



Global Tax Services

92-DAY EXEMPTION QUALIFIED NOTICE GENERAL INFORMATION ANNOUNCEMENT USER GUIDE

VERSION 1.4
MAY 20, 2025

TABLE OF CONTENTS

Overview	3
FAQs	4
MyDTCC (DTCC Portal)	5
Corporate Actions Web	5
How to search for 92-Day Exemption Qualified Notice Announcements?	5
Declared Publication Date Search	6
Special Searches	8
Search Results	9
CA Web 92-Day Exemption Qualified Notice Announcement	9
Event-Level Information	9
Download Qualified Notices	10
ISO 20022 Messaging	11
Event Identification	11
Relevant ISO 20022 Messages	11
DTCC Website	11

OVERVIEW

DTC's 92-Day Exemption Qualified Notice Announcements provide the posting date ("Posted Date")¹ of certain Qualified Notices issued by publicly traded partnerships ("PTPs"). These qualified notices provide brokers with an exception to withholding, for 92 days, on transfers of a PTP by non-US partners². This guide explains how to locate 92-Day Exemption Qualified Notice Announcements from PTP in Corporate Actions Web ("CA Web").

DTC will provide the 92-Day Exemption Qualified Notice Announcements with the Posted Date as provided by a PTP, and DTC participants will be able to utilize the announcement to determine the 92-Day exemption period for their 1446(f) withholding obligations.

The topics discussed in this guide include:

- **FAQs**
- **Accessing CA Web**
- **Searching for a 92-Day Exemption Qualified Notice Event announcement**
- **ISO Messaging**

¹ See Treasury regulation 1.446-4(b)(4)

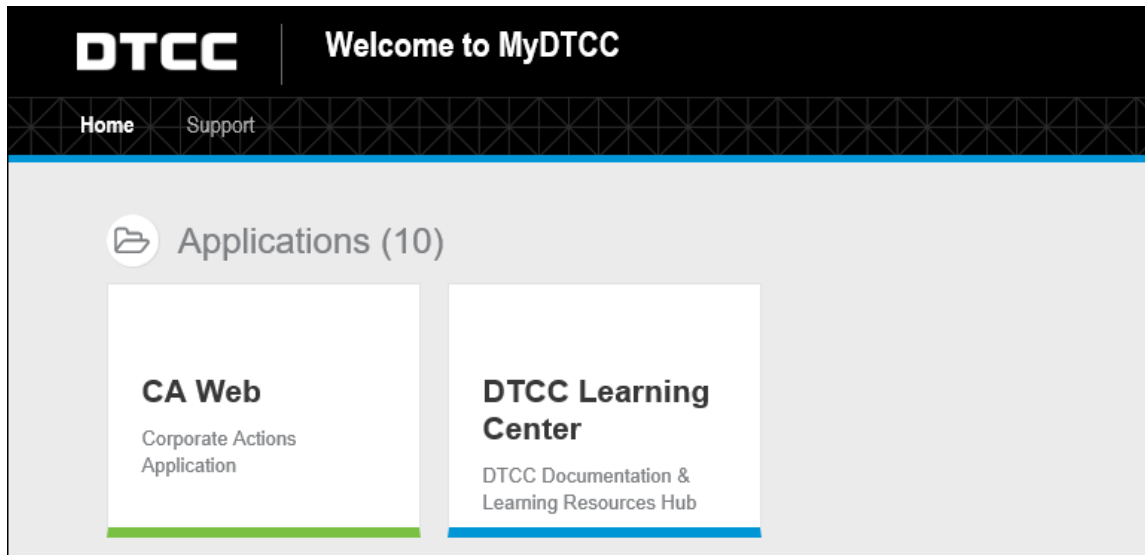
² See Treasury regulations 1.1446(f)-4(b)(3)

FAQS

1. How do I search for 92-Day Exemption Qualified Notice General Announcements?
 - a. Use the CA Web Event Level search function. Refer to page 7 for more information.
2. Can I download the Qualified Notice as provided by the PTP?
 - a. Yes, the announcement will also have a **Documentation** tab for participants to download the qualified notice as received from the PTP. Refer to page 11 for more information.
3. What information is provided in the 92-Day Exemption Qualified Notice Announcement?
 - a. The CA Web event will provide the **Posted Date**, which will be provided in the **Declared Publication Date** field.
4. What is the **Declared Publication Date**?
 - a. In CA Web, the 92-Day Exemption Qualified Notice Announcement will have this field with a calendar date. This date represents the Posted Date from the PTP's qualified notice.
5. What are the ISO 20022 specifications for 92-Day Exemption Qualified Notice Announcements?
 - a. **Event Type:** INFO
 - b. **Sub Event Type:** QN92
 - c. **TNDP Indicator:** US05
6. What are the CA Web specifications?
 - a. **Event Type:** General Information
 - b. **Sub Event Type:** 92-Day Exemption Qualified Notice

MYDTCC (DTCC PORTAL)

MyDTCC, the portal home page for DTCC, lists various systems to which participants can request access. For the purposes of this guide, CA Web is the primary resource.



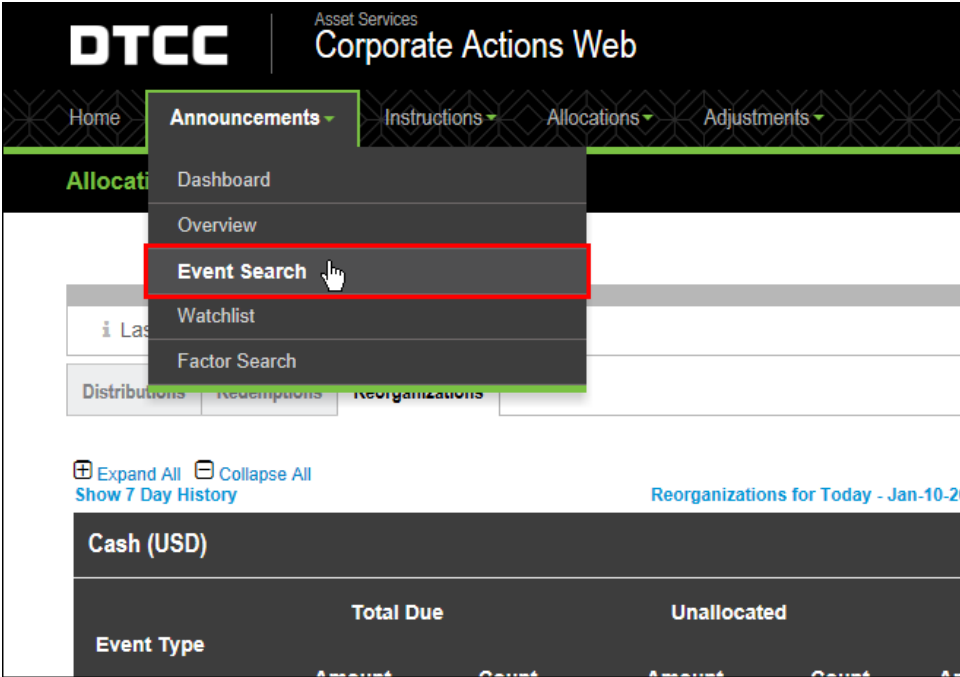
Corporate Actions Web

CA Web allows you to view and work with corporate action event information in a variety of ways including allocations and the number of announcements created daily.

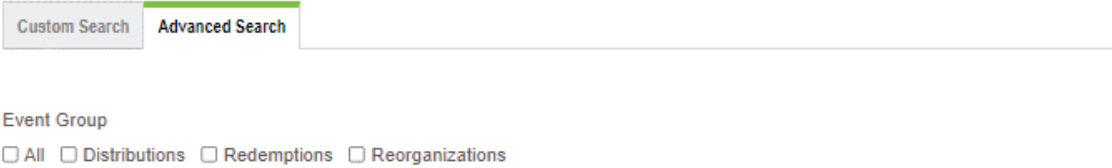
How to search for 92-Day Exemption Qualified Notice Announcements?

To demonstrate how to search for and find a 92-Day Exemption Qualified Notice Announcement, we'll begin by searching for General Information CA Web event type announcement data.

1. Please start by selecting **Announcements > Event Search** from the main menu bar.



2. Click the **Advanced Search** tab.



*This walkthrough continues in the **Declared Publication Date Search** section below.*

Declared Publication Date Search

The publication date is likely the best criteria to use when searching for a 92-Day Exemption Qualified Notice announcement. Your needs may vary.

Note

CA Web limits to 90-day searches. Please conduct another search to capture the remaining two days. This 90-day search limit can impact whether you find an Exemption Qualified Notice Announcement. We recommend that you perform multiple searches to ensure you detect any announcements that happen between 90-92 days. For example, if you were to conduct a search on 4/15/2022 for a 92-day Exemption Qualified Notice Announcement, you should conduct a search “04/15/2022 Minus 90 Days” and also a search “01/15/2022 Minus 90 days” – this will ensure you are made aware of any announcement that happened between 90 and 92 days ago.

1. Under **Event Group, check “Distributions”.**


Event Group
 All Distributions Redemptions Reorganizations

CA Identifier

CUSIP

Asset Type

Event Security Description Starts with

Country of Incorporation
 

Event/Sub Type
 General Information 92-Day Exemption Qualified Notice

Composite Record Status
 AP CA CT IN NS PA PN PC PD PS CN DE All

2. Under **Event/Sub Type, select **General Information** and, the specific event type:**

- 92-Day Exemption Qualified Notice

3. In the Date area, select **Date Criteria.**

- Declared Publication Date: Choose a date such as 01/01/XXXX or 03/31/XXXX.
- Enter “+” to view days forward or “-” to view days prior to the selected date. 90 days is the maximum time you can choose before or after your selected date.

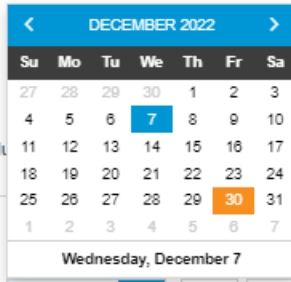
Last Validated By Approved By

DTC Mandatory / Voluntary

Mandatory Mandatory with Option Voluntary

Date Criteria (Select a date range)

Declared Publication Date 12/30/2022 Days



4. After entering these parameters, click **Search**.

Date Criteria (Select a date range)

Declared Publication Date 12/30/2022 + eq Days

System Date Criteria (Select a date range)

Limit to

Watchlist

Modified Yesterday or Today Active Processed at DTC Position for Client ID

Special Searches

The option below is used for specific scenarios such as announcement edits or latest updates. If you are looking for these type of search features, please continue below:

- In the Date area, select **System Date Criteria**.
 - Create Date and Time:** Displays announcements that were initially created within the specified timeframe.
 - Updated Date and Time:** Displays announcements that had any of the fields updated within the specified timeframe: for example, Comments.

- Enter an appropriate date and duration of interest: “+” days forward or “-“ days prior to the selected date. 90 days is the maximum time period before or after your selected date.

2. After entering these parameters, click **Search**.

Search Results

After locating the results, the screen displays all CA Web events located in the data range determined by your search criteria. The announcements search results can be filtered to show all events or only events in which you hold a position. By default, the screen displays all available columns. These can be narrowed down to what you deem relevant by clicking the **Customize Result Columns** icon.

CA ID	Event Type	Sub Event Type	Event Status	Record Date	Declared Payable Date
135592585	General Information	92-Day Exemption Qualified Notice	AP		
135595263	General Information	92-Day Exemption Qualified Notice	AP		
135599777	General Information	92-Day Exemption Qualified Notice	AP		
135599778	General Information	92-Day Exemption Qualified Notice	AP		
135599779	General Information	92-Day Exemption Qualified Notice	AP		
135599781	General Information	92-Day Exemption Qualified Notice	AP		

CA Web 92-Day Exemption Qualified Notice Announcement

The CA Web 92-Day Exemption Qualified Announcement contains information about the PTP’s posted date. This guide presents examples from one event.

Event-Level Information

Each event has a unique corporation action identifier under the CA ID column. This example references CA ID 135592585.

- **Event Type General Information:** This event type provides information for the participants that doesn’t necessarily refer to a distribution.
- **Sub Event Type:** 92-Day Exemption Qualified Notice
- **Declared Publication Date:** The “Posted Date” from the PTP’s Qualified Notice

CUSIP: 88166A102 | Ticker: CORN | Issue Description: TEUCRIUM CORN FUND |
 Issuer Description: TEUCRIUM COMMODITY TRUST | Asset Class: Equity | Asset Type: Exchange
 Traded Funds | Event Group: Distributions | Event Type: General Information - Sub Event Type: 92-Day
 Exemption Qualified Notice | Country of Incorporation: US | Queue: | Processed at DTC: No | -- Select Action --
 DTC Eligible: Yes | Declared Mandatory / Voluntary: Mandatory | DTC Mandatory / Voluntary: |
 ISO Core M/V: MAND | ISO Event Code: INFO

Workflow Status: Approved | XBRL Indicator: No System Data

Event Details | Comments | Related Events | Documentation | Entitlements | Security Position | Settlement Activity

Expand All Collapse All ●●=Critical ●=Required ?

Event Level	
<input checked="" type="checkbox"/> Cancelled Flag	No
<input checked="" type="checkbox"/> Sub Event Type	92-Day Exemption Qualified Notice
Core Dates	
<input checked="" type="checkbox"/> Declared Publication Date	Dec-01-2022

Download Qualified Notices

The PTP’s qualified notice will be available for download in the **Documents** tab.

Event Details | Comments | Related Events | **Documentation** | Entitlements | Security Position | Settlement Activity ?

Date Added	Date Updated	Source	Document Type	Document Name	Associated URL	Document Comments	View
Dec-06-2022 01:06:09 PM	Dec-06-2022 01:06:09 PM	Company Document	Company Document	Qualified Notice Template - No ECI and no Distribution (Nov 2022).pdf		Qualified Notice	External

ISO 20022 MESSAGING

ISO 20022 messaging provides the most up-to-date process for receiving real-time data. To get started with ISO 20022 messaging for your firm, contact the Corporate Actions Modernization mailbox at camodernization@dtcc.com. While testing, clients may submit inquiries and/or comments to this mailbox as well. Once your company is active in the production environment, inquiries should be directed to the **Client Center**.

Event Identification

All events are identified by a single identification number (its CA ID, or “COAF” as it is known in ISO 20022) that follows the event through its lifecycle. All subsequent messages and communications relating to this event are referenced by this number.

Relevant ISO 20022 Messages

The messages that are sent concerning Tax Event announcements include:

- **Corporate Action Notification (CANO)**. This message contains all of the information known about a corporate action: event details, event status, security description and details, relevant dates, and more.

DTCC Website

The DTCC website (dtcc.com) is the primary repository for general information and documentation concerning the latest Corporate Actions functionality. Clients can review the published documentation, download user guides, and use the website to stay up to date on new developments.

See <http://www.dtcc.com/settlement-and-asset-services/corporate-actions-processing/iso-20022-messaging-specifications> for the latest messaging specifications by category.

© 2025 All rights reserved. DTCC, DTCC (Stylized) and Financial Markets. Forward. are registered and unregistered trademarks of The Depository Trust & Clearing Corporation DTCC. The services described herein are provided under the “DTCC” brand name by certain affiliates of The Depository Trust & Clearing Corporation (“DTCC”). DTCC itself does not provide such services. Each of these affiliates is a separate legal entity, subject to the laws and regulations of the particular country or countries in which such entity operates. Please see www.dtcc.com for more information on DTCC, its affiliates and the services they offer.

Doc Date: May 20, 2025

Publication Code: GTX113

Service: Global Tax Services

Title: 92-Day Exemption Qualified Notice General Information Announcement User Guide

For More Information

DTCC Client Center: www.dtcc.com/client-center

DTCC Learning Center: www.dtccllearning.com

