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**Fund/SERV<sup>®</sup>**

**DEFINED CONTRIBUTION ACTIVITY TYPE CODES**

MARCH 25, 2025

# CHANGE HISTORY

| Dates          | Description   |
|----------------|---|
| March 25, 2025 | <ul style="list-style-type: none"><li data-bbox="483 359 769 386">• Legal claimer updated.</li><li data-bbox="483 405 1122 432">• Updated back cover page to reflect current contact links.</li></ul> |

# DEFINED CONTRIBUTION ACTIVITY TYPE CODES

The following codes are used in conjunction with the Defined Contribution Trade Activity Summary Record Type 002 to specify the breakdown of contribution activity.

| Activity Type Code<br>(Defined Contribution) | Description                            |
|--|--|
| 01   | Employee Contributions - Pre-Tax       |
| 02   | Employee Contributions - Post-Tax      |
| 03   | Employee Refunds                       |
| 04   | Employer Match                         |
| 05   | Employer Discretionary Match           |
| 06   | Employer Profit Sharing                |
| 07   | New Loans                              |
| 08   | Loan Payments - Principle              |
| 09   | Loan Payments - Interest               |
| 10   | Loan Fees                              |
| 11   | Loan Defaults                          |
| 12   | Transfers In                           |
| 13   | Transfers Out                          |
| 14   | Forfeitures                            |
| 15   | Forfeitures – Participant Contribution |
| 16   | Forfeitures – Employer Contribution    |
| 17   | Forfeitures – Fees Associated with     |
| 18   | Forfeitures – Reallocation of          |
| 19   | Management/Recordkeeping Fees          |
| 20   | Lump Sum Distributions                 |
| 21   | Lump Sum Distribution - Withdrawal     |
| 22   | Lump Sum Distribution - Termination    |
| 23   | Periodic Distributions                 |
| 24   | Distribution Fees                      |

| Activity Type Code<br>(Defined Contribution) | Description          |
|--|----------------------|
| 25   | Rollovers In         |
| 26   | Rollovers Out        |
| 27   | Adjustments          |
| 28   | In Kind Distribution |

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## For More Information

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