Cash Account	Cross/Border field for the broker sub-custodian/clearing cash account.	Value	Text	35
Broker Sub Custodian /Clearing Safekeeping Account	Cross/Border field for broker sub-custodian/clearing safekeeping account.	Value	Text	35
Broker Sub Custodian Cash Acct	Identifies the broker sub-custodian's cash account.	Value	Text	35
Broker Sub Custodian Instructions 1	Text of instructions for the broker sub-custodian.	Value	Text	25
Broker Sub Custodian Instructions 2	Text of instructions for the broker sub-custodian.	Value	Text	25
Broker Sub Custodian Method	Settlement method in which a security settles in a particular country or market with multiple clearing methods. (Additional party #1).	Code	Text	

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Broker Sub Custodian Number	Identifies the broker sub-custodian's ID number.	Value	Text	8
Broker Sub Custodian Sfk Acct	Identifies the broker sub-custodian's safekeeping account.	Value	Text	35
Call Delay	Minimum number of days notice a counter-party must terminate the transaction.	Value	Number	3
Clearing Agent Account Number	Receiving agent internal account number.	Value	Text	35
Clearing Agent Number	ID number of the clearing agent.	Value	Text	8
Clearing Broker Account Number	Clearing broker internal account number.	Value	Text	35
Collateral Type	Type of collateral involved in the transaction.	Code	Text	2
Comm Sharing	Yes/No Commission sharing indicator.	Code	Text	1
Commission Equivalent	Report non-monetary benefit amounts disclosed as commission equivalent, as far as possible, the same as the amounts and value of commission paid in a corresponding sale. Commission equivalent is often used to execute riskless principal trades.	Value	Float	7.2f
Confirm Purpose	Reason for the confirmation message or the reason why a confirm is being issued.  Note: The TradeSuite ID <sup>®</sup> service also displays this field in the Finance/Repo Information section on the Fixed Income tab.	Code	Text	2

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Corres Bank BIC	Business identifier code (BIC) for the correspondent bank.	Value	Text	11
Corres Bank Cash Account	Corresponding bank's cash account.	Value	Text	35
Country Tax	Country and federal taxes that apply to this transaction. This field is also used for the American depository receipt (ADR) foreign taxes.	Value	Float	7.2f
Current Yield	Annual percentage return.	Value	Float	11.5f
Customer Account Number	Customer account number on the trade.	Value	Text	35
Customer Instructions 1	Text of instructions for the customer.	Value	Text	25
Customer Instructions 2	Text of instructions for the customer.	Value	Text	25
Customer Number	Customer number on the trade.	Value	Text	8
Dated Date	Date on which a security is issued and starts accruing interest.	Value	Date	10
Delivery Instruct Line 1	Where investment manager or fund delivers or receives securities from (Re: physical deliveries — can be a physical street location).	Value	Text	32
Delivery Instruct Line 2	Delivery instructions line two. Displayed in one field on the Trade Details tab in the Trade Information section.	Value	Text	32
Delivery Method	Delivery method based on the security type or purpose of the trade.	Code	Text	2
Disclosure Bypass Indicator	Enables TradeSuite ID to bypass or override the indicator for the confirm disclosure enrichment for a trade.	Code	Text	1
End Date/Maturity Date	Close date of the Repo. Repo interest stops accruing.	Value	Date	10

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
FIN Rate	Interest rate that the transaction accrues at, including the sign.	Value	Float	2.6f
FIN Rate Type	Specifies whether the rate is fixed or variable.	Code	Text	1
FINRA Member	Denotes whether the broker/dealer is a member of FINRA (10b-10 field).	Code	Text	1
FINRA MSRB EMMA UR	The MSRB URL Link to specific Municipal Securities (by CUPID), for example, <a href="http://www.emma.msrb.org/cusip/006449ER9">http://www.emma.msrb.org/cusip/006449ER9</a> or the FINRA URL Link to specify Bond Securities (by CUPID), for example, <a href="https://bondfacts.finra.org/01F0224B8">https://bondfacts.finra.org/01F0224B8</a> , if provided.	Value	Text	50
FX Indicator	Foreign exchange indicator.	Value	Text	10
FX Reference Number	If the trade is to settle in a currency different from the currency of the security, this field is mandatory. This field can also be used for information purposes with the Alternate Currency Conversion Rate. For a list of codes, see <a href="#">Input/Output Information</a> .	Value	Text	16
Face(Par)/Cash Fill Repo	Basis for the Repo collateralization If face, face times price equals collateral value.	Code	Text	
First Flex Repayment Date	First date to base the schedule of flexible repayments.	Value	Date	10
First Interest Cleanup Date	First date to base the schedule of interest cleanup payments.	Value	Date	10

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
First Rate Reset Date	First date to base the schedule of the rate reset dates.	Value	Date	10
Flex Repo Payback Freq	Single maturity but money giver's cash can be returned most often on a predetermined pay down schedule.	Code	Text	2
Foreign Exchange Currency Code	Foreign Exchange Currency ISO Code. For a list of codes, see <a href="#">Input/Output Information</a> .	Code	Text	3
Foreign Exchange Net Amount	Foreign exchange net amount.	Value	Float	12.2f
Foreign Exchange Rate	Foreign exchange rate.	Value	Float	5.5f
GMT Relation	Trade action performed to the confirm record.	Value	Text	5
Give-Up Broker	Identifier of the give-up broker/dealer. Indicates that a fee can be due to the broker/dealer that arranged the transaction.	Value	Text	12
Haircut %	Margin collateral associated with Repo type transactions to account for market or interest payments on the underlying securities.	Value	Float	3.5f
Institution Legal Entity Identifier	A unique alphanumeric institution identifier (ISO17442). This is a MIFID II-related field.	Value	Text 20	
Int.Payment Calend Freq	Payment interval, such as three months or six months, for the interest cleanup schedule.	Code	Text	2
Interest Days	Interest accrual days.	Value	Number	5

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Interested Party 1 Account Number	Account number of the interested party 1 or broker sub-custodian.	Value	Text	35
Interested Party 1 Instructions 1	Text of the first line of instructions for the interested party 1.	Value	Text	25
Interested Party 1 Instructions 2	Text of second line of instructions for the interested party 1.	Value	Text	25
<b>Interested Party 1 Number</b>	Identification number of the interested party 1 or broker sub-custodian.	Value	Text	8
Interested Party 2 Account Number	Account number of the interested party 2 or agent sub-custodian.	Value	Text	40
Interested Party 2 Instructions 1	Text of instructions for the interested party 2.	Value	Text	25
Interested Party 2 Instructions 2	Text of instructions for the interested party 2.	Value	Text	25
Interested Party 2 Number	Identification number of the interested party 2 or agent sub-custodian.	Value	Text	8
Interested Party 3 Account Number	Account number of the interested party 3.	Value	Text	35
Interested Party 3 Instructions 1	Text of instructions for the interested party 3.	Value	Text	25
Interested Party 3 Instructions 2	Text of instructions for the interested party 3.	Value	Text	25
Interested Party 3 Number	Identification number of the interested party 3.	Value	Text	8
Leg Identifier	Identifies whether the confirm is a 1st leg confirm, 2nd leg confirm, or both.	Code	Text	2
Local Trade Time	<b>Local time of the trade.</b>	Value	Time	11
Look back days	Number of business days before the floating rate reset date when the benchmark price is captured and used to determine the new rate upon reset	Value	Number	3

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
MBS Class Code	MBS class code security identifier. Indicates agency, product code, coupon, and maturity.	Value	Text	10
MBS Current Face	MBS current face value.	Value	Float	12.5f
MBS Days Accr Interest	Total number of days for which the interest is being calculated.	Value	Number	3
MBS Delivery Date	Date when the securities are scheduled to be delivered to the contra party.	Value	Date	10
MBS EPN Time	Time when the electronic pool notification (EPN) messenger receives the message (EPN good delivery time stamp).	Value	Time	11
MBS Factor Date	Effective date of the factor used.	Value	Date	10
MBS Good Del Mil	Good delivery millions. Number of million dollar lots delivered in accordance with PSA guidelines.	Value	Float	9
MBS Interest Rate	Rate of interest for the MBS.	Value	Float	3.5f
MBS Internal ID	Unique reference number that the submitter assigned.	Value	Text	16
MBS Issue Date	Date when the MBS was issued or originated.	Value	Date	10
MBS Lot ID	Lot number and pool sequence within the lot, indicating a pool or group of pools consisting of one/- many good delivery millions.	Value	Text	6
MBS Maturity Date	Last possible date on which the last payment of the longest loan can be paid.	Value	Date	10

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
MBS Message Identifier	Unique message ID that EPN assigned to the original message.	Value	Text	11
MBS Num Pools	Number of pools.	Value	Float	4
MBS Option Expiry Date	MBS option expiry date.	Value	Date	10
MBS Option Type	MBS option type.	Value	Text	10
MBS Original Trd Par	Par value of the original trade.	Value	Float	9.2f
MBS Pool Number	Agency-assigned number referencing the pool of mortgages that collateralizes an MBS.	Value	Text	7
MBS Possible Dup	Possible duplicate.	Code	Text	1
MBS Record Date	Date the trustee sets to determine when principal and interest are paid on a security.	Value	Date	10
MBS Rel Reference Number	Internal broker/dealer reference number for a TBA related pool confirmation.	Value	Text	16
MBS Service Type	Type of message input to the mortgage-backed security clearing corporation (MBSCC) indicating currently supported trade types. For a list of codes, see <a href="#">Input/Output Information</a> .	Value	Text	11
MBS Spec Value 1	Value for specification codes.	Value	Text	20
MBS Spec Value 10	Value for specification codes.	Value	Text	20

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
MBS Spec Value 11	Value for specification codes.	Value	Text	20
MBS Spec Value 12	Value for specification codes.	Value	Text	20
MBS Spec Value 13	Value for specification codes.	Value	Text	20
MBS Spec Value 14	Value for specification codes.	Value	Text	20
MBS Spec Value 15	Value for specification codes.	Value	Text	20
MBS Spec Value 16	Value for specification codes.	Value	Text	20
MBS Spec Value 2	Value for specification codes.	Value	Text	20
MBS Spec Value 3	Value for specification codes.	Value	Text	20
MBS Spec Value 4	Value for specification codes.	Value	Text	20
MBS Spec Value 5	Value for specification codes.	Value	Text	20
MBS Spec Value 6	Value for specification codes.	Value	Text	20
MBS Spec Value 7	Value for specification codes.	Value	Text	20
MBS Spec Value 8	Value for specification codes.	Value	Text	20
MBS Spec Value 9	Value for specification codes.	Value	Text	20
MBS Specification 1	Text for specification codes.	Code	Text	3
MBS Specification 10	Text for specification codes.	Code	Text	3

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
MBS Specification 11	Text for specification codes.	Code	Text	3
MBS Specification 12	Text for specification codes.	Code	Text	3
MBS Specification 13	Text for specification codes.	Code	Text	3
MBS Specification 14	Text for specification codes.	Code	Text	3
MBS Specification 15	Text for specification codes.	Code	Text	3
MBS Specification 16	Text for specification codes.	Code	Text	3
MBS Specification 2	Text for specification codes.	Code	Text	3
MBS Specification 3	Text for specification codes.	Code	Text	3
MBS Specification 4	Text for specification codes.	Code	Text	3
MBS Specification 5	Text for specification codes.	Code	Text	3
MBS Specification 6	Text for specification codes.	Code	Text	3
MBS Specification 7	Text for specification codes.	Code	Text	3
MBS Specification 8	Text for specification codes.	Code	Text	3
MBS Specification 9	Text for specification codes.	Code	Text	3
MBS Sub Account Identifier	Account ID of the account submitting the message.	Value	Text	4

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
MBS Terminator	Value of the good delivery millions of the preceding lot of pools.	Value	Float	10
MBS Trade #	Number referencing the MBSCC trade number.	Value	Text	10
MBS Trade Type	Type of mortgage-backed securities transaction being confirmed.	Code	Text	2
MBS Yield	Yield annual percentage return, as computed in accordance with standard industry practices that is earned on a security.	Value	Float	15.5f
MSRB Alt Min Tax	Indicates if the Municipal Securities Rule Board (MSRB) security is subject to alternate minimum tax.	Description	Text	6
MSRB Basis	Bond basis of the MSRB security. For a list of codes, see <b>Input/Output Information</b> .	Code	Text	1
MSRB Bond Form Code	Identifies the bond form of the MSRB security.	Code	Text	1
MSRB Bond Type Code	Municipal Securities Rule Board (MSRB) code identifying the type of bond.	Code	Text	1
MSRB Call Date	Call date fixed by call notice. <b>Note:</b> The data import format is MMDDYY while the data export format is YYYYMMDD.	Value	Date	10
MSRB Call Price	Amount of the call price of the MSRB security.	Value	Float	3.3f

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
MSRB Call Put	Indicates the call or put feature of the MSRB security.	Code	Text	1
MSRB Def Flat Stat	Flat/default status of the MSRB security. Flat is a designation that a particular transaction has been effected on terms that do not include accrued interest. Securities in default as to payments of interest generally trade flat.	Code	Text	1
MSRB Discount Rate	Rate at which the trade was discounted.	Value	Float	2.3f
MSRB Fed Tax	Indicates if the MSRB security is subject to Federal tax.	Code	Text	1
MSRB Int Accr Date	Date when interest begins to accrue on the security. <b>Note:</b> The data import format is MMDDYY while the data export format is YYYYMMDD.	Value	Date	10
MSRB Int Pymt Date	Date on which interest payments are due to be made to bondholders. <b>Note:</b> The data import format is MMDDYY while the data export format is YYYYMMDD.	Value	Date	10
MSRB Int Pymt Freq	Frequency of the interest payments.	Code	Text	2
MSRB Int Rate	Rate of interest for interest bearing securities.	Value	Float	2.3f
MSRB Legal Status	Legal status of the MSRB security.	Code	Text	1

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
MSRB Maturity Date	Date on which the principal amount is due. <b>Note:</b> The data import format is MMDDYY while the data export format is YYYYMMDD.	Value	Date	10
MSRB Moody Rating	Moody's credit rating for the MSRB security.	Code	Text	2
MSRB Optl Call	Indicates the option call indicator of the MSRB security. For a list of codes, see <a href="#">Input/Output Information</a> .	Code	Text	2
MSRB Payment Status	Payment status of the MSRB security.	Code	Text	1
MSRB Put Bond Date	Put bond date fixed by put notice. <b>Note:</b> The data import format is MMDDYY while the data export format is YYYYMMDD.	Value	Date	10
MSRB Put Bond Price	Specified put bond price.	Value	Float	3.3f
MSRB Put Bond Type	Indicates the put bond type of the MSRB security. For a list of codes, see <a href="#">Input/Output Information</a> .	Code	Text	2
MSRB Result	Result indicator of the MSRB security. For a list of codes, see <a href="#">Input/Output Information</a> .	Code	Text	2
MSRB S & P Rating	Standard & Poor's credit rating for the MSRB security.	Code	Text	2
MSRB Security Addl Info1	Additional security information.	Value	Text	78
MSRB Security Addl Info2	Additional security information.	Value	Text	78

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
MSRB Security Mod1	MSRB security model 1.	Value	Text	78
MSRB Security Mod2	MSRB security model 2.	Value	Text	78
MSRB Spec Coupon	Special coupon indicator.	Code	Text	1
MSRB Tax Status	Tax status of the MSRB security.	Code	Text	1
MarkUp	Field information for broker/dealer to disclose any mark-up, mark-down, or difference between the reported price and the price to the customer involved in the transaction (10b–10 field).	Value	Float	13
Mark Up/Mark Down Percentage	Field information for the broker/dealer to disclose any percentage of the mark-up / mark-down difference between the reported price and the price to the customer involved in the transaction. Displayed as a percentage.	Value	Float	3.5f
Market Maker	Denotes whether the broker/dealer is a market maker in the security (10b–10 field).	Code	Text	1
NYSE Member	Denotes whether the broker/dealer is a NYSE member (10b–10 field).	Code	Text	1
National Registration	Institutions National Registration identification used to identify the institution when registered with local authorities.	Value	Text	35

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Net Price	Difference between the quoted price and the mark-up or mark-down (rule 10b–10).	Value	Float	5.6f
Num of pcs of collateral	Item count for number of collateral pieces.	Value	Number	3
Other Amount 1	Report other amount (1).	Value	Float	15.5f
Other Amount 2	Report other amount (2).	Value	Float	15.5f
Other Amount 3	Report other amount (3).	Value	Float	15.5f
Other Amount 4	Report other amount (4).	Value	Float	15.5f
Other Amount 5	Report other amount (5).	Value	Float	15.5f
Other Amount Indicator 1	Plus or minus (+/-) indicator for Other Amount 1.	Value	Text	5
Other Amount Indicator 2	Plus or minus (+/-) indicator for Other Amount 2.	Value	Text	5
Other Amount Indicator 3	Plus or minus (+/-) indicator for Other Amount 3.	Value	Text	5
Other Amount Indicator 4	Plus or minus (+/-) indicator for Other Amount 4.	Value	Text	5
Other Amount Indicator 5	Plus or minus (+/-) indicator for Other Amount 5.	Value	Text	5
Other Amount Type 1	Defines the Amount Type indicator for the reported Other Amount (1).	Code	Text	
Other Amount Type 2	Defines the Amount Type indicator for the reported Other Amount (2).	Code	Text	

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Other Amount Type 3	Defines the Amount Type indicator for the reported Other Amount (3).	Code	Text	
Other Amount Type 4	Defines the Amount Type indicator for the reported Other Amount (4).	Code	Text	
Other Amount Type 5	Defines the Amount Type indicator for the reported Other Amount (5).	Code	Text	
Other Charges	Sum of any charges that apply to this trade for which no other field has been designated.	Value	Float	7.2f
Parent Reference Number	Cross references a parent trade with all associated child or collateral trades.	Value	Text	16
Premium Amount	Difference in money between the open and close legs of a Repo transaction.	Value	Float	12.2f
Price Type	Price type for the Price per Share field.	Code	Text	3
Repo Value	Market value rounded using the appropriate market practice convention for that particular instrument in the Repo market. Market value of the security with haircut considered.	Value	Float	12.2f
Research Amount	The cost of the research provided by the sell-side.	Value	Float	7.2f
Reset Interval Frequency	Reset interval, such as 3 months or 6 months.	Code	Text	2

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
SIPC Member	Denotes whether the broker/dealer is a SIPC member (10b–10 field).	Code	Text	1
Sec. Lending Fee	Security lending fee.	Value	Float	12.2f
Security Description	Security description is taken from DTC’s files if the security is DTC-eligible or a corporate debt security. The security description comes from the broker/dealer if the security is not a DTC-eligible equity or corporate debt security.	Code	Text	1
Security Form	For a FIX Income security, Security Form is a Bearer Bond (2) or Not a Bearer Bond (1). If the security is not a fixed income security, the Security Form is Undetermined (0).	Value	Text	1
Special Instruct Line 10	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruct Line 11	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruct Line 12	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruct Line 13	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Special Instruct Line 14	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruct Line 15	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruct Line 16	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruct Line 17	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruct Line 18	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruction Line # 19	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruct Line 9	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instructions 1	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instructions 2	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instruction Line # 20	Free-form text for the broker/dealer to add to the confirmation.	Description	Character	32

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Special Instruction Line # 21	Free-form text for the broker/dealer to add to the confirmation.	Description	Character	32
Special Instructions 3	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instructions 4	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instructions 5	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instructions 6	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instructions 7	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Special Instructions 8	Free-form text for the broker/dealer to add to the confirmation.	Value	Text	32
Spread to Bnchmk/Ind(FIRt)	Basis points away from the benchmark or index, used to determine the rate (includes sign).	Value	Text	5
Start Date	Date the Repo started accruing interest. Equivalent to settlement date of the first leg.	Value	Date	10
Substitution Frequency	Period of time over which the specified number of substitutions can take place.	Code	Text	2

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Substitution Number	Number of times that collateral can be substituted over the life of the Repo.	Value	Text	2
Substitution Type	Type of collateral substitution. For a list of codes, see <b>Input/Output Information</b> .	Code	Text	1
Substitution Variance	Percentage by which the principal value of the replacement collateral can differ from the principal value of the original.	Value	Text	3.5f
Trade Execution Date	Date that the broker/dealer booked the trade .	Value	Date	10
Trade Execution Time	Time of day the broker/dealer booked the trade.	Value	Time	11
Trade Execution Tm Zone	Time zone for the trade execution time.	Value	Text	3
Trade Type	Identifies the type of Repo trade. For a list of codes, see <b>Input/Output Information</b> .	Code	Text	2
Tri-Party Agent	Identifies the tri-party agent.	Value	Text	40
User Disclosure Code	User-defined code used in the inSITE/Confirm Disclosure Enrichment. It is broker/dealer supplied data that also resides on the Confirm Disclosure Repository as enrichment criteria.	Value	Text	17
Wire Instructions	Free-form instructions for the tri-party or should physical security delivery be necessary.	Value	Text	32

Table C.2 Optional Fields Available for User-Defined Confirm Import Profiles

Field Name	Description	Field Type	Format	Length
Yield Call Redemption	Current yield of the security.	Value	Float	11.5f
Yield To Maturity	Expected rate of return on a security if it is held to maturity.	Value	Float	11.5f

## BTI Cancel Import Profile Fields

The next table shows the required fields for import for the Cancel Import profile. An asterisk (\*) indicates a mandatory field. The Order column corresponds with the way you must set up the columns in the Microsoft Excel spreadsheet before you can successfully import the information.

Table C.3 Cancel Import Profile Fields

Order	Field	Description	Field Type	Format	Length
A	Cancel Reason *	Cancellation reason of the confirm.	Code	Text	3
B	Executing Broker Number *	ID number of the executing broker.	Value	Text	8
C	Broker Dealer Confirmation Number *	Broker's confirmation number assigned to the trade.	Value	Text	12
D	Original Broker Confirmation Number *	Original confirmation number assigned to the trade.	Value	Text	12

In addition to the fields available in the Cancel Import profile. The next table shows the remaining field that you can select when creating a user-defined cancel import profile.

*Table C.4 Optional Fields Available for User-defined Cancel Import Profiles*

<b>Field</b>	<b>Description</b>	<b>Field Type</b>	<b>Format</b>	<b>Length</b>
DTC Control Number	Control number used to uniquely identify the trade.	Value	Text	9

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**Doc Info:** July 18, 2025

**Publication Code:** TS314

**Service:** TradeSuite ID

**Title:** Product Help for BTI

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