

Usage Guideline

Corporate Action Notification seev.031.001.15

DTCC - US - CA - Announcements - SR 2025

This document describes a usage guideline restricting the base message **MX seev.031.001.15**. You can also consult [this information online](#).

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26 August 2025

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Message Functionality

Overview

Used to send corporate actions announcement notification data as announced by DTC (as an Asset Servicer CSD).

Collection Description

Outline

The DTCC - US - CA - Announcements - SR 2025 / Corporate Action Notification seev.031.001.15 message is composed of 25 building blocks

- a. **Pagination**
Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.
- b. **NotificationGeneralInformation**
General information about the event notification type, status and contents.
- c. **PreviousNotificationIdentification**
Identification of the previously sent notification document.
- d. **InstructionIdentification**
Identification of a related instruction document.
- e. **OtherDocumentIdentification**
Identification of other documents as well as the document number.
- f. **EventsLinkage**
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- g. **CorporateActionGeneralInformation**
General information about the corporate action event.
- h. **AccountDetails**
General information about the safekeeping account, owner and account balance.
- i. **IntermediateSecurity**
Details on rights credited to the account as for example trading period, expiry date, renounceability.
- j. **CorporateActionDetails**
Information about the corporate action event.
- k. **CorporateActionOptionDetails**
Information about the corporate action option.
- l. **AdditionalInformation**
Additional information on the event.
- m. **IssuerAgent**

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

n. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

o. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

p. Registrar

Party/agent responsible for maintaining the register of the security.

q. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

r. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

s. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

t. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

u. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

v. Issuer

Issuer of the financial instrument.

w. Offeror

Party making the offer and which is different from the issuing company.

x. TransferAgent

Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

y. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

1 Restriction summary

This Usage Guideline restricts the seev.031.001.15 message.

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2 Structure

Removed -
 Partial Removed -
 Ignored -
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 Details below -
 Mandatory - R[x..x] Repeatability[details]

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	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]		199
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		200
	WithdrawalAllowedIndicator	<WdrwlAllwdInd>		[0..1]		200
	ChangeAllowedIndicator	<ChngAllwdInd>		[0..1]		201
	AppliedOptionIndicator	<AppldOptnInd>		[0..1]		201
	FinancialInstrumentIdentification	<FinInstrmId>		[0..1]		201
	DateDetails	<DtDtls>		[0..1]		202
	PeriodDetails	<PrdDtls>		[0..1]		203
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		203
	PriceDetails	<PricDtls>		[0..1]		204
	SecuritiesQuantity	<SctiesQty>		[0..1]		205
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		205
	CashMovementDetails	<CshMvmntDtls>		[0..*]		206
	AdditionalInformation	<AddtlInf>		[0..1]		207
	AdditionalInformation	<AddtlInf>		[0..1]		35
	AdditionalText	<AddtlTxt>		[0..*]		182
	NarrativeVersion	<NrrtvVrsn>		[0..*]		183
	InformationConditions	<InfConds>		[0..*]		184
	InformationToComplyWith	<InfToCmplyWth>		[0..*]		184
	TaxationConditions	<TaxtnConds>		[0..*]		185
	Disclaimer	<Dsclmr>		[0..*]		185
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]		186
	RegistrationDetails	<RegnDtls>		[0..*]		186
	BasketOrIndexInformation	<BsktOrIndxInf>		[0..*]		187
	CertificationBreakdown	<CertfctnBrkdwn>		[0..*]		187
	URLAddress	<URLAdr>		[0..*]		187
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]		188

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerAgent	<IssrAgt>		[0..*]		35
	AnyBIC	<AnyBIC>	{Or	[1..1]		424
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		424
	NameAndAddress	<NmAndAdr>	Or	[1..1]		425
	LEI	<LEI>	Or}	[1..1]		425
	PayingAgent	<PngAgt>		[0..*]		36
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421
	SubPayingAgent	<SubPngAgt>		[0..*]		36
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421
	Registrar	<Regar>		[0..1]		37
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421
	ResellingAgent	<RsellngAgt>		[0..*]	 R[0..1]	37
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421
	PhysicalSecuritiesAgent	<PhysScitiesAgt>		[0..1]		38
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421
	DropAgent	<DrpAgt>		[0..1]		39
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421
	SolicitationAgent	<SlctnAgt>		[0..*]	 R[0..1]	39
	AnyBIC	<AnyBIC>	{Or	[1..1]		420

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	⊘	420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421
	InformationAgent	<InfAgt>		[0..1]	⚠	40
	AnyBIC	<AnyBIC>	{Or	[1..1]	⊘	420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	⊘	420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]	⚠	421
	Issuer	<Issr>		[0..1]		40
	AnyBIC	<AnyBIC>	{Or	[1..1]		424
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		424
	NameAndAddress	<NmAndAdr>	Or	[1..1]		425
	LEI	<LEI>	Or}	[1..1]	⊘	425
	Offeror	<Offerr>		[0..*]		41
	AnyBIC	<AnyBIC>	{Or	[1..1]		424
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		424
	NameAndAddress	<NmAndAdr>	Or	[1..1]		425
	LEI	<LEI>	Or}	[1..1]	⊘	425
	TransferAgent	<TrfAgt>		[0..1]		41
	AnyBIC	<AnyBIC>	{Or	[1..1]		424
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		424
	NameAndAddress	<NmAndAdr>	Or	[1..1]		425
	LEI	<LEI>	Or}	[1..1]	⊘	425
	SupplementaryData	<SplmtryData>		[0..*]	⚠	41
	PlaceAndName	<PlcAndNm>		[0..1]	⊘	560
	Envelope	<Envlp>		[1..1]		560

3 ISO 20022 Rules

R1 InterestPaymentRule

CorporateActionDetails/RateAndAmountDetails/InterestRate and CorporateActionOptionsDetails/RateAndAmountDetails/GrossInterestRateUsedforPayment must not be mixed. InterestRate is used to provide the annual rate of the financial instrument. InterestRateUsedforPayment is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

Error handling: Undefined

R2 SafekeepingAccount1Rule ✓

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00158
- *Error Text:* Invalid message content for CorporateActionOptionDetails

R3 SafekeepingAccount2Rule ✓

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then AmountDetails must not be present in any occurrences of CorporateActionOptionDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00159
- *Error Text:* Invalid message content for CorporateActionOptionDetails

R4 OtherEventRule ✓

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other) then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00161
- *Error Text:* Invalid message content for AdditionalInformation. AdditionalInformation/additionalText must be present.

R5 IntermediateSecurity1Rule ✓

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then IntermediateSecurity must be absent.

(MT 564 NVR C6).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00162
- *Error Text:* Invalid message contents for IntermediateSecurity. Must be absent.

R6 IntermediateSecurity2Rule ✓

If CorporateActionGeneralInformation/EventType/Code is RHTS (Rights Issue), then IntermediateSecurity must be present.

(MT 564 NVR C6).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00163
- *Error Text:* Invalid message contents for IntermediateSecurity. Must be present.

R7 NotificationIdentification1Rule ✓

If NotificationGeneralInformation/NotificationType is REPL (Replacement) and Pagination/PageNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00665
- *Error Text:* PreviousNotificationIdentification is absent.

R8 IntermediateSecuritiesDistribution1Rule ✓

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00166
- *Error Text:* Invalid message contents for IntermediateSecuritiesDistributionType in CorporateActionDetails. Element must be present.

R9 NameChangeRule

If CorporateActionDetails/AdditionalInformation/NewCompanyName/AdditionalInformation is present, then CorporateActionGeneralInformation/EventType/Code value CHAN (Change) must be present and CorporateActionDetails/ChangeType/Code value NAME (Name) must be present in at least one occurrence of CorporateActionDetails/ChangeType.

(MT 564 NVR C10).

Error handling: Undefined

R10 RateAndCorporateActionEventRule

If CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

Error handling: Undefined

R11 TotalEligibleBalanceRule

If NotificationGeneralInformation/EligibleBalanceIndicator is 'true' or '1' (Yes), then at least one occurrence of AccountDetails/AccountsListAndBalanceDetails must be present and TotalEligibleBalance must be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance.

If NotificationGeneralInformation/EligibleBalanceIndicator is 'false' or '0' (No) or is not present, then TotalEligibleBalance may or may not be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance but it will not necessarily be the final eligible balance quantity.

Error handling: Undefined

R12 NewMaturityDateRule

If CorporateActionDetails/DateDetails/NewMaturityDate is present, then CorporateActionGeneralInformation/EventType/Code must be EXTM (Maturity Extension).

Error handling: Undefined

R13 InformationEventRule ✓

If CorporateActionGeneralInformation/EventType/Code is INFO (Information), then IntermediateSecurity must be absent and no occurrences of CorporateActionOptionDetails may be present.

(MT 564 NVR C6).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00301

- *Error Text:* Invalid message contents for IntermediateSecurity or CorporateActionOptionDetails. Must both be absent.

R14 DeclaredRateRule ✓

If CorporateActionOptionDetails/RateAndAmountDetails/IssuerDeclaredExchangeRate is present, then CorporateActionDetails/RateAndAmountDetails/DeclaredRate must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00302
- *Error Text:* Invalid message contents for DeclaredRate. It must be present.

R15 AppliedOptionRule

If CorporateActionOptionDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionOptionDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R16 FirstBidIncrementPrice1Rule ✓

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00524
- *Error Text:* Invalid message contents for FirstBidIncrementPrice in one or more occurrences of CorporateActionOptionDetails/PriceDetails. Element is not allowed.

R17 FirstBidIncrementPrice2Rule ✓

If FirstBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00525

- *Error Text:* Invalid message contents for FirstBidIncrementPrice in CorporateActionDetails/PriceDetails. Element is not allowed.

R18 LastBidIncrementPrice1Rule ✓

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00526
- *Error Text:* Invalid message contents for LastBidIncrementPrice in one or more occurrences of CorporateActionOptionDetails/PriceDetails. Element is not allowed.

R19 LastBidIncrementPrice2Rule ✓

If LastBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00527
- *Error Text:* Invalid message contents for LastBidIncrementPrice in CorporateActionDetails/PriceDetails. Element is not allowed.

R20 FirstBidIncrementPriceEventType1Rule ✓

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00528
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since FirstBidIncrementPrice is present.

R21 LastBidIncrementPriceEventType1Rule ✓

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00529
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since LastBidIncrementPrice is present.

R22 FirstBidIncrementPriceEventType2Rule ✓

If FirstBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00530
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since FirstBidIncrementPrice is present.

R23 LastBidIncrementPriceEventType2Rule ✓

If LastBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00531
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since LastBidIncrementPrice is present.

R24 MinimumPrice1Rule ✓

If MinimumPrice is present in CorporateActionDetails/PriceDetails, then MinimumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00532
- *Error Text:* Invalid message contents for MinimumPrice in one or more occurrences of CorporateActionOptionDetails/PriceDetails. Element is not allowed.

R25 MinimumPrice2Rule ✓

If MinimumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MinimumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00533
- *Error Text:* Invalid message contents for MinimumPrice in CorporateActionDetails/PriceDetails. Element is not allowed.

R26 MaximumPrice1Rule ✓

If MaximumPrice is present in CorporateActionDetails/PriceDetails, then MaximumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00534
- *Error Text:* Invalid message contents for MaximumPrice in one or more occurrences of CorporateActionOptionDetails/PriceDetails. Element is not allowed.

R27 MaximumPrice2Rule ✓

If MaximumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MaximumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00535
- *Error Text:* Invalid message contents for MinimumPrice in CorporateActionDetails/PriceDetails. Element is not allowed.

R28 BidInterval1Rule ✓

If BidInterval is present in CorporateActionDetails/RateAndAmountDetails, then BidInterval is not allowed in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00536
- *Error Text:* Invalid message contents for BidInterval in one or more occurrences of CorporateActionOptionDetails/RateAndAmountDetails. Element is not allowed.

R29 BidInterval2Rule ✓

If BidInterval is present in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails, then BidInterval is not allowed in CorporateActionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00537
- *Error Text:* Invalid message contents for BidInterval in CorporateActionDetails/RateAndAmountDetails. Element is not allowed.

R30 BeneficialOwnerBreakdownRequestUGRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/Event-Type/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

Error handling: Undefined

R31 DissenterRightsUG3Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable) or DISS (Dissenter-Rights) in any occurrence of CorporateActionOptionDetails, then in CorporateActionDetails, OfferType/Code values NDIS (DissenterRightsNotApplicable) and DISS (DissenterRights) are not allowed.

(MT 564 NVR C28)

Error handling: Undefined

R32 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

Error handling: Undefined

R33 BeneficialOwnerBreakdownRequestRule ✓

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/Event-Type/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00487
- *Error Text:* Invalid message contents for CorporateActionGeneralInformation/Event-Type/Code. It must be WTRC.

R34 DissenterRights3Rule ✓

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable) or DISS (Dissenter-Rights) in any occurrence of CorporateActionOptionDetails, then in CorporateActionDetails, Of-

ferType/Code values NDIS (DissenterRightsNotApplicable) and DISS (DissenterRights) are not allowed.

(MT 564 NVR C28)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00543
- *Error Text:* Invalid message contents for OfferType. NDIS or DISS code values cannot be present in both CorporateActionDetails and Option sequences.

R35 IncentivePremiumEventType1Rule ✓

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00538
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since an Incentive Premium Payment is processed.

R36 PaymentDateRule ✓

If at least one occurrence of CorporateActionOptionDetails/SecuritiesMovementDetails or at least one occurrence of CorporateActionOptionDetails/CashMovementDetails is present, then CorporateActionDetails/DateDetails/PaymentDate must be absent. (MT 564 NVR C16).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00168
- *Error Text:* Invalid message contents for PaymentDate. It should be absent.

R37 NotificationIdentification2Rule ✓

If NotificationGeneralInformation/NotificationType is RMDR (Reminder) and Pagination/PageNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00663
- *Error Text:* PreviousNotificationIdentification is absent.

R38 PaginationRule ✓

If pagination is present, then NotificationGeneralInformation/NotificationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00650
- *Error Text:* NotificationIdentification is absent.

4 Guidelines

1. IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

5 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - CA - Announcements - SR 2025 / Corporate Action Notification seev.031.001.15 message definition.

Usage Guideline on Root Node

- on seev.031.001.15/
 - **Market Practice Usage Rule:**

Used to send corporate actions announcement notification data as announced by DTC (as an Asset Servicer CSD).
- on seev.031.001.15/

Synonym (DTCC): Announcement with CSD version mapping

5.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

The **Pgntn** block contains the following elements (see datatype "[Pagination1](#)" on [page 419](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		419
	LastPageIndicator	<LastPgInd>		[1..1]		419

5.2 NotificationGeneralInformation

XML Tag: NtfctnGnllnf

Presence: [1..1]

Definition: General information about the event notification type, status and contents.

The **NtfctnGnllnf** block contains the following elements (see datatype "[CorporateActionNotification9__1](#)" on [page 188](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationIdentification	<NtfctnId>		[0..1]		189

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationType	<NtfctnTp>		[1..1]	⚠	189
	ProcessingStatus	<PrcgSts>		[1..1]	⚠	189

5.3 PreviousNotificationIdentification

XML Tag: PrvsNtfctnId

Presence: [0..1]

Definition: Identification of the previously sent notification document.

The **PrvsNtfctnId** block contains the following elements (see datatype "[DocumentIdentification31__1](#)" on page 288 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	289
	LinkageType	<LkgTp>		[0..1]	ⓧ	289

5.4 InstructionIdentification

XML Tag: InstrId

Presence: [0..1]

Definition: Identification of a related instruction document.

The **InstrId** block contains the following elements (see datatype "[DocumentIdentification9__1](#)" on page 292 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	293

Usage Guideline details

- This element(seev.031.001.15/InstructionIdentification) is removed.

5.5 OtherDocumentIdentification

XML Tag: OthrDocId

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

The **OthrDocId** block contains the following elements (see datatype "[DocumentIdentification32__1](#)" on page 289 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		290
	DocumentNumber	<DocNb>		[0..1]	🚫	290
	LinkageType	<LkgTp>		[0..1]	🚫	291

5.6 EventsLinkage

XML Tag: EvtSLkg

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

The **EvtSLkg** block contains the following elements (see datatype "[CorporateActionEventReference3__1](#)" on page 165 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		165
	LinkageType	<LkgTp>		[0..1]	⚠	165

5.7 CorporateActionGeneralInformation

XML Tag: CorpActnGnlInf

Presence: [1..1]

Definition: General information about the corporate action event.

The **CorpActnGnlInf** block contains the following elements (see datatype "[CorporateActionGeneralInformation176__1](#)" on page 169 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]	⚠	169
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]	⚠	170
	ClassActionNumber	<ClsActnNb>		[0..1]	⚠	170

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventProcessingType	<EvtPrcgTp>		[0..1]	⚠	170
	EventType	<EvtTp>		[1..1]	⚠	171
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>		[1..1]	⚠	172
	UnderlyingSecurity	<UndrlygScty>		[1..1]	⚠	173

5.8 AccountDetails

XML Tag: AcctDtls

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

The **AcctDtls** block contains the following elements (see datatype "[AccountIdentification71Choice__1](#)" on [page 47](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForAllAccounts	<ForAllAccts>	{Or	[1..1]	⚠	47
	AccountsListAndBalanceDetails	<AcctsListAndBalDtls>	Or}	[1..*]	🚫	47

5.9 IntermediateSecurity

XML Tag: IntrmdtScty

Presence: [0..1]

Definition: Details on rights credited to the account as for example trading period, expiry date, renounceability.

The **IntrmdtScty** block contains the following elements (see datatype "[FinancialInstrumentAttributes110__1](#)" on [page 297](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityIdentification	<SctyId>		[1..1]		297
	Quantity	<Qty>		[0..1]	⚠	298
	RenounceableEntitlementStatusType	<RnnclEntitlmntStsTp>		[0..1]		298
	FractionDisposition	<FrctnDspstn>		[0..1]		298
	IntermediateSecuritiesToUnderlyingRatio	<IntrmdtSctiesToUndrlygRatio>		[0..1]		299

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketPrice	<MktPric>		[0..1]		299
	ExpiryDate	<XpryDt>		[1..1]		299
	PostingDate	<PstngDt>		[1..1]		300
	TradingPeriod	<TradgPrd>		[0..1]		300
	UninstructedBalance	<UinstdBal>		[0..1]		300
	InstructedBalance	<InstdBal>		[0..1]		301

Usage Guideline details

- This element(seev.031.001.15/IntermediateSecurity) is removed.

5.10 CorporateActionDetails

XML Tag: CorpActnDtIs

Presence: [0..1]

Definition: Information about the corporate action event.

The **CorpActnDtIs** block contains the following elements (see datatype "[CorporateAction84__1](#)" on [page 84](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtIs>		[0..1]		86
	PeriodDetails	<PrdDtIs>		[0..1]		88
	RateAndAmountDetails	<RateAndAmtDtIs>		[0..1]		89
	PriceDetails	<PricDtIs>		[0..1]		89
	SecuritiesQuantity	<SctiesQty>		[0..1]		90
	InterestAccruedNumberOfDays	<IntrstAcrdNbOfDays>		[0..1]		90
	CouponNumber	<CpnNb>		[0..*]		91
	AcceptancePriorityLevel	<AccptncPrtyLvl>		[0..1]		91
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		91
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]		92
	RestrictionIndicator	<RstrctnInd>		[0..1]		92
	AccruedInterestIndicator	<AcrdIntrstInd>		[0..1]		92
	ForfeitureOfInterestIndicator	<FrfrOfIntrstInd>		[0..1]		93

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LetterOfGuaranteedDeliveryIndicator	<LtrOfGrntedDlvryInd>		[0..1]		93
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		93
	DividendType	<DvddTp>		[0..1]		93
	EventSequenceType	<EvtSeqTp>		[0..1]		94
	OccurrenceType	<OcrncTp>		[0..1]		94
	OfferType	<OfferTp>		[0..*]		95
	RenounceableEntitlementStatusType	<RnnclEntitlmntStsTp>		[0..1]		95
	EventStage	<EvtStag>		[0..*]		96
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		96
	ChangeType	<ChngTp>		[0..*]		97
	IntermediateSecuritiesDistributionType	<IntrmdtScitiesDstrbtnTp>		[0..1]		97
	CapitalGainInOutIndicator	<CptlGnInOutInd>		[0..1]		97
	TaxableIncomePerShareCalculated	<TaxblIncmPerShrClctd>		[0..1]		98
	ElectionType	<ElctnTp>		[0..1]		98
	LotteryType	<LtryTp>		[0..1]		99
	CertificationType	<CertfctnTp>		[0..1]		99
	ConsentType	<CnsntTp>		[0..1]		100
	InformationType	<InfTp>		[0..1]		100
	TaxOnNonDistributedProceedsIndicator	<TaxOnNonDstrbtdPrcdsInd>		[0..*]		101
	DutchAuctionType	<DtchAuctnTp>		[0..1]		101
	NewPlaceOfIncorporation	<NewPlcOfIncorprtn>		[0..1]	 	101
	AdditionalInformation	<AddtlInf>		[0..1]		102

5.11 CorporateActionOptionDetails

XML Tag: CorpActnOptnDtls

Presence: [0..*]

Definition: Information about the corporate action option.

The **CorpActnOptnDtls** block contains the following elements (see datatype "[CorporateActionOption236_1](#)" on page 190 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		193
	OptionType	<OptnTp>		[1..1]		194
	FractionDisposition	<FrctnDspstn>		[0..1]		194
	OfferType	<OfferTp>		[0..*]		195
	OptionFeatures	<OptnFeatrs>		[0..*]		195
	OptionAvailabilityStatus	<OptnAvlbtYSts>		[0..1]		195
	AcceptancePriorityLevel	<AccptncPrtyLvl>		[0..1]		196
	CertificationBreakdownType	<CertfctnBrkdwnTp>		[0..*]		196
	BidRangeType	<BidRgTp>		[0..1]		197
	ProrationBelowMinimumQuantity	<PrratnBlwMinQty>		[0..1]		197
	NonDomicileCountry	<NonDmclCtry>		[0..*]		198
	ValidDomicileCountry	<VldDmclCtry>		[0..*]		198
	CurrencyOption	<CcyOptn>		[0..1]		198
	DefaultProcessingOrStandingInstruction	<DfltPrcgOrStgInstr>		[1..1]		199
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]		199
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		200
	WithdrawalAllowedIndicator	<WdrwlAllwdInd>		[0..1]		200
	ChangeAllowedIndicator	<ChngAllwdInd>		[0..1]		201
	AppliedOptionIndicator	<AppldOptnInd>		[0..1]		201
	FinancialInstrumentIdentification	<FinInstrmId>		[0..1]		201
	DateDetails	<DtDtls>		[0..1]		202
	PeriodDetails	<PrdDtls>		[0..1]		203
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		203
	PriceDetails	<PricDtls>		[0..1]		204
	SecuritiesQuantity	<SctiesQty>		[0..1]		205
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		205
	CashMovementDetails	<CshMvmntDtls>		[0..*]		206
	AdditionalInformation	<AddtlInf>		[0..1]		207

5.12 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional information on the event.

The **AddtlInf** block contains the following elements (see datatype "[CorporateActionNarrative60__1](#)" on page 181 for details)

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]	⚠	182
	NarrativeVersion	<NrrtvVrsn>		[0..*]	⚠	183
	InformationConditions	<InfConds>		[0..*]	⚠	184
	InformationToComplyWith	<InfToCmplyWth>		[0..*]	⚠	184
	TaxationConditions	<TaxtnConds>		[0..*]	ⓧ	185
	Disclaimer	<Dsclmr>		[0..*]	ⓧ	185
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]		186
	RegistrationDetails	<RegnDtls>		[0..*]	ⓧ	186
	BasketOrIndexInformation	<BsktOrIndxInf>		[0..*]	ⓧ	187
	CertificationBreakdown	<CertfctnBrkdw>		[0..*]	ⓧ	187
	URLAddress	<URLAdr>		[0..*]		187
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]	ⓧ	188

5.13 IssuerAgent

XML Tag: IssrAgt

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

The **IssrAgt** block contains the following elements (see datatype "[PartyIdentification129Choice__1](#)" on page 423 for details)

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	ⓧ	424
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	ⓧ	424

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>	Or	[1..1]		425
	LEI	<LEI>	Or}	[1..1]		425

Usage Guideline details

- on seev.031.001.15/IssuerAgent
 - **Applicability:**
true
 - **REDEMA Trace:**
Agent Type ID ("R")
- on seev.031.001.15/IssuerAgent
Synonym (DTCC): Event Agent

5.14 PayingAgent

XML Tag: PngAgt

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

The **PngAgt** block contains the following elements (see datatype "PartyIdentification120Choice__1" on page 420 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421

Usage Guideline details

- This element(seev.031.001.15/PayingAgent) is removed.

5.15 SubPayingAgent

XML Tag: SubPngAgt

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

The **SubPngAgt** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 420 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421

Usage Guideline details

- This element(seev.031.001.15/SubPayingAgent) is removed.

5.16 Registrar

XML Tag: Regar

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of the security.

The **Regar** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 420 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	🚫	420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	🚫	420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]	⚠	421

Usage Guideline details

- on seev.031.001.15/Registrar
Synonym (DTCC): Transfer Agent

5.17 ResellingAgent

XML Tag: RsellingAgt

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

The **RsellingAgt** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 420 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	🚫	420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	🚫	420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]	⚠	421

Usage Guideline details

- on **seev.031.001.15/ResellingAgent**
This element has change repeatability, the maximum occurrence has been changed to : 1
- on **seev.031.001.15/ResellingAgent**
Synonym (DTCC): Remarketing Agent

5.18 PhysicalSecuritiesAgent

XML Tag: PhysSctiesAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

The **PhysSctiesAgt** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 420 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	🚫	420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	🚫	420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]	⚠	421

Usage Guideline details

- on **seev.031.001.15/PhysicalSecuritiesAgent**
 - **REDEMA Trace:**
Agent Type ID ("B")
- on **seev.031.001.15/PhysicalSecuritiesAgent**
Synonym (DTCC): Event Agent (Bearer)

5.19 DropAgent

XML Tag: DrpAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

The **DrpAgt** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 420 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421

Usage Guideline details

- on [seev.031.001.15/DropAgent](#)
 Synonym (DTCC): Drop Agent

5.20 SolicitationAgent

XML Tag: SlctnAgt

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

The **SlctnAgt** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 420 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421

Usage Guideline details

- on [seev.031.001.15/SolicitationAgent](#)
 This element has change repeatability, the maximum occurrence has been changed to : 1

- on [seev.031.001.15/SolicitationAgent](#)
Synonym (DTCC): Solicitation Agent

5.21 InformationAgent

XML Tag: InfAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

The **InfAgt** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 420 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421

Usage Guideline details

- on [seev.031.001.15/InformationAgent](#)
Synonym (DTCC): Information Agent

5.22 Issuer

XML Tag: Issr

Presence: [0..1]

Definition: Issuer of the financial instrument.

The **Issr** block contains the following elements (see datatype "[PartyIdentification129Choice__1](#)" on page 423 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		424
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		424
	NameAndAddress	<NmAndAdr>	Or	[1..1]		425
	LEI	<LEI>	Or}	[1..1]		425

5.23 Offeror

XML Tag: Offerr

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

The **Offerr** block contains the following elements (see datatype "[PartyIdentification129Choice__1](#)" on page 423 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		424
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		424
	NameAndAddress	<NmAndAdr>	Or	[1..1]		425
	LEI	<LEI>	Or}	[1..1]		425

5.24 TransferAgent

XML Tag: TrfAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

The **TrfAgt** block contains the following elements (see datatype "[PartyIdentification129Choice__1](#)" on page 423 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		424
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		424
	NameAndAddress	<NmAndAdr>	Or	[1..1]		425
	LEI	<LEI>	Or}	[1..1]		425

5.25 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on page 560 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		560
	Envelope	<Envlp>		[1..1]		560

Usage Guideline details

- on seev.031.001.15/SupplementaryData
 - **Market Practice Implementation Rule:**
Used for extensions.

6 Message Components

Note The following chapter identifies the message components.

6.1 AccountAndBalance60__1

Definition: Provides account and balance information.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]	⚠	44
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	⚠	44
	AccountOwner	<AcctOwnr>		[0..1]		44
	SafekeepingPlace	<SfkpgPlc>		[0..1]		45
	Balance	<Bal>		[0..1]		45

Rules

R53 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

Error handling: Undefined

R54 SafekeepingAccountOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R55 SafekeepingAccountOrBlockChainAddress3Rule ✓

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R56 SafekeepingAccountOrBlockchainAddress1Rule ✓

Either SafekeepingAccount or BlockchainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Used in element(s)

"AccountsListAndBalanceDetails" on page 47

6.1.1 SafekeepingAccount

XML Tag: SfkpgAcct

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 781

Usage Guideline details

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/SafekeepingAccount
Type changed to: RestrictedFINXMax35Text on page 781

6.1.2 BlockchainAddressOrWallet

XML Tag: BkchainAdrOrWllet

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 778

Usage Guideline details

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/BlockchainAddressOrWallet
Type changed to: RestrictedFINXMax140Text on page 778

6.1.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "[PartyIdentification127Choice__1](#)" on page 423 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		423
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		423

6.1.4 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "[SafekeepingPlaceFor-mat42Choice__1](#)" on page 527 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		527
	Country	<Ctry>	Or	[1..1]		527
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		527
	TypeAndIdentification	<TpAndId>	Or	[1..1]		528
	Proprietary	<Prtry>	Or}	[1..1]		528

6.1.5 Balance

XML Tag: Bal

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[CorporateActionBalanceDetails43__1](#)" on page 121 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		122
	BlockedBalance	<BlckdBal>		[0..1]		122
	BorrowedBalance	<BrrwdBal>		[0..1]		122

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CollateralInBalance	<CollInBal>		[0..1]		123
	CollateralOutBalance	<CollOutBal>		[0..1]		123
	OnLoanBalance	<OnLnBal>		[0..1]		124
	PendingDeliveryBalance	<PdgDlvryBal>		[0..*]		124
	PendingReceiptBalance	<PdgRctBal>		[0..*]		124
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]		125
	SettlementPositionBalance	<SttlmPosBal>		[0..*]		125
	StreetPositionBalance	<StrtPosBal>		[0..1]		126
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]		126
	InTransshipmentBalance	<InTrnsShipmntBal>		[0..1]		127
	RegisteredBalance	<RegdBal>		[0..1]		127
	ObligatedBalance	<OblgtdBal>		[0..1]		127
	UninstructedBalance	<UinstdBal>		[0..1]		128
	InstructedBalance	<InstdBal>		[0..1]		128
	AffectedBalance	<AfctdBal>		[0..1]		128
	UnaffectedBalance	<UafctdBal>		[0..1]		129

6.2 AccountIdentification10

Definition: Provides account identification information.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationCode	<IdCd>		[1..1]		46

Used in element(s)

"ForAllAccounts" on page 47

6.2.1 IdentificationCode

XML Tag: IdCd

Presence: [1..1]

Definition: Standard code to specify that announcement applies to all safekeeping accounts that own underlying financial instrument.

Datatype: "SafekeepingAccountIdentification1Code" on page 782

6.3 AccountIdentification71Choice__1

Definition: Choice between all accounts (GENR - General in ISO 15022) or one or more selected accounts.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForAllAccounts	<ForAllAccts>	{Or	[1..1]	⚠	47
	AccountsListAndBalanceDetails	<AcctsListAndBalDtls>	Or}	[1..*]	🚫	47

Used in element(s)

"AccountDetails" on page 31

6.3.1 ForAllAccounts

XML Tag: ForAllAccts

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

The **ForAllAccts** block contains the following elements (see datatype "AccountIdentification10" on page 46 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationCode	<IdCd>		[1..1]		46

Usage Guideline details

- on seev.031.001.15/AccountDetails/ForAllAccounts
 - Market Practice Usage Rule:

Used as default.

6.3.2 AccountsListAndBalanceDetails

XML Tag: AcctsListAndBalDtls

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

The **AcctsListAndBalDtls** block contains the following elements (see datatype "AccountAndBalance60__1" on page 43 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]		44
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		44
	AccountOwner	<AcctOwnr>		[0..1]		44
	SafekeepingPlace	<SfkpgPlc>		[0..1]		45
	Balance	<Bal>		[0..1]		45

Usage Guideline details

- This element([seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails](#)) is removed.

6.4 AdditionalBusinessProcessFormat17Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		48
	Proprietary	<Prtry>	Or}	[1..1]		48

Used in element(s)

["AdditionalBusinessProcessIndicator" on page 96](#)

6.4.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: ["AdditionalBusinessProcess9Code" on page 573](#)

6.4.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary](#)) is removed.

6.5 AmountAndQuantityRatio4__1

Definition: Ratio expressed as amount per quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		49
	Quantity	<Qty>		[1..1]		50

Used in element(s)

"[AmountToQuantity](#)" on [page 523](#), "[AmountToQuantity](#)" on [page 559](#), "[QuantityToAmount](#)" on [page 523](#)

6.5.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on [page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on [page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Amount](#)

– **Market Practice Implementation Rule:**

New amount. Reported in face value.

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Amount](#)

– **Market Practice Implementation Rule:**

Old amount

6.5.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: ["RestrictedFINDecimalNumber" on page 777](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Quantity](#)

– **Market Practice Implementation Rule:**

Old quantity.

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Quantity](#)

– **Market Practice Implementation Rule:**

New Quantity reported in face value.

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Quantity](#)

– **Market Practice Implementation Rule:**

Establishes use of quantity for debt and non debt underlying securities.

If

Underlying Security or "Event Security" is a debt security

Then

Use the par value. 1000 unless it is a baby bond.

Else

Use value of 1.

6.6 AmountAndRateStatus1__1

Definition: Specifies an amount and a rate status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	51
	RateStatus	<RateSts>		[1..1]		52

Used in element(s)

["AmountAndRateStatus" on page 390](#), ["AmountAndRateStatus" on page 407](#), ["AmountAndRateStatus" on page 392](#), ["AmountAndRateStatus" on page 409](#)

6.6.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775

6.6.2 RateStatus

XML Tag: RateSts

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: ["RateStatus1Code"](#) on page 764

6.7 AmountPrice2__1

Definition: Price expressed as an actual amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		52
	PriceValue	<PricVal>		[1..1]		53

Used in element(s)

["AmountPrice"](#) on page 441, ["MarketPrice"](#) on page 299

6.7.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType2Code"](#) on page 587

6.7.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/IntermediateSecurity/MarketPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

6.8 AmountPrice3__1

Definition: Price expressed as an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		53
	PriceValue	<PricVal>		[1..1]		54

Used in element(s)

["AmountPrice" on page 443](#),
 ["AmountPrice" on page 452](#),
 ["AmountPrice" on page 449](#),
 ["Amount-Price" on page 446](#)

6.8.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code" on page 581](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/AmountPriceType](#)
Single value: ACTU
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType](#)

Single value: ACTU

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/AmountPriceType](#)

Single value: ACTU

6.8.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionDetails/PriceDetails/LastBidIncrementPrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/FirstBidIncrementPrice/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/LastBidIncrement-Price/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/Amount-Price/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/Amount-Price/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/OverSubscriptionDeposit-Price/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDe-tails/CashInLieuOfSharePrice/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDe-tails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDe-tails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDe-tails/IndicativeOrMarketPrice/IndicativePrice/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDe-tails/IndicativeOrMarketPrice/MarketPrice/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDe-tails/IssuePrice/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

6.9 AmountPrice6__1

Definition: Price expressed as an actual amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		56
	PriceValue	<PricVal>		[1..1]		56

Used in element(s)

["AmountPrice" on page 442](#)

6.9.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType3Code" on page 587](#)

6.9.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumCashToInstruct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumCashToInstruct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumMultipleCashToInstruct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

6.10 AmountPricePerAmount2__1

Definition: Specifies a ratio: Amount price per amount.

Example:

ISIN US629377AS17. Repurchase USD1087.17 cash for every USD1000 stock (NRG Energy Inc 8% Senior Notes 15/12/13).

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		57
	PriceValue	<PricVal>		[1..1]		57
	Amount	<Amt>		[1..1]		57

Used in element(s)

"AmountPricePerAmount" on page 447

6.10.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 581

6.10.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

6.10.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: The amount on which the price is based.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775

6.11 AmountPricePerFinancialInstrumentQuantity10__1

Definition: Specifies a ratio: amount price per financial instrument quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		58
	PriceValue	<PricVal>		[1..1]		58
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		59

Used in element(s)

["AmountPricePerFinancialInstrumentQuantity"](#) on page 446

6.11.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code"](#) on page 581

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType](#)

Single value: ACTU

6.11.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 775

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue](#)
Synonym (DTCC): Declared Cash Rate
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue](#)
Synonym (DTCC): Declared Gross Rate
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue](#)
Synonym (DTCC): DTC Cash Rate

6.11.3 FinancialInstrumentQuantity

XML Tag: `FinInstrmQty`

Presence: [1..1]

Definition: Quantity of financial instrument.

The **FinInstrmQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice__1](#)" on page 323 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<code><Unit></code>	{Or	[1..1]		324
	FaceAmount	<code><FaceAmt></code>	Or	[1..1]		330
	AmortisedValue	<code><AmtsdVal></code>	Or	[1..1]	 	337
	DigitalTokenUnit	<code><DgtlTknUnit></code>	Or}	[1..1]		343

6.12 AmountToAmountRatio2__1

Definition: Ratio expressed as a quotient of amounts.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		60
	Amount2	<Amt2>		[1..1]		60

Used in element(s)

["AmountToAmount" on page 520](#), ["AmountToAmount" on page 522](#)

6.12.1 Amount1

XML Tag: Amt1

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount1](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount1](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount1](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount1](#)
 - Market Practice Implementation Rule:
Additional amount.
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount1](#)
 - Market Practice Implementation Rule:
Used for new amount. Reported in face value.

6.12.2 Amount2

XML Tag: Amt2

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount2](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount2](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount2](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount2](#)
 - Market Practice Implementation Rule:
Existing amount.
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount2](#)
 - Market Practice Implementation Rule:
Used for old amount. Reported in face value.

6.13 BalanceFormat11Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

Used in element(s)

["AffectedBalance"](#) on page 128, ["BlockedBalance"](#) on page 122, ["BorrowedBalance"](#) on page 122, ["CollateralInBalance"](#) on page 123, ["CollateralOutBalance"](#) on page 123, ["InTransshipmentBalance"](#) on page 127, ["InstructedBalance"](#) on page 128, ["InstructedBalance"](#) on page 301, ["ObligatedBalance"](#) on page 127, ["OnLoanBalance"](#) on page 124, ["OutForRegistrationBalance"](#) on page 125, ["RegisteredBalance"](#) on page 127, ["StreetPositionBalance"](#) on page 126, ["TradeDatePositionBalance"](#) on page 126, ["UnaffectedBalance"](#) on page 129, ["UninstructedBalance"](#) on page 128, ["UninstructedBalance"](#) on page 300

6.13.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat11__1](#)" on [page 557](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		558
	QuantityChoice	<QtyChc>		[1..1]		558

6.13.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on [page 556](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557
	Quantity	<Qty>		[1..1]		557

6.13.3 NotEligibleBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on [page 556](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557
	Quantity	<Qty>		[1..1]		557

6.14 BalanceFormat12Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		63
	EligibleBalance	<ElgblBal>	Or	[1..1]		63
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]		64
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]		64
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]		64

Used in element(s)

"PendingDeliveryBalance" on page 124, "PendingReceiptBalance" on page 124, "SettlementPositionBalance" on page 125

6.14.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat11__1](#)" on page 557 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		558
	QuantityChoice	<QtyChc>		[1..1]		558

6.14.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on page 556 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		557

6.14.3 NotEligibleBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 556 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557
	Quantity	<Qty>		[1..1]		557

6.14.4 FullPeriodUnits

XML Tag: FullPrdUnits

Presence: [1..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 556 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557
	Quantity	<Qty>		[1..1]		557

6.14.5 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [1..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 556 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557
	Quantity	<Qty>		[1..1]		557

6.15 BeneficiaryCertificationType13Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		65
	Proprietary	<Prtry>	Or}	[1..1]		65

Used in element(s)

"CertificationBreakdownType" on page 196

6.15.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a coded form.

Datatype: "BeneficiaryCertificationType6Code" on page 589

6.15.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a proprietary form.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdown-Type/Proprietary) is removed.

6.16 BidRangeType1Choice__1

Definition: Bid range type as a choice of a defined coded value or a proprietary value.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		66
	Proprietary	<Prtry>	Or}	[1..1]		66

Used in element(s)

"BidRangeType" on page 197

6.16.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Bid range type expressed as a code value.

Datatype: "BidRangeType1Code" on page 594

6.16.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Bid range type expressed as a proprietary value.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

6.17 BlockchainAddressWallet3

Definition: Digital account where digital assets or digital tokens can be stored and where an entry is made.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		67
	Type	<Tp>		[0..1]		67
	Name	<Nm>		[0..1]		67

Used in element(s)

"BlockChainCashWallet" on page 70

6.17.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max140Text" on page 725

6.17.2 Type

XML Tag: Tp

Presence: [0..1]

Definition: Specifies the type of securities account.

The **Tp** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 357 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		358
	Issuer	<Issr>		[1..1]		358
	SchemeName	<SchmeNm>		[0..1]		358

6.17.3 Name

XML Tag: Nm

Presence: [0..1]

Definition: Description of the account.

Datatype: "Max70Text" on page 727

6.18 BorrowerLendingDeadline5__1

Definition: Stock lending deadline assigned to a borrower of the stock.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StockLendingDeadline	<StockLndgDdln>		[1..1]		68
	Borrower	<Brrwr>		[1..1]		68

Used in element(s)

"BorrowerStockLendingDeadline" on page 137

6.18.1 StockLendingDeadline

XML Tag: StockLndgDdln

Presence: [1..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

The **StockLndgDdln** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

6.18.2 Borrower

XML Tag: Brrwr

Presence: [1..1]

Definition: Party who has borrowed stocks on loan.

The **Brrwr** block contains the following elements (see datatype "[PartyIdentification127Choice__1](#)" on page 423 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		423
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		423

6.19 CapitalGainFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of capital gain.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		69
	Proprietary	<Prtry>	Or}	[1..1]		69

Used in element(s)

"CapitalGainInOutIndicator" on page 97

6.19.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Datatype: "EUCapitalGain2Code" on page 682

6.19.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of capital gain.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.20 CashAccountIdentification9Choice__1

Definition: Unique identifier of an account, as assigned by the account servicer.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		70
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		70
	Proprietary	<Prtry>	Or}	[1..1]		70

Used in element(s)

"CashAccountIdentification" on page 76

6.20.1 IBAN

XML Tag: IBAN

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Datatype: "IBAN2007Identifier" on page 703

6.20.2 BlockChainCashWallet

XML Tag: BlckChainCshWllt

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

The **BlckChainCshWllt** block contains the following elements (see datatype "BlockChainAddressWallet3" on page 66 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		67
	Type	<Tp>		[0..1]		67
	Name	<Nm>		[0..1]		67

6.20.3 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 778

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/CashAccountIdentification/Proprietary
Type changed to: RestrictedFINX2Max34Text on page 778

6.21 CashOption107__1

Definition: Provides information about the cash option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		72
	ContractualPaymentIndicator	<CtrctlPmtInd>		[0..1]		73
	NonEligibleProceedsIndicator	<NonElgblPrclsInd>		[0..1]		73
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		73
	IncomeType	<IncmTp>		[0..1]		74
	OtherIncomeType	<OthrIncmTp>		[0..*]		75
	ExemptionType	<XmptnTp>		[0..*]		75
	PriceCalculationMethod	<PricClctnMtd>		[0..1]		76
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		76
	CashAccountIdentification	<CshAcctId>		[0..1]		76
	AmountDetails	<AmtDtls>		[0..1]		77
	DateDetails	<DtDtls>		[1..1]		79
	ForeignExchangeDetails	<FXDtls>		[0..1]		79
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		80
	PriceDetails	<PricDtls>		[0..1]		81

Rules

R99 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

Error handling: Undefined

R100 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R101 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R102 IncomeType2Rule ✓

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00545
- *Error Text:* Invalid message contents for IncomeType. Element must be present.

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

Used in element(s)

"CashMovementDetails" on page 206

6.21.1 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 664

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/CreditDebitIndicator](#)

– **Market Practice Usage Rule:**

DTCC will only use credit movements.

6.21.2 ContractualPaymentIndicator

XML Tag: CtrctIPmtInd

Presence: [0..1]

Definition: Specifies exceptions to contractual payment service.

Datatype: "Payment2Code" on page 748

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ContractualPaymentIndicator) is removed.

6.21.3 NonEligibleProceedsIndicator

XML Tag: NonElgblPrclsInd

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

The **NonElgblPrclsInd** block contains the following elements (see datatype "NonEligibleProceedsIndicator5Choice_1" on page 410 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		411
	Proprietary	<Prtry>	Or}	[1..1]	🚫	411

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/NonEligibleProceedsIndicator

Synonym (DTCC): Mandatory Foreign Currency Flag

6.21.4 IssuerOfferorTaxabilityIndicator

XML Tag: IssrOfferrTaxbltyInd

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype "[IssuerOfferorTaxabilityIndicator2Choice](#)" on page 401 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		401
	Proprietary	<Prtry>	Or}	[1..1]		402

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IssuerOfferorTaxabilityIndicator](#)) is removed.

6.21.5 IncomeType

XML Tag: IncmTp

Presence: [0..1]

Definition: Specifies the type of income.

The **IncmTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 358 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType](#)
 Synonym: (empty)
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType](#)
 Synonym (DTCC): CRAX Code
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType](#)
 Synonym (DTCC): IRS Code
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType](#)

Comment:

Each U.S. tax withholding eligible distribution must have a determination of the tax liability. This code is relevant to tax withholding and U.S. IRS reporting. DTC does not provide tax advice. Clients should make their own determination as

to the character and tax treatment of a corporate action. Consult your own tax advisors or contact the issuer regarding the tax treatment of a corporate action.

Will be applicable to a Canadian CRAX code if the movement only has a Canadian component. If there is both a US and Global Component then the Canadian CRAX code will be in the Extension

6.21.6 OtherIncomeType

XML Tag: OthrIncmTp

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

The **OthrIncmTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	🚫 ⚠	371

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/OtherIncomeType](#)

Comment:

Will be the Canadian Income Type Code (CRAX) if there is both a Canadian Income Type code (CRAX) and US Income Type Code for the same cash movement.

* DTC does not provide tax advice. Clients should make their own determination as to the character and tax treatment of a corporate action. Consult your own tax advisors or contact the issuer regarding the tax treatment of a corporate action.

6.21.7 ExemptionType

XML Tag: XmptnTp

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

The **XmptnTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ExemptionType) is removed.

6.21.8 PriceCalculationMethod

XML Tag: PricClctnMtd

Presence: [0..1]

Definition: Specifies the method applied for the calculation of the price.

The **PricClctnMtd** block contains the following elements (see datatype "PriceCalculation-Method2Choice__1" on page 437 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		437
	Proprietary	<Prtry>	Or}	[1..1]	ⓧ	437

6.21.9 CountryOfIncomeSource

XML Tag: CtryOfIncmSrc

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Datatype: "CountryCode" on page 664

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/Country-OfIncomeSource) is removed.

6.21.10 CashAccountIdentification

XML Tag: CshAcctId

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

The **CshAcctId** block contains the following elements (see datatype "CashAccountIdentification9Choice__1" on page 69 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		70
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		70
	Proprietary	<Prtry>	Or}	[1..1]		70

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/CashAccountIdentification) is removed.

6.21.11 AmountDetails

XML Tag: AmtDtls

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

The **AmtDtls** block contains the following elements (see datatype "CorporateActionAmounts71__1" on page 103 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossAmount	<GrssAmt>		[0..1]		105
	NetAmount	<NetAmt>		[0..1]		105
	SolicitationFees	<SlctnFees>		[0..1]		106
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]		106
	CapitalGain	<CptlGn>		[0..1]		106
	InterestAmount	<IntrstAmt>		[0..1]		107
	IndemnityAmount	<IndmntyAmt>		[0..1]		107
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]		107
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]		108
	FullyFrankedAmount	<FullyFrnkAmt>		[0..1]		108
	UnfrankedAmount	<UfrnkAmt>		[0..1]		108
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]		109

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxFreeAmount	<TaxFreeAmt>		[0..1]		109
	TaxDeferredAmount	<TaxDfrrdAmt>		[0..1]		109
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]		110
	StampDutyAmount	<StmpDtyAmt>		[0..1]		110
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]		110
	TaxCreditAmount	<TaxCdtAmt>		[0..1]		110
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]		111
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		111
	SecondLevelTaxAmount	<ScndLvltTaxAmt>		[0..1]		111
	FiscalStampAmount	<FsclStmpAmt>		[0..1]		112
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]		112
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]		112
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]		113
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]		113
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]		113
	ChargesAmount	<ChrgsAmt>		[0..1]		114
	EntitledAmount	<EntitldAmt>		[0..1]		114
	OriginalAmount	<OrgnlAmt>		[0..1]		114
	PrincipalOrCorpus	<PrncplOrCrps>		[0..1]		115
	RedemptionPremiumAmount	<RedPrmAmt>		[0..1]		115
	IncomePortion	<IncmPrtn>		[0..1]		115
	StockExchangeTax	<StockXchgTax>		[0..1]		116
	EUTaxRetentionAmount	<EUTaxRtnthAmt>		[0..1]		116
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]		116
	EqualisationAmount	<EqulstnAmt>		[0..1]		116
	FATCATaxAmount	<FATCATaxAmt>		[0..1]		117
	NRATaxAmount	<NRATaxAmt>		[0..1]		117
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]		117
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]		118
	TransactionTax	<TxTax>		[0..1]		118
	DeemedAmount	<DmdAmt>		[0..1]		118

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForeignIncomeAmount	<FrqnIncmAmt>		[0..1]	⚠	119
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]	⚠	119
	DeemedFundAmount	<DmdFndAmt>		[0..1]	⚠	119
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]	⚠	120
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]	⚠	120
	BuyUpAmount	<BuyUpAmt>		[0..1]	⚠	120

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/Amount-Details) is removed.

6.21.12 DateDetails

XML Tag: DtDtIs

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

The **DtDtIs** block contains the following elements (see datatype "CorporateActionDate84__1" on page 159 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]	⚠	160
	ValueDate	<ValDt>		[0..1]	ⓧ	160
	ForeignExchangeRateFixingDate	<FXRateFxdDt>		[0..1]	⚠	161
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]	⚠	161

6.21.13 ForeignExchangeDetails

XML Tag: FXDtIs

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

The **FXDtIs** block contains the following elements (see datatype "ForeignExchangeTerms39__1" on page 353 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]	⚠	354
	QuotedCurrency	<QtdCcy>		[1..1]	⚠	354
	ExchangeRate	<XchgRate>		[1..1]	⚠	354
	ResultingAmount	<RsltgAmt>		[0..1]	🚫 ⚠	355

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ForeignExchangeDetails](#)

- **Market Practice Usage Rule:**

Used for Currency Conversion Rate, other tag are populated from payout element to complete the require data by the tag. Only Currency Conversion Rate (mapped to Exchange Rate) should be sourced from this tag.

6.21.14 RateAndAmountDetails

XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about the corporate action option.

The **RateAndAmtDtls** block contains the following elements (see datatype "[Rate43__1](#)" on page 468 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]	🚫	472
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]	⚠	472
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]	⚠	473
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	⚠	474
	SecondLevelTax	<ScndLvTax>		[0..*]	🚫	475
	ChargesFees	<ChrgsFees>		[0..1]	⚠	476
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]	🚫	476
	FiscalStamp	<FsclStmp>		[0..1]	🚫	477
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]	🚫	477
	NetDistributionRate	<NetDstrbtnRate>		[0..*]	⚠	478
	ApplicableRate	<AplblRate>		[0..1]	🚫	479

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]	⚠	479
	TaxCreditRate	<TaxCdtRate>		[0..1]	⚠	480
	TaxOnIncome	<TaxOnIncm>		[0..1]	🚫	481
	TaxOnProfits	<TaxOnPrfts>		[0..1]	🚫	481
	TaxReclaimRate	<TaxRclmRate>		[0..1]	🚫	482
	EqualisationRate	<EqulstnRate>		[0..1]	🚫	482
	DeemedRate	<DmdRate>		[0..*]	⚠	483

6.21.15 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "[PriceDetails40__1](#)" on page 438 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	⚠	438
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]	⚠	439
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	🚫	440

6.22 CertificationTypeFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify the certification format required.

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		82
	Proprietary	<Prtry>	Or}	[1..1]		82

Used in element(s)

["CertificationType"](#) on page 99

6.22.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the certification format required, that is, physical or electronic format.

Datatype: ["CertificationFormatType1Code" on page 595](#)

6.22.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the certification format.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

6.23 ClassificationType32Choice__1

Definition: Choice of format for the classification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]		82
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]		83

Used in element(s)

["ClassificationType" on page 304](#), ["ClassificationType" on page 315](#)

6.23.1 ClassificationFinancialInstrument

XML Tag: ClssfctnFinInstrm

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: ["CFIOct2015Identifier" on page 595](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/ClassificationFinancialInstrument](#)
Synonym (DTCC): CFI Code

6.23.2 AlternateClassification

XML Tag: AltrnClssfctn

Presence: [1..1]

Definition: Proprietary classification of financial instrument.

The **AltrnClssfctn** block contains the following elements (see datatype "[GenericIdentification36__1](#)" on [page 382](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		383
	Issuer	<Issr>		[1..1]		383
	SchemeName	<SchmeNm>		[0..1]		384

Usage Guideline details

- This element([seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/ClassificationType/AlternateClassification](#)) is removed.

6.24 ConsentTypeFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the consent type format required.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		83
	Proprietary	<Prtry>	Or}	[1..1]		84

Used in element(s)

"[ConsentType](#)" on [page 100](#)

6.24.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the consent type required.

Datatype: "ConsentType1Code" on page 596

6.24.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the consent type.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.25 CorporateAction84__1

Definition: Provides information about the corporate action event.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtls>		[0..1]		86
	PeriodDetails	<PrdDtls>		[0..1]		88
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		89
	PriceDetails	<PricDtls>		[0..1]		89
	SecuritiesQuantity	<SctiesQty>		[0..1]		90
	InterestAccruedNumberOfDays	<IntrstAcrdNbOfDays>		[0..1]	⚠	90
	CouponNumber	<CpnNb>		[0..*]	❌	91
	AcceptancePriorityLevel	<AccptncPrtyLvl>		[0..1]		91
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]	❌	91
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]	❌	92
	RestrictionIndicator	<RstrctnInd>		[0..1]	⚠	92

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccruedInterestIndicator	<AcrdIntrstInd>		[0..1]		92
	ForfeitureOfInterestIndicator	<FrfrOfIntrstInd>		[0..1]		93
	LetterOfGuaranteedDeliveryIndicator	<LtrOfGrntedDlvryInd>		[0..1]		93
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		93
	DividendType	<DvddTp>		[0..1]		93
	EventSequenceType	<EvtSeqTp>		[0..1]		94
	OccurrenceType	<OcrncTp>		[0..1]		94
	OfferType	<OfferTp>		[0..*]		95
	RenounceableEntitlementStatusType	<RnnchlEntitlmtStsTp>		[0..1]		95
	EventStage	<EvtStag>		[0..*]		96
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		96
	ChangeType	<ChngTp>		[0..*]		97
	IntermediateSecuritiesDistributionType	<IntrmdtSciesDstrbtnTp>		[0..1]		97
	CapitalGainInOutIndicator	<CptlGnInOutInd>		[0..1]		97
	TaxableIncomePerShareCalculated	<TaxblIncmPerShrClctd>		[0..1]		98
	ElectionType	<ElctnTp>		[0..1]		98
	LotteryType	<LtryTp>		[0..1]		99
	CertificationType	<CertfctnTp>		[0..1]		99
	ConsentType	<CnsntTp>		[0..1]		100
	InformationType	<InfTp>		[0..1]		100
	TaxOnNonDistributedProceedsIndicator	<TaxOnNonDstrbtdPrcdslnd>		[0..*]		101
	DutchAuctionType	<DtchAuctnTp>		[0..1]		101
	NewPlaceOfIncorporation	<NewPlcOfIncorprtn>		[0..1]	 	101
	AdditionalInformation	<AddtlInf>		[0..1]		102

Rules

R61 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling: Undefined

R62 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling: Undefined

R63 DissenterRights1Rule ✓

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00541
- *Error Text:* Invalid message contents for OfferType. Either code value DISS or NDISS is allowed, not both.

R64 DissenterRights2Rule ✓

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00564
- *Error Text:* Invalid message contents for OfferType. Either code value DISS or NDISS is allowed, not both.

R65 AcceptancePriorityLevelUGRule

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

Error handling: Undefined

Used in element(s)

"CorporateActionDetails" on page 32

6.25.1 DateDetails

XML Tag: DtDtls

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

The **DtDtls** block contains the following elements (see datatype "[CorporateActionCode83__1](#)" on [page 138](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnnouncementDate	<AnncmntDt>		[0..1]		140
	CertificationDeadline	<CertfctnDdlIn>		[0..1]		140
	CourtApprovalDate	<CrtApprvlDt>		[0..1]		141
	EarlyClosingDate	<EarlyClsgDt>		[0..1]		141
	EffectiveDate	<FctvDt>		[0..1]		142
	EqualisationDate	<EqulstnDt>		[0..1]		142
	FurtherDetailedAnnouncement- Date	<FrthrDtldAnncmntDt>		[0..1]		143
	FixingDate	<FxdDt>		[0..1]		143
	LotteryDate	<LtryDt>		[0..1]		144
	NewMaturityDate	<NewMtrtyDt>		[0..1]		145
	MeetingDate	<MtgDt>		[0..1]		146
	MarginFixingDate	<MrgnFxdDt>		[0..1]		146
	ProrationDate	<PrratnDt>		[0..1]		147
	RecordDate	<RcrdDt>		[0..1]		147
	RegistrationDeadline	<RegnDdlIn>		[0..1]		148
	ResultsPublicationDate	<RsltsPblctnDt>		[0..1]		149
	DeadlineToSplit	<DdlInToSplT>		[0..1]		150
	DeadlineForTaxBreakdownIn- struction	<DdlInForTaxBrkdwnIn- str>		[0..1]		150
	TradingSuspendedDate	<TradgSspdDt>		[0..1]		151
	UnconditionalDate	<UcondlDt>		[0..1]		151
	WhollyUnconditionalDate	<WhlyUcondlDt>		[0..1]		152
	ExDividendDate	<ExDvddDt>		[0..1]		152
	OfficialAnnouncementPublica- tionDate	<OffclAnncmntPblctnDt>		[0..1]		153
	SpecialExDate	<SpclExDt>		[0..1]		153
	GuaranteedParticipationDate	<GrntedPrtpctnDt>		[0..1]		154
	ElectionToCounterpartyMarket- Deadline	<ElctnToCtrPtyMktDdlIn>		[0..1]		154

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ElectionToCounterpartyResponseDeadline	<ElctnToCtrPtyRspnDdln>		[0..1]	🚫	155
	LapsedDate	<LpsdDt>		[0..1]	🚫	155
	PaymentDate	<PmtDt>		[0..1]	⚠️	156
	ThirdPartyDeadline	<ThrdPtyDdln>		[0..1]	🚫	156
	EarlyThirdPartyDeadline	<EarlyThrdPtyDdln>		[0..1]	🚫	157
	MarketClaimTrackingEndDate	<MktClnTrckgEndDt>		[0..1]	⚠️	157
	LeadPlaintiffDeadline	<LeadPlntffDdln>		[0..1]		158
	FilingDate	<FilgDt>		[0..1]	⚠️	158
	HearingDate	<HrgDt>		[0..1]	⚠️	159

6.25.2 PeriodDetails

XML Tag: PrdDtls

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action event.

The **PrdDtls** block contains the following elements (see datatype "[CorporateActionPeriod16](#)" on [page 213](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚠️ Ignored - ⚠️ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceCalculationPeriod	<PricClctnPrd>		[0..1]	🚫	214
	InterestPeriod	<IntrstPrd>		[0..1]	⚠️	215
	CompulsoryPurchasePeriod	<CmplsryPurchsPrd>		[0..1]	🚫	215
	ClaimPeriod	<ClnPrd>		[0..1]	⚠️	216
	DepositorySuspensionPeriodFor-BookEntryTransfer	<DpstrySspnsnPrdFor-BookNtryTrf>		[0..1]	⚠️	216
	DepositorySuspensionPeriod-ForDepositAtAgent	<DpstrySspnsnPrdForDp-stAtAgt>		[0..1]	⚠️	217
	DepositorySuspensionPeriod-ForDeposit	<DpstrySspnsnPrd-ForDpst>		[0..1]	⚠️	218
	DepositorySuspensionPeriodFor-Pledge	<DpstrySspnsnPrdFor-Pldg>		[0..1]	⚠️	218
	DepositorySuspensionPeriod-ForSegregation	<DpstrySspnsnPrdForS-grtn>		[0..1]	⚠️	219
	DepositorySuspensionPeriodFor-WithdrawalAtAgent	<DpstrySspnsnPrdForW-drwlAtAgt>		[0..1]	⚠️	220

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DepositorySuspensionPeriodForWithdrawalInNmneeNm	<DpwsuspnsnPrdForWdrwlInNmneeNm>		[0..1]	⚠	221
	DepositorySuspensionPeriodForWithdrawalInStrtNm	<DpwsuspnsnPrdForWdrwlInStrtNm>		[0..1]	⚠	221
	BookClosurePeriod	<BookClsrPrd>		[0..1]	🚫	222
	CoDepositoriesSuspensionPeriod	<CoDpstriesSspnsnPrd>		[0..1]	⚠	223
	SplitPeriod	<SpltPrd>		[0..1]	🚫	223
	FiscalYearPeriod	<FsclyrPrd>		[0..1]	🚫	224

6.25.3 RateAndAmountDetails

XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action event.

The **RateAndAmtDtls** block contains the following elements (see datatype "CorporateAction-Rate122__1" on page 242 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InterestRate	<IntrstRate>		[0..1]	⚠	243
	PercentageSought	<PctgSght>		[0..1]	⚠	243
	RelatedIndex	<RltdIndx>		[0..1]	🚫	244
	Spread	<Sprd>		[0..1]	🚫	244
	BidInterval	<BidIntrvl>		[0..1]	⚠	245
	PreviousFactor	<PrvsFctr>		[0..1]	🚫	245
	NextFactor	<NxtFctr>		[0..1]	⚠	246
	ReinvestmentDiscountRate-ToMarket	<RinvstmtDscntRate-ToMkt>		[0..1]	🚫	246
	InterestShortfall	<IntrstShrtfll>		[0..1]	⚠	247
	RealisedLoss	<RealsdLoss>		[0..1]	⚠	247
	DeclaredRate	<DclrdRate>		[0..1]	🚫	248
	IndexFactor	<IndxFctr>		[0..1]	🚫	248

6.25.4 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action event.

The **PricDtls** block contains the following elements (see datatype "[CorporateActionPrice85__1](#)" on page 224 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumPrice	<MaxPric>		[0..1]	⚠	224
	MinimumPrice	<MinPric>		[0..1]	⚠	225
	FirstBidIncrementPrice	<FrstBidIncrmtPric>		[0..1]	🚫	226
	LastBidIncrementPrice	<LastBidIncrmtPric>		[0..1]	🚫	226

6.25.5 SecuritiesQuantity

XML Tag: SctiesQty

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action.

The **SctiesQty** block contains the following elements (see datatype "[CorporateActionQuantity11__1](#)" on page 237 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantity	<MaxQty>		[0..1]	⚠	238
	MinimumQuantitySought	<MinQtySght>		[0..1]	⚠	238
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]	🚫	239
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]	🚫	239
	BaseDenomination	<BaseDnmtn>		[0..1]	⚠	240
	IncrementalDenomination	<IncrmtlDnmtn>		[0..1]	⚠	241

6.25.6 InterestAccruedNumberOfDays

XML Tag: IntrstAcrdNbOfDays

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Datatype: "[Max3Number](#)" on page 726

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/InterestAccruedNumberOfDays](#)

- **Applicability:**
true
- **REDEMG Trace:**
Number of Days Accrued Interest
- on **seev.031.001.15/CorporateActionDetails/InterestAccruedNumberOfDays**
Synonym (DTCC): Accrual Days Count
- on **seev.031.001.15/CorporateActionDetails/InterestAccruedNumberOfDays**
Comment:
Number of days for which the interest is computed.

6.25.7 CouponNumber

XML Tag: CpnNb

Presence: [0..*]

Definition: Number of the coupon attached/associated with a security.

The **CpnNb** block contains the following elements (see datatype "IdentificationFormat3Choice__1" on [page 393](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortIdentification	<ShrtId>	{Or	[1..1]		393
	LongIdentification	<LngId>	Or	[1..1]		393
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		393

Usage Guideline details

- This element(**seev.031.001.15/CorporateActionDetails/CouponNumber**) is removed.

6.25.8 AcceptancePriorityLevel

XML Tag: AcptncPrtyLvl

Presence: [0..1]

Definition: Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

Datatype: "Exact3UpperCaseAlphaNumericText" on [page 686](#)

6.25.9 CertificationBreakdownIndicator

XML Tag: CertfctnBrkdwnInd

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required.

Yes = certification required.

No = no certification required.

Datatype: ["YesNoIndicator" on page 785](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/CertificationBreakdownIndicator](#)) is removed.

6.25.10 ChargesAppliedIndicator

XML Tag: ChrgsAppldInd

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: ["YesNoIndicator" on page 785](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/ChargesAppliedIndicator](#)) is removed.

6.25.11 RestrictionIndicator

XML Tag: RstrctnInd

Presence: [0..1]

Definition: Indicates whether restrictions apply to the corporate action event or not.

Datatype: ["YesNoIndicator" on page 785](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/RestrictionIndicator](#)
Synonym (DTCC): Domicile Restrictions Flag
- on [seev.031.001.15/CorporateActionDetails/RestrictionIndicator](#)
Comment:
Indicates whether participation in the event is limited by investor's location.

6.25.12 AccruedInterestIndicator

XML Tag: AcrdIntrstInd

Presence: [0..1]

Definition: Indicates whether the holder is entitled to accrued interest.

Datatype: ["YesNoIndicator" on page 785](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/AccruedInterestIndicator](#)) is removed.

6.25.13 ForfeitureOfInterestIndicator

XML Tag: FrfrOfIntrstInd

Presence: [0..1]

Definition: Indicates that bondholders who convert between record date and payable date will forfeit their interest.

Datatype: ["YesNoIndicator" on page 785](#)

6.25.14 LetterOfGuaranteedDeliveryIndicator

XML Tag: LttrOfGrntedDlvryInd

Presence: [0..1]

Definition: Indicates whether a letter of guaranteed delivery can be submitted in order to participate in the offer on full eligible position. It is not intended for use in situations arising from failed or late trades.

Datatype: ["YesNoIndicator" on page 785](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/LetterOfGuaranteedDeliveryIndicator](#)) is removed.

6.25.15 ShareholderRightsDirectiveIndicator

XML Tag: ShrhldrRghtsDrctvInd

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: ["YesNoIndicator" on page 785](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/ShareholderRightsDirectiveIndicator](#)) is removed.

6.25.16 DividendType

XML Tag: DvddTp

Presence: [0..1]

Definition: Specifies the conditions in which a dividend is paid.

The **DvddTp** block contains the following elements (see datatype "[DividendTypeFormat9Choice__1](#)" on page 287 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		288
	Proprietary	<Prtry>	Or}	[1..1]	🚫	288

6.25.17 EventSequenceType

XML Tag: EvtSeqTp

Presence: [0..1]

Definition: Specifies whether the event is an interim or a final event in a series of predefined or planned events of the same type and for the same underlying instrument.

The **EvtSeqTp** block contains the following elements (see datatype "[EventSequenceTypeFormat1Choice__1](#)" on page 296 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		296
	Proprietary	<Prtry>	Or}	[1..1]		296

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/EventSequenceType](#)) is removed.

6.25.18 OccurrenceType

XML Tag: OcrncTp

Presence: [0..1]

Definition: Specifies the conditions in which the instructions and/or payment of the proceeds occurs.

The **OcrncTp** block contains the following elements (see datatype "[DistributionTypeFormat7Choice__1](#)" on page 286 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		287
	Proprietary	<Prtry>	Or}	[1..1]	🚫	287

Usage Guideline details

- on **seev.031.001.15/CorporateActionDetails/OccurrenceType**

- **DTCC Occurrence Type Rule:**

Used to identify final or interim payment on the event; or to identify a sub event type Final Payment / Interim Payment for Default event types; or used to indicate sub event type Ongoing for Conversion and Warrants Exercise. Final will also be used to differentiate Final Paydown Event (MCAL) from a Full Call

- on **seev.031.001.15/CorporateActionDetails/OccurrenceType**

Comment:

Indicates whether or not the payment for an example for a liquidation event is interim or final.

6.25.19 OfferType

XML Tag: OfferTp

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

The **OfferTp** block contains the following elements (see datatype "[OfferTypeFormat14Choice__1](#)" on page 411 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		412
	Proprietary	<Prtry>	Or}	[1..1]		412

6.25.20 RenounceableEntitlementStatusType

XML Tag: RnnbEntitlmntStsTp

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

The **RnnbEntitlmntStsTp** block contains the following elements (see datatype "[RenounceableEntitlementStatusTypeFormat3Choice__1](#)" on page 524 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		524
	Proprietary	<Prtry>	Or}	[1..1]		524

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/RenounceableEntitlementStatusType](#)
Synonym (DTCC): Rights Tradable Flag
- on [seev.031.001.15/CorporateActionDetails/RenounceableEntitlementStatusType](#)
Comment:
Indicates whether or not rights can be traded.

6.25.21 EventStage

XML Tag: EvtStag

Presence: [0..*]

Definition: Stage in the corporate action event life cycle.

The **EvtStag** block contains the following elements (see datatype "[CorporateActionEventStageFormat13Choice__1](#)" on page 166 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		166
	Proprietary	<Prtry>	Or}	[1..1]		166

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/EventStage](#)
 - **Redemption Rule:**
Mapped from DTCC Event Stage data element. Mapping is without conditions. The following codes are applicable: Cancellation and Re Run, Supplemental Cancellation and Supplemental Cancellation and Re Run. The Unconditional As to Acceptance field does not apply to Redemption based lottery events.
 - **REDEML Trace:**
Lottery Type
 - **Applicability:**
true

6.25.22 AdditionalBusinessProcessIndicator

XML Tag: AddtlBizPrclnd

Presence: [0..*]

Definition: Specifies the type of the additional business process linked to the corporate action event such as a claim compensation or tax refund.

The **AddtlBizPrclnd** block contains the following elements (see datatype "[AdditionalBusinessProcessFormat17Choice__1](#)" on page 48 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		48
	Proprietary	<Prtry>	Or}	[1..1]		48

6.25.23 ChangeType

XML Tag: ChngTp

Presence: [0..*]

Definition: Specifies the type of change announced.

The **ChngTp** block contains the following elements (see datatype "[CorporateActionChangeTypeFormat5Choice__1](#)" on page 129 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		129
	Proprietary	<Prtry>	Or}	[1..1]		130

6.25.24 IntermediateSecuritiesDistributionType

XML Tag: IntrmdtSciesDstrbtnTp

Presence: [0..1]

Definition: Type of intermediates securities distribution.

The **IntrmdtSciesDstrbtnTp** block contains the following elements (see datatype "[IntermediateSecuritiesDistributionTypeFormat15Choice__1](#)" on page 400 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		400
	Proprietary	<Prtry>	Or}	[1..1]		401

6.25.25 CapitalGainInOutIndicator

XML Tag: CptlGnInOutInd

Presence: [0..1]

Definition: Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

The **CptlGnlInOutInd** block contains the following elements (see datatype "[CapitalGainFormat3Choice__1](#)" on page 69 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		69
	Proprietary	<Prtry>	Or}	[1..1]		69

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/CapitalGainInOutIndicator) is removed.

6.25.26 TaxableIncomePerShareCalculated

XML Tag: TaxblIncmPerShrClctd

Presence: [0..1]

Definition: Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.

The **TaxblIncmPerShrClctd** block contains the following elements (see datatype "[TaxableIncomePerShareCalculatedFormat3Choice__1](#)" on page 561 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		561
	Proprietary	<Prtry>	Or}	[1..1]		561

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/TaxableIncomePerShareCalculated) is removed.

6.25.27 ElectionType

XML Tag: ElctnTp

Presence: [0..1]

Definition: Specifies the effect on the holdings of electing a corporate action option.

The **ElctnTp** block contains the following elements (see datatype "[ElectionTypeFormat3Choice__1](#)" on page 295 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		295
	Proprietary	<Prtry>	Or}	[1..1]		295

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/ElectionType) is removed.

6.25.28 LotteryType

XML Tag: LtryTp

Presence: [0..1]

Definition: Specifies the type of lottery announced.

The **LtryTp** block contains the following elements (see datatype "[LotteryTypeFormat4Choice__1](#)" on page 402 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		402
	Proprietary	<Prtry>	Or}	[1..1]		402

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/LotteryType
 - **Applicability:**
true
 - **REDEML Trace:**
Lottery Type (Original, Supplemental)
- on seev.031.001.15/CorporateActionDetails/LotteryType
Synonym (DTCC): Lottery Type
- on seev.031.001.15/CorporateActionDetails/LotteryType
Comment:
Defines the type of lottery being processed.

6.25.29 CertificationType

XML Tag: CertfctnTp

Presence: [0..1]

Definition: Specifies the certification format required, this is, physical or electronic format.

The **CertfctnTp** block contains the following elements (see datatype "[CertificationTypeFormat3Choice__1](#)" on page 81 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		82
	Proprietary	<Prtry>	Or}	[1..1]		82

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/CertificationType) is removed.

6.25.30 ConsentType

XML Tag: CnsntTp

Presence: [0..1]

Definition: Specifies the type of consent announced.

The **CnsntTp** block contains the following elements (see datatype "[ConsentTypeFormat4Choice__1](#)" on page 83 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		83
	Proprietary	<Prtry>	Or}	[1..1]		84

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/ConsentType) is removed.

6.25.31 InformationType

XML Tag: InfTp

Presence: [0..1]

Definition: Specifies the type of information event.

The **InfTp** block contains the following elements (see datatype "[InformationTypeFormat4Choice__1](#)" on page 397 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		397
	Proprietary	<Prtry>	Or}	[1..1]		397

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/InformationType) is removed.

6.25.32 TaxOnNonDistributedProceedsIndicator

XML Tag: TaxOnNonDstrbtdPrcdsInd

Presence: [0..*]

Definition: Specifies the tax regulation being attributed to the non-distributed proceeds event or to any other event.

The **TaxOnNonDstrbtdPrcdsInd** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]	 	371

6.25.33 DutchAuctionType

XML Tag: DtchAuctnTp

Presence: [0..1]

Definition: Specifies whether a Dutch auction is modified or unmodified.

The **DtchAuctnTp** block contains the following elements (see datatype "DutchAuctionTypeFormat1Choice__1" on page 294 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		294
	Proprietary	<Prtry>	Or}	[1..1]		294

6.25.34 NewPlaceOfIncorporation

XML Tag: NewPlcOfIncorprtn

Presence: [0..1]

Definition: New company's place of incorporation.

Datatype: ["RestrictedFINXMax350Text" on page 780](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/NewPlaceOfIncorporation](#)) is removed.
- on [seev.031.001.15/CorporateActionDetails/NewPlaceOfIncorporation](#)
Type changed to: [RestrictedFINXMax350Text on page 780](#)

6.25.35 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Provides additional information. This field may only be used when the information to be transmitted, cannot be coded.

The **AddtlInf** block contains the following elements (see datatype ["CorporateActionNarrative58__1" on page 175](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Offeror	<Offerr>		[0..*]	 R[0..1]	175
	NewCompanyName	<NewCpnyNm>		[0..1]		176
	URLAddress	<URLAdr>		[0..*]		176
	EventProcessingWebSiteAddress	<EvtPrcgWebSiteAdr>		[0..1]		176

6.26 CorporateActionAmounts60__1

Definition: Specifies amounts in the framework of a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]	 	103
	SecondLevelTaxAmount	<ScndLvTaxAmt>		[0..1]	 	103

Used in element(s)

["AmountDetails" on page 542](#)

6.26.1 WithholdingTaxAmount

XML Tag: WhldgTaxAmt

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 776

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/AmountDetails/WithholdingTaxAmount) is removed.
 - on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/AmountDetails/WithholdingTaxAmount
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.26.2 SecondLevelTaxAmount

XML Tag: ScndLvlTaxAmt

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 776

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/AmountDetails/SecondLevelTaxAmount) is removed.
 - on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/AmountDetails/SecondLevelTaxAmount
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27 CorporateActionAmounts71__1

Definition: Specifies amounts in the framework of a corporate action event.

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossAmount	<GrssAmt>		[0..1]	⚠	105
	NetAmount	<NetAmt>		[0..1]	⚠	105

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SolicitationFees	<SlctnFees>		[0..1]		106
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]		106
	CapitalGain	<CptlGn>		[0..1]		106
	InterestAmount	<IntrstAmt>		[0..1]		107
	IndemnityAmount	<IndmntyAmt>		[0..1]		107
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]		107
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]		108
	FullyFrankedAmount	<FullyFrnkAmt>		[0..1]		108
	UnfrankedAmount	<UfrnkAmt>		[0..1]		108
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]		109
	TaxFreeAmount	<TaxFreeAmt>		[0..1]		109
	TaxDeferredAmount	<TaxDfrdAmt>		[0..1]		109
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]		110
	StampDutyAmount	<StmpDtyAmt>		[0..1]		110
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]		110
	TaxCreditAmount	<TaxCdtAmt>		[0..1]		110
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]		111
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		111
	SecondLevelTaxAmount	<ScndLvTaxAmt>		[0..1]		111
	FiscalStampAmount	<FsclStmpAmt>		[0..1]		112
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]		112
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]		112
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]		113
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]		113
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]		113
	ChargesAmount	<ChrgsAmt>		[0..1]		114
	EntitledAmount	<EntitldAmt>		[0..1]		114
	OriginalAmount	<OrgnlAmt>		[0..1]		114
	PrincipalOrCorpus	<PrncplOrCrps>		[0..1]		115
	RedemptionPremiumAmount	<RedPrmAmt>		[0..1]		115
	IncomePortion	<IncmPrtn>		[0..1]		115

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StockExchangeTax	<StockXchgTax>		[0..1]		116
	EUTaxRetentionAmount	<EUTaxRtnAmt>		[0..1]		116
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]		116
	EqualisationAmount	<EqulstnAmt>		[0..1]		116
	FATCATaxAmount	<FATCATaxAmt>		[0..1]		117
	NRATaxAmount	<NRATaxAmt>		[0..1]		117
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]		117
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]		118
	TransactionTax	<TxTax>		[0..1]		118
	DeemedAmount	<DmdAmt>		[0..1]		118
	ForeignIncomeAmount	<FrgnIncmAmt>		[0..1]		119
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]		119
	DeemedFundAmount	<DmdFndAmt>		[0..1]		119
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]		120
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]		120
	BuyUpAmount	<BuyUpAmt>		[0..1]		120

Used in element(s)

"AmountDetails" on page 77

6.27.1 GrossAmount

XML Tag: GrssAmt

Presence: [0..1]

Definition: Amount of money before any tax deductions have been made.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 776

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/GrossAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 776

6.27.2 NetAmount

XML Tag: NetAmt

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/NetAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.3 SolicitationFees

XML Tag: SlctnFees

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.4 CashInLieuOfShare

XML Tag: CshInLieuOfShr

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/CashInLieuOfShare](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.5 CapitalGain

XML Tag: CptlGn

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/CapitalGain](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.6 InterestAmount

XML Tag: IntrstAmt

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/InterestAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.7 IndemnityAmount

XML Tag: IndmntyAmt

Presence: [0..1]

Definition: Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation. Used mainly in France.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/IndemnityAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.8 ManufacturedDividendPaymentAmount

XML Tag: ManfctrdDvddPmtAmt

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ManufacturedDividendPaymentAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.9 ReinvestmentAmount

XML Tag: RinvstmtAmt

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ReinvestmentAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.10 FullyFrankedAmount

XML Tag: FullyFrnkAmt

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/FullyFrankedAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.11 UnfrankedAmount

XML Tag: UfrnkAmt

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/UnfrankedAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.12 SundryOrOtherAmount*XML Tag:* SndryOrOthrAmt*Presence:* [0..1]*Definition:* Amount of money related to taxable income that cannot be categorised.*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776**Usage Guideline details**

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/SundryOrOtherAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.13 TaxFreeAmount*XML Tag:* TaxFreeAmt*Presence:* [0..1]*Definition:* Amount of money that has not been subject to taxation.*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776**Usage Guideline details**

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/TaxFreeAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.14 TaxDeferredAmount*XML Tag:* TaxDfrdAmt*Presence:* [0..1]*Definition:* Amount of income eligible for deferred taxation.*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776**Usage Guideline details**

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/TaxDeferredAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.15 ValueAddedTaxAmount

XML Tag: ValAddedTaxAmt

Presence: [0..1]

Definition: Amount of value added tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ValueAddedTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.16 StampDutyAmount

XML Tag: StmpDtyAmt

Presence: [0..1]

Definition: Amount of stamp duty.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/StampDutyAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.17 TaxReclaimAmount

XML Tag: TaxRclmAmt

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/TaxReclaimAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.18 TaxCreditAmount

XML Tag: TaxCdtAmt

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/Tax-CreditAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.19 AdditionalTaxAmount

XML Tag: AddtlTaxAmt

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/AdditionalTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.20 WithholdingTaxAmount

XML Tag: WhldgTaxAmt

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/WithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.21 SecondLevelTaxAmount

XML Tag: ScndLvlTaxAmt

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/SecondLevelTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.22 FiscalStampAmount

XML Tag: FscIStmpAmt

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/FiscalStampAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.23 ExecutingBrokerAmount

XML Tag: ExctgBrkrAmt

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ExecutingBrokerAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.24 PayingAgentCommissionAmount

XML Tag: PngAgtComssnAmt

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/PayingAgentCommissionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.25 LocalBrokerCommissionAmount

XML Tag: LclBrkrComssnAmt

Presence: [0..1]

Definition: Local broker's commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/LocalBrokerCommissionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.26 RegulatoryFeesAmount

XML Tag: RgltryFeesAmt

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/RegulatoryFeesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.27 ShippingFeesAmount

XML Tag: ShppgFeesAmt

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ShippingFeesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.28 ChargesAmount

XML Tag: ChrgsAmt

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ChargesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.29 EntitledAmount

XML Tag: EntitldAmt

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/EntitledAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.30 OriginalAmount

XML Tag: OrgnlAmt

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/OriginalAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.31 PrincipalOrCorpus

XML Tag: PrncplOrCrps

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/PrincipalOrCorpus](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.32 RedemptionPremiumAmount

XML Tag: RedPrmAmt

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/RedemptionPremiumAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.33 IncomePortion

XML Tag: IncmPrtn

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/IncomePortion](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.34 StockExchangeTax

XML Tag: StockXchgTax

Presence: [0..1]

Definition: Amount of stock exchange tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/StockExchangeTax](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.35 EUTaxRetentionAmount

XML Tag: EUTaxRtnAmt

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/EU-TaxRetentionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.36 AccruedInterestAmount

XML Tag: AcrdIntrstAmt

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.37 EqualisationAmount

XML Tag: EquIstnAmt

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/EqualisationAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.38 FATCATaxAmount

XML Tag: FATCATaxAmt

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/FAT-CATaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.39 NRATaxAmount

XML Tag: NRATaxAmt

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/NRATaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.40 BackUpWithholdingTaxAmount

XML Tag: BckUpWhldgTaxAmt

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/BackUpWithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.41 TaxOnIncomeAmount

XML Tag: TaxOnIncmAmt

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/Tax-OnIncomeAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.42 TransactionTax

XML Tag: TxTax

Presence: [0..1]

Definition: Amount of transaction tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/TransactionTax](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.27.43 DeemedAmount

XML Tag: DmdAmt

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.44 ForeignIncomeAmount

XML Tag: FrgnIncmAmt

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ForeignIncomeAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.45 DeemedDividendAmount

XML Tag: DmdDvddAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedDividendAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.46 DeemedFundAmount

XML Tag: DmdFndAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedFundAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.47 DeemedInterestAmount

XML Tag: DmdIntrstAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedInterestAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.48 DeemedRoyaltiesAmount

XML Tag: DmdRyltsAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 776

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedRoyaltiesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 776

6.27.49 BuyUpAmount

XML Tag: BuyUpAmt

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 776

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/BuyUpAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 776

6.28 CorporateActionBalanceDetails43__1

Definition: Eligible and not eligible balance of securities for a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		122
	BlockedBalance	<BlckdBal>		[0..1]		122
	BorrowedBalance	<BrrwdBal>		[0..1]		122
	CollateralInBalance	<CollInBal>		[0..1]		123
	CollateralOutBalance	<CollOutBal>		[0..1]		123
	OnLoanBalance	<OnLnBal>		[0..1]		124
	PendingDeliveryBalance	<PdgDlvryBal>		[0..*]		124
	PendingReceiptBalance	<PdgRctBal>		[0..*]		124
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]		125
	SettlementPositionBalance	<SttlmPosBal>		[0..*]		125
	StreetPositionBalance	<StrtPosBal>		[0..1]		126
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]		126
	InTransshipmentBalance	<InTrnsShipmntBal>		[0..1]		127
	RegisteredBalance	<RegdBal>		[0..1]		127
	ObligatedBalance	<OblgtdBal>		[0..1]		127
	UninstructedBalance	<UinstdBal>		[0..1]		128
	InstructedBalance	<InstdBal>		[0..1]		128
	AffectedBalance	<AfctdBal>		[0..1]		128
	UnaffectedBalance	<UafctdBal>		[0..1]		129

Rules

R59 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

Error handling: Undefined

Used in element(s)

"Balance" on page 45

6.28.1 TotalEligibleBalance

XML Tag: TtlElgblBal

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

The **TtlElgblBal** block contains the following elements (see datatype "[TotalEligibleBalanceFormat10_1](#)" on page 563 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		563
	FullPeriodUnits	<FullPrdUnits>		[0..1]		563
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]		564

6.28.2 BlockedBalance

XML Tag: BlckdBal

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

The **BlckdBal** block contains the following elements (see datatype "[BalanceFormat11Choice_1](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.3 BorrowedBalance

XML Tag: BrrwdBal

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

The **BrwrdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.4 CollateralInBalance

XML Tag: CollInBal

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

The **CollInBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.5 CollateralOutBalance

XML Tag: CollOutBal

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

The **CollOutBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.6 OnLoanBalance

XML Tag: OnLnBal

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

The **OnLnBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.7 PendingDeliveryBalance

XML Tag: PdgDlvryBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

The **PdgDlvryBal** block contains the following elements (see datatype "[BalanceFormat12Choice__1](#)" on page 62 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		63
	EligibleBalance	<ElgblBal>	Or	[1..1]		63
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]		64
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]		64
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]		64

6.28.8 PendingReceiptBalance

XML Tag: PdgRctBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

The **PdgRctBal** block contains the following elements (see datatype "[BalanceFormat12Choice__1](#)" on page 62 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		63
	EligibleBalance	<ElgblBal>	Or	[1..1]		63
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]		64
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]		64
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]		64

6.28.9 OutForRegistrationBalance

XML Tag: OutForRegnBal

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

The **OutForRegnBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.10 SettlementPositionBalance

XML Tag: SttlmPosBal

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

The **SttlmPosBal** block contains the following elements (see datatype "[BalanceFormat12Choice__1](#)" on page 62 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		63
	EligibleBalance	<ElgblBal>	Or	[1..1]		63
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]		64
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]		64
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]		64

6.28.11 StreetPositionBalance

XML Tag: StrtPosBal

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

The **StrtPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.12 TradeDatePositionBalance

XML Tag: TradDtPosBal

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

The **TradDtPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.13 InTransshipmentBalance

XML Tag: InTrnsShipmntBal

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

The **InTrnsShipmntBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.14 RegisteredBalance

XML Tag: RegdBal

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

The **RegdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.15 ObligatedBalance

XML Tag: OblgtdBal

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

The **OblgtdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.16 UninstructedBalance

XML Tag: UinstdBal

Presence: [0..1]

Definition: Balance of uninstructed position.

The **UinstdBal** block contains the following elements (see datatype "BalanceFormat11Choice__1" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.17 InstructedBalance

XML Tag: InstdBal

Presence: [0..1]

Definition: Balance of instructed position.

The **InstdBal** block contains the following elements (see datatype "BalanceFormat11Choice__1" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.18 AffectedBalance

XML Tag: AfctdBal

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

The **AfctdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.28.19 UnaffectedBalance

XML Tag: UafctdBal

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

The **UafctdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.29 CorporateActionCodeFormat5Choice__1

Definition: Choice between a standard code or proprietary code to specify the change type of a corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		129
	Proprietary	<Prtry>	Or}	[1..1]	🚫	130

Used in element(s)

["ChangeType"](#) on page 97

6.29.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "CorporateActionCode" on page 596

6.29.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/ChangeType/Proprietary) is removed.

6.30 CorporateActionDate104__1

Definition: Specifies corporate action dates.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EarlyResponseDeadline	<EarlyRspnDdln>		[0..1]	⚠	131
	CoverExpirationDeadline	<CoverXprtnDdln>		[0..1]	⚠	131
	ProtectDeadline	<PrtctDdln>		[0..1]	⚠	132
	MarketDeadline	<MktDdln>		[0..1]	⚠	132
	ResponseDeadline	<RspnDdln>		[0..1]	⚠	133
	ExpiryDate	<XpryDt>		[0..1]	⚠	134
	SubscriptionCostDebitDate	<SbcptCostDbtDt>		[0..1]	⚠	135
	DepositoryCoverExpirationDate	<DpstryCoverXprtnDt>		[0..1]	⚠	136
	StockLendingDeadline	<StockLndgDdln>		[0..1]	🚫	137

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BorrowerStockLendingDeadline	<BrrwrStockLndgDdln>		[0..*]	🚫	137
	EndOfSecuritiesBlockingPeriod	<EndOfScitiesBlckgPrd>		[0..1]	🚫	138
	DocumentationDeadline	<DcmnttnDdln>		[0..1]	🚫	138

Used in element(s)

"DateDetails" on page 202

6.30.1 EarlyResponseDeadline

XML Tag: EarlyRspnDdln

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

The **EarlyRspnDdln** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline
Synonym (DTCC): DTC Early Expiration Date

6.30.2 CoverExpirationDeadline

XML Tag: CoverXprtnDdln

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

The **CoverXprtnDdln** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/CoverExpirationDeadline
Synonym (Actual Cover Expiration Date (in context : DTCC)): DTCC

6.30.3 ProtectDeadline

XML Tag: PrtctDdln

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

The **PrtctDdln** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ProtectDeadline
Synonym (DTC Protect Expiration Date (in context : DTCC)): DTCC
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ProtectDeadline
Synonym (DTC Protect Expiration Time (in context : DTCC)): DTCC
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ProtectDeadline
Synonym (DTC Protect Expiration Time Zone (in context : DTCC)): DTCC
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ProtectDeadline
Comment:
ast day on which a protect instruction can be submitted to DTC.

6.30.4 MarketDeadline

XML Tag: MktDdln

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

The **MktDdIn** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on [page 278](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline](#)
 - **Market Practice Implementation Rule:**

Actual Expiration Date is mapped when the event types are NOT one of the following: Rights Distribution, Warrants Exercise, Redemption of Warrants, Conversion.
- on [seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline](#)

Synonym (DTCC): (empty)
- on [seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline](#)

Synonym (DTCC): Actual Expiration Time
- on [seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline](#)

Synonym (DTCC): Actual Expiration Date
- on [seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline](#)

Comment:
Actual Expiration Date is the actual expiration date as set by the issuer/offeror/agent.

6.30.5 ResponseDeadline

XML Tag: RspnDdIn

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

The **RspnDdIn** block contains the following elements (see datatype "[DateFormat44Choice__1](#)" on [page 279](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		280

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCodeAndTime	<DtCdAndTm>	Or	[1..1]		280
	DateCode	<DtCd>	Or}	[1..1]		281

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline
 - **Applicability:**
true
 - **REDEMG Trace:**
FC VOI Entry End Date
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline
Synonym (DTCC): DTC Instruction Expiration Time
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline
Synonym (DTCC): DTC Instruction Expiration Date
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline
Synonym (DTCC): DTC Instruction Expiration Time Zone
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline
Comment:
Deadline on which the instruction process for this option will close at DTC.

6.30.6 ExpiryDate

XML Tag: XpryDt

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

The **XpryDt** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate
 - **Market Practice Implementation Rule:**

Actual Expiration Date is mapped when the event types are: Rights Distribution, Warrants Exercise, Redemption of Warrants, and Conversion.
 Ballot Due Date will be mapped when the even type is Plan of Reorganisation / Vote.
 Rights Expiration Date will be mapped when the event type is Rights Subscription.

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate
Synonym (DTCC): Actual Expiration Time

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate
Synonym (DTCC): Actual Expiration Time

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate
Synonym (DTCC): Ballot Due Date

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate
Synonym (DTCC): Actual Expiration Date

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate
Synonym (DTCC): Rights Expiration Date

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate

Comment:

Rights Expiration Date: date of which rights are scheduled to expire.

Ballot Due Date: date set by the court by which all votes for accepting or rejecting the plan of reorganisation must be received. Specific to Plan of Reorganisation / Vote event type.

Actual Expiration Date: expiration date for an option as set by the issuer/offeror/agent.

6.30.7 SubscriptionCostDebitDate

XML Tag: SbcptCostDbtDt

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

The **SbcptCostDbtDt** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]	🚫	279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebitDate

– **Market Practice Implementation Rule:**

Used within appropriate options: subscribe or oversubscribe. Indicators are compared and the value is mapped. If indicators have conflicting values, tag will not be used and note will be present in text.

- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebitDate**
Synonym (DTCC): Oversubscription Charge Type Indicator

- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebitDate**
Synonym (DTCC): Subscription Charge Type Indicator

- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebitDate**

Comment:

Indicates whether the cash debit for the subscription charge is made immediately or accumulated.

6.30.8 DepositoryCoverExpirationDate

XML Tag: DpstryCoverXprtnDt

Presence: [0..1]

Definition: Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.

The **DpstryCoverXprtnDt** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate**

Synonym (DTCC): DTC Cover Protect Expiration Time

- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate**

Synonym (DTCC): DTC Cover Protect Expiration Time Zone

- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate**

Synonym (DTCC): DTC Cover Protect Expiration Date

- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate**

Comment:

Last day on which cover protects can be submitted to DTC.

6.30.9 StockLendingDeadline

XML Tag: StockLndgDdlIn

Presence: [0..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.

The **StockLndgDdlIn** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/StockLendingDeadline) is removed.

6.30.10 BorrowerStockLendingDeadline

XML Tag: BrwrStockLndgDdlIn

Presence: [0..*]

Definition: Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.

The **BrwrStockLndgDdlIn** block contains the following elements (see datatype "[BorrowerLendingDeadline5__1](#)" on page 68 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StockLendingDeadline	<StockLndgDdlIn>		[1..1]		68
	Borrower	<Brwr>		[1..1]		68

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline) is removed.

6.30.11 EndOfSecuritiesBlockingPeriod

XML Tag: EndOfSctiesBlckgPrd

Presence: [0..1]

Definition: Date until which the blocking of securities can occur upon instructing this option.

The **EndOfSctiesBlckgPrd** block contains the following elements (see datatype "DateFormat59Choice" on page 283 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		283
	DateCode	<DtCd>	Or}	[1..1]		284

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/EndOfSecurities-BlockingPeriod) is removed.

6.30.12 DocumentationDeadline

XML Tag: DcmnttnDdlIn

Presence: [0..1]

Definition: Date/Time by when the documentation must be provided.

The **DcmnttnDdlIn** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/DocumentationDeadline) is removed.

6.31 CorporateActionDate83__1

Definition: Specifies corporate action dates.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnnouncementDate	<AnncmntDt>		[0..1]		140
	CertificationDeadline	<CertfctnDdlIn>		[0..1]		140
	CourtApprovalDate	<CrtApprvlDt>		[0..1]		141
	EarlyClosingDate	<EarlyClsgDt>		[0..1]		141
	EffectiveDate	<FctvDt>		[0..1]		142
	EqualisationDate	<EqulstnDt>		[0..1]		142
	FurtherDetailedAnnouncement- Date	<FrthrDtldAnncmntDt>		[0..1]		143
	FixingDate	<FxdDt>		[0..1]		143
	LotteryDate	<LtryDt>		[0..1]		144
	NewMaturityDate	<NewMtrtyDt>		[0..1]		145
	MeetingDate	<MtgDt>		[0..1]		146
	MarginFixingDate	<MrgnFxdDt>		[0..1]		146
	ProrationDate	<PrratnDt>		[0..1]		147
	RecordDate	<RcrdDt>		[0..1]		147
	RegistrationDeadline	<RegnDdlIn>		[0..1]		148
	ResultsPublicationDate	<RsltsPblctnDt>		[0..1]		149
	DeadlineToSplit	<DdlInToSpl>		[0..1]		150
	DeadlineForTaxBreakdownIn- struction	<DdlInForTaxBrkdwInstr>		[0..1]		150
	TradingSuspendedDate	<TradgSspdDt>		[0..1]		151
	UnconditionalDate	<UcondlDt>		[0..1]		151
	WhollyUnconditionalDate	<WhlyUcondlDt>		[0..1]		152
	ExDividendDate	<ExDvddDt>		[0..1]		152
	OfficialAnnouncementPublica- tionDate	<OffclAnncmntPblctnDt>		[0..1]		153
	SpecialExDate	<SpclExDt>		[0..1]		153
	GuaranteedParticipationDate	<GrntedPrtpctnDt>		[0..1]		154
	ElectionToCounterpartyMarket- Deadline	<ElctnToCtrPtyMktDdlIn>		[0..1]		154
	ElectionToCounterpartyRe- sponseDeadline	<ElctnToCtrPtyRspnDdlIn>		[0..1]		155
	LapsedDate	<LpsdDt>		[0..1]		155

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[0..1]	⚠	156
	ThirdPartyDeadline	<ThrdPtyDdln>		[0..1]	🚫	156
	EarlyThirdPartyDeadline	<EarlyThrdPtyDdln>		[0..1]	🚫	157
	MarketClaimTrackingEndDate	<MktClnTrckgEndDt>		[0..1]	⚠	157
	LeadPlaintiffDeadline	<LeadPlntffDdln>		[0..1]		158
	FilingDate	<FilgDt>		[0..1]	⚠	158
	HearingDate	<HrgDt>		[0..1]	⚠	159

Rules

R67 EffectiveDateRule

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

Error handling: Undefined

Used in element(s)

"DateDetails" on page 86

6.31.1 AnnouncementDate

XML Tag: AnncmntDt

Presence: [0..1]

Definition: Date/time at which the issuer announced that a corporate action event will occur.

The **AnncmntDt** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

6.31.2 CertificationDeadline

XML Tag: CertfctnDdln

Presence: [0..1]

Definition: Deadline by which the certification must be sent.

The **CertifctnDdln** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/CertificationDeadline) is removed.

6.31.3 CourtApprovalDate

XML Tag: CrtApprvIDt

Presence: [0..1]

Definition: Date upon which the court provided approval.

The **CrtApprvIDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/CourtApprovalDate) is removed.

6.31.4 EarlyClosingDate

XML Tag: EarlyClsgDt

Presence: [0..1]

Definition: First possible early closing date of an offer if different from the expiry date.

The **EarlyClsgDt** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/EarlyClosingDate) is removed.

6.31.5 EffectiveDate

XML Tag: FctvDt

Presence: [0..1]

Definition: Date/time at which an event is officially effective from the issuer's perspective.

The **FctvDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/DateDetails/EffectiveDate
Synonym (DTCC): Effective Date (Company)
- on seev.031.001.15/CorporateActionDetails/DateDetails/EffectiveDate
Comment:
 Effective date as declared by the issuer.

6.31.6 EqualisationDate

XML Tag: EqulstnDt

Presence: [0..1]

Definition: Date/time on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

The **EqulstnDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/EqualisationDate) is removed.

6.31.7 FurtherDetailedAnnouncementDate

XML Tag: FrthrDtldAnncmntDt

Presence: [0..1]

Definition: Date/time at which additional information on the event will be announced, for example, exchange ratio announcement date.

The **FrthrDtldAnncmntDt** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncementDate
Synonym (DTCC): Review By Date
- on seev.031.001.15/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncementDate
Comment:
Follow up date for the announcement source. Deadline for the event to be updated based on additional information from the source.

6.31.8 FixingDate

XML Tag: FxgDt

Presence: [0..1]

Definition: Date/time at which an index / rate / price / value will be determined.

The **FxgDt** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/FixingDate) is removed.

6.31.9 LotteryDate

XML Tag: LtryDt

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

The **LtryDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/DateDetails/LotteryDate
 - **RDP Reference Number Composition Conditional Rule:**

RDP Reference Number will have a difference composition depending on event category.

If
Event category is Distributions or Redemptions

Then
Department ID: length (1); Position (1:1). Default value is 0.

Activity Type: length (3); Position (2:3). Right padded with zeroes. Example:
Activity 85 will be 850, 85N will be 85N.

CUSIP Country: length (2); Position (5:2). Default value is zeroes.

CUSIP: length (9); Position (7:9)

CUSIP Int Check Digit: length (1); Position (16:1). Default value is 0.

Record Date: length (6);Position (17:6). YYMMDD Default value is zeroes.

Payable Date: length (6); Position (23:6) YYMMDD Default value is zeroes.

Sequence Number: length (3); Position (29:3) Default value is zeroes.

RDP Issue Type: length (1); Position (32:1) Default value is zeroes.

Else

Department ID: length (1); Position (1:1). Default value is 0

Activity Type: length (3); Position (2:3). Right padded with zeroes. Example:
Activity 85 will be 850, 85N will be 85N

CUSIP Country: length (2); Position (5:2). Default value is zeroes

CUSIP: length (9); Position (7:9).

CUSP Int Check Digit: length (1); Position (16:1). Default value is 0

Sequence Code from Payout Table; length (3); Position (17:3). Default value is zeroes.

Filler: length(3); Position (20:3). Values is 000.

Payable Date: length (6); Position (23:6). YYMMDD Default value is zeroes.

Sequence Number: length (3); Position (29:3). Default value is zeroes.

RDP Issue Type: length (1); Position (32:1). Default value is zeroes.

- on seev.031.001.15/CorporateActionDetails/DateDetails/LotteryDate

Synonym (DTCC): Lottery Swing Date

- on seev.031.001.15/CorporateActionDetails/DateDetails/LotteryDate

Comment:

Date on which called positions are moved either into a segregated account or a DTC Contra CUSIPS(s).

6.31.10 NewMaturityDate

XML Tag: NewMtrtyDt

Presence: [0..1]

Definition: Date/time to which the maturity date of an interest bearing security is extended.

The **NewMtrtyDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

🚫 Removed - 🚫 Partial Removed - 💡 Ignored - 💡 Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/NewMaturityDate) is removed.

6.31.11 MeetingDate

XML Tag: MtgDt

Presence: [0..1]

Definition: Date/time on which the bondholder's or shareholder's meeting will take place.

The **MtgDt** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

❌ Removed - ⊖ Partial Removed - ◇ Ignored - ⊕ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate
 - **Market Practice Implementation Rules:**

Populated with Meeting Date, Meeting Time and Meeting Time Zone element values.
- on seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate

Synonym (DTCC): Meeting Date
- on seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate

Synonym (DTCC): Meeting Time Zone
- on seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate

Synonym (DTCC): Meeting Time
- on seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate

Comment:
Meeting date, time and time zone, as set by the issuer.

6.31.12 MarginFixingDate

XML Tag: MrgnFxdDt

Presence: [0..1]

Definition: Date/time at which the margin rate will be determined.

The **MrgnFxdDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/MarginFixingDate) is removed.

6.31.13 ProrationDate

XML Tag: PrratnDt

Presence: [0..1]

Definition: Date/time (and time) at which an issuer will determine the proration amount/quantity of an offer.

The **PrratnDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/ProrationDate) is removed.

6.31.14 RecordDate

XML Tag: RcrdDt

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

The **RcrdDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/DateDetails/RecordDate

- Market Practice Usage Rule:

On lottery events (DTCC Event Type: Partial Call, ISO Event Type Code: DRAW) Record Date will be populated as a calculated value of either Declared Publication Date or BEO Publication Date less 1 business day, to identify the date when the positions are captured for lottery execution.

- on seev.031.001.15/CorporateActionDetails/DateDetails/RecordDate

- Applicability:

true

- REDEML Trace:

Publication Date

- REDEMG Trace:

Publication Date

- REDEMA Trace:

Publication Date

- REDEMIX Trace:

Publication Date

- REDEMC Trace:

Publication Date

- on seev.031.001.15/CorporateActionDetails/DateDetails/RecordDate

Synonym (DTCC): Record Date

- on seev.031.001.15/CorporateActionDetails/DateDetails/RecordDate

Comment:

Record date for the event as set by the issuer/agent to determine entitlement.

6.31.15 RegistrationDeadline

XML Tag: RegnDdIn

Presence: [0..1]

Definition: Date/time on which instructions to register or registration details will be accepted.

The **RegnDdIn** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

6.31.16 ResultsPublicationDate

XML Tag: RsltsPblctnDt

Presence: [0..1]

Definition: Date/time on which results are published, for example, results of an offer.

The **RsltsPblctnDt** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublicationDate](#)
 - **Market Practice Implementation Rule:**
Declared Publication Date and DTC BEO Publication date are mutually exclusive. Depending on the conditions such as certificated/non certificated issues it will be mapped from either date.
- on [seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublicationDate](#)
 - **Applicability:**
true
 - **REDEML Trace:**
Publication Date
 - **REDEMG Trace:**
Publication Date
 - **REDEMA Trace:**
Publication Date
 - **REDEM X Trace:**
Publication Date
 - **REDEMC Trace:**
Publication Date
- on [seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublicationDate](#)
Synonym (DTCC): DTC BEO Publication Date

- on **seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublicationDate**

Synonym (DTCC): Declared Publication Date

- on **seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublicationDate**

Comment:

Declared Publication Date: publication date as announced by the agent/issuer after which the results (for example drawing results) become available to public.

DTC BEO Publication Date: publication date used by DTC. For certificated issues this would be the same as declared publication date, for non-certificated issues this date would be event creation date + 1 business day.

6.31.17 DeadlineToSplit

XML Tag: DdlnToSplt

Presence: [0..1]

Definition: Deadline by which instructions must be received to split securities, for example, of physical certificates.

The **DdlnToSplit** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(**seev.031.001.15/CorporateActionDetails/DateDetails/DeadlineToSplit**) is removed.

6.31.18 DeadlineForTaxBreakdownInstruction

XML Tag: DdlnForTaxBrkdwnInstr

Presence: [0..1]

Definition: Date/time on until which tax breakdown instructions will be accepted.

The **DdlnForTaxBrkdwnInstr** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/DeadlineForTaxBreak-downInstruction) is removed.

6.31.19 TradingSuspendedDate

XML Tag: TradgSspdT

Presence: [0..1]

Definition: Date/time at which trading of a security is suspended as the result of an event.

The **TradgSspdT** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/TradingSuspendedDate) is removed.

6.31.20 UnconditionalDate

XML Tag: UcondIDt

Presence: [0..1]

Definition: Date/time upon which the terms of the take-over become unconditional as to acceptances.

The **UcondIDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/DateDetails/UnconditionalDate
Synonym (DTCC): Unconditional Date
- on seev.031.001.15/CorporateActionDetails/DateDetails/UnconditionalDate
Comment:
Date by which all conditions of the offer have been met.

6.31.21 WhollyUnconditionalDate

XML Tag: WhlyUcondIDt

Presence: [0..1]

Definition: Date/time at on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

The **WhlyUcondIDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/WhollyUnconditionalDate) is removed.

6.31.22 ExDividendDate

XML Tag: ExDvddDt

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

The **ExDvddDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/DateDetails/ExDividendDate](#)
Synonym (DTCC): Ex Date
- on [seev.031.001.15/CorporateActionDetails/DateDetails/ExDividendDate](#)
Comment:
Date on which security begins trading without entitlement.

6.31.23 OfficialAnnouncementPublicationDate

XML Tag: `OffclAnncmntPblctnDt`

Presence: [0..1]

Definition: Date/time at which the corporate action is legally announced by an official body, for example, publication by a governmental administration.

The **OffclAnncmntPblctnDt** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/DateDetails/OfficialAnnouncementPublicationDate](#)) is removed.

6.31.24 SpecialExDate

XML Tag: `SpclExDt`

Presence: [0..1]

Definition: Date/time from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as being traded cum or ex respectively, for example, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

The **SpclExDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/SpecialExDate) is removed.

6.31.25 GuaranteedParticipationDate

XML Tag: GrntedPrtcptnDt

Presence: [0..1]

Definition: Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

The **GrntedPrtcptnDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/GuaranteedParticipation-Date) is removed.

6.31.26 ElectionToCounterpartyMarketDeadline

XML Tag: ElctnToCtrPtyMktDdlIn

Presence: [0..1]

Definition: Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event, also known as Buyer Protection Deadline.

The **ElctnToCtrPtyMktDdlIn** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/ElectionToCounterparty-MarketDeadline) is removed.

6.31.27 ElectionToCounterpartyResponseDeadline

XML Tag: ElctnToCtrPtyRspnDdln

Presence: [0..1]

Definition: Date/time the account servicer has set as the deadline to respond, with instructions, prior to the election to counterparty market deadline.

The **ElctnToCtrPtyRspnDdln** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/ElectionToCounterpartyResponseDeadline) is removed.

6.31.28 LapsedDate

XML Tag: LpsdDt

Presence: [0..1]

Definition: Date/time at which an event/offer is terminated or lapsed.

The **LpsdDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/LapsedDate) is removed.

6.31.29 PaymentDate

XML Tag: PmtDt

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/DateDetails/PaymentDate](#)
 - **DTCC Payment Date Rule:**

Used when event does not have any options with payouts. If there are options and payouts, it will be mapped to Cash Movement or Securities Movement components.
- on [seev.031.001.15/CorporateActionDetails/DateDetails/PaymentDate](#)
 - **Applicability:**
true
 - **REDEMG Trace:**
Redemption Date; Distribution Date (for Default events)
 - **REDEML Trace:**
Redemption Date
- on [seev.031.001.15/CorporateActionDetails/DateDetails/PaymentDate](#)

Synonym (DTCC): Declared Payable Date
- on [seev.031.001.15/CorporateActionDetails/DateDetails/PaymentDate](#)

Comment:
Payable Date as announced by the issuer/ offeror on the market.

6.31.30 ThirdPartyDeadline

XML Tag: ThrdPtyDdln

Presence: [0..1]

Definition: Date/time by which the account owner must instruct directly another party, for example, to provide documentation to an issuer agent.

The **ThrdPtyDdln** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/ThirdPartyDeadline) is removed.

6.31.31 EarlyThirdPartyDeadline

XML Tag: EarlyThrdPtyDdln

Presence: [0..1]

Definition: Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party, possibly giving the holder eligibility to incentives. For example, to provide documentation to an issuer agent.

The **EarlyThrdPtyDdln** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/EarlyThirdPartyDeadline) is removed.

6.31.32 MarketClaimTrackingEndDate

XML Tag: MktCImTrckgEndDt

Presence: [0..1]

Definition: Date by which the depository stops monitoring activities of the event, for instance, accounting and tracking activities for due bills end.

The **MktCImTrckgEndDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/DateDetails/MarketClaimTrackingEndDate
Synonym (DTCC): Due Bill Redemption Date
- on seev.031.001.15/CorporateActionDetails/DateDetails/MarketClaimTrackingEndDate
Comment:
Date on which accounting for due bills and associated tracking begins.

6.31.33 LeadPlaintiffDeadline

XML Tag: LeadPlntffDdlIn

Presence: [0..1]

Definition: Last day an investor can become a lead plaintiff.

The **LeadPlntffDdlIn** block contains the following elements (see datatype "DateFormat43Choice__1" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

6.31.34 FilingDate

XML Tag: FilgDt

Presence: [0..1]

Definition: Date on which the action was filed at the applicable court.

The **FilgDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/DateDetails/FilingDate](#)

Synonym (DTCC): Filing Date

- on [seev.031.001.15/CorporateActionDetails/DateDetails/FilingDate](#)

Comment:

Date on which a company files a petitions for reorganisation under Chapter 11 of the United States Bankruptcy Code.

6.31.35 HearingDate

XML Tag: HrgDt

Presence: [0..1]

Definition: Date for the hearing between the plaintiff and defendant, as set by the court.

The **HrgDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on [page 276](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/DateDetails/HearingDate](#)

Synonym (DTCC): Hearing Date

- on [seev.031.001.15/CorporateActionDetails/DateDetails/HearingDate](#)

Comment:

Hearing date as set by the court, material to the shareholder / interested party as this may be the last opportunity to make a case against / for a settlement.

6.32 CorporateActionDate84__1

Definition: Specifies corporate action dates.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]		160
	ValueDate	<ValDt>		[0..1]		160

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForeignExchangeRateFixingDate	<FXRateFxdDt>		[0..1]		161
	EarliestPaymentDate	<EarlStPmtDt>		[0..1]		161

Used in element(s)

"DateDetails" on page 79

6.32.1 PaymentDate

XML Tag: PmtDt

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/PaymentDate
 - **Applicability:**
true
 - **REDEMG Trace:**
Redemption Date; Distribution Date (for Default events)
 - **REDEML Trace:**
Redemption Date
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/PaymentDate
Synonym (DTCC): Declared Payable Date
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/PaymentDate
Comment:
Payable date as announced by the issuer/ offeror on the market.

6.32.2 ValueDate

XML Tag: ValDt

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

The **ValDt** block contains the following elements (see datatype "[DateFormat57Choice__1](#)" on page 282 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		282
	DateCode	<DtCd>	Or}	[1..1]		283

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ValueDate) is removed.

6.32.3 ForeignExchangeRateFixingDate

XML Tag: FXRateFxdDt

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

The **FXRateFxdDt** block contains the following elements (see datatype "[DateFormat43Choice__1](#)" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate
Synonym (DTCC): DTC Currency Conversion Rate Date
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate
Comment:
 Date for which the DTC foreign exchange currency rate is applicable.

6.32.4 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

The **EarlstPmtDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on [page 276](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/Earliest-PaymentDate](#)
 - **Applicability:**
true
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/Earliest-PaymentDate](#)
Synonym (DTCC): DTC Anticipated Payment Date
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/Earliest-PaymentDate](#)
Comment:
Date on which DTC expects to receive funds for distribution from the issuer / offeror.

6.33 CorporateActionEventProcessingType2Choice__1

Definition: Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		163
	Proprietary	<Prtry>	Or}	[1..1]	🚫	163

Used in element(s)

["EventProcessingType"](#) on [page 170](#)

6.33.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the processing type of a corporate action event.

Datatype: "CorporateActionEventProcessingType1Code" on page 601

6.33.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the processing type of a corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/EventProcessingType/Proprietary) is removed.

6.34 CorporateActionEventReference3Choice__1

Definition: Choice between a corporate action identification or a corporate action official identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or	[1..1]		163
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		164

Used in element(s)

"EventIdentification" on page 165

6.34.1 LinkedOfficialCorporateActionEventIdentification

XML Tag: LkdOffclCorpActnEvtId

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/EventsLinkage/EventIdentification/LinkedOfficialCorporateActionEventIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 779](#)

- on [seev.031.001.15/EventsLinkage/EventIdentification/LinkedOfficialCorporateActionEventIdentification](#)

– **Market Practice Usage Rule:**

DTCC official corporate action identification (Official Reference CA ID) of the related event will be used for DTC eligible events.

- on [seev.031.001.15/EventsLinkage/EventIdentification/LinkedOfficialCorporateActionEventIdentification](#)

Comment:

Official Reference CA ID of the related event.

6.34.2 LinkedCorporateActionIdentification

XML Tag: LkdCorpActnId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 779](#)

- on [seev.031.001.15/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)

– **Market Practice Usage Rule:**

DTCC corporate action identification (CA ID) of the related event will be used for non DTC eligible events.

- on [seev.031.001.15/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)

Synonym (DTCC): Related Event ID

- on [seev.031.001.15/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)

Comment:

Event identification of the related event.

6.35 CorporateActionEventReference3__1

Definition: Identification of a linked corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		165
	LinkageType	<LkgTp>		[0..1]	⚠	165

Used in element(s)

"EventsLinkage" on page 30

6.35.1 EventIdentification

XML Tag: EvtId

Presence: [1..1]

Definition: Identification of the linked corporate action event.

The **EvtId** block contains the following elements (see datatype "[CorporateActionEventReference3Choice__1](#)" on page 163 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or	[1..1]	⚠	163
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]	⚠	164

6.35.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice__1](#)" on page 453 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		453
	Proprietary	<Prtry>	Or}	[1..1]		453

Usage Guideline details

- on [seev.031.001.15/EventsLinkage/LinkageType](#)
 - **Market Practice Implementation Rules:**
Populated when related event exists.
- on [seev.031.001.15/EventsLinkage/LinkageType](#)
 - **Market Practice Usage Rule:**
DTCC categorises linkage between events differently than ISO. DTCC defined link types are located in ServiceDataSD extension, LinkageType element, or can be viewed in browser. This tag will be used with default value INFO.
- on [seev.031.001.15/EventsLinkage/LinkageType](#)
Synonym (DTCC): Link Type

6.36 CorporateActionEventStageFormat13Choice__1

Definition: Choice between a standard code or proprietary code to specify the event stage type.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		166
	Proprietary	<Prtry>	Or}	[1..1]		166

Used in element(s)

["EventStage" on page 96](#)

6.36.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: ["CorporateActionEventStage3Code" on page 602](#)

6.36.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.37 CorporateActionEventStatus1

Definition: The process of notifying of an upcoming corporate action. It provides corporate action details including the different options.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		167
	EventConfirmationStatus	<EvtConfSts>		[1..1]		167

Used in element(s)

"[Code](#)" on [page 236](#)

6.37.1 EventCompletenessStatus

XML Tag: EvtCmpltnsSts

Presence: [1..1]

Definition: Indicates whether the details provided about an event are complete or incomplete.

Datatype: "[EventCompletenessStatus1Code](#)" on [page 684](#)

6.37.2 EventConfirmationStatus

XML Tag: EvtConfSts

Presence: [1..1]

Definition: Indicates the status of the occurrence of an event.

Datatype: "[EventConfirmationStatus1Code](#)" on [page 685](#)

6.38 CorporateActionEventType107Choice__1

Definition: Choice between formats to express the corporate event type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		168
	Proprietary	<Prtry>	Or}	[1..1]	🚫	168

Rules

R43 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

Error handling: Undefined

Used in element(s)

["EventType" on page 171](#)

6.38.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: ["CorporateActionEventType35Code" on page 605](#)

6.38.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/EventType/Proprietary) is removed.

6.39 CorporateActionGeneralInformation176__1

Definition: General information about the corporate action event.

⓪ Removed - ⓧ Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]	⚠	169
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]	⚠	170
	ClassActionNumber	<ClsActnNb>		[0..1]	⚠	170
	EventProcessingType	<EvtPrcgTp>		[0..1]	⚠	170
	EventType	<EvtTp>		[1..1]	⚠	171
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>		[1..1]	⚠	172
	UnderlyingSecurity	<UndrlygScty>		[1..1]	⚠	173

Rules

R42 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

Error handling: Undefined

Used in element(s)

"CorporateActionGeneralInformation" on page 30

6.39.1 CorporateActionEventIdentification

XML Tag: CorpActnEvtId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 779](#)

6.39.2 OfficialCorporateActionEventIdentification

XML Tag: OffclCorpActnEvtId

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 779](#)

6.39.3 ClassActionNumber

XML Tag: ClssActnNb

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: ["RestrictedFINXMax16Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/ClassActionNumber](#)
Type changed to: [RestrictedFINXMax16Text on page 779](#)
- on [seev.031.001.15/CorporateActionGeneralInformation/ClassActionNumber](#)
 - **Annotation:** (empty)
- on [seev.031.001.15/CorporateActionGeneralInformation/ClassActionNumber](#)
Synonym (DTCC): Case Number

6.39.4 EventProcessingType

XML Tag: EvtPrcgTp

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

The **EvtPrctgTp** block contains the following elements (see datatype "[CorporateActionEventProcessingType2Choice__1](#)" on page 162 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		163
	Proprietary	<Prtry>	Or}	[1..1]	🚫	163

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/EventProcessingType](#)
 - **Market Practice Usage Rule:**

Will be used based on DTC categorisation of events. DTC distinguishes Distribution, Reorganisation, General and Redemption (not currently in standard message) event groups. Redemptions value will be extended in supplementary data.

6.39.5 EventType

XML Tag: EvtTp

Presence: [1..1]

Definition: Type of corporate action event.

The **EvtTp** block contains the following elements (see datatype "[CorporateActionEvent-Type107Choice__1](#)" on page 167 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		168
	Proprietary	<Prtry>	Or}	[1..1]	🚫	168

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/EventType](#)
 - **DTCC Event Type Rule:**

DTCC event type scenarios are defined more granular than ISO in some cases, so it is possible for multiple events to use same ISO Event Type code. If this occurs other tags within the message will help to uniquely identify the event scenario, or Event Type codes can be found in the extension. Please refer to the DTCC Corporate Action Announcement Dictionary for complete DTCC Event Types matrix.

- on **seev.031.001.15/CorporateActionGeneralInformation/EventType**
 - **Applicability:**
true
 - **REDEMG Trace:**
Activity Type (derived from)
- on **seev.031.001.15/CorporateActionGeneralInformation/EventType**
Synonym (DTCC): Event Type
- on **seev.031.001.15/CorporateActionGeneralInformation/EventType**
Comment:
Type of corporate action event. (e.g., Exchange Offer, Final Paydown).

6.39.6 MandatoryVoluntaryEventType

XML Tag: MndtryVlntryEvtTp

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

The **MndtryVlntryEvtTp** block contains the following elements (see datatype "[CorporateActionMandatoryVoluntary3Choice__1](#)" on page 174 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		174
	Proprietary	<Prtry>	Or}	[1..1]		174

Usage Guideline details

- on **seev.031.001.15/CorporateActionGeneralInformation/MandatoryVoluntaryEventType**
 - **Market Practice Implementation Rule:**

The value is determined by the following rule: Issuer (market announced) and DTC processing values for mandatory voluntary event type are compared and highest "chosable" value is determined. For example if MAND and CHOS were evaluated, CHOS would prevail, if CHOS and VOLU were evaluated VOLU would prevail.
- on **seev.031.001.15/CorporateActionGeneralInformation/MandatoryVoluntaryEventType**
Synonym (DTCC): DTC Mandatory/ Voluntary
- on **seev.031.001.15/CorporateActionGeneralInformation/MandatoryVoluntaryEventType**
Synonym (DTCC): Declared Mandatory/ Voluntary
- on **seev.031.001.15/CorporateActionGeneralInformation/MandatoryVoluntaryEventType**
Comment:
Specifies the nature of the event as announced by the agent or issuer and processed by DTC.

6.39.7 UnderlyingSecurity

XML Tag: UndrlygScty

Presence: [1..1]

Definition: Security concerned by the corporate action.

The **UndrlygScty** block contains the following elements (see datatype "FinancialInstrumentAttributes128__1" on page 301 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		302
	PlaceOfListing	<PlcOfListg>		[0..1]		303
	DayCountBasis	<DayCntBsis>		[0..1]		303
	ClassificationType	<ClssfctnTp>		[0..1]		304
	OptionStyle	<OptnStyle>		[0..1]		304
	DenominationCurrency	<DnmtnCcy>		[0..1]		305
	NextCouponDate	<NxtCpnDt>		[0..1]		305
	ExpiryDate	<XpryDt>		[0..1]		305
	FloatingRateFixingDate	<FltgRateFxdt>		[0..1]		306
	MaturityDate	<MtrtyDt>		[0..1]		306
	IssueDate	<IsseDt>		[0..1]		306
	NextCallableDate	<NxtClblDt>		[0..1]		307
	PutableDate	<PutblDt>		[0..1]		307
	DatedDate	<DtdDt>		[0..1]		307
	ConversionDate	<ConvsDt>		[0..1]		307
	InterestRate	<IntrstRate>		[0..1]		308
	NextInterestRate	<NxtIntrstRate>		[0..1]		308
	PercentageOfDebtClaim	<PctgOfDebtClm>		[0..1]		309
	PreviousFactor	<PrvsFctr>		[0..1]		309
	NextFactor	<NxtFctr>		[0..1]		310
	WarrantParity	<WarrtParity>		[0..1]		310
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]		311
	ContractSize	<CtrctSz>		[0..1]		311

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity](#)
Synonym (DTCC): Event Security ID and Type

6.40 CorporateActionMandatoryVoluntary3Choice__1

Definition: Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		174
	Proprietary	<Prtry>	Or}	[1..1]		174

Used in element(s)

"MandatoryVoluntaryEventType" on page 172

6.40.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

Datatype: "CorporateActionMandatoryVoluntary1Code" on page 648

6.40.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of an event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/MandatoryVoluntaryEvent-Type/Proprietary) is removed.

6.41 CorporateActionNarrative58__1

Definition: Provides additional information such as the taxation conditions.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Offeror	<Offerr>		[0..*]	 R[0..1]	175
	NewCompanyName	<NewCpnyNm>		[0..1]		176
	URLAddress	<URLAdr>		[0..*]		176
	EventProcessingWebSiteAddress	<EvtPrcgWebSiteAdr>		[0..1]		176

Rules

R72 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

Error handling: Undefined

Used in element(s)

["AdditionalInformation" on page 102](#)

6.41.1 Offeror

XML Tag: Offerr

Presence: [0..*]

Definition: Provides the entity making the offer and is different from the issuing company.

Datatype: ["RestrictedFINXMax350Text" on page 780](#)

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/AdditionalInformation/Offeror
This element has change repeatability, the maximum occurrence has been changed to : 1
- on seev.031.001.15/CorporateActionDetails/AdditionalInformation/Offeror
Type changed to: [RestrictedFINXMax350Text on page 780](#)
- on seev.031.001.15/CorporateActionDetails/AdditionalInformation/Offeror
Synonym (DTCC): Offeror Name

- on [seev.031.001.15/CorporateActionDetails/AdditionalInformation/Offeror](#)

Comment:

Offeror of the event.

6.41.2 NewCompanyName

XML Tag: NewCpnyNm*Presence:* [0..1]*Definition:* Provides the new name of a company following a name change.*Datatype:* "RestrictedFINXMax350Text" on page 780**Usage Guideline details**

- on [seev.031.001.15/CorporateActionDetails/AdditionalInformation/NewCompanyName](#)

Type changed to: [RestrictedFINXMax350Text on page 780](#)

6.41.3 URLAddress

XML Tag: URLAdr*Presence:* [0..*]*Definition:* Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.The **URLAdr** block contains the following elements (see datatype "[UpdatedURLInformation6__1](#)" on page 570 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		570
	URLAddress	<URLAdr>		[1..1]		570

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/AdditionalInformation/URLAddress](#)) is removed.

6.41.4 EventProcessingWebSiteAddress

XML Tag: EvtPrcgWebSiteAdr*Presence:* [0..1]*Definition:* Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

Datatype: "RestrictedFINZMax2048Text" on page 781

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/AdditionalInformation/EventProcessingWebSiteAddress](#)
Type changed to: [RestrictedFINZMax2048Text on page 781](#)
- on [seev.031.001.15/CorporateActionDetails/AdditionalInformation/EventProcessingWebSiteAddress](#)
 - Annotation: (empty)
- on [seev.031.001.15/CorporateActionDetails/AdditionalInformation/EventProcessingWebSiteAddress](#)
Synonym (DTCC): Vendor Summary of Payment

6.42 CorporateActionNarrative59__1

Definition: Provides additional information such as the taxation conditions.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]		178
	NarrativeVersion	<NrrtvVrsn>		[0..*]		178
	InformationConditions	<InfCnds>		[0..*]		179
	InformationToComplyWith	<InfToCmplyWth>		[0..*]		179
	SecurityRestriction	<SctyRstrctn>		[0..*]		179
	TaxationConditions	<TaxtnCnds>		[0..*]		180
	Disclaimer	<Dsclmr>		[0..*]		180
	CertificationBreakdown	<CertfctnBrkdwn>		[0..*]		181

Rules

R115 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

Used in element(s)

["AdditionalInformation" on page 207](#)

6.42.1 AdditionalText

XML Tag: AddtlTxt

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

The **AddtlTxt** block contains the following elements (see datatype "UpdatedAdditionalInformation19__1" on page 564 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]	⚠	564
	AdditionalInformation	<AddtlInf>		[1..1]	⚠	565

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/AdditionalText](#)
 - **Market Practice Implementation Rule:**
Used if option type is unknown.

6.42.2 NarrativeVersion

XML Tag: NrrtvVrsn

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

The **NrrtvVrsn** block contains the following elements (see datatype "UpdatedAdditionalInformation19__1" on page 564 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]	⚠	564
	AdditionalInformation	<AddtlInf>		[1..1]	⚠	565

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/NarrativeVersion](#)
Synonym (DTCC): Option Text
- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/NarrativeVersion](#)

Comment:

Details that provide summary information about the option. Provides parsed text from DTC legacy comments applicable to the option.

6.42.3 InformationConditions

XML Tag: InfConds

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

The **InfConds** block contains the following elements (see datatype "[UpdatedAdditionalInformation21__1](#)" on page 568 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		569
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	569

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/InformationConditions) is removed.

6.42.4 InformationToComplyWith

XML Tag: InfToCmplyWith

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

The **InfToCmplyWith** block contains the following elements (see datatype "[UpdatedAdditionalInformation21__1](#)" on page 568 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]	⚠	569
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	569

6.42.5 SecurityRestriction

XML Tag: SctyRstrctn

Presence: [0..*]

Definition: Provides restriction(s) on securities.

The **SctyRstrctn** block contains the following elements (see datatype "UpdatedAdditionalInformation21__1" on page 568 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]	⚠	569
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	569

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/SecurityRestriction](#)
Synonym (DTCC): Disbursed Security Restriction Text
- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/SecurityRestriction](#)
Comment:
Narrative explaining the reason why the disbursed security cannot be held at DTC, resulting in positions being exited. Sourced from DTC legacy comments on REORGN.

6.42.6 TaxationConditions

XML Tag: TaxtnConds

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

The **TaxtnConds** block contains the following elements (see datatype "UpdatedAdditionalInformation21__1" on page 568 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		569
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	569

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/Taxation-Conditions](#)) is removed.

6.42.7 Disclaimer

XML Tag: Dsclmr

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

The **Dsclmr** block contains the following elements (see datatype "[UpdatedAdditionalInformation21__1](#)" on page 568 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		569
	AdditionalInformation	<AddtlInf>		[1..*]		569

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/Disclaimer](#)
Synonym (DTCC): Disclaimer Text
- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/Disclaimer](#)
Comment:
 Provides option's disclaimer text.

6.42.8 CertificationBreakdown

XML Tag: CertfctnBrkdwn

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

The **CertfctnBrkdwn** block contains the following elements (see datatype "[UpdatedAdditionalInformation21__1](#)" on page 568 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		569
	AdditionalInformation	<AddtlInf>		[1..*]		569

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/CertificationBreakdown](#)) is removed.

6.43 CorporateActionNarrative60__1

Definition: Provides additional information such as the taxation conditions.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]	⚠	182
	NarrativeVersion	<NrrtvVrsn>		[0..*]	⚠	183
	InformationConditions	<InfConds>		[0..*]	⚠	184
	InformationToComplyWith	<InfToCmplyWth>		[0..*]	⚠	184
	TaxationConditions	<TaxtnConds>		[0..*]	🚫	185
	Disclaimer	<Dsclmr>		[0..*]	🚫	185
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]		186
	RegistrationDetails	<RegnDtls>		[0..*]	🚫	186
	BasketOrIndexInformation	<BsktOrIndxInf>		[0..*]	🚫	187
	CertificationBreakdown	<CertfctnBrkdwn>		[0..*]	🚫	187
	URLAddress	<URLAdr>		[0..*]		187
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]	🚫	188

Rules

R116 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

Used in element(s)

"AdditionalInformation" on page 35

6.43.1 AdditionalText

XML Tag: AddtlTxt

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

The **AddtlTxt** block contains the following elements (see datatype "UpdatedAdditionalInformation20_1" on page 565 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]		566

Usage Guideline details

- on **seev.031.001.15/AdditionalInformation/AdditionalText**
 - **Applicability:**
true
- on **seev.031.001.15/AdditionalInformation/AdditionalText**
Synonym (DTCC): Text (Comments)
- on **seev.031.001.15/AdditionalInformation/AdditionalText**
Comment:
Provides DTC specific processing information related to the event, special processing instructions, etc. entered by DTC operations.

6.43.2 NarrativeVersion

XML Tag: NrtrtVrsn

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

The **NrtrtVrsn** block contains the following elements (see datatype "UpdatedAdditionalInformation20_1" on page 565 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]		566

Usage Guideline details

- on **seev.031.001.15/AdditionalInformation/NarrativeVersion**
 - **Applicability:**
true
 - **REDEMC Trace:**
Comment Text
- on **seev.031.001.15/AdditionalInformation/NarrativeVersion**
Synonym (DTCC): Text (Vendor)

- on [seev.031.001.15/AdditionalInformation/NarrativeVersion](#)

Comment:

Provides text supplied by a data vendor. Derived from DTC legacy processing comments.

6.43.3 InformationConditions

XML Tag: InfConds

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

The **InfConds** block contains the following elements (see datatype "[UpdatedAdditionalInformation20__1](#)" on page 565 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]		566

Usage Guideline details

- on [seev.031.001.15/AdditionalInformation/InformationConditions](#)

Synonym (DTCC): Text (Terms)

- on [seev.031.001.15/AdditionalInformation/InformationConditions](#)

Comment:

Provides terms of the offer / event as announced. Derived from DTC legacy comments.

6.43.4 InformationToComplyWith

XML Tag: InfToCmplyWth

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

The **InfToCmplyWth** block contains the following elements (see datatype "[UpdatedAdditionalInformation20__1](#)" on page 565 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		566

Usage Guideline details

- on seev.031.001.15/AdditionalInformation/InformationToComplyWith
Synonym (DTCC): Text (Restrictions)
- on seev.031.001.15/AdditionalInformation/InformationToComplyWith
Comment:
Provides description of the restrictions placed on the event by the issuer (e.g., on event participation) as announced. Derived from DTC legacy Comments.

6.43.5 TaxationConditions

XML Tag: TaxtnConds

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

The **TaxtnConds** block contains the following elements (see datatype "UpdatedAdditionalInformation20__1" on page 565 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]		566

Usage Guideline details

- This element(seev.031.001.15/AdditionalInformation/TaxationConditions) is removed.

6.43.6 Disclaimer

XML Tag: Dsclmr

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

The **Dsclmr** block contains the following elements (see datatype "UpdatedAdditionalInformation20__1" on page 565 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]		566

Usage Guideline details

- This element(seev.031.001.15/AdditionalInformation/Disclaimer) is removed.

6.43.7 PartyContactNarrative

XML Tag: PtyCtctNrrtv

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

The **PtyCtctNrrtv** block contains the following elements (see datatype "UpdatedAdditionalInformation20__1" on page 565 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]		566

6.43.8 RegistrationDetails

XML Tag: RegnDtls

Presence: [0..*]

Definition: Provides information required for the registration.

The **RegnDtls** block contains the following elements (see datatype "UpdatedAdditionalInformation20__1" on page 565 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]		566

Usage Guideline details

- This element(seev.031.001.15/AdditionalInformation/RegistrationDetails) is removed.

6.43.9 BasketOrIndexInformation

XML Tag: BsktOrIndxInf

Presence: [0..*]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

The **BsktOrIndxInf** block contains the following elements (see datatype "UpdatedAdditionalInformation20__1" on page 565 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	566

Usage Guideline details

- This element(seev.031.001.15/AdditionalInformation/BasketOrIndexInformation) is removed.

6.43.10 CertificationBreakdown

XML Tag: CertfctnBrkdwn

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

The **CertfctnBrkdwn** block contains the following elements (see datatype "UpdatedAdditionalInformation20__1" on page 565 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	566

Usage Guideline details

- This element(seev.031.001.15/AdditionalInformation/CertificationBreakdown) is removed.

6.43.11 URLAddress

XML Tag: URLAdr

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

The **URLAdr** block contains the following elements (see datatype "UpdatedURLInformation6__1" on page 570 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		570
	URLAddress	<URLAdr>		[1..1]	⚠	570

6.43.12 ProcessingTextForNextIntermediary

XML Tag: PrcgTxtForNxtIntrmy

Presence: [0..*]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

The **PrcgTxtForNxtIntrmy** block contains the following elements (see datatype "UpdatedAdditionalInformation20__1" on page 565 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	566

Usage Guideline details

- This element(seev.031.001.15/AdditionalInformation/ProcessingTextForNextIntermediary) is removed.

6.44 CorporateActionNotification9__1

Definition: Corporate action event notification status and contents.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationIdentification	<NtfctnId>		[0..1]	⚠	189
	NotificationType	<NtfctnTp>		[1..1]	⚠	189
	ProcessingStatus	<PrcgSts>		[1..1]	⚠	189

Rules

R39 NotificationTypeGuideline

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

Error handling: Undefined

Used in element(s)

["NotificationGeneralInformation" on page 28](#)

6.44.1 NotificationIdentification

XML Tag: NtfctnId

Presence: [0..1]

Definition: Unique identification of the group of corporate action notification messages when the notification is split in multiple (paginated) messages.

Datatype: ["RestrictedFINXMax16Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/NotificationGeneralInformation/NotificationIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 779](#)

6.44.2 NotificationType

XML Tag: NtfctnTp

Presence: [1..1]

Definition: Specifies the type of notification.

Datatype: ["CorporateActionNotificationType1Code" on page 649](#)

Usage Guideline details

- on [seev.031.001.15/NotificationGeneralInformation/NotificationType](#)
 - **Market Practice Usage Rule:**
 DTCC will only use new (NEWM) and replacement (REPL).

6.44.3 ProcessingStatus

XML Tag: PrcgSts

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

The **PrcgSts** block contains the following elements (see datatype ["CorporateActionProcessingStatus5Choice__1" on page 236](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		236
	Proprietary	<Prtry>	Or}	[1..1]		236

Usage Guideline details

- on seev.031.001.15/NotificationGeneralInformation/ProcessingStatus

- DTCC Processing Status Rule:

Derived from DTCC's "Declared Event Status". DTCC recognises 12 workflow status values based on validation service criteria business model:

1. Approved (COMP/CONF)
2. Conditionally Approved (INCO/CONF)
3. Cancelled (reported in Cancellation Advice Message)
4. In Conflict (INCO/UCON)
5. Deleted (reported in Cancellation Advice Message)
6. Incomplete (INCO/UCON)
7. Not Supported by the GCA Service (applies to GCA events, COMP/CONF)
8. Pending Approval (INCO/UCON)
9. Pending Cancelled (INCO/UCON)
10. Pending Conditionally Approved (INCO/UCON)
11. Pending Deleted (INCO/UCON)
12. Pending Not Supported (INCO/UCON)

6.45 CorporateActionOption236__1

Definition: Provides information about the corporate action option.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		193
	OptionType	<OptnTp>		[1..1]		194
	FractionDisposition	<FrctnDspstn>		[0..1]		194
	OfferType	<OfferTp>		[0..*]		195
	OptionFeatures	<OptnFeatrs>		[0..*]		195
	OptionAvailabilityStatus	<OptnAvlbtYsts>		[0..1]		195
	AcceptancePriorityLevel	<AccptncPrtyLvl>		[0..1]		196
	CertificationBreakdownType	<CertfctnBrkdwnTp>		[0..*]		196
	BidRangeType	<BidRgTp>		[0..1]		197
	ProrationBelowMinimumQuantity	<PrratnBlwMinQty>		[0..1]		197

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NonDomicileCountry	<NonDmclCtry>		[0..*]		198
	ValidDomicileCountry	<VldDmclCtry>		[0..*]		198
	CurrencyOption	<CcyOptn>		[0..1]		198
	DefaultProcessingOrStandingInstruction	<DfltPrcgOrStgInstr>		[1..1]		199
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]		199
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		200
	WithdrawalAllowedIndicator	<WdrwlAllwdInd>		[0..1]		200
	ChangeAllowedIndicator	<ChngAllwdInd>		[0..1]		201
	AppliedOptionIndicator	<ApldOptnInd>		[0..1]		201
	FinancialInstrumentIdentification	<FinInstrmId>		[0..1]		201
	DateDetails	<DtDtls>		[0..1]		202
	PeriodDetails	<PrdDtls>		[0..1]		203
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		203
	PriceDetails	<PricDtls>		[0..1]		204
	SecuritiesQuantity	<SctiesQty>		[0..1]		205
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		205
	CashMovementDetails	<CshMvmntDtls>		[0..*]		206
	AdditionalInformation	<AddtlInf>		[0..1]		207

Rules

R73 AdditionalTextRule ✓

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00176
- *Error Text:* Invalid message content forAdditionalInformation

R74 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

Error handling: Undefined

R75 OptionTypeUnknownProceedsUsageRule

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

Error handling: Undefined

R76 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R77 InstructCashAmountRule ✓

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00417
- *Error Text:* Invalid message content for OptionFeatures QCAS (Instruct Cash Amount)

R78 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling: Undefined

R79 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling: Undefined

R80 DissenterRights1Rule ✓

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00542
- *Error Text:* Invalid message contents for OfferType. Either code value DISS or NDISS is allowed, not both.

R81 DissenterRights2Rule ✓

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00564
- *Error Text:* Invalid message contents for OfferType. Either code value DISS or NDISS is allowed, not both.

R82 AcceptancePriorityLevelUGRule

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

Error handling: Undefined

Guidelines

1. OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

Used in element(s)

"CorporateActionOptionDetails" on page 33

6.45.1 OptionNumber

XML Tag: OptnNb

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 686

Usage Guideline details

- **on seev.031.001.15/CorporateActionOptionDetails/OptionNumber**
 - **Market Practice Implementation Rule:**

DTCC declares Option 0 (usually Abstain or No Action) for true voluntary events. Option 0 in Browser will be published as Option Number 999.
- **on seev.031.001.15/CorporateActionOptionDetails/OptionNumber**

Synonym (DTCC): Option Number

- on [seev.031.001.15/CorporateActionOptionDetails/OptionNumber](#)

Comment:

Number that uniquely identifies an option within an event.

6.45.2 OptionType

XML Tag: OptnTp

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

The **OptnTp** block contains the following elements (see datatype "[CorporateActionOption37Choice__1](#)" on [page 208](#) for details)

⊘ Removed - ⊘ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		208
	Proprietary	<Prtry>	Or}	[1..1]	⚠	208

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/OptionType](#)

Synonym (DTCC): Option Type

- on [seev.031.001.15/CorporateActionOptionDetails/OptionType](#)

Comment:

Describes the type of option associated with the event (e.g., retain, sell).

6.45.3 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "[FractionDisposition-Type26Choice__1](#)" on [page 356](#) for details)

⊘ Removed - ⊘ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		356
	Proprietary	<Prtry>	Or}	[1..1]	⊘	357

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/FractionDisposition) is removed.

6.45.4 OfferType

XML Tag: OfferTp

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

The **OfferTp** block contains the following elements (see datatype "OfferTypeFormat14Choice__1" on page 411 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		412
	Proprietary	<Prtry>	Or}	[1..1]		412

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/OfferType) is removed.

6.45.5 OptionFeatures

XML Tag: OptnFeatrs

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

The **OptnFeatrs** block contains the following elements (see datatype "OptionFeaturesFormat28Choice__1" on page 413 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		413
	Proprietary	<Prtry>	Or}	[1..1]		414

6.45.6 OptionAvailabilityStatus

XML Tag: OptnAvlbtySts

Presence: [0..1]

Definition: Specifies the status of the option.

The **OptnAvlbtys** block contains the following elements (see datatype "OptionAvailabilityStatus3Choice__1" on page 412 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		412
	Proprietary	<Prtry>	Or}	[1..1]	🚫	413

Usage Guideline details

- on **seev.031.001.15/CorporateActionOptionDetails/OptionAvailabilityStatus**
 - **Market Practice Usage Rule:**

Indicates the option has been marked as deleted by validation service.
- on **seev.031.001.15/CorporateActionOptionDetails/OptionAvailabilityStatus**

Synonym (DTCC): DTC Option Status (Deleted)
- on **seev.031.001.15/CorporateActionOptionDetails/OptionAvailabilityStatus**

Comment:
Deleted status of the specified option.

6.45.7 AcceptancePriorityLevel

XML Tag: AccptncPrtyLvl

Presence: [0..1]

Definition: Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

Datatype: "Exact3UpperCaseAlphaNumericText" on page 686

Usage Guideline details

- **This element(seev.031.001.15/CorporateActionOptionDetails/AcceptancePriorityLevel) is removed.**

6.45.8 CertificationBreakdownType

XML Tag: CertfctnBrkdwnTp

Presence: [0..*]

Definition: Type of certification/breakdown.

The **CertfctnBrkdwnTp** block contains the following elements (see datatype "BeneficiaryCertification-Type13Choice__1" on page 65 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		65
	Proprietary	<Prtry>	Or}	[1..1]		65

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType](#)
 - **Market Practice Usage Rule:**
Used when the beneficial accounting info required for optional dividends.
- on [seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType](#)
 - **Applicability:**
true

6.45.9 BidRangeType

XML Tag: BidRgTp

Presence: [0..1]

Definition: Specifies the type of acceptable bid range values.

The **BidRgTp** block contains the following elements (see datatype "[BidRangeType1Choice__1](#)" on page 66 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		66
	Proprietary	<Prtry>	Or}	[1..1]		66

6.45.10 ProrationBelowMinimumQuantity

XML Tag: PrratnBlwMinQty

Presence: [0..1]

Definition: Specifies how instructions prorated below the minimum quantity to instruct will be processed.

The **PrratnBlwMinQty** block contains the following elements (see datatype "[ProrationBelowMinimumQuantity2Choice__1](#)" on page 461 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		462
	Proprietary	<Prtry>	Or}	[1..1]		462

6.45.11 NonDomicileCountry

XML Tag: NonDmclCtry

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Datatype: "CountryCode" on page 664

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/NonDomicileCountry) is removed.

6.45.12 ValidDomicileCountry

XML Tag: VldDmclCtry

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Datatype: "CountryCode" on page 664

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/ValidDomicileCountry) is removed.

6.45.13 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Datatype: "ActiveCurrencyCode" on page 572

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CurrencyOption
 - **Applicability:**
true
 - **REDEMG Trace:**

Foreign Currency Type (or default to USD)

- on seev.031.001.15/CorporateActionOptionDetails/CurrencyOption
Synonym (DTCC): Sub Event Type (Currency Election)
- on seev.031.001.15/CorporateActionOptionDetails/CurrencyOption
Synonym (DTCC Distributions): Declared Cash Rate (Currency)
- on seev.031.001.15/CorporateActionOptionDetails/CurrencyOption
Synonym (DTCC Distributions): DTC Cash Rate (Currency)
- on seev.031.001.15/CorporateActionOptionDetails/CurrencyOption
Synonym (DTCC Distributions): Declared Gross Rate (Currency)
- on seev.031.001.15/CorporateActionOptionDetails/CurrencyOption

Comment:

Used to distinguish a currency on the events where one of the options is available in foreign (non U.S.) currency in this case the Sub Event Type of an event will be "Currency Election". Also, used to announce currency only when rates are not established yet for the payout. Mapped from whichever rate has currency available.

6.45.14 DefaultProcessingOrStandingInstruction

XML Tag: DfltPrcgOrStgInstr

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

The **DfltPrcgOrStgInstr** block contains the following elements (see datatype "[DefaultProcessingOrStandingInstruction2Choice](#)" on page 285 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DefaultOptionIndicator	<DfltOptnInd>	{Or	[1..1]	⚠	285
	StandingInstructionIndicator	<StgInstrInd>	Or}	[1..1]	🚫	286

6.45.15 ChargesAppliedIndicator

XML Tag: ChrgsApldInd

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: "[YesNoIndicator](#)" on page 785

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/ChargesAppliedIndicator](#)) is removed.

6.45.16 CertificationBreakdownIndicator

XML Tag: CertfctnBrkdwnInd

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: ["YesNoIndicator"](#) on page 785

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownIndicator](#)
 - **Market Practice Usage Rule:**

Used in conjunction with CertificationType tag that provides details on what kind of certification is required.
- on [seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownIndicator](#)
 - **Market Practice Implementation Rules:**

Populated only when the value is "Yes".
- on [seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownIndicator](#)

Synonym (DTCC): Documentation Required Flag (Yes)
- on [seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownIndicator](#)

Synonym (DTCC): Beneficial Owner Details Flag (Yes)
- on [seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownIndicator](#)

Synonym (DTCC): DTC Beneficial Owner Details Flag (Yes)
- on [seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownIndicator](#)

Comment:
Documentation Required Flag: indicates whether documentation is required to submit instruction for an option.
DTC Beneficial Owner Details Flag: indicates whether the beneficial owner details are required by DTCC to submit instructions.
Beneficial Owner Details Flag: indicates whether beneficial owner-level details are required by the issuer to submit instructions.

6.45.17 WithdrawalAllowedIndicator

XML Tag: WdrwlAllwdInd

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: ["YesNoIndicator" on page 785](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/WithdrawalAllowedIndicator](#)
 - **Market Practice Implementation Rules:**

Populated when the flag value is "Yes".
- on [seev.031.001.15/CorporateActionOptionDetails/WithdrawalAllowedIndicator](#)

Synonym (DTCC): Withdrawal Privilege Flag
- on [seev.031.001.15/CorporateActionOptionDetails/WithdrawalAllowedIndicator](#)

Comment:
Indicates whether withdrawal rights are permitted on the option.

6.45.18 ChangeAllowedIndicator

XML Tag: ChngAllwdInd

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: ["YesNoIndicator" on page 785](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/ChangeAllowedIndicator](#)) is removed.

6.45.19 AppliedOptionIndicator

XML Tag: ApldOptnInd

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: ["YesNoIndicator" on page 785](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/AppliedOptionIndicator](#)) is removed.

6.45.20 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [0..1]

Definition: Identifies the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19__1" on page 552 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		553
	OtherIdentification	<OthrId>		[0..*]		554
	Description	<Desc>		[0..1]	⚠	555

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification
 - **Market Practice Usage Rule:**

Only DTC issued contra cusip (for Tender events) will be used in this tag.
- on seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification

Synonym (DTCC): Contra CUSIP
- on seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification

Comment:
DTC issued user CUSIP associated with the event for the purpose of segregating positions.

6.45.21 DateDetails

XML Tag: DtDtls

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

The **DtDtls** block contains the following elements (see datatype "CorporateActionDate104__1" on page 130 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EarlyResponseDeadline	<EarlyRspnDdln>		[0..1]	⚠	131
	CoverExpirationDeadline	<CoverXprtnDdln>		[0..1]	⚠	131
	ProtectDeadline	<PrtctDdln>		[0..1]	⚠	132
	MarketDeadline	<MktDdln>		[0..1]	⚠	132

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ResponseDeadline	<RspnDdln>		[0..1]	⚠	133
	ExpiryDate	<XpryDt>		[0..1]	⚠	134
	SubscriptionCostDebitDate	<SbcptCostDbtDt>		[0..1]	⚠	135
	DepositoryCoverExpirationDate	<DpstryCoverXprtnDt>		[0..1]	⚠	136
	StockLendingDeadline	<StockLndgDdln>		[0..1]	🚫	137
	BorrowerStockLendingDeadline	<BrrwrStockLndgDdln>		[0..*]	🚫	137
	EndOfSecuritiesBlockingPeriod	<EndOfSctiesBlckgPrd>		[0..1]	🚫	138
	DocumentationDeadline	<DcmnttnDdln>		[0..1]	🚫	138

6.45.22 PeriodDetails

XML Tag: PrdDtls

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

The **PrdDtls** block contains the following elements (see datatype "[CorporateActionPeriod12](#)" on page 209 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceCalculationPeriod	<PricClctnPrd>		[0..1]	🚫	209
	ParallelTradingPeriod	<ParllTradgPrd>		[0..1]	🚫	209
	ActionPeriod	<ActnPrd>		[0..1]	⚠	210
	RevocabilityPeriod	<RvcbltyPrd>		[0..1]	⚠	211
	PrivilegeSuspensionPeriod	<PrvlgSspnsnPrd>		[0..1]	⚠	211
	AccountServicerRevocabilityPeriod	<AcctSvcrRvcbltyPrd>		[0..1]	⚠	212
	DepositorySuspensionPeriodForWithdrawal	<DpstrySspnsnPrdForW-drw>		[0..1]	⚠	213

6.45.23 RateAndAmountDetails

XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

The **RateAndAmtDtls** block contains the following elements (see datatype "[CorporateActionRate126__1](#)" on page 249 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		251
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		252
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		253
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		253
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrsbcptRate>		[0..1]		254
	ProrationRate	<PrratnRate>		[0..1]		255
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		255
	SecondLevelTax	<ScndLvITax>		[0..*]		256
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]		256
	IssuerDeclaredExchangeRate	<IssrDclrdXchgRate>		[0..1]		257
	TaxOnIncome	<TaxOnIncm>		[0..1]		257
	BidInterval	<BidIntrvl>		[0..1]		258

6.45.24 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "CorporateActionPrice90__1" on page 230 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		231
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		231
	MaximumCashToInstruct	<MaxCshToInst>		[0..1]		232
	MinimumCashToInstruct	<MinCshToInst>		[0..1]		232
	MinimumMultipleCashToInstruct	<MinMltplCshToInst>		[0..1]		233
	MaximumPrice	<MaxPric>		[0..1]		233
	MinimumPrice	<MinPric>		[0..1]		234
	FirstBidIncrementPrice	<FrstBidIncrmtPric>		[0..1]		234

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LastBidIncrementPrice	<LastBidIncrmtPric>		[0..1]		235

6.45.25 SecuritiesQuantity

XML Tag: SctiesQty

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action option.

The **SctiesQty** block contains the following elements (see datatype "SecuritiesOption81__1" on page 543 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantityToInstruct	<MaxQtyToInst>		[0..1]		543
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]		544
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]		545
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]		545
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]		546
	FrontEndOddLotQuantity	<FrntEndOddLotQty>		[0..1]		546
	BackEndOddLotQuantity	<BckEndOddLotQty>		[0..1]		547

6.45.26 SecuritiesMovementDetails

XML Tag: SctiesMvmntDtIs

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

The **SctiesMvmntDtIs** block contains the following elements (see datatype "SecuritiesOption113__1" on page 530 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityDetails	<SctyDtIs>		[1..1]		532
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		533
	TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>		[0..1]		533
	NonEligibleProceedsIndicator	<NonElgblPrcdsInd>		[0..1]		534

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]	🚫	535
	NewSecuritiesIssuanceIndicator	<NewScetiesIssncInd>		[0..1]	⚠️	535
	IncomeType	<IncmTp>		[0..1]	⚠️	536
	OtherIncomeType	<OthrIncmTp>		[0..*]	⚠️	536
	ExemptionType	<XmptnTp>		[0..*]	🚫	537
	EntitledQuantity	<EntitldQty>		[0..1]	🚫	537
	SafekeepingPlace	<SfkpgPlc>		[0..1]	🚫	538
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	🚫	538
	FractionDisposition	<FrctnDspstn>		[0..1]	⚠️	539
	CurrencyOption	<CcyOptn>		[0..1]	🚫	539
	TradingPeriod	<TradgPrd>		[0..1]	⚠️	540
	DateDetails	<DtDtls>		[1..1]		540
	RateDetails	<RateDtls>		[0..1]	⚠️	541
	PriceDetails	<PricDtls>		[0..1]		542
	AmountDetails	<AmtDtls>		[0..1]	🚫	542

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails

Comment:

Each U.S. tax withholding eligible distribution must have a determination of the tax liability. This code is relevant to tax withholding and U.S. IRS reporting.

6.45.27 CashMovementDetails

XML Tag: CshMvmntDtls

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

The **CshMvmntDtls** block contains the following elements (see datatype "CashOption107__1" on page 71 for details)

🚫 Removed - 🚫 Partial Removed - ⚠️ Ignored - ⚠️ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]	⚠️	72
	ContractualPaymentIndicator	<CtrctlPmtInd>		[0..1]	🚫	73

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NonEligibleProceedsIndicator	<NonElgblPrclsInd>		[0..1]	⚠	73
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]	🚫	73
	IncomeType	<IncmTp>		[0..1]	⚠	74
	OtherIncomeType	<OthrIncmTp>		[0..*]	⚠	75
	ExemptionType	<XmptnTp>		[0..*]	🚫	75
	PriceCalculationMethod	<PricClctnMtd>		[0..1]		76
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	🚫	76
	CashAccountIdentification	<CshAcctId>		[0..1]	🚫	76
	AmountDetails	<AmtDtls>		[0..1]	🚫	77
	DateDetails	<DtDtls>		[1..1]		79
	ForeignExchangeDetails	<FXDtls>		[0..1]	⚠	79
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		80
	PriceDetails	<PricDtls>		[0..1]		81

6.45.28 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Provides additional information.

The **AddtlInf** block contains the following elements (see datatype "CorporateActionNarrative59__1" on page 177 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]	⚠	178
	NarrativeVersion	<NrrtvVrsn>		[0..*]	⚠	178
	InformationConditions	<InfConds>		[0..*]	🚫	179
	InformationToComplyWith	<InfToCmplyWth>		[0..*]		179
	SecurityRestriction	<SctyRstrctn>		[0..*]	⚠	179
	TaxationConditions	<TaxtnConds>		[0..*]	🚫	180
	Disclaimer	<Dsclmr>		[0..*]	⚠	180
	CertificationBreakdown	<CertfctnBrkdwn>		[0..*]	🚫	181

6.46 CorporateActionOption37Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		208
	Proprietary	<Prtry>	Or}	[1..1]	⚠	208

Used in element(s)

"OptionType" on page 194

6.46.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "CorporateActionOption15Code" on page 650

6.46.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/OptionType/Proprietary
 - **Market Practice Usage Rule:**

Use for VOTE events only.

6.47 CorporateActionPeriod12

Definition: Specifies periods related to a corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceCalculationPeriod	<PricClctnPrd>		[0..1]		209
	ParallelTradingPeriod	<ParllTradgPrd>		[0..1]		209
	ActionPeriod	<ActnPrd>		[0..1]		210
	RevocabilityPeriod	<RvcbltyPrd>		[0..1]		211
	PrivilegeSuspensionPeriod	<PrvlgSspnsnPrd>		[0..1]		211
	AccountServicerRevocabilityPeriod	<AcctSvcrRvcbltyPrd>		[0..1]		212
	DepositorySuspensionPeriodForWithdrawal	<DpstrySspnsnPrdForW-drwI>		[0..1]		213

Used in element(s)

"PeriodDetails" on page 203

6.47.1 PriceCalculationPeriod

XML Tag: PricClctnPrd

Presence: [0..1]

Definition: Period during which the price of a security is determined.

The **PricClctnPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- This element(see v.031.001.15/CorporateActionOptionDetails/PeriodDetails/PriceCalculation-Period) is removed.

6.47.2 ParallelTradingPeriod

XML Tag: ParllTradgPrd

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

The **ParlITradgPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -

M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ParallelTradingPeriod) is removed.

6.47.3 ActionPeriod

XML Tag: ActnPrd

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

The **ActnPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -

M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	⚠	434

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod
 - **Market Practice Implementation Rule:**

DTC Instruction Expiration Date is mapped to Response Deadline tag.
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod
 - **REDEMG Trace:**

FC VOI Entry Start Date
 - **Redemption Rule:**

Mapped to Start Date only. End date is always UKWN.

- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod`
Synonym (DTCC): DTC Instruction Start Time
- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod`
Synonym (DTCC): DTC Instruction Start Time Zone
- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod`
Synonym (DTCC): DTC Instruction Start Date
- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod`
Comment:
First day when the instructions will be accepted for event option at DTC.

6.47.4 RevocabilityPeriod

XML Tag: RvcbltyPrd

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

The **RvcbltyPrd** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/RevocabilityPeriod`
– **Market Practice Usage Rule:**

Used to identify instruction withdrawal deadline by the offeror.

6.47.5 PrivilegeSuspensionPeriod

XML Tag: PrvlgSspnsnPrd

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

The **PrvlgSspnsnPrd** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/PrivilegeSuspensionPeriod
– **Market Practice Implementation Rule:**

Used when DTC Instruction Lockout Indicator has values either Systemic Locked or Manual Locked.
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/PrivilegeSuspensionPeriod
Synonym (DTCC): DTC Instruction Lockout Indicator (Systemic Locked)
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/PrivilegeSuspensionPeriod
Synonym (DTCC): DTC Instruction Lockout Indicator (Manual Locked)
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/PrivilegeSuspensionPeriod
Comment:
Indicates whether the automated instructions are temporarily blocked. Usually used in an ongoing event.

6.47.6 AccountServicerRevocabilityPeriod

XML Tag: AcctSvcrRvcbltyPrd

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

The **AcctSvcrRvcbltyPrd** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod
Synonym (DTCC): DTC Withdrawal Expiration Time

- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod
Synonym (DTCC): DTC Withdrawal Expiration Time Zone
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod
Synonym (DTCC): DTC Withdrawal Expiration Date
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod
Comment:
Used to identify instruction withdrawal deadline by DTC. Only end date will be used with a value.

6.47.7 DepositorySuspensionPeriodForWithdrawal

XML Tag: DpstrySspnsnPrdForWdrwl

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

The **DpstrySspnsnPrdForWdrwl** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	🚫	434

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal
Synonym (DTCC): DTC Chill Period for W/T on Disbursed Security

6.48 CorporateActionPeriod16

Definition: Specifies periods of a corporate action.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceCalculationPeriod	<PricClctnPrd>		[0..1]	🚫	214

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InterestPeriod	<IntrstPrd>		[0..1]		215
	CompulsoryPurchasePeriod	<CmplsryPurchsPrd>		[0..1]		215
	ClaimPeriod	<ClnPrd>		[0..1]		216
	DepositorySuspensionPeriodFor- BookEntryTransfer	<DpstrySspnsnPrdFor- BookNtryTrf>		[0..1]		216
	DepositorySuspensionPeriod- ForDepositAtAgent	<DpstrySspnsnPrdForDp- stAtAgt>		[0..1]		217
	DepositorySuspensionPeriod- ForDeposit	<DpstrySspnsnPrd- ForDpst>		[0..1]		218
	DepositorySuspensionPeriodFor- Pledge	<DpstrySspnsnPrdFor- Pldg>		[0..1]		218
	DepositorySuspensionPeriod- ForSegregation	<DpstrySspnsnPrdForS- grtn>		[0..1]		219
	DepositorySuspensionPeriodFor- WithdrawalAtAgent	<DpstrySspnsnPrdForW- drwlAtAgt>		[0..1]		220
	DepositorySuspensionPeriodForWithdrawal- Name	<DpstrySspnsnPrdForW- drwlInNmneeNm>		[0..1]		221
	DepositorySuspensionPeriodForWithdrawal- Name	<DpstrySspnsnPrdForW- drwlInStrtNm>		[0..1]		221
	BookClosurePeriod	<BookClsrPrd>		[0..1]		222
	CoDepositoriesSuspensionPeri- od	<CoDpstriesSspnsnPrd>		[0..1]		223
	SplitPeriod	<SpltPrd>		[0..1]		223
	FiscalYearPeriod	<FsclyrPrd>		[0..1]		224

Rules

R118 InterestPeriodRule

InterestPeriod must be used only if it is different from a normal accrued interest period.

Error handling: Undefined

Used in element(s)

"PeriodDetails" on page 88

6.48.1 PriceCalculationPeriod

XML Tag: PricClctnPrd

Presence: [0..1]

Definition: Period during which the price of a security is determined.

The **PricClctnPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/PriceCalculationPeriod) is removed.

6.48.2 InterestPeriod

XML Tag: IntrstPrd

Presence: [0..1]

Definition: Period during which the interest rate has been applied.

The **IntrstPrd** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod
Synonym (DTCC): Long/ Short Pay Period
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod
Comment:
 Coupon accrual period.

6.48.3 CompulsoryPurchasePeriod

XML Tag: CmplsryPurchsPrd

Presence: [0..1]

Definition: Period during a take-over where any outstanding equity must be purchased by the take-over company.

The **CmplsryPurchsPrd** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/CompulsoryPurchasePeriod) is removed.

6.48.4 ClaimPeriod

XML Tag: ClmPrd

Presence: [0..1]

Definition: Period assigned by the court in a class action. It determines the client's eligible transactions that will be included in the class action and used to determine the resulting entitlement.

The **ClmPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod
Synonym (DTCC): Class Action Period
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod
Comment:
 Identifies start and end date of the class action period.

6.48.5 DepositorySuspensionPeriodForBookEntryTransfer

XML Tag: DpstrySspnsnPrdForBookNtryTrf

Presence: [0..1]

Definition: Period defining the last date for which book entry transfers will be accepted and the date on which the suspension will be released and book entry transfer processing will resume.

The **DpstrySspnsnPrdForBookNtryTrf** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	🚫	434

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForBookEntryTransfer
 - **Applicability:**
true
 - **REDEMG Trace:**
First Day for Delivery; Last Day for Delivery
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForBookEntryTransfer
Synonym (DTCC): DTC Chill Period For DO
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForBookEntryTransfer
Comment:
DTC processing suspension period for delivery orders.

6.48.6 DepositorySuspensionPeriodForDepositAtAgent

XML Tag: DpstrySspnsnPrdForDpstAtAgt

Presence: [0..1]

Definition: Period defining the last date for which deposits, into nominee name, at the agent will be accepted and the date on which the suspension will be released and deposits at agent will resume.

The **DpstrySspnsnPrdForDpstAtAgt** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	🚫	434

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDepositAtAgent](#)
Synonym (DTCC): DTC Chill Period For DWAC
- on [seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDepositAtAgent](#)
Comment:
DTC processing suspension period for deposit at agent.

6.48.7 DepositorySuspensionPeriodForDeposit

XML Tag: DpstrySspnsnPrdForDpst

Presence: [0..1]

Definition: Period defining the last date for which deposits will be accepted and the date on which the suspension will be released and deposits will resume.

The **DpstrySspnsnPrdForDpst** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	🚫	434

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDeposit](#)
 - **Applicability:**
true
 - **REDEMG Trace:**
First Day For Deposit; Last Day For Deposit
- on [seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDeposit](#)
Synonym (DTCC): DTC Chill Period For Deposit
- on [seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDeposit](#)
Comment:
DTC processing suspension period for deposits.

6.48.8 DepositorySuspensionPeriodForPledge

XML Tag: DpstrySspnsnPrdForPldg

Presence: [0..1]

Definition: Period defining the last date for which pledges will be accepted and the date on which the suspension will be released and pledge processing will resume.

The **DpstrySspnsnPrdForPldg** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	🚫	434

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodFor-Pledge](#)
 - **Applicability:**
true
 - **REDEMG Trace:**
First Day for
Pledge; Last Day for Pledge
- on [seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodFor-Pledge](#)
Synonym (DTCC): DTC Chill Period For Pledge
- on [seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodFor-Pledge](#)
Comment:
DTC processing suspension period for pledges.

6.48.9 DepositorySuspensionPeriodForSegregation

XML Tag: DpstrySspnsnPrdForSgrtn

Presence: [0..1]

Definition: Period defining the last date for which intra-position balances can be segregated and the date on which the suspension will be released and the ability to segregate intra-position balances will resume.

The **DpstrySspnsnPrdForSgrtn** block contains the following elements (see datatype "[Period6Choice](#)" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	🚫	434

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForSegregation
 - **Applicability:**
true
 - **REDEMG Trace:**
First Day for Segregate; Last Day for Segregate
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForSegregation
Synonym (DTCC): DTC Chill Period for Segregate
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForSegregation
Comment:
DTC processing suspension period for positions segregation.

6.48.10 DepositorySuspensionPeriodForWithdrawalAtAgent

XML Tag: DpstrySspnsnPrdForWdrwlAtAgt

Presence: [0..1]

Definition: Period defining the last date for which withdrawals, from nominee name at the agent will be accepted and the date on which the suspension will be released and withdrawals at agent processing will resume.

The **DpstrySspnsnPrdForWdrwlAtAgt** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	🚫	434

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalAtAgent
Synonym (DTCC): DTC Chill Period For DWAC Withdrawal

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalAtAgent

Comment:

DTC processing suspension period for deposit at agent withdrawal.

6.48.11 DepositorySuspensionPeriodForWithdrawal-InNomineeName

XML Tag: DpstrySspnsnPrdForWdrwlInNmneeNm

Presence: [0..1]

Definition: Period defining the last date for which physical withdrawals in the nominee's name will be accepted and the date on which the suspension will be released and physical withdrawals in the nominee's name will resume.

The **DpstrySspnsnPrdForWdrwlInNmneeNm** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or}	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	🚫	434

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInNomineeName

– **Applicability:**
true

– **REDEMG Trace:**
First Day for COD; Last Day for COD

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInNomineeName

Synonym (DTCC): DTC Chill Period for C.O.D.

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInNomineeName

Comment:

DTC processing suspension period for certificate on demand (physical withdrawals).

6.48.12 DepositorySuspensionPeriodForWithdrawal-InStreetName

XML Tag: DpstrySspnsnPrdForWdrwlInStrtNm

Presence: [0..1]

Definition: Period defining the last date on which withdrawal requests in street name's will be accepted on the event security and the date on which the suspension will be released and withdrawal in street name's processing on the event security will resume.

The **DpstrySspnsnPrdForWdrwlInStrtNm** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]	🚫	434

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodFor-WithdrawalInStreetName
 - **Applicability:**
true
 - **REDEMG Trace:**
First Day for Withdrawals, Last Day for Withdrawal
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodFor-WithdrawalInStreetName
Synonym (DTCC): DTC Chill Period For W/ T
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodFor-WithdrawalInStreetName
Comment:
 DTC processing suspension period for W.T. (Withdrawal by Transfer) requests.

6.48.13 BookClosurePeriod

XML Tag: BookClsrPrd

Presence: [0..1]

Definition: Period defining the last date on which shareholder registration will be accepted by the issuer and the date on which shareholder registration will resume.

The **BookClsrPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/BookClosurePeriod) is removed.

6.48.14 CoDepositoriesSuspensionPeriod

XML Tag: CoDpstriesSspnsnPrd

Presence: [0..1]

Definition: Period during which the settlement activities at the co-depositories are suspended in order to stabilise the holdings at the CSD.

The **CoDpstriesSspnsnPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod
Synonym (DTCC): DTC Chill Period for Depository Delivery

6.48.15 SplitPeriod

XML Tag: SpltPrd

Presence: [0..1]

Definition: Period during which a physical certificate can be split.

The **SpltPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/SplitPeriod) is removed.

6.48.16 FiscalYearPeriod

XML Tag: FscIYrPrd

Presence: [0..1]

Definition: Period defining the fiscal or tax year the dividend pertains to in order to correctly deduct taxes for residents.

The **FscIYrPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/FiscalYearPeriod) is removed.

6.49 CorporateActionPrice85__1

Definition: Specifies various prices of a corporate action.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumPrice	<MaxPric>		[0..1]	⚠	224
	MinimumPrice	<MinPric>		[0..1]	⚠	225
	FirstBidIncrementPrice	<FrstBidIncrmtPric>		[0..1]	🚫	226
	LastBidIncrementPrice	<LastBidIncrmtPric>		[0..1]	🚫	226

Used in element(s)

"PriceDetails" on page 89

6.49.1 MaximumPrice

XML Tag: MaxPric

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

The **MaxPric** block contains the following elements (see datatype "PriceFormat73Choice__1" on page 443 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		443
	AmountPrice	<AmtPric>	Or	[1..1]		443
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		444
	IndexPoints	<IndxPts>	Or}	[1..1]		444

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice](#)
Synonym (DTCC): Maximum Bid
- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice](#)
Comment:
 Maximum bid accepted by the offeror.

6.49.2 MinimumPrice

XML Tag: MinPric

Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

The **MinPric** block contains the following elements (see datatype "[PriceFormat73Choice__1](#)" on page 443 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		443
	AmountPrice	<AmtPric>	Or	[1..1]		443
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		444
	IndexPoints	<IndxPts>	Or}	[1..1]		444

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice](#)
Synonym (DTCC): Minimum Bid
- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice](#)
Comment:
 Minimum bid accepted by the offeror.

6.49.3 FirstBidIncrementPrice

XML Tag: FrstBidIncrmtPric

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

The **FrstBidIncrmtPric** block contains the following elements (see datatype "PriceFormat73Choice__1" on page 443 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		443
	AmountPrice	<AmtPric>	Or	[1..1]		443
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		444
	IndexPoints	<IndxPts>	Or}	[1..1]		444

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice) is removed.

6.49.4 LastBidIncrementPrice

XML Tag: LastBidIncrmtPric

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

The **LastBidIncrmtPric** block contains the following elements (see datatype "PriceFormat73Choice__1" on page 443 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		443
	AmountPrice	<AmtPric>	Or	[1..1]		443
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		444
	IndexPoints	<IndxPts>	Or}	[1..1]		444

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/PriceDetails/LastBidIncrementPrice) is removed.

6.50 CorporateActionPrice89__1

Definition: Specifies prices related to a corporate action option.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]	🚫	227
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	⚠	228
	CashValueForTax	<CshValForTax>		[0..1]	🚫	228
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	⚠	229
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]	⚠	229

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

Used in element(s)

"PriceDetails" on page 542

6.50.1 IndicativeOrMarketPrice

XML Tag: IndctvOrMktPric

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

The **IndctvOrMktPric** block contains the following elements (see datatype "IndicativeOrMarketPrice14Choice__1" on page 395 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		396
	MarketPrice	<MktPric>	Or}	[1..1]		396

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice) is removed.

6.50.2 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat81Choice__1" on page 451 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	451
	AmountPrice	<AmtPric>	Or	[1..1]	⚠	452
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]	⚠	452

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice

– **Market Practice Implementation Rule:**

Mapped from DTC Cash in Lieu Price, if option is not processed by DTC then mapped from Declared Cash In Lieu Price.

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice

Synonym (DTCC): Declared Cash In Lieu Price

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice

Synonym (DTCC): DTC Cash in Lieu Price

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice

Comment:

DTC Cash in Lieu Price: DTC price for cash disbursement for fractional shares.
 Declared Cash In Lieu Price: issuer / market announced price for fractional shares.

6.50.3 CashValueForTax

XML Tag: CshValForTax

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

The **CshValForTax** block contains the following elements (see datatype "[PriceFormat46Choice__1](#)" on [page 441](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		441
	NotSpecifiedPrice	<NotSpcfdPric>	Or}	[1..1]		442

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax) is removed.

6.50.4 GenericCashPricePaidPerProduct

XML Tag: GncCshPricPdPerPdct

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "[PriceFormat80Choice__1](#)" on [page 448](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		448
	AmountPrice	<AmtPric>	Or	[1..1]		449
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		449
	IndexPoints	<IndxPts>	Or}	[1..1]		450

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct

Comment:

Generic price paid by underlying security holder for Income Reinvestment events

6.50.5 GenericCashPriceReceivedPerProduct

XML Tag: GncCshPricRcvdPerPdct

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "PriceFormat79Choice__1" on page 445 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	445
	AmountPrice	<AmtPric>	Or	[1..1]		446
	NotSpecifiedPrice	<NotSpdfdPric>	Or	[1..1]		446
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]	🚫	446
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]	🚫	447
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠	448

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct
 - **Market Practice Usage Rule:**

Used for the payout security price.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct

Synonym (DTCC): Price
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct

Comment:
Price used to calculate the entitlement payout.

6.51 CorporateActionPrice90__1

Definition: Specifies prices related to a corporate action option.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	🚫	231

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		231
	MaximumCashToInstruct	<MaxCshToInst>		[0..1]		232
	MinimumCashToInstruct	<MinCshToInst>		[0..1]		232
	MinimumMultipleCashToInstruct	<MinMltplCshToInst>		[0..1]		233
	MaximumPrice	<MaxPric>		[0..1]		233
	MinimumPrice	<MinPric>		[0..1]		234
	FirstBidIncrementPrice	<FrstBidIncrmtPric>		[0..1]		234
	LastBidIncrementPrice	<LastBidIncrmtPric>		[0..1]		235

Used in element(s)

"PriceDetails" on page 204

6.51.1 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat81Choice__1" on page 451 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		451
	AmountPrice	<AmtPric>	Or	[1..1]		452
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		452

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/CashInLieuOfSharePrice) is removed.

6.51.2 OverSubscriptionDepositPrice

XML Tag: OverSbcptDpstPric

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

The **OverSbcptDpstPric** block contains the following elements (see datatype "[PriceFormat81Choice__1](#)" on page 451 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	451
	AmountPrice	<AmtPric>	Or	[1..1]		452
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		452

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/OverSubscriptionDepositPrice](#)
Synonym (DTCC): Oversubscription Price

6.51.3 MaximumCashToInstruct

XML Tag: MaxCshToInst

Presence: [0..1]

Definition: Maximum cash amount that may be instructed.

The **MaxCshToInst** block contains the following elements (see datatype "[PriceFormat61Choice__1](#)" on page 442 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		442
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		442

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumCashToInstruct](#)) is removed.

6.51.4 MinimumCashToInstruct

XML Tag: MinCshToInst

Presence: [0..1]

Definition: Minimum cash amount that may be instructed.

The **MinCshToInst** block contains the following elements (see datatype "[PriceFormat61Choice__1](#)" on page 442 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		442
	NotSpecifiedPrice	<NotSpdfdPric>	Or}	[1..1]		442

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumCashToInstruct) is removed.

6.51.5 MinimumMultipleCashToInstruct

XML Tag: MinMltplCshToInst

Presence: [0..1]

Definition: Minimum multiple of a cash amount that may be instructed.

The **MinMltplCshToInst** block contains the following elements (see datatype "PriceFormat61Choice__1" on page 442 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		442
	NotSpecifiedPrice	<NotSpdfdPric>	Or}	[1..1]		442

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumMultipleCashToInstruct) is removed.

6.51.6 MaximumPrice

XML Tag: MaxPric

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

The **MaxPric** block contains the following elements (see datatype "PriceFormat80Choice__1" on page 448 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		448
	AmountPrice	<AmtPric>	Or	[1..1]	⚠	449
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]	⚠	449
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	450

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice
Synonym (Maximum Bid (in context : DTCC)): DTCC
- on seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice
Comment:
Maximum bid accepted by the offeror.

6.51.7 MinimumPrice

XML Tag: MinPric

Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

The **MinPric** block contains the following elements (see datatype "PriceFormat80Choice__1" on page 448 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		448
	AmountPrice	<AmtPric>	Or	[1..1]		449
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]	⚠	449
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	450

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice
Synonym (Minimum Bid): DTCC
- on seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice
Comment:
Minimum bid accepted by the offeror.

6.51.8 FirstBidIncrementPrice

XML Tag: FrstBidIncrmtPric

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

The **FrstBidIncrmtPric** block contains the following elements (see datatype "[PriceFormat80Choice__1](#)" on page 448 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		448
	AmountPrice	<AmtPric>	Or	[1..1]		449
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		449
	IndexPoints	<IdxPts>	Or}	[1..1]	⚠	450

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/FirstBidIncrementPrice](#)
 – **Annotation:** (empty)
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/FirstBidIncrementPrice](#)
Comment:
 For Dutch Auction (DTCH) and Bid Tender (BIDS, TEND) events only

6.51.9 LastBidIncrementPrice

XML Tag: LastBidIncrmtPric

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

The **LastBidIncrmtPric** block contains the following elements (see datatype "[PriceFormat80Choice__1](#)" on page 448 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		448
	AmountPrice	<AmtPric>	Or	[1..1]		449
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		449
	IndexPoints	<IdxPts>	Or}	[1..1]	⚠	450

Usage Guideline details

- on **seev.031.001.15/CorporateActionOptionDetails/PriceDetails/LastBidIncrementPrice**
 - **Annotation:** (empty)
- on **seev.031.001.15/CorporateActionOptionDetails/PriceDetails/LastBidIncrementPrice**

Comment:
For Dutch Auction (DTCH) and Bid Tender (BIDS, TEND) events only

6.52 CorporateActionProcessingStatus5Choice__1

Definition: Specifies the status of the details of the corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		236
	Proprietary	<Prtry>	Or}	[1..1]	🚫	236

Used in element(s)

"ProcessingStatus" on page 189

6.52.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

The **Cd** block contains the following elements (see datatype "[CorporateActionEventStatus1](#)" on page 167 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		167
	EventConfirmationStatus	<EvtConfSts>		[1..1]		167

6.52.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Information related to an identification, for example, party identification or account identification.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element (see [ev.031.001.15/NotificationGeneralInformation/ProcessingStatus/Proprietary](#)) is removed.

6.53 CorporateActionQuantity11__1

Definition: Specifies corporate action quantities.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantity	<MaxQty>		[0..1]		238
	MinimumQuantitySought	<MinQtySght>		[0..1]		238
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]		239
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]		239
	BaseDenomination	<BaseDnmtn>		[0..1]		240
	IncrementalDenomination	<IncrmtlDnmtn>		[0..1]		241

Rules

R69 MaximumAndMinimumQuantitySoughtUsageRule

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

Error handling: Undefined

Used in element(s)

"SecuritiesQuantity" on page 90

6.53.1 MaximumQuantity

XML Tag: MaxQty

Presence: [0..1]

Definition: The maximum number of securities the offeror/issuer is ready to purchase or redeem. This can be a number or the term "any and all".

The **MaxQty** block contains the following elements (see datatype "FinancialInstrumentQuantity34Choice__1" on page 343 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		344
	FaceAmount	<FaceAmt>	Or	[1..1]		345
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	346
	Code	<Cd>	Or	[1..1]		347
	DigitalTokenUnit	<DgtITknUnit>	Or}	[1..1]		347

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity
Synonym (DTCC): Maximum Quantity Sought
- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity
Comment:
 Maximum Quantity Sought: Maximum number of securities the offeror is requesting to complete the event.

6.53.2 MinimumQuantitySought

XML Tag: MinQtySght

Presence: [0..1]

Definition: Minimum quantity of securities the offeror/issuer is ready to purchase or redeem under the terms of the event. This can be a number or the term "any and all".

The **MinQtySght** block contains the following elements (see datatype "FinancialInstrumentQuantity34Choice__1" on page 343 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		344
	FaceAmount	<FaceAmt>	Or	[1..1]		345
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	346
	Code	<Cd>	Or	[1..1]		347
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		347

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought
Synonym (DTCC): Minimum Quantity Sought
- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought
Comment:
Minimum number of securities being sought by the offeror to complete the event.

6.53.3 NewBoardLotQuantity

XML Tag: NewBrdLotQty

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

The **NewBrdLotQty** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice__1" on page 347 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		348
	FaceAmount	<FaceAmt>	Or	[1..1]		349
	AmortisedValue	<AmtsdVal>	Or	[1..1]		350
	Code	<Cd>	Or	[1..1]		352
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		352

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/NewBoardLotQuantity) is removed.

6.53.4 NewDenominationQuantity

XML Tag: NewDnmtnQty

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

The **NewDnmtnQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice__1](#)" on page 347 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	348
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	349
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	350
	Code	<Cd>	Or	[1..1]		352
	DigitalTokenUnit	<DgtITknUnit>	Or}	[1..1]		352

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/NewDenomination-Quantity) is removed.

6.53.5 BaseDenomination

XML Tag: BaseDnmtn

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

The **BaseDnmtn** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice__1](#)" on page 347 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	348
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	349
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	350
	Code	<Cd>	Or	[1..1]		352
	DigitalTokenUnit	<DgtITknUnit>	Or}	[1..1]	🚫	352

Usage Guideline details

- on **seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination**
 - **Applicability:**
true
 - **REDEMG Trace:**
Base Denomination
 - **REDEMA Trace:**
Lottery Base Denomination
 - **REDEML Trace:**
Lottery Base Denomination
- on **seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination**
Synonym (DTCC): Base Denomination
- on **seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination**
Comment:
Denomination of security used to run lotteries.

6.53.6 IncrementalDenomination

XML Tag: IncrmtlDnmtn

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

The **IncrmtlDnmtn** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice__1" on page 347 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	348
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	349
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	350
	Code	<Cd>	Or	[1..1]		352
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]	🚫	352

Usage Guideline details

- on **seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination**
 - **Applicability:**
true
 - **REDEMG Trace:**

Lottery Denomination Increments

– REDEMA Trace:

Lottery Denomination Increments

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination](#)
Synonym (DTCC): Denomination Increments

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination](#)

Comment:

Supplemental increments the lottery is run in.

6.54 CorporateActionRate122__1

Definition: Specifies rates of a corporate action.

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -

M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InterestRate	<IntrstRate>		[0..1]	⚠	243
	PercentageSought	<PctgSght>		[0..1]	⚠	243
	RelatedIndex	<RltdIdx>		[0..1]	🚫	244
	Spread	<Sprd>		[0..1]	🚫	244
	BidInterval	<BidIntrvl>		[0..1]	⚠	245
	PreviousFactor	<PrvsFctr>		[0..1]	🚫	245
	NextFactor	<NxtFctr>		[0..1]	⚠	246
	ReinvestmentDiscountRate-ToMarket	<RinvstmtDscntRate-ToMkt>		[0..1]	🚫	246
	InterestShortfall	<IntrstShrtfll>		[0..1]	⚠	247
	RealisedLoss	<RealsdLoss>		[0..1]	⚠	247
	DeclaredRate	<DclrdRate>		[0..1]	🚫	248
	IndexFactor	<IdxFctr>		[0..1]	🚫	248

Rules

R68 DeclaredRateRule

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

Error handling: Undefined

Used in element(s)

["RateAndAmountDetails"](#) on page 89

6.54.1 InterestRate

XML Tag: IntrstRate

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

The **IntrstRate** block contains the following elements (see datatype "[RateAndAmountFormat57Choice__1](#)" on page 486 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		487
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		487
	Amount	<Amt>	Or}	[1..1]	⚠	487

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/InterestRate](#)
Synonym (DTCC): Interest Rate
- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/InterestRate](#)
Comment:
 Rate of accrued interest.

6.54.2 PercentageSought

XML Tag: PctgSght

Presence: [0..1]

Definition: Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event.

The **PctgSght** block contains the following elements (see datatype "[RateFormat25Choice](#)" on page 497 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]	⚠	497
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		497

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/PercentageSought](#)

Synonym (DTCC): Quantity Sought Percentage

- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/PercentageSought](#)

Comment:

Percentage of the number of outstanding securities being sought by the offeror to complete the event.

6.54.3 RelatedIndex

XML Tag: RltdIdx

Presence: [0..1]

Definition: Index rate related to the interest rate of the forthcoming interest payment.

The **RltdIdx** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		496

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/RelatedIndex](#)) is removed.

6.54.4 Spread

XML Tag: Sprd

Presence: [0..1]

Definition: Margin allowed over or under a given rate.

The **Sprr** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/Spread) is removed.

6.54.5 BidInterval

XML Tag: BidIntrvl

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

The **BidIntrvl** block contains the following elements (see datatype "[RateAndAmountFormat58Choice__1](#)" on [page 488](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		489
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		489
	Amount	<Amt>	Or	[1..1]		489
	IndexPoints	<IndxPts>	Or}	[1..1]		490

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/BidInterval
Synonym (DTCC): Bid Increments
- on seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/BidInterval
Comment:
 Increments in which bids must be made.

6.54.6 PreviousFactor

XML Tag: PrvsFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

The **PrvsFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on [page 495](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/PreviousFactor) is removed.

6.54.7 NextFactor

XML Tag: NxtFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

The **NxtFctr** block contains the following elements (see datatype "RateFormat12Choice" on page 495 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/NextFactor
Synonym (DTCC): Current Pay Factor
- on seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/NextFactor
Comment:
Current pay factor for the security paying the principal payment.

6.54.8 ReinvestmentDiscountRateToMarket

XML Tag: RinvstmtDscntRateToMkt

Presence: [0..1]

Definition: Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

The **RinvstmtDscntRateToMkt** block contains the following elements (see datatype "RateFormat24Choice" on page 496 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/ReinvestmentDiscountRateToMarket) is removed.

6.54.9 InterestShortfall

XML Tag: IntrstShrtfll

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the interest payment and the expected or scheduled rate of the interest payment.

The **IntrstShrtfll** block contains the following elements (see datatype "RateAndAmountFormat59Choice__1" on page 490 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		491
	Amount	<Amt>	Or}	[1..1]		491

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/InterestShortfall
Synonym (DTCC): Interest Shortfall Rate
- on seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/InterestShortfall

Comment:

Applicable for structured security issues where there is a set schedule of principal and interest payments for the life of the issue. An interest shortfall occurs when scheduled interest is not paid to the investor as scheduled. It is a difference between the actual and scheduled interest rates.

6.54.10 RealisedLoss

XML Tag: RealsdLoss

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the capital or principal repayment and the scheduled capital repayment.

The **RealsdLoss** block contains the following elements (see datatype "[RateAndAmountFormat59Choice__1](#)" on page 490 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		491
	Amount	<Amt>	Or}	[1..1]	🚫 ⚠	491

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/RealisedLoss](#)
Synonym (DTCC): Realized Loss Rate
- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/RealisedLoss](#)
Comment:
 Applicable to structured security issues where there is a set schedule of principal and interest payments for the life of the issue. This term refers specifically to the principal payment of a mortgage. One or more mortgages within the pool are in default.

6.54.11 DeclaredRate

XML Tag: DclrdRate

Presence: [0..1]

Definition: Dividend or interest rate declared by the issuer.

The **DclrdRate** block contains the following elements (see datatype "[RateAndAmountFormat59Choice__1](#)" on page 490 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		491
	Amount	<Amt>	Or}	[1..1]	⚠	491

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/DeclaredRate](#)) is removed.

6.54.12 IndexFactor

XML Tag: IndxFctr

Presence: [0..1]

Definition: Public index rate applied to the amount paid to adjust it to inflation.

The **IndxFctr** block contains the following elements (see datatype "RateAndAmountFormat57Choice" on page 486 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		486
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		486
	Amount	<Amt>	Or}	[1..1]		486

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/IndexFactor) is removed.

6.55 CorporateActionRate126__1

Definition: Specifies rates related to a corporate action option.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]	🚫	251
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]	⚠	252
	NetDistributionRate	<NetDstrbtnRate>		[0..*]	🚫	253
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]	🚫	253
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrsbcptRate>		[0..1]	⚠	254
	ProrationRate	<PrratnRate>		[0..1]	⚠	255
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	255
	SecondLevelTax	<ScndLvltax>		[0..*]	🚫	256
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]	🚫	256
	IssuerDeclaredExchangeRate	<IssrDclrdXchgRate>		[0..1]	🚫	257
	TaxOnIncome	<TaxOnIncm>		[0..1]	🚫	257

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BidInterval	<BidIntrvl>		[0..1]		258

Rules

R83 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R84 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

Error handling: Undefined

R85 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R86 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

Error handling: Undefined

R87 SecondLevelTax3Rule ✓

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00385
- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

R88 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*

– *Error Text:*

R89 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

Error handling:

– *Error severity:* Fatal

– *Error Code:*

– *Error Text:*

R90 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

Error handling:

– *Error severity:* Fatal

– *Error Code:*

– *Error Text:*

R91 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

– *Error severity:* Fatal

– *Error Code:*

– *Error Text:*

Used in element(s)

"RateAndAmountDetails" on page 203

6.55.1 AdditionalTax

XML Tag: AddtlTax

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

The **AddtlTax** block contains the following elements (see datatype "RateAndAmountFormat57Choice__1" on page 486 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		487
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		487
	Amount	<Amt>	Or}	[1..1]		487

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/AdditionalTax) is removed.

6.55.2 GrossDistributionRate

XML Tag: GrssDstrbtnRate

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

The **GrssDstrbtnRate** block contains the following elements (see datatype "GrossDividendRateFormat36Choice__1" on page 389 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		389
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		390
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		390
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		391

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate
 - DTCC Gross Dividend Rate Rule:

Used to communicate DTC Gross Rate when option is a non-reinvestment option.
Also used to communicate DTC Cash Rate when option is a reinvestment option.
- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate

Synonym (DTCC): DTC Gross Rate
- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate

Synonym (DTCC): Currency (DTC Gross Rate)

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate](#)

Synonym (DTCC): DTC Cash Rate

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate](#)

Comment:

The rate of dividend as declared by the issuer in USD.

6.55.3 NetDistributionRate

XML Tag: NetDstrbtnRate

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

The **NetDstrbtnRate** block contains the following elements (see datatype "[NetDividendRateFormat38Choice__1](#)" on page 407 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	407
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		407
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		408
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		408

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate](#)) is removed.

6.55.4 GrossInterestRateUsedForPayment

XML Tag: GrssIntrstRateUsdForPmt

Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

The **GrssIntrstRateUsdForPmt** block contains the following elements (see datatype "[InterestRateUsedForPaymentFormat11Choice__1](#)" on page 398 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		399
	Amount	<Amt>	Or	[1..1]		399
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		400
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		400

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment) is removed.

6.55.5 MaximumAllowedOversubscriptionRate

XML Tag: MaxAllwdOvrsbcptRate

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

The **MaxAllwdOvrsbcptRate** block contains the following elements (see datatype "RateFormat24Choice" on page 496 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		496

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/MaximumAllowedOversubscriptionRate
Synonym (DTCC): Maximum Oversubscription Percentage
- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/MaximumAllowedOversubscriptionRate
Comment:
 Maximum oversubscription percentage for the option.

6.55.6 ProrationRate

XML Tag: PrratnRate

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

The **PrratnRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/ProrationRate](#)
Synonym (DTCC): Proration Rate
- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/ProrationRate](#)
Comment:
 Rate (percentage) used to determine the accepted quantity.

6.55.7 WithholdingTaxRate

XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFormat56Choice__1](#)" on page 484 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		485
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		485
	Amount	<Amt>	Or	[1..1]		485
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		485

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/WithholdingTaxRate) is removed.

6.55.8 SecondLevelTax

XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "RateAndAmountFor-mat60Choice__1" on page 491 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		492
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		492
	Amount	<Amt>	Or	[1..1]	⚠	492
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		493

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/SecondLevelTax) is removed.

6.55.9 TaxableIncomePerDividendShare

XML Tag: TaxblIncmPerDvddShr

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

The **TaxblIncmPerDvddShr** block contains the following elements (see datatype "RateTypeAndAmountAndStatus26__1" on page 508 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		508

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		509
	RateStatus	<RateSts>		[0..1]		509

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/Tax-ableIncomePerDividendShare) is removed.

6.55.10 IssuerDeclaredExchangeRate

XML Tag: IssrDclrdXchgRate

Presence: [0..1]

Definition: Exchange rate (provided by the issuer) between the dividend or interest rate in the paid currency and the declared dividend or interest rate.

The **IssrDclrdXchgRate** block contains the following elements (see datatype "ForeignExchangeTerms38" on page 352 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		353
	QuotedCurrency	<QtdCcy>		[1..1]		353
	ExchangeRate	<XchgRate>		[1..1]		353

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/IssuerDeclaredExchangeRate) is removed.

6.55.11 TaxOnIncome

XML Tag: TaxOnIncm

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

The **TaxOnIncm** block contains the following elements (see datatype "RateAndAmountFormat57Choice__1" on page 486 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		487
	NotSpecifiedRate	<NotSpcedRate>	Or	[1..1]		487
	Amount	<Amt>	Or}	[1..1]		487

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/TaxOnIncome) is removed.

6.55.12 BidInterval

XML Tag: BidIntrvl

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

The **BidIntrvl** block contains the following elements (see datatype "RateAndAmountFormat58Choice__1" on page 488 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		489
	NotSpecifiedRate	<NotSpcedRate>	Or	[1..1]		489
	Amount	<Amt>	Or	[1..1]		489
	IndexPoints	<IndxPts>	Or}	[1..1]		490

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/BidInterval
Synonym (Bid Increments (in context : DTCC)): DTCC
- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/BidInterval
Comment:
Increments in which bids must be made.

6.56 CorporateActionRate128__1

Definition: Specifies security rate details.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcbdrsltntScies>		[0..1]		259
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScies>		[0..1]		260
	NewToOld	<NewToOd>		[0..1]		260
	TransformationRate	<TrfrmatnRate>		[0..1]		261
	ChargesFees	<ChrgsFees>		[0..1]		262
	FiscalStamp	<FscIStmp>		[0..1]		262
	ApplicableRate	<AplblRate>		[0..1]		263
	TaxCreditRate	<TaxCdtRate>		[0..1]		263
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]		264
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		264
	SecondLevelTax	<ScndLvITax>		[0..*]		265

Used in element(s)

"RateDetails" on page 541

6.56.1 AdditionalQuantityForSubscribedResultantSecurities

XML Tag: AddtlQtyForSbcbdrsltntScies

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

The **AddtlQtyForSbcbdrsltntScies** block contains the following elements (see datatype "RatioFormat17Choice__1" on page 519 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		520
	NotSpecifiedRate	<NotSpctfdRate>	Or	[1..1]		520
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		520

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Rat-eDetails/AdditionalQuantityForSubscribedResultantSecurities) is removed.

6.56.2 AdditionalQuantityForExistingSecurities

XML Tag: AddtlQtyForExstgScies

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

The **AddtlQtyForExstgScies** block contains the following elements (see datatype "RatioFormat17Choice__1" on page 519 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]	⚠	520
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		520
	AmountToAmount	<AmtToAmt>	Or}	[1..1]	⚠	520

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities**
 – **Market Practice Implementation Rule:**

 DTC announced security rate "DTC Security Rate" will be mapped to this tag, issuer / market announced security rate "New Quantity" and "Old Quantity", that can be different from DTC's, will be mapped to extension component as supplementary data.

 If option is not supported / processed by DTC, issuer / market announced security rate "New Quantity"/ "Old Quantity" will be used here.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities**
Synonym (DTCC): New Quantity / Old Quantity
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities**
Synonym (DTCC): DTC Security Rate
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities**
Comment:
 DTC Security Rate: rate used to calculate the disbursed security entitlement by DTC. Rate is expressed as a factor and converted to conform to the message tag. New Quantity and Old Quantity: issuer / market announced rate for security distribution.

6.56.3 NewToOld

XML Tag: NewToOd

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

The **NewToOd** block contains the following elements (see datatype "[RatioFormat18Choice__1](#)" on page 521 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]	⚠	521
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		522
	AmountToAmount	<AmtToAmt>	Or	[1..1]	⚠	522
	AmountToQuantity	<AmtToQty>	Or	[1..1]	⚠	523
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]	⚠	523

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld](#)

– Market Practice Implementation Rule:

In this product (CSD type mapping) DTC announced security rate "DTC Security Rate" will be mapped to this tag, issuer / market announced security rate "New Quantity" and "Old Quantity", that can be different from DTC's, will be mapped to extension component as supplementary data.

If option is not supported / processed by DTC, issuer / market announced security rate "New Quantity"/ "Old Quantity" will be used here.

6.56.4 TransformationRate

XML Tag: TrfrmatnRate

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "[Percentage14Rate](#)" on page 749

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/TransformationRate](#)) is removed.

6.56.5 ChargesFees

XML Tag: ChrgsFees

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "RateAndAmountFormat57Choice__1" on page 486 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]	🚫	487
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		487
	Amount	<Amt>	Or}	[1..1]	⚠	487

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/ChargesFees](#)
 - **Market Practice Implementation Rule:**

In this product (CSD type mapping) DTC announced fee (DTC Fee Rate) will be mapped to this tag, issuer / market announced fee (Declared Fee Rate), that can be different from DTC's, will be mapped to extension component as supplementary data.
 If option is not supported / processed by DTC, issuer / market announced fee will be mapped here.
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/ChargesFees](#)

Synonym (DTCC): DTC Fee Rate
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/ChargesFees](#)

Synonym (DTCC): Declared Fee Rate
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/ChargesFees](#)

Comment:
 DTC Fee Rate: DTC announced fee that will be charged to a DTC Participant.
 Declared Fee Rate: issuer / market announced fee that will be charged in connection with the payout.

6.56.6 FiscalStamp

XML Tag: FscIStmp

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

The **FscIStmp** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Rat-eDetails/FiscalStamp) is removed.

6.56.7 ApplicableRate

XML Tag: AplblRate

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

The **AplblRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Rat-eDetails/ApplicableRate) is removed.

6.56.8 TaxCreditRate

XML Tag: TaxCdtRate

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "[RateFormat26Choice__1](#)" on page 497 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		498
	Amount	<Amt>	Or	[1..1]		498
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		498

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/TaxCreditRate) is removed.

6.56.9 FinancialTransactionTaxRate

XML Tag: FinTxTaxRate

Presence: [0..1]

Definition: Rate of financial transaction tax.

The **FinTxTaxRate** block contains the following elements (see datatype "RateFormat24Choice" on page 496 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/FinancialTransactionTaxRate) is removed.

6.56.10 WithholdingTaxRate

XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "RateAndAmountFormat60Choice__1" on page 491 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		492
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		492
	Amount	<Amt>	Or	[1..1]		492
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		493

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Rat-eDetails/WithholdingTaxRate) is removed.

6.56.11 SecondLevelTax

XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "RateAndAmountFor-mat60Choice__1" on page 491 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		492
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		492
	Amount	<Amt>	Or	[1..1]		492
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		493

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Rat-eDetails/SecondLevelTax) is removed.

6.57 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		266
	DateTime	<DtTm>	Or}	[1..1]	 	268

Used in element(s)

"Date" on page 279, "Date" on page 280, "Date" on page 281, "Date" on page 283

6.57.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Specified date.

Datatype: "ISODate" on page 705

Usage Guideline details

- on **seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate/Date/Date**
 - **Market Practice Implementation Rules:**

Used when Meeting Time is not available.
- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate/Date/Date**
 - **Market Practice Implementation Rule:**

Used for DTC Cover Protect Expiration Date.
- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline/Date/Date**
 - **Market Practice Implementation Rule:**

Used for DTC Early Expiration Date.
- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate/Date/Date**
 - **Market Practice Implementation Rule:**

Used when only date is available.
- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline/Date/Date**
 - **Market Practice Implementation Rule:**

Used for Actual Expiration Date.
- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline/Date/Date**
 - **Market Practice Implementation Rule:**

Used for DTC Instrucion Expiration Date.

- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod/Period/EndDate/Date/Date
 - **Market Practice Implementation Rule:**

Used for when only DTC Withdrawal Expiration Date.
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod/Period/StartDate/Date/Date
 - **Market Practice Implementation Rule:**

Used for DTC Instruction Start Date.
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/RevocabilityPeriod/Period/EndDate/Date/Date
 - **Market Practice Implementation Rules:**

Used for Actual Withdrawal Expiration Date.
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/StartDate/Date/Date
 - **Annotation:** (empty)
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/EndDate/Date/Date
 - **Annotation:** (empty)
- on seev.031.001.15/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncementDate/Date/Date
 - **Annotation:** (empty)
- on seev.031.001.15/CorporateActionDetails/DateDetails/RegistrationDeadline/Date/Date
 - **Annotation:** (empty)
- on seev.031.001.15/CorporateActionDetails/DateDetails/LeadPlaintiffDeadline/Date/Date
 - **Annotation:** (empty)
- on seev.031.001.15/CorporateActionDetails/DateDetails/AnnouncementDate/Date/Date
 - **Annotation:** (empty)
- on seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublicationDate/Date/Date
 - **Annotation:** (empty)
- on seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate/Date/Date
 - **Annotation:** (empty)
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/StartDate/Date/Date

Synonym (DTCC): Class Period Start Date

- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/EndDate/Date/Date**
Synonym (DTCC): Class Period End Date
- on **seev.031.001.15/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncement-Date/Date/Date**
Synonym (DTCC): Complaint Filed Date
- on **seev.031.001.15/CorporateActionDetails/DateDetails/RegistrationDeadline/Date/Date**
Synonym (DTCC): Exclusion Date
- on **seev.031.001.15/CorporateActionDetails/DateDetails/LeadPlaintiffDeadline/Date/Date**
Synonym (DTCC): Lead Plaintiff Deadline
- on **seev.031.001.15/CorporateActionDetails/DateDetails/AnnouncementDate/Date/Date**
Synonym (DTCC): Notice Date
- on **seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublicationDate/Date/Date**
Synonym (DTCC): Settlement Date
- on **seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate/Date/Date**
Synonym (DTCC): Meeting Date

6.57.2 DateTime

XML Tag: DtTm

Presence: [1..1]

Definition: Specified date and time.

Datatype: ["ISODateTime" on page 705](#)

Usage Guideline details

- This element(**seev.031.001.15/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncementDate/Date/DateTime**) is removed.
- This element(**seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublication-Date/Date/DateTime**) is removed.
- This element(**seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/End-Date/Date/DateTime**) is removed.
- This element(**seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/Start-Date/Date/DateTime**) is removed.
- This element(**seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod/Period/EndDate/Date/DateTime**) is removed.
- This element(**seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod/Period/StartDate/Date/DateTime**) is removed.
- This element(**seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForBookEntryTransfer/Period/EndDate/Date/DateTime**) is removed.

- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForBookEntryTransfer/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForDeposit/Period/EndDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForDeposit/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForDepositAtAgent/Period/EndDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForDepositAtAgent/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForPledge/Period/EndDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForPledge/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForSegregation/Period/EndDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForSegregation/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForWithdrawalAtAgent/Period/EndDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForWithdrawalAtAgent/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForWithdrawalInNomineeName/Period/EndDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForWithdrawalInNomineeName/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForWithdrawalInStreetName/Period/EndDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForWithdrawalInStreetName/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod/Period/EndDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicer-RevocabilityPeriod/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal/Period/EndDate/Date/DateTime) is removed.

- This element(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod/Period/EndDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod/Period/StartDate/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/RegistrationDeadline/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/LeadPlaintiffDeadline/Date/DateTime) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/AnnouncementDate/Date/DateTime) is removed.
- on seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate/Date/DateTime
 - **Market Practice Implementation Rules:**

Use when Meeting Time is available.
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate/Date/DateTime
 - **Market Practice Implementation Rule:**

Used for DTC Cover Protect Expiration Date and DTC Cover Protect Expiration Time Zone.
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline/Date/DateTime
 - **Market Practice Implementation Rule:**

Used for DTC Early Expiration Date Time
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate/Date/DateTime
 - **Market Practice Implementation Rule:**

Used when date , time and time zone is available.
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline/Date/DateTime
 - **Market Practice Implementation Rule:**

Used to map Actual Expiration Date, Actual Expiration Time and Actual Expiration Time Zone. When at least date and time values are available.
- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline/Date/DateTime
 - **Market Practice Implementation Rules:**

Used to map DTC Instrucion Expiration Date, DTC Instrucion Expiration Time, DTC Instrucion Expiration Time Zone. When at least date and time values are available.

- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod/Period/EndDate/Date/DateTime`
 - **Market Practice Implementation Rule:**
Used for DTC Withdrawal Expiration Date, Time and Time Zone.
- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod/Period/StartDate/Date/DateTime`
 - **Market Practice Implementation Rule:**
Used for DTC Instruction Start Date, DTC Instruction Start Time and DTC Instruction Start Time Zone. When at least date and time values are available.
- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/RevocabilityPeriod/Period/EndDate/Date/DateTime`
 - **Market Practice Implementation Rules:**
Used for Actual Withdrawal Expiration Date, Actual Withdrawal Expiration Time, and Actual Withdrawal Expiration Time Zone.

6.58 DateCode19Choice__1

Definition: Choice between a code or a proprietary code for a date code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		271
	Proprietary	<Prtry>	Or}	[1..1]	🚫	271

Used in element(s)

"DateCode" on page 278, "DateCode" on page 279, "DateCode" on page 281

6.58.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType8Code" on page 666

6.58.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/EffectiveDate/Date-Code/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/ExDividendDate/Date-Code/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/FilingDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/HearingDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/LotteryDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/MarketClaimTrackingEndDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/PaymentDate/Date-Code/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublication-Date/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionDetails/DateDetails/UnconditionalDate/Date-Code/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary) is removed.

- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebitDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/CoverExpirationDeadline/DateCode/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/ProtectDeadline/DateCode/Proprietary) is removed.

6.59 DateCode20Choice__1

Definition: Choice between a code or a proprietary code for a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		273
	Proprietary	<Prtry>	Or}	[1..1]		273

Used in element(s)

["DateCode" on page 283](#)

6.59.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to indicate the date is unknown.

Datatype: ["DateType1Code" on page 666](#)

6.59.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.60 DateCode21Choice__1

Definition: Choice between a code or a proprietary code for a date code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		274
	Proprietary	<Prtry>	Or}	[1..1]		274

Used in element(s)

"[DateCode](#)" on [page 276](#)

6.60.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "[DateType7Code](#)" on [page 666](#)

6.60.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.61 DateCode33Choice

Definition: Choice between a code or a proprietary code for a date code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		275
	Proprietary	<Prtry>	Or}	[1..1]		275

Used in element(s)

"DateCode" on page 284

6.61.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType9Code" on page 675

6.61.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 357 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		358
	Issuer	<Issr>		[1..1]		358
	SchemeName	<SchmeNm>		[0..1]		358

6.62 DateCodeAndTimeFormat3__1

Definition: Specifies a date code and a time.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>		[1..1]		276
	Time	<Tm>		[1..1]		276

Used in element(s)

"DateCodeAndTime" on page 280

6.62.1 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "DateCode21Choice__1" on page 274 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		274
	Proprietary	<Prtry>	Or}	[1..1]		274

6.62.2 Time

XML Tag: Tm

Presence: [1..1]

Definition: Specifies the time.

Datatype: "ISOTime" on page 706

6.63 DateFormat30Choice__1

Definition: Choice between an ISODate format or a date code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]	⚠	277

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		278

Used in element(s)

"AvailableDate" on page 549, "CourtApprovalDate" on page 141, "DividendRankingDate" on page 550, "EarliestPaymentDate" on page 550, "EarliestPaymentDate" on page 161, "EffectiveDate" on page 142, "EqualisationDate" on page 142, "ExDividendDate" on page 152, "ExpiryDate" on page 299, "FilingDate" on page 158, "GuaranteedParticipationDate" on page 154, "HearingDate" on page 159, "LapsedDate" on page 155, "LastTradingDate" on page 551, "LotteryDate" on page 144, "MarginFixingDate" on page 146, "MarketClaimTrackingEndDate" on page 157, "NewMaturityDate" on page 145, "PariPassuDate" on page 551, "PaymentDate" on page 156, "PaymentDate" on page 548, "PaymentDate" on page 160, "PostingDate" on page 300, "ProrationDate" on page 147, "RecordDate" on page 147, "SpecialExDate" on page 153, "UnconditionalDate" on page 151, "WhollyUnconditionalDate" on page 152

6.63.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/DateDetails/FilingDate/Date](#)
 - **Annotation:** (empty)
- on [seev.031.001.15/CorporateActionDetails/DateDetails/HearingDate/Date](#)
 - **Annotation:** (empty)
- on [seev.031.001.15/CorporateActionDetails/DateDetails/UnconditionalDate/Date](#)
 - **Annotation:** (empty)
- on [seev.031.001.15/CorporateActionDetails/DateDetails/PaymentDate/Date](#)
 - **Annotation:** (empty)
- on [seev.031.001.15/CorporateActionDetails/DateDetails/EffectiveDate/Date](#)
 - **Annotation:** (empty)
- on [seev.031.001.15/CorporateActionDetails/DateDetails/FilingDate/Date](#)

Synonym (DTCC): Proof of Claim Filing Date
- on [seev.031.001.15/CorporateActionDetails/DateDetails/HearingDate/Date](#)

Synonym (DTCC): Hearing Date
- on [seev.031.001.15/CorporateActionDetails/DateDetails/UnconditionalDate/Date](#)

Synonym (DTCC): Objection Date

- on [seev.031.001.15/CorporateActionDetails/DateDetails/PaymentDate/Date](#)
Synonym (DTCC): Declared Payable Date
- on [seev.031.001.15/CorporateActionDetails/DateDetails/EffectiveDate/Date](#)
Synonym (DTCC): Effective Date

6.63.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "[DateCode19Choice__1](#)" on page 271 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		271
	Proprietary	<Prtry>	Or}	[1..1]		271

6.64 DateFormat43Choice__1

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		279
	DateCode	<DtCd>	Or}	[1..1]		279

Used in element(s)

["AnnouncementDate"](#) on page 140, ["CertificationDeadline"](#) on page 140, ["CoverExpirationDeadline"](#) on page 131, ["DeadlineForTaxBreakdownInstruction"](#) on page 150, ["DeadlineToSplit"](#) on page 150, ["DepositoryCoverExpirationDate"](#) on page 136, ["DocumentationDeadline"](#) on page 138, ["EarlyClosingDate"](#) on page 141, ["EarlyResponseDeadline"](#) on page 131, ["EarlyThirdPartyDeadline"](#) on page 157, ["ElectionToCounterpartyMarketDeadline"](#) on page 154, ["ElectionToCounterpartyResponseDeadline"](#) on page 155, ["ExpiryDate"](#) on page 134, ["FixingDate"](#) on page 143, ["ForeignExchangeRateFixingDate"](#) on page 161, ["FurtherDetailedAnnouncementDate"](#) on page 143, ["LeadPlaintiffDeadline"](#) on page 158, ["MarketDeadline"](#) on page 132, ["MeetingDate"](#) on page 146, ["OfficialAnnouncementPublicationDate"](#) on page 153, ["ProtectDeadline"](#) on page 132, ["RegistrationDeadline"](#) on page 148, ["ResultsPublicationDate"](#) on page 149, ["StockLendingDeadline"](#) on page 137, ["StockLendingDeadline"](#) on page 68, ["SubscriptionCostDebitDate"](#) on page 135, ["ThirdPartyDeadline"](#) on page 156, ["TradingSuspendedDate"](#) on page 151

6.64.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 265 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		266
	DateTime	<DtTm>	Or}	[1..1]	 	268

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/SubscriptionCost-DebitDate/Date) is removed.

6.64.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "DateCode19Choice__1" on page 271 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		271
	Proprietary	<Prtry>	Or}	[1..1]		271

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncementDate/DateCode) is removed.

6.65 DateFormat44Choice__1

Definition: Choice between an ISODate or ISODateTime format or a date code or a date code and a time.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		280
	DateCodeAndTime	<DtCdAndTm>	Or	[1..1]		280
	DateCode	<DtCd>	Or}	[1..1]		281

Used in element(s)

"ResponseDeadline" on page 133

6.65.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 265 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		266
	DateTime	<DtTm>	Or}	[1..1]		268

6.65.2 DateCodeAndTime

XML Tag: DtCdAndTm

Presence: [1..1]

Definition: Specifies a date code and a time.

The **DtCdAndTm** block contains the following elements (see datatype "DateCodeAndTimeFormat3__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>		[1..1]		276
	Time	<Tm>		[1..1]		276

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCodeAndTime) is removed.

6.65.3 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "[DateCode19Choice__1](#)" on page 271 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		271
	Proprietary	<Prtry>	Or}	[1..1]		271

6.66 DateFormat45Choice

Definition: Specifies the value of a date.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		281
	NotSpecifiedDate	<NotSpcfdDt>	Or}	[1..1]		282

Used in element(s)

["EndDate"](#) on page 430, ["StartDate"](#) on page 427

6.66.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as an ISO Date.

The **Dt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 265 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		266
	DateTime	<DtTm>	Or}	[1..1]	 	268

6.66.2 NotSpecifiedDate

XML Tag: NotSpecfdDt

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: "DateType8Code" on page 666

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicer-RevocabilityPeriod/Period/EndDate/NotSpecifiedDate) is removed.
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod/Period/StartDate/NotSpecifiedDate
Single value: UKWN
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod/Period/EndDate/NotSpecifiedDate
Single value: UKWN
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal/Period/EndDate/NotSpecifiedDate
Single value: UKWN

6.67 DateFormat57Choice__1

Definition: Provides the date.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		282
	DateCode	<DtCd>	Or}	[1..1]		283

Used in element(s)

"ValueDate" on page 160

6.67.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: ["ISODate" on page 705](#)

6.67.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Date is expressed using a code.

The **DtCd** block contains the following elements (see datatype ["DateCode20Choice__1" on page 273](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		273
	Proprietary	<Prtry>	Or}	[1..1]		273

6.68 DateFormat59Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		283
	DateCode	<DtCd>	Or}	[1..1]		284

Used in element(s)

["EndOfSecuritiesBlockingPeriod" on page 138](#)

6.68.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype ["DateAndDateTime2Choice" on page 265](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		266
	DateTime	<DtTm>	Or}	[1..1]		268

6.68.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "DateCode33Choice" on page 275 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		275
	Proprietary	<Prtry>	Or}	[1..1]		275

6.69 DeemedRateType1Choice__1

Definition: Choice between a standard code or proprietary code to specify a deemed rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		284
	Proprietary	<Prtry>	Or}	[1..1]		285

Used in element(s)

"RateType" on page 510, "RateType" on page 519

6.69.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 676

Usage Guideline details

- This element (see v.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Code) is removed.

6.69.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

6.70 DefaultProcessingOrStandingInstruction2Choice

Definition: Choice between default processing or standing instruction.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DefaultOptionIndicator	<DfltOptnInd>	{Or	[1..1]		285
	StandingInstructionIndicator	<StgInstrInd>	Or}	[1..1]		286

Used in element(s)

"[DefaultProcessingOrStandingInstruction](#)" on [page 199](#)

6.70.1 DefaultOptionIndicator

XML Tag: DfltOptnInd

Presence: [1..1]

Definition: Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner.

Datatype: "[YesNoIndicator](#)" on [page 785](#)

Usage Guideline details

- on `seev.031.001.15/CorporateActionOptionDetails/DefaultProcessingOrStandingInstruction/DefaultOptionIndicator`

- **Market Practice Implementation Rule:**

DTC Default option flag will be used if the option is supported/ processed by the DTC.

Issuer Default Flag will be used if the option is not supported / processed by DTC.

- on `seev.031.001.15/CorporateActionOptionDetails/DefaultProcessingOrStandingInstruction/DefaultOptionIndicator`

Synonym (DTCC): DTC Default Option Flag

- on `seev.031.001.15/CorporateActionOptionDetails/DefaultProcessingOrStandingInstruction/DefaultOptionIndicator`

Synonym (DTCC): Issuer Default Option Flag

- on `seev.031.001.15/CorporateActionOptionDetails/DefaultProcessingOrStandingInstruction/DefaultOptionIndicator`

Comment:

DTC Default Option Flag: identifies whether the option is processed as default option by DTC.

Issuer Default Option Flag: identifies whether the option is declared as default by the issuer/ offeror.

6.70.2 StandingInstructionIndicator

XML Tag: StgInstrInd

Presence: [1..1]

Definition: Indicates whether an account owner has placed a standing order to select this corporate action option.

The standing instruction may or may not be overridden, depending on account or event terms.

Datatype: "YesNoIndicator" on page 785

Usage Guideline details

- This element(`seev.031.001.15/CorporateActionOptionDetails/DefaultProcessingOrStandingInstruction/StandingInstructionIndicator`) is removed.

6.71 DistributionTypeFormat7Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of distribution.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		287
	Proprietary	<Prtry>	Or}	[1..1]		287

Used in element(s)

"OccurrenceType" on page 94

6.71.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Datatype: "DistributionType3Code" on page 677

6.71.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of distribution.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/OccurrenceType/Proprietary) is removed.

6.72 DividendTypeFormat9Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of dividend.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		288
	Proprietary	<Prtry>	Or}	[1..1]		288

Used in element(s)

"DividendType" on page 93

6.72.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the frequency of the corporate action event.

Datatype: "CorporateActionFrequencyType5Code" on page 645

6.72.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the frequency of the corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/DividendType/Proprietary) is removed.

6.73 DocumentIdentification31__1

Definition: Identification of a document and type of link.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		289

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkageType	<LkgTp>		[0..1]		289

Used in element(s)

"PreviousNotificationIdentification" on page 29

6.73.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.

Datatype: "RestrictedFINXMax16Text" on page 779

Usage Guideline details

- on seev.031.001.15/PreviousNotificationIdentification/Identification

Type changed to: RestrictedFINXMax16Text on page 779

6.73.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "ProcessingPosition7Choice__1" on page 453 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		453
	Proprietary	<Prtry>	Or}	[1..1]		453

Usage Guideline details

- This element(seev.031.001.15/PreviousNotificationIdentification/LinkageType) is removed.

6.74 DocumentIdentification32__1

Definition: Identification of a document as well as the document number and type of link.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		290
	DocumentNumber	<DocNb>		[0..1]	🚫	290
	LinkageType	<LkgTp>		[0..1]	🚫	291

Used in element(s)

"OtherDocumentIdentification" on page 29

6.74.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

The **Id** block contains the following elements (see datatype "DocumentIdentification3Choice__1" on page 291 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]	⚠	291
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]	🚫 ⚠	292

6.74.2 DocumentNumber

XML Tag: DocNb

Presence: [0..1]

Definition: Identification of the type of document.

The **DocNb** block contains the following elements (see datatype "DocumentNumber5Choice__1" on page 293 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		293
	LongNumber	<LngNb>	Or	[1..1]		293
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		294

Usage Guideline details

- This element(seev.031.001.15/OtherDocumentIdentification/DocumentNumber) is removed.

6.74.3 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "ProcessingPosition7Choice__1" on page 453 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		453
	Proprietary	<Prtry>	Or}	[1..1]		453

Usage Guideline details

- This element(seev.031.001.15/OtherDocumentIdentification/LinkageType) is removed.

6.75 DocumentIdentification3Choice__1

Definition: Choice between a document identification provided either by the account owner or by the account servicer.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		291
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]	 	292

Used in element(s)

"Identification" on page 290

6.75.1 AccountServicerDocumentIdentification

XML Tag: AcctSvcrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: ["RestrictedFINXMax16Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 779](#)
- on [seev.031.001.15/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification](#)
Synonym (DTCC): DTC Important Notice ID
- on [seev.031.001.15/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification](#)
Comment:
The identification of the important notice published by DTC related to a corporate action event.

6.75.2 AccountOwnerDocumentIdentification

XML Tag: AcctOwnrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: ["RestrictedFINXMax16Text" on page 779](#)

Usage Guideline details

- This element([seev.031.001.15/OtherDocumentIdentification/Identification/AccountOwnerDocumentIdentification](#)) is removed.
- on [seev.031.001.15/OtherDocumentIdentification/Identification/AccountOwnerDocumentIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 779](#)

6.76 DocumentIdentification9__1

Definition: Identifies a document by a unique identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		293

Used in element(s)

["InstructionIdentification" on page 29](#)

6.76.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.

Datatype: ["RestrictedFINXMax16Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/InstructionIdentification/Identification](#)
Type changed to: [RestrictedFINXMax16Text on page 779](#)

6.77 DocumentNumber5Choice__1

Definition: Choice between a short document number, a long document number or a proprietary document number.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		293
	LongNumber	<LngNb>	Or	[1..1]		293
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		294

Rules

R41 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

Error handling: Undefined

Used in element(s)

["DocumentNumber" on page 290](#)

6.77.1 ShortNumber

XML Tag: ShrtNb

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: ["Exact3NumericText" on page 686](#)

6.77.2 LongNumber

XML Tag: LngNb

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 704

6.77.3 ProprietaryNumber

XML Tag: PrtryNb

Presence: [1..1]

Definition: Proprietary document identification.

The **PrtryNb** block contains the following elements (see datatype "GenericIdentification36__1" on page 382 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	383
	Issuer	<Issr>		[1..1]	⚠	383
	SchemeName	<SchmeNm>		[0..1]	⚠	384

6.78 DutchAuctionTypeFormat1Choice__1

Definition: Choice between a standard code or proprietary code to specify the Dutch auction type format required.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		294
	Proprietary	<Prtry>	Or}	[1..1]	🚫	294

Used in element(s)

"DutchAuctionType" on page 101

6.78.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the Dutch auction type required.

Datatype: "DutchAuctionType1Code" on page 681

6.78.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the Dutch auction type.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/DutchAuctionType/Proprietary](#)) is removed.

6.79 ElectionTypeFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of election movement.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		295
	Proprietary	<Prtry>	Or}	[1..1]		295

Used in element(s)

["ElectionType" on page 98](#)

6.79.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the effect on the holdings of electing a corporate action option.

Datatype: ["ElectionMovementType2Code" on page 683](#)

6.79.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of election of a corporate action option.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.80 EventSequenceTypeFormat1Choice__1

Definition: Choice between a standard code and proprietary code to specify the type of event in a series of predefined or planned events.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		296
	Proprietary	<Prtry>	Or}	[1..1]		296

Used in element(s)

["EventSequenceType" on page 94](#)

6.80.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of an event sequence, in a coded format.

Datatype: ["EventSequenceType1Code" on page 685](#)

6.80.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of an event sequence, in a proprietary format.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.81 FinancialInstrumentAttributes110__1

Definition: Description of the financial instrument.

❌ Removed - ❌ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityIdentification	<SctyId>		[1..1]		297
	Quantity	<Qty>		[0..1]	⚠	298
	RenounceableEntitlementStatusType	<RnnclEntitlmtStsTp>		[0..1]		298
	FractionDisposition	<FrctnDspstn>		[0..1]		298
	IntermediateSecuritiesToUnderlyingRatio	<IntrmdtScitiesToUndrlygRatio>		[0..1]		299
	MarketPrice	<MktPric>		[0..1]		299
	ExpiryDate	<XpryDt>		[1..1]		299
	PostingDate	<PstngDt>		[1..1]		300
	TradingPeriod	<TradgPrd>		[0..1]		300
	UninstructedBalance	<UinstdBal>		[0..1]		300
	InstructedBalance	<InstdBal>		[0..1]		301

Used in element(s)

"IntermediateSecurity" on page 31

6.81.1 SecurityIdentification

XML Tag: SctyId

Presence: [1..1]

Definition: Identifies the financial instrument.

The **SctyId** block contains the following elements (see datatype "SecurityIdentification19__1" on page 552 for details)

❌ Removed - ❌ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		553
	OtherIdentification	<OthrId>		[0..*]		554
	Description	<Desc>		[0..1]		555

6.81.2 Quantity

XML Tag: Qty

Presence: [0..1]

Definition: Quantity of entitled intermediate securities based on the balance of underlying securities.

Datatype: "RestrictedFINDecimalNumber" on page 777

Usage Guideline details

- on [seev.031.001.15/IntermediateSecurity/Quantity](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)

6.81.3 RenounceableEntitlementStatusType

XML Tag: RnnclEntitlmntStsTp

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

The **RnnclEntitlmntStsTp** block contains the following elements (see datatype "[RenounceableEntitlementStatusTypeFormat3Choice__1](#)" on page 524 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		524
	Proprietary	<Prtry>	Or}	[1..1]		524

6.81.4 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "[FractionDispositionType25Choice__1](#)" on page 355 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		356
	Proprietary	<Prtry>	Or}	[1..1]		356

6.81.5 IntermediateSecuritiesToUnderlyingRatio

XML Tag: IntrmdtSciesToUndrlygRatio

Presence: [0..1]

Definition: Quantity of intermediate securities awarded for a given quantity of underlying security.

The **IntrmdtSciesToUndrlygRatio** block contains the following elements (see datatype "QuantityTo-QuantityRatio1__1" on page 466 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		467
	Quantity2	<Qty2>		[1..1]		468

6.81.6 MarketPrice

XML Tag: MktPric

Presence: [0..1]

Definition: Last reported/known price of a financial instrument in a market.

The **MktPric** block contains the following elements (see datatype "AmountPrice2__1" on page 52 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		52
	PriceValue	<PricVal>		[1..1]		53

6.81.7 ExpiryDate

XML Tag: XpryDt

Presence: [1..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

The **XpryDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

6.81.8 PostingDate

XML Tag: PstngDt

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

The **PstngDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

6.81.9 TradingPeriod

XML Tag: TradgPrd

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

The **TradgPrd** block contains the following elements (see datatype "Period11" on page 427 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		427
	EndDate	<EndDt>		[1..1]		430

6.81.10 UninstructedBalance

XML Tag: UinstdBal

Presence: [0..1]

Definition: Balance of uninstructed position.

The **UinstdBal** block contains the following elements (see datatype "BalanceFormat11Choice__1" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.81.11 InstructedBalance

XML Tag: InstdBal

Presence: [0..1]

Definition: Balance of instructed position.

The **InstdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 61 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		61
	EligibleBalance	<ElgblBal>	Or	[1..1]		62
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		62

6.82 FinancialInstrumentAttributes128__1

Definition: Description of the financial instrument.

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]	⚠	302
	PlaceOfListing	<PlcOfListg>		[0..1]	⊘	303
	DayCountBasis	<DayCntBsis>		[0..1]	⚠	303
	ClassificationType	<ClssfctnTp>		[0..1]		304
	OptionStyle	<OptnStyle>		[0..1]	⊘	304
	DenominationCurrency	<DnmtnCcy>		[0..1]	⊘	305
	NextCouponDate	<NxtCpnDt>		[0..1]	⊘	305
	ExpiryDate	<XpryDt>		[0..1]	⊘	305
	FloatingRateFixingDate	<FltgRateFxdt>		[0..1]	⊘	306
	MaturityDate	<MtrtyDt>		[0..1]	⊘	306

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssueDate	<IssdDt>		[0..1]	⊘	306
	NextCallableDate	<NxtCllblDt>		[0..1]	⊘	307
	PutableDate	<PutblDt>		[0..1]	⊘	307
	DatedDate	<DtdDt>		[0..1]	⊘	307
	ConversionDate	<ConvsDt>		[0..1]	⊘	307
	InterestRate	<IntrstRate>		[0..1]	⊘	308
	NextInterestRate	<NxtIntrstRate>		[0..1]	⊘	308
	PercentageOfDebtClaim	<PctgOfDebtClm>		[0..1]	⊘	309
	PreviousFactor	<PrvsFctr>		[0..1]	⚠	309
	NextFactor	<NxtFctr>		[0..1]	⊘	310
	WarrantParity	<WarrtParity>		[0..1]	⊘	310
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	⊘	311
	ContractSize	<CtrctSz>		[0..1]	⊘	311

Rules

R44 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

Error handling: Undefined

R45 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

Error handling: Undefined

Used in element(s)

"UnderlyingSecurity" on page 173

6.82.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identifies the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19_1](#)" on page 552 for details)

⊘ Removed - !⊘ Partial Removed - ♦ Ignored - ♦⊘ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		553
	OtherIdentification	<OthrId>		[0..*]		554
	Description	<Desc>		[0..1]		555

Usage Guideline details

- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification
Synonym (DTCC): Event Security ID Type
- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification
Synonym (DTCC): Event Security ID
- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification
Comment:
Security Identifier and type of the event issue.

6.82.2 PlaceOfListing

XML Tag: PlcOfListg

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

The **PlcOfListg** block contains the following elements (see datatype "MarketIdentification3Choice__1" on page 403 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktIdrCd>	{Or	[1..1]		403
	Description	<Desc>	Or}	[1..1]		403

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/Place-OfListing) is removed.

6.82.3 DayCountBasis

XML Tag: DayCntBsis

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

The **DayCntBsis** block contains the following elements (see datatype "[InterestComputationMethodFormat4Choice__1](#)" on page 397 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		398
	Proprietary	<Prtry>	Or}	[1..1]	🚫	398

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis](#)
 - **Applicability:**
true
 - **REDEMG Trace:**
Accrued Interest Denominator
- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis](#)
Synonym (DTCC): Rate Calculation Method
- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis](#)
Comment:
Identifies the method used to calculate the rate.

6.82.4 ClassificationType

XML Tag: ClssfctnTp

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

The **ClssfctnTp** block contains the following elements (see datatype "[ClassificationType32Choice__1](#)" on page 82 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]	⚠	82
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]	🚫	83

6.82.5 OptionStyle

XML Tag: OptnStyle

Presence: [0..1]

Definition: Specifies how an option can be exercised.

The **OptnStyle** block contains the following elements (see datatype "[OptionStyle8Choice__1](#)" on [page 414](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		414
	Proprietary	<Prtry>	Or}	[1..1]		415

Usage Guideline details

- This element(see [v.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/OptionStyle](#)) is removed.

6.82.6 DenominationCurrency

XML Tag: DnmtnCcy

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on [page 573](#)

Usage Guideline details

- This element(see [v.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DenominationCurrency](#)) is removed.

6.82.7 NextCouponDate

XML Tag: NxtCpnDt

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: "[ISODate](#)" on [page 705](#)

Usage Guideline details

- This element(see [v.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/NextCouponDate](#)) is removed.

6.82.8 ExpiryDate

XML Tag: XpryDt

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([see v.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/Expiry-Date](#)) is removed.

6.82.9 FloatingRateFixingDate

XML Tag: FltgRateFxdt

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([see v.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FloatingRateFixingDate](#)) is removed.

6.82.10 MaturityDate

XML Tag: MtrtyDt

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([see v.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/MaturityDate](#)) is removed.

6.82.11 IssueDate

XML Tag: IsseDt

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([see v.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/IssueDate](#)) is removed.

6.82.12 NextCallableDate

XML Tag: NxtClblDt

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/NextCallableDate](#)) is removed.

6.82.13 PutableDate

XML Tag: PutblDt

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/PutableDate](#)) is removed.

6.82.14 DatedDate

XML Tag: DtdDt

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DatedDate](#)) is removed.

6.82.15 ConversionDate

XML Tag: ConvsDt

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/ConversionDate) is removed.

6.82.16 InterestRate

XML Tag: IntrstRate

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

The **IntrstRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/InterestRate) is removed.

6.82.17 NextInterestRate

XML Tag: NxtIntrstRate

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

The **NxtIntrstRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/NextInterestRate) is removed.

6.82.18 PercentageOfDebtClaim

XML Tag: PctgOfDebtClm

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

The **PctgOfDebtClm** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/PercentageOfDebtClaim) is removed.

6.82.19 PreviousFactor

XML Tag: PrvsFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

The **PrvsFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on page 495 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		496

Usage Guideline details

- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/PreviousFactor

Synonym (DTCC): Previous Pay Factor

- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/PreviousFactor](#)

Comment:

Previous pay factor announced under the event security.

6.82.20 NextFactor

XML Tag: NxtFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

The **NxtFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on page 495 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element([seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/NextFactor](#)) is removed.

6.82.21 WarrantParity

XML Tag: WarrtParity

Presence: [0..1]

Definition: Provides the ratio between the quantity of warrants and the quantity of underlying securities.

The **WarrtParity** block contains the following elements (see datatype "[QuantityToQuantityRatio1__1](#)" on page 466 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		467
	Quantity2	<Qty2>		[1..1]		468

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/WarrantParity) is removed.

6.82.22 MinimumNominalQuantity

XML Tag: MinNmnlQty

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

The **MinNmnlQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice__1](#)" on page 323 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	324
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	330
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity) is removed.

6.82.23 ContractSize

XML Tag: CtrctSz

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

The **CtrctSz** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice__1](#)" on page 323 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	324
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	330
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

Usage Guideline details

- This element(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize) is removed.

6.83 FinancialInstrumentAttributes131__1

Definition: Description of the financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		313
	PlaceOfListing	<PlcOfListg>		[0..1]		314
	DayCountBasis	<DayCntBsis>		[0..1]		314
	ClassificationType	<ClssfctnTp>		[0..1]		315
	OptionStyle	<OptnStyle>		[0..1]		315
	DenominationCurrency	<DnmtnCcy>		[0..1]		316
	NextCouponDate	<NxtCpnDt>		[0..1]		316
	FloatingRateFixingDate	<FltgRateFxdDt>		[0..1]		316
	MaturityDate	<MtrtyDt>		[0..1]		317
	IssueDate	<IsseDt>		[0..1]		317
	NextCallableDate	<NxtClblDt>		[0..1]		318
	PutableDate	<PutblDt>		[0..1]		318
	DatedDate	<DtdDt>		[0..1]		318
	ConversionDate	<ConvsDt>		[0..1]		318
	PreviousFactor	<PrvsFctr>		[0..1]		319
	NextFactor	<NxtFctr>		[0..1]		319
	InterestRate	<IntrstRate>		[0..1]		320
	NextInterestRate	<NxtIntrstRate>		[0..1]		320
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]		321
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]		321
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]		322
	ContractSize	<CtrctSz>		[0..1]		322
	IssuePrice	<IssePric>		[0..1]		323

Rules**R96 PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

Error handling: Undefined

R97 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

Error handling: Undefined

Used in element(s)

"SecurityDetails" on page 532

6.83.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19_1](#)" on page 552 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	⚠	553
	OtherIdentification	<OthrId>		[0..*]	⚠	554
	Description	<Desc>		[0..1]	⚠	555

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification](#)

- **Market Practice Implementation Rule:**

DTC announced security identifier will be mapped to this tag, issuer / market announced security identifier, that can be different from DTC's, will be mapped to extension component as supplementary data.

If option is not supported / processed by DTC, then issuer / market announced security identifier will be mapped here.

DTC announced security data element name is: "DTC Disbursed Security" or, mutually exclusively used with "DTC Disbursed Fractional Security" that is used when payout is for fractions.
 Issuer / market announced security data element name is "Declared Disbursed Security".

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification](#)
Synonym (DTCC): DTC Disbursed Fractional Security ID and Type
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification](#)
Synonym (DTCC): DTC Disbursed Security ID and Type
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification](#)
Synonym (DTCC): Declared Disbursed Security ID and Type
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification](#)
Synonym (DTCC Redemptions): Lottery Contra CUSIP

6.83.2 PlaceOfListing

XML Tag: PlcOfListg

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

The **PlcOfListg** block contains the following elements (see datatype "[MarketIdentification3Choice__1](#)" on page 403 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktIdrCd>	{Or	[1..1]		403
	Description	<Desc>	Or}	[1..1]		403

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/PlaceOfListing](#)) is removed.

6.83.3 DayCountBasis

XML Tag: DayCntBsis

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

The **DayCntBsis** block contains the following elements (see datatype "[InterestComputationMethodFormat4Choice__1](#)" on page 397 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		398
	Proprietary	<Prtry>	Or}	[1..1]		398

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DayCountBasis) is removed.

6.83.4 ClassificationType

XML Tag: CllsfctnTp

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

The **CllsfctnTp** block contains the following elements (see datatype "ClassificationType32Choice__1" on page 82 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<CllsfctnFinInstrm>	{Or	[1..1]		82
	AlternateClassification	<AltrnCllsfctn>	Or}	[1..1]		83

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType) is removed.

6.83.5 OptionStyle

XML Tag: OptnStyle

Presence: [0..1]

Definition: Specifies how an option can be exercised.

The **OptnStyle** block contains the following elements (see datatype "OptionStyle8Choice__1" on page 414 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		414

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		415

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/OptionStyle](#)) is removed.

6.83.6 DenominationCurrency

XML Tag: DnmtnCcy

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Datatype: ["ActiveOrHistoricCurrencyCode" on page 573](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DenominationCurrency](#)) is removed.

6.83.7 NextCouponDate

XML Tag: NxtCpnDt

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/NextCouponDate](#)) is removed.

6.83.8 FloatingRateFixingDate

XML Tag: FltgRateFxdDt

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FloatingRateFixingDate](#)) is removed.

6.83.9 MaturityDate

XML Tag: MtrtyDt

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MaturityDate](#)
 - **Market Practice Usage Rule:**
Used for partial refunding event type. Having this date populated will distinguish a prefunded security payout from non-prefunded security payouts.
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MaturityDate](#)
 - **Applicability:**
true
 - **REDEMG Trace:**
New Maturity Date
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MaturityDate](#)
Synonym (DTCC): Refunded Maturity Date
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MaturityDate](#)
Comment:
Represents the new maturity date for a prefunded security.

6.83.10 IssueDate

XML Tag: IsseDt

Presence: [0..1]

Definition: Date at which the security was made available.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/IssueDate](#)) is removed.

6.83.11 NextCallableDate

XML Tag: NxtClblDt

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/NextCallableDate](#)) is removed.

6.83.12 PutableDate

XML Tag: PutblDt

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/PutableDate](#)) is removed.

6.83.13 DatedDate

XML Tag: DtdDt

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: ["ISODate" on page 705](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DatedDate](#)) is removed.

6.83.14 ConversionDate

XML Tag: ConvsDt

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 705

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ConversionDate) is removed.

6.83.15 PreviousFactor

XML Tag: PrvsFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

The **PrvsFctr** block contains the following elements (see datatype "RateFormat12Choice" on page 495 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/PreviousFactor) is removed.

6.83.16 NextFactor

XML Tag: NxtFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

The **NxtFctr** block contains the following elements (see datatype "RateFormat12Choice" on page 495 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/NextFactor) is removed.

6.83.17 InterestRate

XML Tag: IntrstRate

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

The **IntrstRate** block contains the following elements (see datatype "RateFormat24Choice" on page 496 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/InterestRate) is removed.

6.83.18 NextInterestRate

XML Tag: NxtIntrstRate

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

The **NxtIntrstRate** block contains the following elements (see datatype "RateFormat24Choice" on page 496 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/NextInterestRate) is removed.

6.83.19 MinimumNominalQuantity

XML Tag: MinNmnlQty

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

The **MinNmnlQty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 323 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		324
	FaceAmount	<FaceAmt>	Or	[1..1]		330
	AmortisedValue	<AmtsdVal>	Or	[1..1]		337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity) is removed.

6.83.20 MinimumQuantityToInstruct

XML Tag: MinQtyToInst

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

The **MinQtyToInst** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 323 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		324
	FaceAmount	<FaceAmt>	Or	[1..1]		330
	AmortisedValue	<AmtsdVal>	Or	[1..1]		337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct) is removed.

6.83.21 MinimumMultipleQuantityToInstruct

XML Tag: MinMltplQtyToInst

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

The **MinMltplQtyToInst** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice__1](#)" on page 323 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		324
	FaceAmount	<FaceAmt>	Or	[1..1]		330
	AmortisedValue	<AmtsdVal>	Or	[1..1]		337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct) is removed.

6.83.22 ContractSize

XML Tag: CtrctSz

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

The **CtrctSz** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice__1](#)" on page 323 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		324
	FaceAmount	<FaceAmt>	Or	[1..1]		330
	AmortisedValue	<AmtsdVal>	Or	[1..1]		337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize) is removed.

6.83.23 IssuePrice

XML Tag: IssePric

Presence: [0..1]

Definition: Initial issue/valuation price of a resulting security under a corporate action.

The **IssePric** block contains the following elements (see datatype "[PriceFormat81Choice__1](#)" on [page 451](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		451
	AmountPrice	<AmtPric>	Or	[1..1]		452
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		452

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/IssuePrice) is removed.

6.84 FinancialInstrumentQuantity33Choice__1

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		324
	FaceAmount	<FaceAmt>	Or	[1..1]		330
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

Rules

R52 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"ContractSize" on page 311, "ContractSize" on page 322, "FinancialInstrumentQuantity" on page 59, "MinimumMultipleQuantityToInstruct" on page 322, "MinimumNominalQuantity" on page 311, "MinimumNominalQuantity" on page 321, "MinimumQuantityToInstruct" on page 321, "Quantity" on page 557, "Quantity" on page 463, "Quantity" on page 466

6.84.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 777

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/EligibleBalance/Quantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/FullPeriodUnits/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/PartWayPeriodUnits/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize/Unit

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct/Unit`
Type changed to: RestrictedFINDecimalNumber on page 777
- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity/Unit`
Type changed to: RestrictedFINDecimalNumber on page 777
- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct/Unit`
Type changed to: RestrictedFINDecimalNumber on page 777
- on `seev.031.001.15/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/Quantity/Unit`
Type changed to: RestrictedFINDecimalNumber on page 777
- on `seev.031.001.15/IntermediateSecurity/InstructedBalance/EligibleBalance/Quantity/Unit`
Type changed to: RestrictedFINDecimalNumber on page 777
- on `seev.031.001.15/IntermediateSecurity/InstructedBalance/NotEligibleBalance/Quantity/Unit`
Type changed to: RestrictedFINDecimalNumber on page 777
- on `seev.031.001.15/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/Quantity/Unit`
Type changed to: RestrictedFINDecimalNumber on page 777
- on `seev.031.001.15/IntermediateSecurity/UninstructedBalance/EligibleBalance/Quantity/Unit`
Type changed to: RestrictedFINDecimalNumber on page 777
- on `seev.031.001.15/IntermediateSecurity/UninstructedBalance/NotEligibleBalance/Quantity/Unit`
Type changed to: RestrictedFINDecimalNumber on page 777
- on `seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit`
– **Market Practice Implementation Rule:**

Used when the cash rate distribution is not on debt security. Rate reported per unit of 1.

6.84.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 778

Usage Guideline details

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/IntermediateSecurity/InstructedBalance/EligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/IntermediateSecurity/InstructedBalance/NotEligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/IntermediateSecurity/UninstructedBalance/EligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on `seev.031.001.15/IntermediateSecurity/UninstructedBalance/NotEligibleBalance/Quantity/FaceAmount`

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

- on `seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount`

– Market Practice Implementation Rule:

Used when the cash rate distribution is on a debt security. Rate reported on face value 1000.

6.84.3 AmortisedValue

XML Tag: `AmtsdVal`

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 778

Usage Guideline details

- This element(`seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue`) is removed.

- on `seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue`

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

- on `seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/EligibleBalance/Quantity/AmortisedValue`

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

- on `seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/AmortisedValue`

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

- on `seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/AmortisedValue`

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

- on `seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/EligibleBalance/Quantity/AmortisedValue`

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

- on `seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/AmortisedValue`

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/FullPeriodUnits/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/IntermediateSecurity/InstructedBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/IntermediateSecurity/InstructedBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/IntermediateSecurity/UninstructedBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/IntermediateSecurity/UninstructedBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

6.84.4 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 726](#)

6.85 FinancialInstrumentQuantity34Choice__1

Definition: Choice between formats for the quantity of security.

❌ Removed - ❌ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠️	344
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠️	345

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	346
	Code	<Cd>	Or	[1..1]		347
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		347

Rules

R70 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"MaximumQuantity" on page 238, "MaximumQuantityToInstruct" on page 543, "MinimumQuantitySought" on page 238, "MinimumQuantityToInstruct" on page 544

6.85.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 777

Usage Guideline details

- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/Unit
Type changed to: RestrictedFINDecimalNumber on page 777
- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/Unit
Type changed to: RestrictedFINDecimalNumber on page 777
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/Unit
Type changed to: RestrictedFINDecimalNumber on page 777
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/Unit
Type changed to: RestrictedFINDecimalNumber on page 777
- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/Unit
 - Market Practice Usage Rule:
Used when security is non debt security.
- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/Unit
 - Market Practice Usage Rule:

Used when security is non debt security.

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/Unit](#)

– **Market Practice Rule:**

Used when security is NOT a bond or structured security.

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/Unit](#)

– **Market Practice Rule:**

Used when security is NOT a bond or structured security.

6.85.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 778](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/FaceAmount](#)

– **Market Practice Usage Rule:**

Used when security is a bond or structured security.

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/FaceAmount](#)

– **Market Practice Usage Rule:**

Used when security is a bond or structured security.

- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/FaceAmount**

– **Market Practice Rule:**

Used when the security is a Bond or Structured Security

- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/FaceAmount**

– **Market Practice Rule:**

Used when the security is a Bond or Structured Security

6.85.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 778

Usage Guideline details

- This element(**seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/AmortisedValue**) is removed.
- This element(**seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/AmortisedValue**) is removed.
- This element(**seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/AmortisedValue**) is removed.
- This element(**seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/AmortisedValue**) is removed.
- on **seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/AmortisedValue**
 Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on **seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/AmortisedValue**
 Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/AmortisedValue**
 Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/AmortisedValue**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

6.85.4 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: ["Quantity4Code" on page 761](#)

6.85.5 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 726](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/DigitalTokenUnit](#)) is removed.
- This element([seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/DigitalTokenUnit](#)) is removed.
- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/DigitalTokenUnit](#)) is removed.
- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/DigitalTokenUnit](#)) is removed.

6.86 FinancialInstrumentQuantity35Choice__1

Definition: Choice between formats for the quantity of security.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	🚫 ⚠	348
	FaceAmount	<FaceAmt>	Or	[1..1]	🚫 ⚠	349
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	350
	Code	<Cd>	Or	[1..1]	⚠	352
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]	🚫	352

Rules**R71 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

["BackEndOddLotQuantity" on page 547](#), ["BaseDenomination" on page 240](#), ["FrontEndOddLotQuantity" on page 546](#), ["IncrementalDenomination" on page 241](#), ["MinimumMultipleQuantityToInstruct" on page 545](#), ["NewBoardLotQuantity" on page 239](#), ["NewBoardLotQuantity" on page 545](#), ["NewDenominationQuantity" on page 239](#), ["NewDenominationQuantity" on page 546](#)

6.86.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["RestrictedFINDecimalNumber" on page 777](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/Unit](#)) is removed.
- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/FrontEndOddLotQuantity/Unit](#)) is removed.
- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/NewBoardLotQuantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/NewDenominationQuantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/FrontEndOddLotQuantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/NewBoardLotQuantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/NewDenominationQuantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/Unit](#)

– **Market Practice Usage Rule:**

Used when security is non debt security.

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/Unit](#)

– **Market Practice Usage Rule:**

Used when security is non debt security.

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/Unit](#)

– **Market Practice Rule:**

Used when security is NOT a bond or structured security.

6.86.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 778](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/Back-EndOddLotQuantity/FaceAmount](#)) is removed.

- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/Front-EndOddLotQuantity/FaceAmount](#)) is removed.

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on `seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/NewBoardLotQuantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on `seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/NewDenominationQuantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/FrontEndOddLotQuantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/NewBoardLotQuantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/NewDenominationQuantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)
- on `seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/FaceAmount`
 - **DTCC Face Amount Rule:**
Used when security is a bond or structured security.
- on `seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/FaceAmount`
 - **Market Practice Usage Rule:**
Used when security is a bond or structured security.
- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/FaceAmount`
 - **Market Practice Rule:**
Used when the security is a Bond or Structured Security
- on `seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/FaceAmount`
Synonym (DTCC Redemptions): Base Denomination

6.86.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 778

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/AmortisedValue) is removed.
- This element(seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/AmortisedValue) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/AmortisedValue) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/FrontEndOddLotQuantity/AmortisedValue) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/AmortisedValue) is removed.
- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/NewBoardLotQuantity/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/NewDenominationQuantity/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/FrontEndOddLotQuantity/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/NewBoardLotQuantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/NewDenominationQuantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 778](#)

6.86.4 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: ["Quantity5Code" on page 762](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/Code](#)

Single value: UKWN

6.86.5 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 726](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/DigitalTokenUnit](#)) is removed.
- This element([seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/DigitalTokenUnit](#)) is removed.
- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/DigitalTokenUnit](#)) is removed.
- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/DigitalTokenUnit](#)) is removed.

6.87 ForeignExchangeTerms38

Definition: Provides information about the terms of the foreign exchange transaction.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		353
	QuotedCurrency	<QtdCcy>		[1..1]		353
	ExchangeRate	<XchgRate>		[1..1]		353

Used in element(s)

"IssuerDeclaredExchangeRate" on page 257

6.87.1 UnitCurrency

XML Tag: UnitCcy

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Datatype: "ActiveCurrencyCode" on page 572

6.87.2 QuotedCurrency

XML Tag: QtdCcy

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Datatype: "ActiveCurrencyCode" on page 572

6.87.3 ExchangeRate

XML Tag: XchgRate

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOne14Rate" on page 589

6.88 ForeignExchangeTerms39__1

Definition: Provides information about the terms of the foreign exchange transaction.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		354

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuotedCurrency	<QtdCcy>		[1..1]		354
	ExchangeRate	<XchgRate>		[1..1]		354
	ResultingAmount	<RsltgAmt>		[0..1]	 	355

Used in element(s)

"ForeignExchangeDetails" on page 79

6.88.1 UnitCurrency

XML Tag: UnitCcy

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Datatype: "ActiveCurrencyCode" on page 572

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ForeignExchangeDetails/UnitCurrency

– Market Practice Implementation Rule:

Used to complete the tag. Mapped from Currency (Declared Cash Rate) or Currency (Declared Gross Rate) whichever is available.

6.88.2 QuotedCurrency

XML Tag: QtdCcy

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Datatype: "ActiveCurrencyCode" on page 572

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ForeignExchangeDetails/QuotedCurrency

– Market Practice Implementation Rule:

Mapped from Currency (DTC Cash Rate).

6.88.3 ExchangeRate

XML Tag: XchgRate

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: ["BaseOne14Rate" on page 589](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ForeignExchangeDetails/ExchangeRate](#)

Synonym (DTCC): DTC Currency Conversion Rate

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ForeignExchangeDetails/ExchangeRate](#)

Comment:

Rate of DTC's currency conversion from the original currency to USD.

6.88.4 ResultingAmount

XML Tag: RsltAmt

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 776](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ForeignExchangeDetails/ResultingAmount](#)) is removed.
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ForeignExchangeDetails/ResultingAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 776](#)

6.89 FractionDispositionType25Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		356
	Proprietary	<Prtry>	Or}	[1..1]		356

Used in element(s)

["FractionDisposition" on page 298](#)

6.89.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: ["FractionDispositionType9Code" on page 692](#)

6.89.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

6.90 FractionDispositionType26Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		356
	Proprietary	<Prtry>	Or}	[1..1]		357

Used in element(s)

["FractionDisposition" on page 194](#), ["FractionDisposition" on page 539](#)

6.90.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType8Code" on page 688

6.90.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/FractionDisposition/Proprietary) is removed.

6.91 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - 🚫 Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		358
	Issuer	<Issr>		[1..1]		358
	SchemeName	<SchmeNm>		[0..1]		358

Used in element(s)

"Proprietary" on page 275, "Proprietary" on page 402, "Type" on page 67

6.91.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 687

6.91.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 726

6.91.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 726

6.92 GenericIdentification30__1

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]	 	371

Used in element(s)

"ExemptionType" on page 537, "ExemptionType" on page 75, "IncomeType" on page 536, "IncomeType" on page 74, "OtherIncomeType" on page 536, "OtherIncomeType" on page 75, "Proprietary" on page 236, "Proprietary" on page 453, "Proprietary" on page 163, "Proprietary" on page 168, "Proprietary" on page 174, "Proprietary" on page 398, "Proprietary" on page 415, "Proprietary" on page 524, "Proprietary" on page 356, "Proprietary" on page 271, "Proprietary" on page 288, "Proprietary" on page 296, "Proprietary" on page 287, "Proprietary" on page 412, "Proprietary" on page 166, "Proprietary" on page 48, "Proprietary" on page 130, "Proprietary" on page 401, "Proprietary" on page 69, "Proprietary" on page 561, "Proprietary" on page 295, "Proprietary" on page 402, "Proprietary" on page 82, "Proprietary" on page 84, "Proprietary" on page 397, "Proprietary" on page 294, "Proprietary" on page 208, "Proprietary" on page 357, "Proprietary" on page 414, "Proprietary" on page 413, "Proprietary" on page 65, "Proprietary"

on page 66, "Proprietary" on page 462, "Proprietary" on page 274, "Proprietary" on page 503, "Proprietary" on page 499, "Proprietary" on page 504, "Proprietary" on page 500, "Proprietary" on page 502, "Proprietary" on page 501, "Proprietary" on page 562, "Proprietary" on page 411, "Proprietary" on page 437, "Proprietary" on page 273, "Proprietary" on page 505, "Proprietary" on page 506, "Proprietary" on page 285, "TaxOnNonDistributedProceedsIndicator" on page 101, "Type" on page 388

6.92.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 687

Usage Guideline details

- **on seev.031.001.15/CorporateActionOptionDetails/OptionType/Proprietary/Identification**
 - **Market Practice Usage Rule:**

Use for VOTE events only. See Data Dictionary for list of code values.
- **on seev.031.001.15/CorporateActionDetails/OfferType/Proprietary/Identification**
 - **Market Practice Usage Rule:**

Used to define the type of Class Action. Populated with either "SETT" - Securities Class Action or "DRPY" - Direct Payment.
- **on seev.031.001.15/CorporateActionDetails/EventStage/Proprietary/Identification**
 - **Market Practice Usage Rule:**

Used to define the stage in the corporate action event as it relates to Class Action event. Populated with one of the following values: "PEND" - Pending, "SETT" - Settlement, "DPEN" - Distribution Pending, "DIST" - Distribution, "LATE" - Late, "CURR" - Current, "OTHR" - Other.
- **on seev.031.001.15/CorporateActionDetails/OfferType/Proprietary/Identification**
 - **Annotation:** (empty)
- **on seev.031.001.15/CorporateActionDetails/EventStage/Proprietary/Identification**
 - **Annotation:** (empty)
- **on seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Identification**

Synonym (305C US Tax Event): US02
- **on seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Identification**

Synonym (1042-S Classifications. US source payments made to non-US persons that are reportable on US IRS Form 1042-S): US04
- **on seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Identification**

Synonym (92-Day Exemption Qualified Notice): US05

- on `seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Identification`

Synonym (871M US Tax Event): US01

- on `seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Identification`

Synonym (Excess of Cumulative Net Income under section 1446(f)): US03

- on `seev.031.001.15/CorporateActionDetails/OfferType/Proprietary/Identification`

Synonym (DTCC): Class Action Type

- on `seev.031.001.15/CorporateActionDetails/EventStage/Proprietary/Identification`

Synonym (DTCC): Event Stage

- on `seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Identification`

Comment:

Used to indicate IRS 305C or 871m event. US01 - 871m. US02 - 305c.

6.92.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 727

Usage Guideline details

- on `seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Proprietary/Type/Issuer`

Type changed to: Max4AlphaNumericText on page 727

- on `seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/Issuer`

Type changed to: Max4AlphaNumericText on page 727

- on `seev.031.001.15/CorporateActionDetails/CapitalGainInOutIndicator/Proprietary/Issuer`

Type changed to: Max4AlphaNumericText on page 727

- on `seev.031.001.15/CorporateActionDetails/CertificationType/Proprietary/Issuer`

Type changed to: Max4AlphaNumericText on page 727

- on `seev.031.001.15/CorporateActionDetails/ChangeType/Proprietary/Issuer`

Type changed to: Max4AlphaNumericText on page 727

- on `seev.031.001.15/CorporateActionDetails/ConsentType/Proprietary/Issuer`

Type changed to: Max4AlphaNumericText on page 727

- on seev.031.001.15/CorporateActionDetails/DateDetails/AnnouncementDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/CertificationDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/CourtApprovalDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/DeadlineForTaxBreakdownInstruction/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/DeadlineToSplit/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/EarlyClosingDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/EarlyThirdPartyDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/EffectiveDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/ElectionToCounterpartyMarketDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/ElectionToCounterpartyResponseDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/EqualisationDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/FilingDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionDetails/DateDetails/FixingDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncement-Date/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/GuaranteedParticipationDate/Date-Code/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/HearingDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/LapsedDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/LeadPlaintiffDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/LotteryDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/MarginFixingDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/MarketClaimTrackingEndDate/Date-Code/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/NewMaturityDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/OfficialAnnouncementPublication-Date/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/PaymentDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/RegistrationDeadline/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/ThirdPartyDeadline/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DateDetails/TradingSuspendedDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/OccurrenceType/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Issuer
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- on seev.031.001.15/CorporateActionGeneralInformation/EventProcessingType/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/OptionStyle/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType/Issuer
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- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate/DateCode/Proprietary/Issuer

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- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline/DateCode/Proprietary/Issuer

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- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate/DateCode/Proprietary/Issuer

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- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline/DateCode/Proprietary/Issuer

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- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCodeAndTime/DateCode/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/StockLendingDeadline/DateCode/Proprietary/Issuer

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- on [seev.031.001.15/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebit-Date/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/CorporateActionOptionDetails/FractionDisposition/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/CorporateActionOptionDetails/OfferType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/CorporateActionOptionDetails/OptionAvailabilityStatus/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/CorporateActionOptionDetails/OptionType/Proprietary/Issuer](#)

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- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

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Type changed to: [Max4AlphaNumericText on page 727](#)

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Type changed to: [Max4AlphaNumericText on page 727](#)

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Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePer-DividendShare/RateStatus/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/LastTradingDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Exemption-Type/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncome-Type/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Safekeeping-Place/Proprietary/Type/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DayCountBasis/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/OptionStyle/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/EventsLinkage/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/IntermediateSecurity/ExpiryDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/IntermediateSecurity/FractionDisposition/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/IntermediateSecurity/PostingDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/IntermediateSecurity/RenounceableEntitlementStatusType/Proprietary/Issuer
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- on seev.031.001.15/NotificationGeneralInformation/ProcessingStatus/Proprietary/Issuer
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- on seev.031.001.15/OtherDocumentIdentification/LinkageType/Proprietary/Issuer
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- on seev.031.001.15/PreviousNotificationIdentification/LinkageType/Proprietary/Issuer
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- on seev.031.001.15/CorporateActionDetails/DutchAuctionType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 727](#)

- on **seev.031.001.15/CorporateActionOptionDetails/BidRangeType/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 727
- on **seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceCalculation-Method/Proprietary/Issuer**
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- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/DocumentationDeadline/Date-Code/Proprietary/Issuer**
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- on **seev.031.001.15/CorporateActionOptionDetails/ProrationBelowMinimumQuantity/Proprietary/Issuer**
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- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/CoverExpirationDeadline/Date-Code/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 727
- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/ProtectDeadline/DateCode/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 727
- on **seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType/Issuer**
 - **Market Practice Implementation Rule:**
Populate with "IRSX"
- on **seev.031.001.15/CorporateActionOptionDetails/OptionType/Proprietary/Issuer**
 - **Market Practice Usage Rule:**
Use for VOTE events only. Populated with "DTCC".
- on **seev.031.001.15/CorporateActionDetails/OfferType/Proprietary/Issuer**
 - **Market Practice Usage Rule:**
Populated with "DTCC".
- on **seev.031.001.15/CorporateActionDetails/EventStage/Proprietary/Issuer**
 - **Market Practice Usage Rule:**
Populated with "DTCC".
- on **seev.031.001.15/CorporateActionDetails/OfferType/Proprietary/Issuer**
 - **Annotation:** (empty)
- on **seev.031.001.15/CorporateActionDetails/EventStage/Proprietary/Issuer**
 - **Annotation:** (empty)
- on **seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Issuer**
Synonym (Global Standards): SMPG

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType/Issuer
Synonym (DTCC): IRS Code
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType/Issuer
Synonym (DTCC): CRAX Code
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType/Issuer
Synonym (DTCC): IRSX Code
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType/Issuer
Synonym (DTCC): CRAX Code
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncome-Type/Issuer
Synonym (DTCC): CRAX Code
- on seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Issuer
Comment:
SMPG issuer name will be used
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType/Issuer
Comment:
DTC does not provide tax advice. Clients should make their own determination as to the character and tax treatment of a corporate action. Consult your own tax advisors or contact the issuer regarding the tax treatment of a corporate action.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType/Issuer
Comment:
"DTC does not provide tax advice. Clients should make their own determination as to the character and tax treatment of a corporate action. Consult your own tax advisors or contact the issuer regarding the tax treatment of a corporate action."
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncome-Type/Issuer
Comment:
"DTC does not provide tax advice. Clients should make their own determination as to the character and tax treatment of a corporate action. Consult your own tax advisors or contact the issuer regarding the tax treatment of a corporate action."

6.92.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max4AlphaNumericText" on page 727

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/SchemeName) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType/SchemeName) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/OtherIncomeType/SchemeName) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType/SchemeName) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncomeType/SchemeName) is removed.
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Proprietary/Type/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/CapitalGainInOutIndicator/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/CertificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/ChangeType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/ConsentType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/AnnouncementDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/CertificationDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/CourtApprovalDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/DeadlineForTaxBreakdownInstruction/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionDetails/DateDetails/DeadlineToSplit/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/EarlyClosingDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/EarlyThirdPartyDeadline/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/EffectiveDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/ElectionToCounterpartyMarketDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/ElectionToCounterpartyResponseDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/EqualisationDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/FilingDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/FixingDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncementDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/GuaranteedParticipationDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/HearingDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionDetails/DateDetails/LapsedDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/LeadPlaintiffDeadline/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/LotteryDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/MarginFixingDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/MarketClaimTrackingEndDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/NewMaturityDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/OfficialAnnouncementPublicationDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/PaymentDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/ProrationDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/RegistrationDeadline/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublicationDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/SpecialExDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/ThirdPartyDeadline/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/TradingSuspendedDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DateDetails/UnconditionalDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DateDetails/WhollyUnconditionalDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/DividendType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/ElectionType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/EventSequenceType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/EventStage/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/InformationType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/LotteryType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/OccurrenceType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/RenounceableEntitlementStatusType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/SchemeName
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- on seev.031.001.15/CorporateActionDetails/TaxableIncomePerShareCalculated/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionGeneralInformation/EventProcessingType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/OptionStyle/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ValueDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/ExemptionType/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/IncomeType/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/NonEligibleProceedIndicator/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/OtherIncome-Type/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
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Type changed to: [Max4AlphaNumericText on page 727](#)
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Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
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Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
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Type changed to: [Max4AlphaNumericText on page 727](#)

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- on seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Proprietary/SchemeName

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- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline/StockLendingDeadline/DateCode/Proprietary/SchemeName

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- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate/DateCode/Proprietary/SchemeName

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- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline/DateCode/Proprietary/SchemeName

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- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCodeAndTime/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/StockLendingDeadline/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebitDate/DateCode/Proprietary/SchemeName

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- on seev.031.001.15/CorporateActionOptionDetails/FractionDisposition/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/OfferType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/OptionAvailabilityStatus/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Proprietary/SchemeName
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Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateStatus/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/LastTradingDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Exemption-Type/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/SchemeName
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- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Income-Type/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncome-Type/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Safekeeping-Place/Proprietary/Type/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DayCountBasis/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/OptionStyle/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/EventsLinkage/LinkageType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/IntermediateSecurity/ExpiryDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/IntermediateSecurity/FractionDisposition/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/IntermediateSecurity/PostingDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/IntermediateSecurity/RenounceableEntitlementStatusType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/NotificationGeneralInformation/ProcessingStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/OtherDocumentIdentification/LinkageType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/PreviousNotificationIdentification/LinkageType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionDetails/DutchAuctionType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/BidRangeType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceCalculation-Method/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 727](#)

- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/DocumentationDeadline/Date-Code/Proprietary/SchemeName**
Type changed to: Max4AlphaNumericText on page 727
- on **seev.031.001.15/CorporateActionOptionDetails/ProrationBelowMinimumQuantity/Proprietary/SchemeName**
Type changed to: Max4AlphaNumericText on page 727
- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/CoverExpirationDeadline/Date-Code/Proprietary/SchemeName**
Type changed to: Max4AlphaNumericText on page 727
- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/ProtectDeadline/DateCode/Proprietary/SchemeName**
Type changed to: Max4AlphaNumericText on page 727
- on **seev.031.001.15/CorporateActionOptionDetails/OptionType/Proprietary/SchemeName**
 - **Market Practice Usage Rule:**
Use for VOTE events only. Populated with "VOTE".
- on **seev.031.001.15/CorporateActionDetails/OfferType/Proprietary/SchemeName**
 - **Market Practice Usage Rule:**
Populated with "CLSA".
- on **seev.031.001.15/CorporateActionDetails/EventStage/Proprietary/SchemeName**
 - **Market Practice Usage Rule:**
Populated with "CLSA".
- on **seev.031.001.15/CorporateActionDetails/OfferType/Proprietary/SchemeName**
 - **Annotation:** (empty)
- on **seev.031.001.15/CorporateActionDetails/EventStage/Proprietary/SchemeName**
 - **Annotation:** (empty)

6.93 GenericIdentification36__1

Definition: Identification using a proprietary scheme.

❌ Removed -
 ❌ Partial Removed -
 ♦ Ignored -
 ♦ Partial Ignored -
 ⚠ Details below -
 M Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	383
	Issuer	<Issr>		[1..1]	⚠	383
	SchemeName	<SchmeNm>		[0..1]	⚠	384

Used in element(s)

["AlternateClassification" on page 83](#), ["ProprietaryIdentification" on page 393](#), ["ProprietaryNumber" on page 294](#)

6.93.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax30Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/CouponNumber/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 779](#)
- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 779](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType/AlternateClassification/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 779](#)
- on [seev.031.001.15/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 779](#)

6.93.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 727](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/CouponNumber/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType/AlternateClassification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Issuer](#)
Type changed to: [Max4AlphaNumericText](#) on page 727

6.93.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText"](#) on page 727

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/CouponNumber/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 727
- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 727
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType/AlternateClassification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 727
- on [seev.031.001.15/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 727

6.94 GenericIdentification36__2

Definition: Identification using a proprietary scheme.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	384
	Issuer	<Issr>		[1..1]	⚠	386
	SchemeName	<SchmeNm>		[0..1]	⚠	387

Used in element(s)

["ProprietaryIdentification"](#) on page 423, ["ProprietaryIdentification"](#) on page 424, ["ProprietaryIdentification"](#) on page 420

6.94.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax34Text" on page 780](#)

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/AccountOwner/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline/Borrower/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/DropAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/InformationAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/Issuer/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/IssuerAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/Offeror/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/PayingAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/PhysicalSecuritiesAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/Registrar/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/ResellingAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/SolicitationAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/SubPayingAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 780](#)
- on [seev.031.001.15/TransferAgent/ProprietaryIdentification/Identification](#)

Type changed to: [RestrictedFINXMax34Text on page 780](#)

6.94.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 727](#)

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/AccountOwner/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline/Borrower/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/DropAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/InformationAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/Issuer/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/IssuerAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/Offeror/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/PayingAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/PhysicalSecuritiesAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/Registrar/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/ResellingAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/SolicitationAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)

- on **seev.031.001.15/SubPayingAgent/ProprietaryIdentification/Issuer**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/TransferAgent/ProprietaryIdentification/Issuer**
Type changed to: [Max4AlphaNumericText on page 727](#)

6.94.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 727](#)

Usage Guideline details

- on **seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/AccountOwner/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline/Borrower/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/DropAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/InformationAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/Issuer/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/IssuerAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/Offeror/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/PayingAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/PhysicalSecuritiesAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/Registrar/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)
- on **seev.031.001.15/ResellingAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 727](#)

- on [seev.031.001.15/SolicitationAgent/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 727
- on [seev.031.001.15/SubPayingAgent/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 727
- on [seev.031.001.15/TransferAgent/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 727

6.95 GenericIdentification78__1

Definition: Identification expressed as a proprietary type and narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		388
	Identification	<Id>		[0..1]		388

Used in element(s)

["Proprietary" on page 528](#), ["Proprietary" on page 526](#)

6.95.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

6.95.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: ["RestrictedFINXMax30Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Proprietary/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 779](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Safekeeping-Place/Proprietary/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 779](#)

6.96 GrossDividendRateFormat36Choice__1

Definition: Choice between an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		389
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		390
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		390
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		391

Used in element(s)

["GrossDistributionRate" on page 252](#)

6.96.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate/Amount](#)
 - DTCC Reinvestment Income Type Rule:

Use when option type is any reinvestment option (DTCC, issuer) and RIC (Reinvestment Income Classification) is PRPL or CAPG or blank.

6.96.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1__1](#)" on [page 51](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	51
	RateStatus	<RateSts>		[1..1]		52

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus) is removed.

6.96.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus55__1](#)" on [page 511](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		511
	Amount	<Amt>		[1..1]	⚠	512
	RateStatus	<RateSts>		[0..1]	🚫	512

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus

Synonym (DTCC): DTC Gross Rate

6.96.4 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 766

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/Gross-DistributionRate/NotSpecifiedRate) is removed.

6.97 GrossDividendRateFormat38Choice__1

Definition: Choice between an amount or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	🚫 ⚠	391
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]	🚫	392
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		392
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		392

Used in element(s)

"GrossDistributionRate" on page 472

6.97.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount) is removed.
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775

6.97.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1_1](#)" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		51
	RateStatus	<RateSts>		[1..1]		52

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus) is removed.

6.97.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus57_1](#)" on page 514 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		514
	Amount	<Amt>		[1..1]		514
	RateStatus	<RateSts>		[0..1]		515

6.97.4 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType13Code" on page 766](#)

6.98 IdentificationFormat3Choice__1

Definition: Choice between either a short, long or a proprietary identification format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortIdentification	<ShrtId>	{Or	[1..1]		393
	LongIdentification	<LngId>	Or	[1..1]		393
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		393

Used in element(s)

["CouponNumber" on page 91](#)

6.98.1 ShortIdentification

XML Tag: ShrtId

Presence: [1..1]

Definition: Format expressed as a short identification.

Datatype: ["Exact3UpperCaseAlphaNumericText" on page 686](#)

6.98.2 LongIdentification

XML Tag: LngId

Presence: [1..1]

Definition: Format expressed as a long identification.

Datatype: ["RestrictedFINXMax30Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/CouponNumber/LongIdentification](#)
Type changed to: [RestrictedFINXMax30Text on page 779](#)

6.98.3 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Format expressed as a proprietary identification.

The **PrtryId** block contains the following elements (see datatype ["GenericIdentification36__1" on page 382](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		383
	Issuer	<Issr>		[1..1]		383
	SchemeName	<SchmeNm>		[0..1]		384

6.99 IdentificationSource3Choice__1

Definition: Choice between source of identification of a financial instrument.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		394
	Proprietary	<Prtry>	Or}	[1..1]		395

Used in element(s)

"Type" on page 418

6.99.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 687

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Code) is removed.
- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Code
 - Market Practice Usage Rule:

ExternalFinancialIdentifierCode must be used.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Code
 - Market Practice Implementation Rule:

Used for Security ID Type. ExternalFinancialIdentifierCode are used to map the type.

6.99.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "RestrictedFINExact2Text" on page 777

Usage Guideline details

- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary
Type changed to: [RestrictedFINExact2Text on page 777](#)
- on seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary
Type changed to: [RestrictedFINExact2Text on page 777](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary
Type changed to: [RestrictedFINExact2Text on page 777](#)
- on seev.031.001.15/IntermediateSecurity/SecurityIdentification/OtherIdentification/Type/Proprietary
Type changed to: [RestrictedFINExact2Text on page 777](#)
- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary
 - Market Practice Usage Rule:

Used when the security identifier type is a Contra CUSIP. Populated with "XX".
- on seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary
 - Market Practice Implementation Rule:

Populated with "XX" to identify contra CUSIP.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary
 - Market Practice Implementation Rule:

Used when the security is not known or Contra CUSIP. Populated with "XX". Contra CUSIP will be distinguished by checking the Temporary Financial Instrument Indicator Flag.

6.100 IndicativeOrMarketPrice14Choice__1

Definition: Choice between an indicative price or a market price.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		396
	MarketPrice	<MktPric>	Or}	[1..1]		396

Used in element(s)

"IndicativeOrMarketPrice" on page 227

6.100.1 IndicativePrice

XML Tag: IndctvPric

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

The **IndctvPric** block contains the following elements (see datatype "PriceFormat81Choice__1" on page 451 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		451
	AmountPrice	<AmtPric>	Or	[1..1]		452
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		452

6.100.2 MarketPrice

XML Tag: MktPric

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

The **MktPric** block contains the following elements (see datatype "PriceFormat81Choice__1" on page 451 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		451
	AmountPrice	<AmtPric>	Or	[1..1]		452
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		452

6.101 InformationTypeFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the information type format required.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		397
	Proprietary	<Prtry>	Or}	[1..1]		397

Used in element(s)

"InformationType" on page 100

6.101.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the information type required.

Datatype: "CorporateActionInformationType1Code" on page 648

6.101.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the information type.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.102 InterestComputationMethodFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of interest computation method.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		398
	Proprietary	<Prtry>	Or}	[1..1]		398

Used in element(s)

["DayCountBasis" on page 303](#), ["DayCountBasis" on page 314](#)

6.102.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the method used to compute accruing interest of a financial instrument.

Datatype: ["InterestComputationMethod2Code" on page 706](#)

6.102.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the format of interest computation method.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element([seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/Day-CountBasis/Proprietary](#)) is removed.

6.103 InterestRateUsedForPaymentFormat11Choice__1

Definition: Choice between an amount or a rate or an unspecified rate.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		399
	Amount	<Amt>	Or	[1..1]	 	399
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		400
	NotSpecifiedRate	<NotSpdfdRate>	Or}	[1..1]		400

Used in element(s)

"GrossInterestRateUsedForPayment" on page 253, "GrossInterestRateUsedForPayment" on page 473

6.103.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 749

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Rate) is removed.

6.103.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount) is removed.
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775
- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

6.103.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus24__1](#)" on page 506 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		507
	Amount	<Amt>		[1..1]	⚠	507
	RateStatus	<RateSts>		[0..1]	🚫	508

6.103.4 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 766

6.104 IntermediateSecuritiesDistributionTypeFormat15Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		400
	Proprietary	<Prtry>	Or}	[1..1]	🚫	401

Used in element(s)

"[IntermediateSecuritiesDistributionType](#)" on page 97

6.104.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: ["IntermediateSecurityDistributionType5Code" on page 717](#)

6.104.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(see [v.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistribution-Type/Proprietary](#)) is removed.

6.105 IssuerOfferorTaxabilityIndicator2Choice

Definition: Choice between a standard code or proprietary code to specify the issuer / offeror taxability status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		401
	Proprietary	<Prtry>	Or}	[1..1]		402

Used in element(s)

["IssuerOfferorTaxabilityIndicator" on page 535](#), ["IssuerOfferorTaxabilityIndicator" on page 73](#)

6.105.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: ["IssuerTaxability2Code" on page 723](#)

6.105.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 357 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		358
	Issuer	<Issr>		[1..1]		358
	SchemeName	<SchmeNm>		[0..1]		358

6.106 LotteryTypeFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		402
	Proprietary	<Prtry>	Or}	[1..1]		402

Used in element(s)

"LotteryType" on page 99

6.106.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "LotteryType1Code" on page 724

6.106.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/LotteryType/Proprietary) is removed.

6.107 MarketIdentification3Choice__1

Definition: Choice of market identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktldrCd>	{Or	[1..1]		403
	Description	<Desc>	Or}	[1..1]		403

Used in element(s)

"PlaceOfListing" on page 303, "PlaceOfListing" on page 314

6.107.1 MarketIdentifierCode

XML Tag: MktldrCd

Presence: [1..1]

Definition: Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

Datatype: "MICIdentifier" on page 725

6.107.2 Description

XML Tag: Desc

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "RestrictedFINXMax30Text" on page 779

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/PlaceOfListing/Description](#)
Type changed to: [RestrictedFINXMax30Text on page 779](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/PlaceOfListing/Description](#)
Type changed to: [RestrictedFINXMax30Text on page 779](#)

6.108 NameAndAddress5__1

Definition: Information that locates and identifies a party.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		404
	Address	<Adr>		[0..1]		406

Used in element(s)

["NameAndAddress" on page 425](#), ["NameAndAddress" on page 421](#)

6.108.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["RestrictedFINXMax140Text" on page 778](#)

Usage Guideline details

- on [seev.031.001.15/DropAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on [seev.031.001.15/InformationAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on [seev.031.001.15/Issuer/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on [seev.031.001.15/IssuerAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on [seev.031.001.15/Offeror/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 778](#)

- on seev.031.001.15/PayingAgent/NameAndAddress/Name
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on seev.031.001.15/PhysicalSecuritiesAgent/NameAndAddress/Name
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on seev.031.001.15/Registrar/NameAndAddress/Name
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on seev.031.001.15/ResellingAgent/NameAndAddress/Name
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on seev.031.001.15/SolicitationAgent/NameAndAddress/Name
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on seev.031.001.15/SubPayingAgent/NameAndAddress/Name
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on seev.031.001.15/TransferAgent/NameAndAddress/Name
Type changed to: [RestrictedFINXMax140Text on page 778](#)
- on seev.031.001.15/InformationAgent/NameAndAddress/Name
– Annotation: (empty)
- on seev.031.001.15/DropAgent/NameAndAddress/Name
Synonym (DTCC): Agent Name
- on seev.031.001.15/DropAgent/NameAndAddress/Name
Synonym (DTCC): Agent Name
- on seev.031.001.15/InformationAgent/NameAndAddress/Name
Synonym (DTCC): Agent Name
- on seev.031.001.15/IssuerAgent/NameAndAddress/Name
Synonym (DTCC): Agent Name
- on seev.031.001.15/PhysicalSecuritiesAgent/NameAndAddress/Name
Synonym (DTCC): Agent Name
- on seev.031.001.15/InformationAgent/NameAndAddress/Name
Synonym (DTCC): Administrator
- on seev.031.001.15/DropAgent/NameAndAddress/Name
Comment:
The name of the Agent associated with the event.
- on seev.031.001.15/InformationAgent/NameAndAddress/Name
Comment:
The name of the Agent associated with the event.
- on seev.031.001.15/IssuerAgent/NameAndAddress/Name

Comment:

The name of the agent associated with the event.

- on `seev.031.001.15/PhysicalSecuritiesAgent/NameAndAddress/Name`

Comment:

The name of the Agent associated with the event.

6.108.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "[PostalAddress1](#)" on page 435 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		436
	AddressLine	<AdrLine>		[0..5]		436
	StreetName	<StrtNm>		[0..1]		436
	BuildingNumber	<BldgNb>		[0..1]		436
	PostCode	<PstCd>		[0..1]		436
	TownName	<TwnNm>		[0..1]		436
	CountrySubDivision	<CtrySubDvsn>		[0..1]		437
	Country	<Ctry>		[1..1]		437

Usage Guideline details

- This element(`seev.031.001.15/DropAgent/NameAndAddress/Address`) is removed.
- This element(`seev.031.001.15/InformationAgent/NameAndAddress/Address`) is removed.
- This element(`seev.031.001.15/Issuer/NameAndAddress/Address`) is removed.
- This element(`seev.031.001.15/IssuerAgent/NameAndAddress/Address`) is removed.
- This element(`seev.031.001.15/Offeror/NameAndAddress/Address`) is removed.
- This element(`seev.031.001.15/PayingAgent/NameAndAddress/Address`) is removed.
- This element(`seev.031.001.15/PhysicalSecuritiesAgent/NameAndAddress/Address`) is removed.
- This element(`seev.031.001.15/Registrar/NameAndAddress/Address`) is removed.
- This element(`seev.031.001.15/ResellingAgent/NameAndAddress/Address`) is removed.

- This element(seev.031.001.15/SolicitationAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.15/SubPayingAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.15/TransferAgent/NameAndAddress/Address) is removed.

6.109 NetDividendRateFormat38Choice__1

Definition: Choice between an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		407
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		407
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		408
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		408

Used in element(s)

"NetDistributionRate" on page 253

6.109.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/Amount

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

6.109.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1__1](#)" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		51
	RateStatus	<RateSts>		[1..1]		52

6.109.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus56__1" on page 512 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		513
	Amount	<Amt>		[1..1]		513
	RateStatus	<RateSts>		[0..1]		513

6.109.4 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 772

6.110 NetDividendRateFormat39Choice__1

Definition: Choice between an amount or an unspecified rate.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		409
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		409
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		410
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		410

Used in element(s)

"NetDistributionRate" on page 478

6.110.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount
 - Market Practice Implementation Rule:
Used for net rates for payout types: Premium, Short Term Capital Gains, Long Term Capital Gains, Capital Gains, and Interest Payout types.
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount
Synonym (DTCC): DTC Cash Rate
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount
Synonym (DTCC): Declared Net Rate

6.110.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1__1](#)" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		51
	RateStatus	<RateSts>		[1..1]		52

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus) is removed.

6.110.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus58__1" on page 515 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		516
	Amount	<Amt>		[1..1]	⚠	516
	RateStatus	<RateSts>		[0..1]	🚫	517

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus) is removed.

6.110.4 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 772

6.111 NonEligibleProceedsIndicator5Choice__1

Definition: Choice between a standard code or proprietary code to specify the eligibility of outturn resources.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		411
	Proprietary	<Prtry>	Or}	[1..1]	🚫	411

Used in element(s)

"NonEligibleProceedsIndicator" on page 534, "NonEligibleProceedsIndicator" on page 73

6.111.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator2Code" on page 733

6.111.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/NonEligibleProceedsIndicator/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator/Proprietary) is removed.

6.112 OfferTypeFormat14Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of offer.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		412
	Proprietary	<Prtry>	Or}	[1..1]		412

Used in element(s)

"OfferType" on page 95, "OfferType" on page 195

6.112.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "OfferType5Code" on page 735

6.112.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the conditions that apply to the offer.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

6.113 OptionAvailabilityStatus3Choice__1

Definition: Choice between a standard code or proprietary code to specify the option availability status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		412
	Proprietary	<Prtry>	Or}	[1..1]		413

Used in element(s)

"OptionAvailabilityStatus" on page 195

6.113.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the status of the option availability.

Datatype: "OptionAvailabilityStatus1Code" on page 739

6.113.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the status of the option availability.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/OptionAvailabilityStatus/Proprietary) is removed.

6.114 OptionFeaturesFormat28Choice__1

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		413
	Proprietary	<Prtry>	Or}	[1..1]		414

Used in element(s)

"OptionFeatures" on page 195

6.114.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "OptionFeatures13Code" on page 741

6.114.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Proprietary) is removed.

6.115 OptionStyle8Choice__1

Definition: Choice of format for the option style.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		414
	Proprietary	<Prtry>	Or}	[1..1]		415

Used in element(s)

"OptionStyle" on page 304, "OptionStyle" on page 315

6.115.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Option style expressed as an ISO 20022 code.

Datatype: "OptionStyle2Code" on page 747

6.115.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Option style expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

6.116 OriginalAndCurrentQuantities1__1

Definition: Original and current value of an asset-back instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		415
	AmortisedValue	<AmtsdVal>		[1..1]		416

Used in element(s)

"[OriginalAndCurrentFace](#)" on page 466

6.116.1 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "[RestrictedFINImpliedCurrencyAndAmount](#)" on page 778

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity/OriginalAndCurrentFace/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

6.116.2 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 778

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity/OriginalAndCurrentFace/AmortisedValue

Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 778

6.117 OriginalAndCurrentQuantities6__1

Definition: Signed face amount and amortised value.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		416
	FaceAmount	<FaceAmt>		[1..1]		416
	AmortisedValue	<AmtsdVal>		[1..1]		417

Used in element(s)

"OriginalAndCurrentFaceAmount" on page 465

6.117.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 784

6.117.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 778

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/OriginalAndCurrentFaceAmount/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

6.117.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 778

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/OriginalAndCurrentFaceAmount/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 778

6.118 OtherIdentification1__1

Definition: Other accepted financial instrument's identification than ISIN.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		417
	Suffix	<Sfx>		[0..1]		418
	Type	<Tp>		[1..1]		418

Used in element(s)

["OtherIdentification"](#) on page 554

6.118.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: ["RestrictedFINXMax31Text"](#) on page 780

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text on page 780](#)
- on [seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text on page 780](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text on page 780](#)
- on [seev.031.001.15/IntermediateSecurity/SecurityIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text on page 780](#)
- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
 - Market Practice Usage Rule:
Used for Event Security ID
- on [seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Synonym (DTCC): Contra CUSIP

6.118.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: ["Max16Text" on page 725](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.
- This element([seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.
- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.

6.118.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice__1" on page 394 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]	🚫 ⚠	394
	Proprietary	<Prtry>	Or}	[1..1]	⚠	395

Usage Guideline details

- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type
Synonym (DTCC): Event Security ID Type

6.119 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		419
	LastPageIndicator	<LastPgInd>		[1..1]		419

Used in element(s)

"Pagination" on page 28

6.119.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 727

6.119.2 LastPageIndicator

XML Tag: LastPgInd

Presence: [1..1]

Definition: Indicates the last page.

Datatype: ["YesNoIndicator" on page 785](#)

6.120 PartyIdentification120Choice__1

Definition: Choice between different formats for the identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		420
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		420
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		421

Used in element(s)

"DropAgent" on page 39, "InformationAgent" on page 40, "PayingAgent" on page 36, "PhysicalSecuritiesAgent" on page 38, "Registrar" on page 37, "ResellingAgent" on page 37, "SolicitationAgent" on page 39, "SubPayingAgent" on page 36

6.120.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: ["AnyBICDec2014Identifier" on page 588](#)

Usage Guideline details

- This element(seev.031.001.15/DropAgent/AnyBIC) is removed.
- This element(seev.031.001.15/InformationAgent/AnyBIC) is removed.
- This element(seev.031.001.15/PhysicalSecuritiesAgent/AnyBIC) is removed.
- This element(seev.031.001.15/Registrar/AnyBIC) is removed.
- This element(seev.031.001.15/ResellingAgent/AnyBIC) is removed.
- This element(seev.031.001.15/SolicitationAgent/AnyBIC) is removed.

6.120.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36__2](#)" on [page 384](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	384
	Issuer	<Issr>		[1..1]	⚠	386
	SchemeName	<SchmeNm>		[0..1]	⚠	387

Usage Guideline details

- This element(seev.031.001.15/DropAgent/ProprietaryIdentification) is removed.
- This element(seev.031.001.15/InformationAgent/ProprietaryIdentification) is removed.
- This element(seev.031.001.15/PhysicalSecuritiesAgent/ProprietaryIdentification) is removed.
- This element(seev.031.001.15/Registrar/ProprietaryIdentification) is removed.
- This element(seev.031.001.15/ResellingAgent/ProprietaryIdentification) is removed.
- This element(seev.031.001.15/SolicitationAgent/ProprietaryIdentification) is removed.

6.120.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5__1](#)" on [page 404](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	404
	Address	<Adr>		[0..1]	🚫	406

Usage Guideline details

- on seev.031.001.15/DropAgent/NameAndAddress
 - **Market Practice Usage Rule:**

Identifies agent name. Other agent details are located in extension.

- **on seev.031.001.15/DropAgent/NameAndAddress**
 - **Market Practice Usage Rule:**
Identifies agent name. Other agent details are located in extension.
- **on seev.031.001.15/InformationAgent/NameAndAddress**
 - **Market Practice Usage Rule:**
Identifies agent name. Other agent details are located in extension.
- **on seev.031.001.15/PhysicalSecuritiesAgent/NameAndAddress**
 - **Market Practice Usage Rule:**
Identifies agent name. Other agent details are located in extension.
- **on seev.031.001.15/Registrar/NameAndAddress**
 - **Market Practice Usage Rule:**
Identifies agent name. Other agent details are located in extension.
- **on seev.031.001.15/ResellingAgent/NameAndAddress**
 - **Market Practice Usage Rule:**
Identifies agent name. Other agent details are located in extension.
- **on seev.031.001.15/PhysicalSecuritiesAgent/NameAndAddress**
 - **REDEMA Trace:**
Agent Name
 - **Applicability:**
true
- **on seev.031.001.15/DropAgent/NameAndAddress**
Synonym (DTCC): Agent Name
- **on seev.031.001.15/DropAgent/NameAndAddress**
Synonym (DTCC): Agent Name
- **on seev.031.001.15/InformationAgent/NameAndAddress**
Synonym (DTCC): Agent Name
- **on seev.031.001.15/PhysicalSecuritiesAgent/NameAndAddress**
Synonym (DTCC): Agent Name
- **on seev.031.001.15/Registrar/NameAndAddress**
Synonym (DTCC): Agent Name
- **on seev.031.001.15/ResellingAgent/NameAndAddress**
Synonym (DTCC): Agent Name

6.121 PartyIdentification127Choice__1

Definition: Choice of identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		423
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		423

Used in element(s)

"AccountOwner" on page 44, "Borrower" on page 68

6.121.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 588

6.121.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36__2" on page 384 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	384
	Issuer	<Issr>		[1..1]	⚠	386
	SchemeName	<SchmeNm>		[0..1]	⚠	387

6.122 PartyIdentification129Choice__1

Definition: Choice between different formats for the identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		424
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		424
	NameAndAddress	<NmAndAdr>	Or	[1..1]		425
	LEI	<LEI>	Or}	[1..1]		425

Used in element(s)

"Issuer" on page 40, "IssuerAgent" on page 35, "Offeror" on page 41, "TransferAgent" on page 41

6.122.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 588

Usage Guideline details

- This element(seev.031.001.15/IssuerAgent/AnyBIC) is removed.

6.122.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36__2" on page 384 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		384
	Issuer	<Issr>		[1..1]		386
	SchemeName	<SchmeNm>		[0..1]		387

Usage Guideline details

- This element(seev.031.001.15/IssuerAgent/ProprietaryIdentification) is removed.

6.122.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5__1](#)" on page 404 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		404
	Address	<Adr>		[0..1]		406

Usage Guideline details

- on **seev.031.001.15/IssuerAgent/NameAndAddress**

- **Market Practice Usage Rule:**

Identifies agent name. Other agent details are located in extension.

- on **seev.031.001.15/IssuerAgent/NameAndAddress**

- **REDEMA Trace:**

Agent Name

- **Applicability:**

true

- on **seev.031.001.15/IssuerAgent/NameAndAddress**

Synonym (DTCC): Agent Name

6.122.4 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on page 723

Usage Guideline details

- This element(**seev.031.001.15/Issuer/LEI**) is removed.
- This element(**seev.031.001.15/IssuerAgent/LEI**) is removed.
- This element(**seev.031.001.15/Offeror/LEI**) is removed.

- This element(seev.031.001.15/TransferAgent/LEI) is removed.

6.123 PercentagePrice2

Definition: Price expressed as a percentage price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		426
	PriceValue	<PricVal>		[1..1]		426

Used in element(s)

"PercentagePrice" on page 443

6.123.1 PercentagePriceType

XML Tag: PctgPricTp

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 750

6.123.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 749

6.124 PercentagePrice3

Definition: Price expressed as a percentage price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		427
	PriceValue	<PricVal>		[1..1]		427

Used in element(s)

"PercentagePrice" on page 451, "PercentagePrice" on page 448, "PercentagePrice" on page 445

6.124.1 PercentagePriceType

XML Tag: PctgPricTp

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: ["PriceRateType3Code" on page 750](#)

6.124.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: ["Percentage14Rate" on page 749](#)

6.125 Period11

Definition: Time span defined by a start date and time, and an end date and time.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		427
	EndDate	<EndDt>		[1..1]		430

Used in element(s)

["Period" on page 434](#), ["TradingPeriod" on page 300](#)

6.125.1 StartDate

XML Tag: StartDt

Presence: [1..1]

Definition: Date and time at which the range starts.

The **StartDt** block contains the following elements (see datatype ["DateFormat45Choice" on page 281](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		281
	NotSpecifiedDate	<NotSpcfdDt>	Or}	[1..1]		282

Usage Guideline details

- on **seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod/Period/StartDate**
 - **Market Practice Implementation Rule:**

Used with default value. Start date does not exist in this context.
- on **seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/RevocabilityPeriod/Period/StartDate**
 - **Market Practice Usage Rule:**

Used with default value. Start date does not exist in this context.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/StartDate**
Synonym (DTCC): Class Action Start Date
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod/Period/StartDate**
Synonym (DTCC): Last Day for Depository Delivery
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForBookEntryTransfer/Period/StartDate**
Synonym (DTCC): DTC Last Day For DO
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDeposit/Period/StartDate**
Synonym (DTCC): DTC Last Day For Deposit
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDepositAtAgent/Period/StartDate**
Synonym (DTCC): DTC Last Day For DWAC Deposit
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForPledge/Period/StartDate**
Synonym (DTCC): DTC Last Day For Pledge
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForSegregation/Period/StartDate**
Synonym (DTCC): DTC Last Day For Segregate
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalAtAgent/Period/StartDate**
Synonym (DTCC): DTC Last Day For DWAC Withdrawal
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInNomineeName/Period/StartDate**
Synonym (DTCC): Last Day For C.O.D.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInStreetName/Period/StartDate**
Synonym (DTCC): DTC Last Day For W/ T

- on seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod/Period/StartDate
Synonym (DTCC): Long/ Short Pay Start Date
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod/Period/StartDate
Synonym (DTCC): DTC Instruction Start Date
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod/Period/StartDate
Synonym (DTCC): DTC Instruction Start Time
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod/Period/StartDate
Synonym (DTCC): DTC Instruction Start Time Zone
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal/Period/StartDate
Synonym (DTCC): DTC Last Day for W/T On Disbursed Security
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod/Period/StartDate
Synonym (DTCC): Trading Start Date
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/StartDate
Comment:
Date at which the class action period starts.
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod/Period/StartDate
Comment:
Last day on which co-depository deliveries will be accepted.
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForBookEntryTransfer/Period/StartDate
Comment:
Last day on which delivery orders will be accepted.
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDeposit/Period/StartDate
Comment:
The last day on which deposits will be accepted.
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDepositAtAgent/Period/StartDate
Comment:
The last day on which DWAC deposits will be accepted.
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForPledge/Period/StartDate
Comment:
The last day on which pledges will be accepted.
- on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForSegregation/Period/StartDate

Comment:

The last day on which positions can be segregated.

- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalAtAgent/Period/StartDate**

Comment:

The last day on which DWAC withdrawals will be accepted.

- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInNomineeName/Period/StartDate**

Comment:

Last date on which physical withdrawals (Certificate On Demand) will be accepted.

- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInStreetName/Period/StartDate**

Comment:

The last day that W.T. (withdrawal by transfer) requests will be accepted on the event security.

- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod/Period/StartDate**

Comment:

Begin date of long/ short coupon accrual period.

- on **seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal/Period/StartDate**

Comment:

Last day that W.T. (withdrawal by transfer) requests will be accepted on the disbursed security.

- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod/Period/StartDate**

Comment:

The date on which the interim security begins trading, usually Rights.

6.125.2 EndDate

XML Tag: EndDt

Presence: [1..1]

Definition: Date and time at which the range ends.

The **EndDt** block contains the following elements (see datatype "[DateFormat45Choice](#)" on page 281 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		281
	NotSpecifiedDate	<NotSpecfdDt>	Or}	[1..1]	 	282

Usage Guideline details

- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/EndDate**
Synonym (DTCC): Class Action End Date
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date for Depository Delivery
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForBookEntryTransfer/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date For DO
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDeposit/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date For Deposit
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDepositAtAgent/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date For DWAC Deposit
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForPledge/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date For Pledge
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForSegregation/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date For Segregate
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalAtAgent/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date For DWAC Withdrawal
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInNomineeName/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date For C.O.D.
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInStreetName/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date For W/ T
- **on seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod/Period/EndDate**
Synonym (DTCC): Long/ Short Pay End Date
- **on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal/Period/EndDate**
Synonym (DTCC): DTC Chill Release Date for W/T on Disbursed Security
- **on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/RevocabilityPeriod/Period/EndDate**
Synonym (DTCC): Actual Withdrawal Expiration Date

- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod/Period/EndDate**
Synonym (DTCC): Trading End Date
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/EndDate**
Comment:
Date at which the class action period ends.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod/Period/EndDate**
Comment:
Day when chill is released and co-depositories can resume delivery activities.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForBookEntryTransfer/Period/EndDate**
Comment:
Day when chill is released and delivery order processing will resume.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDeposit/Period/EndDate**
Comment:
Day when chill is released and deposits will resume.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDepositAtAgent/Period/EndDate**
Comment:
Day when chill is released and DWAC deposits will resume.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForPledge/Period/EndDate**
Comment:
Day when chill is released and pledge processing will resume.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForSegregation/Period/EndDate**
Comment:
Day when chill is released and the ability to segregate positions will resume.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalAtAgent/Period/EndDate**
Comment:
Day when chill is released and DWAC withdrawal processing will resume.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInNomineeName/Period/EndDate**
Comment:
Day when chill is released and physical withdrawals (Certificate On Demand) will resume.
- on **seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInStreetName/Period/EndDate**
Comment:
Day when chill is released and W.T. (withdrawal by transfer) processing on the event security will resume.

- on `seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod/Period/EndDate`

Comment:

End date of long/ short coupon accrual period.

- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod/Period/EndDate`

Comment:

Deadline by which instructions can be withdrawn from DTC.

- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal/Period/EndDate`

Comment:

Day when chill is released and W.T. (withdrawal by transfer) processing on the disbursed security will resume.

- on `seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/RevocabilityPeriod/Period/EndDate`

Comment:

Date by which the offeror will permit the withdrawal of instructions.

- on `seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod/Period/EndDate`

Comment:

The date on which the interim security ends trading, usually Rights.

6.126 Period6Choice

Definition: Choice between a period or a period code.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]	❌	434
	PeriodCode	<PrdCd>	Or}	[1..1]	❌ ⚠️	434

Used in element(s)

"AccountServicerRevocabilityPeriod" on page 212, "ActionPeriod" on page 210, "BookClosurePeriod" on page 222, "ClaimPeriod" on page 216, "CoDepositoriesSuspensionPeriod" on page 223, "CompulsoryPurchasePeriod" on page 215, "DepositorySuspensionPeriodForBookEntryTransfer" on page 216, "DepositorySuspensionPeriodForDeposit" on page 218, "DepositorySuspensionPeriodForDepositAtAgent" on page 217, "DepositorySuspensionPeriodForPledge" on page 218, "DepositorySuspensionPeriodForSegregation" on page 219, "DepositorySuspensionPeriodForWithdrawal" on page 213, "DepositorySuspensionPeriodForWithdrawalAtAgent" on page 220, "DepositorySuspensionPeriodForWithdrawalInNomineeName" on page 221, "DepositorySuspensionPeriodForWithdrawalInStreetName" on page 221, "FiscalYearPeriod" on page 224, "InterestPeriod" on page 215, "ParallelTradingPeriod" on page 209, "PriceCalculationPeriod" on page 209, "PriceCalculationPeriod" on page 214, "PrivilegeSuspensionPeriod" on page 211, "RevocabilityPeriod" on page 211, "SplitPeriod" on page 223, "TradingPeriod" on page 540

6.126.1 Period

XML Tag: Prd

Presence: [1..1]

Definition: Time span defined by a start date and time, and an end date and time.

The **Prd** block contains the following elements (see datatype "Period11" on page 427 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		427
	EndDate	<EndDt>		[1..1]		430

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/PrivilegeSuspensionPeriod/Period) is removed.

6.126.2 PeriodCode

XML Tag: PrdCd

Presence: [1..1]

Definition: Standard code to specify the type of period.

Datatype: "DateType8Code" on page 666

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/PeriodCode) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod/PeriodCode) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForBookEntryTransfer/PeriodCode) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForDeposit/PeriodCode) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForDepositAtAgent/PeriodCode) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForPledge/PeriodCode) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForSegregation/PeriodCode) is removed.

- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForWithdrawalAtAgent/PeriodCode) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForWithdrawalInNomineeName/PeriodCode) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspension-PeriodForWithdrawalInStreetName/PeriodCode) is removed.
- This element(seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod/Period-Code) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal/PeriodCode) is removed.
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod/PeriodCode
Single value: UKWN
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod/PeriodCode
Single value: UKWN
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/PrivilegeSuspensionPeriod/PeriodCode
Single value: ONGO
- on seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/RevocabilityPeriod/Period-Code
Single value: UKWN

6.127 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		436
	AddressLine	<AdrLine>		[0..5]		436
	StreetName	<StrtNm>		[0..1]		436
	BuildingNumber	<BldgNb>		[0..1]		436
	PostCode	<PstCd>		[0..1]		436
	TownName	<TwnNm>		[0..1]		436
	CountrySubDivision	<CtrySubDvsn>		[0..1]		437
	Country	<Ctry>		[1..1]		437

Used in element(s)

"Address" on page 406

6.127.1 **AddressType**

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 580

6.127.2 **AddressLine**

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 727

6.127.3 **StreetName**

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 727

6.127.4 **BuildingNumber**

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 725

6.127.5 **PostCode**

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 725

6.127.6 **TownName**

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: ["Max35Text" on page 726](#)

6.127.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: ["Max35Text" on page 726](#)

6.127.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: ["CountryCode" on page 664](#)

6.128 PriceCalculationMethod2Choice__1

Definition: Choice between a standard code or proprietary code to specify the method applied for the price calculation.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		437
	Proprietary	<Prtry>	Or}	[1..1]	🚫	437

Used in element(s)

["PriceCalculationMethod" on page 76](#)

6.128.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the method applied for the calculation of the price.

Datatype: ["PriceCalculationMethod1Code" on page 749](#)

6.128.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify the method applied for the calculation of the price.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceCalculationMethod/Proprietary) is removed.

6.129 PriceDetails40__1

Definition: Provides information about the prices related to a corporate action option.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		438
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		439
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		440

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

Used in element(s)

"PriceDetails" on page 81

6.129.1 GenericCashPricePaidPerProduct

XML Tag: GncCshPricPdPerPdct

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GnCShPricPdPerPdct** block contains the following elements (see datatype "[PriceFormat80Choice__1](#)" on page 448 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	448
	AmountPrice	<AmtPric>	Or	[1..1]		449
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]	🚫	449
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠	450

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct](#)
Synonym (DTCC): Price Paid Per Product
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct](#)
Comment:
Generic price paid by underlying security holder. For Reorg events Rights Subscription and Warrant Exercise ONLY.

6.129.2 GenericCashPriceReceivedPerProduct

XML Tag: GnCShPricRcvdPerPdct

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GnCShPricRcvdPerPdct** block contains the following elements (see datatype "[PriceFormat79Choice__1](#)" on page 445 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	445
	AmountPrice	<AmtPric>	Or	[1..1]		446
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		446
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		446
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]	🚫	447
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠	448

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct

- Market Practice Implementation Rule:

This tag will be used in following scenarios: 1) communicating a cash rate in cash distributions and price on redemptions 2) notifying if the price must be specified or not for example on bids.

1) For cash rates/ price: in this product (CSD type mapping) DTC announced cash rate "DTC Cash Rate" will be mapped to this tag, issuer / market announced cash rate "Declared Gross Rate" or mutually exclusive "Declared Cash Rate", that can be different from DTC's, will be mapped to extension component as supplementary data.

If option is not supported / processed by DTC, issuer / market announced cash rate will be mapped here.

This tag will be used for the cash rates from the following DTCC Payout types: Cash, Principal.

2) For price specification: used to identify an option that can be responded to without a specified offer price (in tenders) meaning owner will accept any price and option where offer price must be specified on the instruction (for and example: a bid).

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct

Synonym (DTCC): Declared Cash Rate

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct

Synonym (DTCC): DTC Cash Rate

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct

Synonym (DTCC): Unspecified Price Allowed Flag

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct

Synonym (DTCC): Declared Gross Rate

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct

Comment:

Declared Gross Rate: issuer / market announced rate of cash distribution per unit of security. This term used in taxable payouts.

Declared Cash Rate: issuer / market announced rate of cash distribution per unit of security. This term is used on non taxable payouts types (Cash or Premium on Redemptions and Reorganisation events, Principal, Return of Capital)

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.

Unspecified Price Allowed Flag: Indicates whether the account owner is required to specify the price for the offer.

6.129.3 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat81Choice__1" on page 451 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		451
	AmountPrice	<AmtPric>	Or	[1..1]		452
	NotSpecifiedPrice	<NotSpcfdPric>	Or}	[1..1]		452

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/CashInLieuOfSharePrice) is removed.

6.130 PriceFormat46Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		441
	NotSpecifiedPrice	<NotSpcfdPric>	Or}	[1..1]		442

Used in element(s)

"CashValueForTax" on page 228

6.130.1 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice2__1" on page 52 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		52
	PriceValue	<PricVal>		[1..1]		53

6.130.2 NotSpecifiedPrice

XML Tag: NotSpcdfPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 752

6.131 PriceFormat61Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		442
	NotSpecifiedPrice	<NotSpcdfPric>	Or}	[1..1]		442

Used in element(s)

"MaximumCashToInstruct" on page 232, "MinimumCashToInstruct" on page 232, "MinimumMultipleCashToInstruct" on page 233

6.131.1 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice6__1" on page 55 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		56
	PriceValue	<PricVal>		[1..1]		56

6.131.2 NotSpecifiedPrice

XML Tag: NotSpcdfPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: ["PriceValueType10Code" on page 752](#)

6.132 PriceFormat73Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price or index points.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		443
	AmountPrice	<AmtPric>	Or	[1..1]	⚠	443
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]	⚠	444
	IndexPoints	<IdxPts>	Or}	[1..1]	⚠	444

Used in element(s)

["FirstBidIncrementPrice" on page 226](#), ["LastBidIncrementPrice" on page 226](#), ["MaximumPrice" on page 224](#), ["MinimumPrice" on page 225](#)

6.132.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype ["PercentagePrice2" on page 426](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		426
	PriceValue	<PricVal>		[1..1]		426

6.132.2 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype ["AmountPrice3__1" on page 53](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		53
	PriceValue	<PricVal>		[1..1]		54

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/AmountPrice](#)
 - **Market Practice Usage Rule:**
Used when terms of the bid are in monetary units.
- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/AmountPrice](#)
 - **Market Practice Usage Rule:**
Used when terms of the bid are in monetary units.

6.132.3 NotSpecifiedPrice

XML Tag: NotSpcedPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: ["PriceValueType10Code"](#) on page 752

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/NotSpecifiedPrice](#)
 - **Market Practice Usage Rule:**
Used when the value is required but is not yet available.
- on [seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/NotSpecifiedPrice](#)
 - **Market Practice Usage Rule:**
Used when the value is required but is not yet available.

6.132.4 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: ["RestrictedFINDecimalNumber"](#) on page 777

Usage Guideline details

- on `seev.031.001.15/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice/IndexPoints`
Type changed to: `RestrictedFINDecimalNumber` on page 777
- on `seev.031.001.15/CorporateActionDetails/PriceDetails/LastBidIncrementPrice/IndexPoints`
Type changed to: `RestrictedFINDecimalNumber` on page 777
- on `seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/IndexPoints`
Type changed to: `RestrictedFINDecimalNumber` on page 777
- on `seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/IndexPoints`
Type changed to: `RestrictedFINDecimalNumber` on page 777
- on `seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/IndexPoints`
Comment:
Will be used for basis points.
- on `seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/IndexPoints`
Comment:
Will be used for basis points.

6.133 PriceFormat79Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		445
	AmountPrice	<AmtPric>	Or	[1..1]		446
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		446
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		446
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]		447
	IndexPoints	<IndxPts>	Or}	[1..1]	 	448

Used in element(s)

"GenericCashPriceReceivedPerProduct" on page 229, "GenericCashPriceReceivedPerProduct" on page 439

6.133.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "[PercentagePrice3](#)" on page 426 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		427
	PriceValue	<PricVal>		[1..1]		427

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/PercentagePrice) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/PercentagePrice) is removed.

6.133.2 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "[AmountPrice3__1](#)" on page 53 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		53
	PriceValue	<PricVal>		[1..1]		54

6.133.3 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "[PriceValueType8Code](#)" on page 753

6.133.4 AmountPricePerFinancialInstrumentQuantity

XML Tag: AmtPricPerFinInstrmQty

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

The **AmtPricPerFinInstrmQty** block contains the following elements (see datatype "AmountPricePerFinancialInstrumentQuantity10__1" on page 58 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]	⚠	58
	PriceValue	<PricVal>		[1..1]	⚠	58
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		59

Usage Guideline details

- This element(see v.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity) is removed.

6.133.5 AmountPricePerAmount

XML Tag: AmtPricPerAmt

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

The **AmtPricPerAmt** block contains the following elements (see datatype "AmountPricePerAmount2__1" on page 56 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		57
	PriceValue	<PricVal>		[1..1]	⚠	57
	Amount	<Amt>		[1..1]	⚠	57

Usage Guideline details

- This element(see v.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount) is removed.
- This element(see v.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount) is removed.

6.133.6 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: ["RestrictedFINDecimalNumber" on page 777](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints](#)) is removed.
- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints](#)) is removed.
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)

6.134 PriceFormat80Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price or index points.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		448
	AmountPrice	<AmtPric>	Or	[1..1]		449
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]	 	449
	IndexPoints	<IndxPts>	Or}	[1..1]	 	450

Used in element(s)

["FirstBidIncrementPrice" on page 234](#),
 ["GenericCashPricePaidPerProduct" on page 229](#),
 ["GenericCashPricePaidPerProduct" on page 438](#),
 ["LastBidIncrementPrice" on page 235](#),
 ["MaximumPrice" on page 233](#),
 ["MinimumPrice" on page 234](#)

6.134.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "[PercentagePrice3](#)" on page 426 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		427
	PriceValue	<PricVal>		[1..1]		427

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/PercentagePrice) is removed.

6.134.2 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "[AmountPrice3__1](#)" on page 53 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		53
	PriceValue	<PricVal>		[1..1]		54

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/AmountPrice
 - Text rule: (empty)
- on seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/AmountPrice

Comment:
Used when terms of the bid are in monetary units

6.134.3 NotSpecifiedPrice

XML Tag: NotSpecfdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: ["PriceValueType10Code" on page 752](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/NotSpecifiedPrice](#)) is removed.
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/NotSpecified-Price](#)
 - **Market Practice Usage Rule:**

Used when the value is required but is not yet available.
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/NotSpecified-Price](#)
 - **Market Practice Usage Rule:**

Used when the value is required but is not yet available.

6.134.4 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: ["RestrictedFINDecimalNumber" on page 777](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints](#)) is removed.
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/FirstBidIncrementPrice/IndexPoints](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/LastBidIncrementPrice/IndexPoints](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/IndexPoints](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/IndexPoints](#)

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints](#)
Type changed to: [RestrictedFINDecimalNumber](#) on page 777
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/IndexPoints](#)
Comment:
Will be used for basis points.
- on [seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/IndexPoints](#)
Comment:
Will be used for basis points.

6.135 PriceFormat81Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		451
	AmountPrice	<AmtPric>	Or	[1..1]		452
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		452

Used in element(s)

["CashInLieuOfSharePrice" on page 231](#),
 ["CashInLieuOfSharePrice" on page 228](#),
 ["CashInLieuOfSharePrice" on page 440](#),
 ["IndicativePrice" on page 396](#),
 ["IssuePrice" on page 323](#),
 ["MarketPrice" on page 396](#),
 ["OverSubscriptionDepositPrice" on page 231](#)

6.135.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype ["PercentagePrice3" on page 426](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		427
	PriceValue	<PricVal>		[1..1]		427

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/OverSubscription-DepositPrice/PercentagePrice) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDe-tails/PriceDetails/CashInLieuOfSharePrice/PercentagePrice) is removed.

6.135.2 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice3__1" on page 53 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		53
	PriceValue	<PricVal>		[1..1]		54

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDe-tails/CashInLieuOfSharePrice/AmountPrice
 - **Market Practice Usage Rule:**

Amount per unit of security.

6.135.3 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 752

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDe-tails/CashInLieuOfSharePrice/NotSpecifiedPrice
 - **Market Practice Implementation Rule:**

Used when CIL Payment Type values are "Fixed" or "Hold". Implied that the value "Pay CIL" will be communicated by populating AmountPrice and Payment Date with real values.

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/NotSpecifiedPrice](#)

Synonym (DTCC): CIL Payment Type

6.136 ProcessingPosition7Choice__1

Definition: Choice of format for the processing position.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		453
	Proprietary	<Prtry>	Or}	[1..1]		453

Rules

R40 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

Error handling: Undefined

Used in element(s)

["LinkageType" on page 289](#), ["LinkageType" on page 291](#), ["LinkageType" on page 165](#)

6.136.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: ["ProcessingPosition3Code" on page 757](#)

6.136.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/EventsLinkage/LinkageType/Proprietary) is removed.

6.137 ProprietaryQuantity7__1

Definition: Proprietary quantity format.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		454
	Quantity	<Qty>		[1..1]	⚠	454
	QuantityType	<QtyTp>		[1..1]		455
	Issuer	<Issr>		[1..1]	⚠	455
	SchemeName	<SchmeNm>		[0..1]	⚠	455

Used in element(s)

"ProprietaryQuantity" on page 464

6.137.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [0..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 784

6.137.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 777

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Quantity](#)
Type changed to: [RestrictedFINDecimalNumber](#) on page 777

6.137.3 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: ["Exact4AlphaNumericText"](#) on page 687

6.137.4 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max4AlphaNumericText"](#) on page 727

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Issuer](#)
Type changed to: [Max4AlphaNumericText](#) on page 727

6.137.5 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max4AlphaNumericText"](#) on page 727

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 727

6.138 ProprietaryQuantity8__1

Definition: Proprietary quantity format.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		456
	QuantityType	<QtyTp>		[1..1]		458
	Issuer	<Issr>		[1..1]		458
	SchemeName	<SchmeNm>		[0..1]		460

Used in element(s)

"ProprietaryQuantity" on page 463

6.138.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 777

Usage Guideline details

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity
 Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity
 Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity
 Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity
 Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity
 Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity
 Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

- on seev.031.001.15/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 777](#)

6.138.2 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: ["Exact4AlphaNumericText" on page 687](#)

6.138.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max4AlphaNumericText" on page 727](#)

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 727](#)
- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 727](#)

6.138.4 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 727

Usage Guideline details

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 727
- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

- on seev.031.001.15/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 727](#)

6.139 ProrationBelowMinimumQuantity2Choice__1

Definition: Choice between a standard code or proprietary code to specify the proration type below minimum quantity.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		462
	Proprietary	<Prtry>	Or}	[1..1]	🚫	462

Used in element(s)

["ProrationBelowMinimumQuantity" on page 197](#)

6.139.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of proration below minimum quantity.

Datatype: ["ProrationBelowMinimumQuantity1Code" on page 759](#)

6.139.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the proration below minimum quantity type.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/ProrationBelowMinimumQuantity/Proprietary](#)) is removed.

6.140 Quantity48Choice__1

Definition: Choice between different quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		463
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		463

Used in element(s)

["QuantityChoice" on page 558](#)

6.140.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice__1](#)" on page 323 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	324
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	330
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

6.140.2 ProprietaryQuantity

XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype "[ProprietaryQuantity8__1](#)" on page 455 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]	⚠	456
	QuantityType	<QtyTp>		[1..1]		458
	Issuer	<Issr>		[1..1]	⚠	458
	SchemeName	<SchmeNm>		[0..1]	⚠	460

6.141 Quantity49Choice__1

Definition: Choice between different quantity of security formats.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		464

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		464

Used in element(s)

"Balance" on page 563

6.141.1 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "Quantity50Choice__1" on page 464 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]		465
	SignedQuantity	<SgndQty>	Or}	[1..1]		465

6.141.2 ProprietaryQuantity

XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype "ProprietaryQuantity7__1" on page 454 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		454
	Quantity	<Qty>		[1..1]		454
	QuantityType	<QtyTp>		[1..1]		455
	Issuer	<Issr>		[1..1]		455
	SchemeName	<SchmeNm>		[0..1]		455

6.142 Quantity50Choice__1

Definition: Choice between different quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]		465
	SignedQuantity	<SgndQty>	Or}	[1..1]		465

Used in element(s)

"QuantityChoice" on page 464

6.142.1 OriginalAndCurrentFaceAmount

XML Tag: OrgnlAndCurFaceAmt

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

The **OrgnlAndCurFaceAmt** block contains the following elements (see datatype "[OriginalAndCurrentQuantities6__1](#)" on page 416 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		416
	FaceAmount	<FaceAmt>		[1..1]		416
	AmortisedValue	<AmtsdVal>		[1..1]		417

6.142.2 SignedQuantity

XML Tag: SgndQty

Presence: [1..1]

Definition: Signed quantity of security.

The **SgndQty** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on page 556 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557
	Quantity	<Qty>		[1..1]		557

6.143 Quantity51Choice__1

Definition: Choice of format for the quantity.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		466
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		466

Used in element(s)

"EntitledQuantity" on page 537

6.143.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

The **Qty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice_1](#)" on page 323 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		324
	FaceAmount	<FaceAmt>	Or	[1..1]		330
	AmortisedValue	<AmtsdVal>	Or	[1..1]		337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

6.143.2 OriginalAndCurrentFace

XML Tag: OrgnlAndCurFace

Presence: [1..1]

Definition: Original and current value of an asset-back instrument.

The **OrgnlAndCurFace** block contains the following elements (see datatype "[OriginalAndCurrentQuantities1_1](#)" on page 415 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		415
	AmortisedValue	<AmtsdVal>		[1..1]		416

6.144 QuantityToQuantityRatio1__1

Definition: Ratio expressed as a quotient of quantities.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		467
	Quantity2	<Qty2>		[1..1]		468

Used in element(s)

"IntermediateSecuritiesToUnderlyingRatio" on page 299, "QuantityToQuantity" on page 520, "QuantityToQuantity" on page 521, "WarrantParity" on page 310

6.144.1 Quantity1

XML Tag: Qty1

Presence: [1..1]

Definition: Numerator of the quotient of quantities.

Datatype: ["RestrictedFINDecimalNumber" on page 777](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/WarrantParity/Quantity1](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity1](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity1](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity1](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/IntermediateSecurity/IntermediateSecuritiesToUnderlyingRatio/Quantity1](#)
Type changed to: [RestrictedFINDecimalNumber on page 777](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity1](#)
 - Market Practice Implementation Rule:
Additional quantity.
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity1](#)

– **Market Practice Implementation Rule:**

Used for new quantity.

6.144.2 Quantity2

XML Tag: Qty2

Presence: [1..1]

Definition: Denominator of the quotient of quantities.

Datatype: "RestrictedFINDecimalNumber" on page 777

Usage Guideline details

- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/WarrantParity/Quantity2
 Type changed to: RestrictedFINDecimalNumber on page 777
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity2
 Type changed to: RestrictedFINDecimalNumber on page 777
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity2
 Type changed to: RestrictedFINDecimalNumber on page 777
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity2
 Type changed to: RestrictedFINDecimalNumber on page 777
- on seev.031.001.15/IntermediateSecurity/IntermediateSecuritiesToUnderlyingRatio/Quantity2
 Type changed to: RestrictedFINDecimalNumber on page 777
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity2
 - **Market Practice Implementation Rule:**
 - Existing quantity.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity2
 - **Market Practice Implementation Rule:**
 - Used for old quantity.

6.145 Rate43__1

Definition: Provides information about the rates related to securities movement.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		472
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		472
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		473
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		474
	SecondLevelTax	<ScndLvITax>		[0..*]		475
	ChargesFees	<ChrgsFees>		[0..1]		476
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]		476
	FiscalStamp	<FscIStmp>		[0..1]		477
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]		477
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		478
	ApplicableRate	<AplblRate>		[0..1]		479
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]		479
	TaxCreditRate	<TaxCdtRate>		[0..1]		480
	TaxOnIncome	<TaxOnIncm>		[0..1]		481
	TaxOnProfits	<TaxOnPrfts>		[0..1]		481
	TaxReclaimRate	<TaxRclmRate>		[0..1]		482
	EqualisationRate	<EqulstnRate>		[0..1]		482
	DeemedRate	<DmdRate>		[0..*]		483

Rules

R104 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R105 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R106 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

Error handling: Undefined

R107 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

Error handling: Undefined

R108 SecondLevelTax3Rule ✓

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00388
- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

R109 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R110 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R111 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/Rate-Type/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R112 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R113 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/Rate-Type/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R114 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

Used in element(s)

"RateAndAmountDetails" on page 80

6.145.1 AdditionalTax

XML Tag: AddtlTax

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

The **AddtlTax** block contains the following elements (see datatype "RateAndAmountFormat57Choice__1" on page 486 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		487
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		487
	Amount	<Amt>	Or}	[1..1]	⚠	487

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/AdditionalTax) is removed.

6.145.2 GrossDistributionRate

XML Tag: GrssDstrbtnRate

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

The **GrssDstrbtnRate** block contains the following elements (see datatype "GrossDividendRateFormat38Choice__1" on page 391 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	🚫 ⚠	391
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]	🚫	392
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		392
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		392

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate
 - Market Practice Implementation Rule:

In this product (CSD type mapping) DTC announced cash rate "DTC Cash Rate" will be mapped to this tag, issuer / market announced cash rate "Declared Gross Rate" or mutually exclusive "Declared Cash Rate", that can be different from DTC's, will be mapped to extension component as supplementary data, with the exception of the Dividend Payout type where "Declared Gross" rate will always be mapped to this tag and as "DTC Cash Rate" is a net rate.

If option is not supported / processed by DTC, issuer / market announced cash rate will be mapped here.

This tag will be used for the cash rates from the following DTCC Payout types: Dividend, Return of Capital, Long Term Capital Gains, Short Term Capital Gains, Capital Gains, Fully Franked, and Unfranked.

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate

Synonym (DTCC): DTC Cash Rate

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate

Synonym (DTCC): Declared Cash Rate

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate

Synonym (DTCC): Declared Gross Rate

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate

Comment:

Declared Gross Rate: issuer / market announced rate of cash distribution per unit of security. This term used in taxable payouts.

Declared Cash Rate: issuer / market announced rate of cash distribution per unit of security. This term is used on non taxable payouts types (Cash or Premium on Redemptions and Reorganisation events, Principal, Return of Capital)

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.

6.145.3 GrossInterestRateUsedForPayment

XML Tag: GrssIntrstRateUsdForPmt

Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

The **GrssIntrstRateUsdForPmt** block contains the following elements (see datatype "InterestRateUsedForPaymentFormat11Choice__1" on page 398 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		399
	Amount	<Amt>	Or	[1..1]	 	399
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		400
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		400

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment
 - **Market Practice Implementation Rule:**

In this product (CSD type mapping) DTC announced cash rate "DTC Cash Rate" will be mapped to this tag, issuer / market announced cash rate "Declared Gross Rate", that can be different from DTC's, will be mapped to extension component as supplementary data.
If option is not supported / processed by DTC, issuer / market announced cash rate will be mapped here.
This tag will be used for the cash rate from Interest DTCC Payout type.
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment

Synonym (DTCC): Declared Gross Rate
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment

Synonym (DTCC): DTC Cash Rate
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment

Comment:
Declared Gross Rate: issuer / market announced rate of cash distribution per unit of security. This term used in taxable payouts.
DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.

6.145.4 WithholdingTaxRate

XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "RateAndAmountFormat60Choice__1" on page 491 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		492
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		492
	Amount	<Amt>	Or	[1..1]	 	492
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		493

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate](#)
Synonym (DTCC): DTC Withholding Tax Percentage
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate](#)
Comment:
The withholding tax percentage based on tax treaties established by the country of taxation.

6.145.5 SecondLevelTax

XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "[RateAndAmountFor-mat60Choice__1](#)" on page 491 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		492
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		492
	Amount	<Amt>	Or	[1..1]		492
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		493

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax](#)) is removed.

6.145.6 ChargesFees

XML Tag: ChrgsFees

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "RateAndAmountFormat57Choice__1" on page 486 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]	🚫	487
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		487
	Amount	<Amt>	Or}	[1..1]	⚠	487

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees

– Market Practice Usage Rule:

In this product (CSD type mapping) DTC announced fee "DTC Fee Rate" will be mapped to this tag, issuer / market announced fee "Declared Fee Rate", that can be different from DTC's, will be mapped to extension component as supplementary data.

If option is not supported / processed by DTC, issuer / market announced fee will be mapped here.

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees

Synonym (DTCC): Declared Fee Rate

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees

Synonym (DTCC): DTC Fee Rate

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees

Comment:

DTC Fee Rate: DTC announced fee that will be charged to a DTC Participant.
 Declared Fee Rate: issuer / market announced fee that will be charged in connection with the payout.

6.145.7 EarlySolicitationFeeRate

XML Tag: EarlySlctnFeeRate

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

The **EarlySlctnFeeRate** block contains the following elements (see datatype "[SolicitationFeeRateFormat11Choice__1](#)" on page 558 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		558
	AmountToQuantity	<AmtToQty>	Or	[1..1]		559
	Amount	<Amt>	Or	[1..1]	⚠	559
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		559

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate) is removed.

6.145.8 FiscalStamp

XML Tag: FscIStmp

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

The **FscIStmp** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/FiscalStamp) is removed.

6.145.9 ThirdPartyIncentiveRate

XML Tag: ThrdPtyIncntivRate

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

The **ThrdPtyIncntivRate** block contains the following elements (see datatype "RateFormat26Choice__1" on page 497 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		498
	Amount	<Amt>	Or	[1..1]	⚠	498
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		498

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ThirdPartyIncentiveRate) is removed.

6.145.10 NetDistributionRate

XML Tag: NetDstrbtrRate

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

The **NetDstrbtrRate** block contains the following elements (see datatype "NetDividendRateFormat39Choice__1" on page 408 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	409
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]	🚫	409
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]	🚫	410
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		410

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate

– Market Practice Implementation Rule:

In this product (CSD type mapping) when DTCC Payout type is Dividend DTC announced cash rate "DTC Cash Rate" will be mapped to this tag, issuer / market announced equivalent - net cash rate "Declared Net Rate", that can be different from DTC's, will be mapped to extension component as supplementary data.

If option is not supported / processed by DTC, issuer / market announced cash rate "Declared Net Rate" will be mapped here.
 Used when Payout Types are Premium, Short Term Capital Gains, Long Term Capital Gains, Capital Gains, Interest will use Amount option of the tag.
 Payout Types: Franked and Unfranked will use RateTypeAndAmountAndRateStatus with the appropriate RateType.

- on **seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate**

Synonym (DTCC): DTC Cash Rate

- on **seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate**

Synonym (DTCC): Declared Net Rate

- on **seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate**

Comment:

Declared Net Rate: issuer / market announced net rate (after taxes and fees) of cash distribution per unit of security. This term used in taxable payout.
 DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.

6.145.11 ApplicableRate

XML Tag: AplblRate

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

The **AplblRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpecfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(**seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ApplicableRate**) is removed.

6.145.12 SolicitationFeeRate

XML Tag: SlctnFeeRate

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

The **SlctnFeeRate** block contains the following elements (see datatype "[SolicitationFeeRateFormat11Choice__1](#)" on page 558 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]	🚫	558
	AmountToQuantity	<AmtToQty>	Or	[1..1]		559
	Amount	<Amt>	Or	[1..1]	🚫 ⚠	559
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		559

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate](#)

– Market Practice Implementation Rule :

This tag will be used for the cash rates from the Payout Type "Premium" on the event types where a Premium payout indicates a consent or an insentive fee.

DTC announced cash rate "DTC Cash Rate" will be used for this tag. Issuer / market announced cash rate "Declared Cash Rate", will be mapped to extension component as supplementary data.

If option is not supported / processed by DTC, there will be no DTC rates and the issuer / market announced cash rate "Declared Cash Rate" will be used.

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate](#)

Synonym (DTCC): Declared Cash Rate

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate](#)

Synonym (DTCC): DTC Cash Rate

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate](#)

Synonym (DTCC): Consent Fee Flag

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate](#)

Comment:

A cash payment on a debt instrument that is not principal or interest and is categorized by the issuer as premium.

6.145.13 TaxCreditRate

XML Tag: TaxCdtRate

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "[RateFormat26Choice__1](#)" on [page 497](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		498
	Amount	<Amt>	Or	[1..1]	🚫 ⚠	498
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		498

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/TaxCreditRate](#)

Comment:

Amount of money entitlement per security as the result of a tax credit. Will utilize OTHR option

6.145.14 TaxOnIncome

XML Tag: TaxOnIncm

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

The **TaxOnIncm** block contains the following elements (see datatype "[RateAndAmountFormat57Choice__1](#)" on [page 486](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		487
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		487
	Amount	<Amt>	Or}	[1..1]	⚠	487

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/TaxOnIncome](#)) is removed.

6.145.15 TaxOnProfits

XML Tag: TaxOnPrfts

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

The **TaxOnPrfts** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpecfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/TaxOnProfits) is removed.

6.145.16 TaxReclaimRate

XML Tag: TaxRclmRate

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

The **TaxRclmRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 496 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpecfdRate>	Or}	[1..1]		496

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/TaxReclaimRate) is removed.

6.145.17 EqualisationRate

XML Tag: EqulstnRate

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

The **EquIstnRate** block contains the following elements (see datatype "RateAndAmountFormat42Choice__1" on page 484 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	484
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		484

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EqualisationRate) is removed.

6.145.18 DeemedRate

XML Tag: DmdRate

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

The **DmdRate** block contains the following elements (see datatype "RateAndAmountFormat61Choice__1" on page 493 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]	🚫	493
	Amount	<Amt>	Or	[1..1]	⚠	494
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		494
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]	🚫	494
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]	🚫	495

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate
Synonym (DTCC): DTC Cash Rate
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate
Comment:
Used for IRS 305c or 871m Tax Events

6.146 RateAndAmountFormat42Choice__1

Definition: Choice between an amount or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	484
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		484

Used in element(s)

"EqualisationRate" on page 482

6.146.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EqualisationRate/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

6.146.2 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 772

6.147 RateAndAmountFormat56Choice__1

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		485
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		485

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	Or	[1..1]		485
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		485

Used in element(s)

["WithholdingTaxRate" on page 255](#)

6.147.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

6.147.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 772](#)

6.147.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/Withholding-TaxRate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

6.147.4 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

The **RateTpAndRate** block contains the following elements (see datatype ["RateTypeAndPercentageRate12_1" on page 517](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		517
	Rate	<Rate>		[1..1]		518

6.148 RateAndAmountFormat57Choice

Definition: Choice of format between a rate, an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		486
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		486
	Amount	<Amt>	Or}	[1..1]		486

Used in element(s)

"IndexFactor" on page 248

6.148.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 749

6.148.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 772

6.148.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 572

6.149 RateAndAmountFormat57Choice__1

Definition: Choice of format between a rate, an amount or an unspecified rate.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		487
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		487
	Amount	<Amt>	Or}	[1..1]		487

Used in element(s)

["AdditionalTax" on page 251](#), ["AdditionalTax" on page 472](#), ["ChargesFees" on page 262](#),
["ChargesFees" on page 476](#), ["InterestRate" on page 243](#), ["TaxOnIncome" on page 257](#), ["Tax-OnIncome" on page 481](#)

6.149.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees/Rate](#)) is removed.
- This element([seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/ChargesFees/Rate](#)) is removed.

6.149.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 772](#)

6.149.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- [on seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/InterestRate/Amount](#)

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/AdditionalTax/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/TaxOnIncome/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/AdditionalTax/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/TaxOnIncome/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/ChargesFees/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees/Amount

– **Market Practice Usage Rule:**

Reported amount is per unit of security.

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/ChargesFees/Amount

– **Market Practice Usage Rule:**

Reported amount is per unit of security.

6.150 RateAndAmountFormat58Choice__1

Definition: Choice of format between a rate, an amount, index points or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		489
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]	⚠	489
	Amount	<Amt>	Or	[1..1]	⚠	489

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndexPoints	<IndxPts>	Or}	[1..1]		490

Used in element(s)

["BidInterval" on page 245](#), ["BidInterval" on page 258](#)

6.150.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

6.150.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 772](#)

Usage Guideline details

- **on seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/BidInterval/NotSpecifiedRate**
 - **Market Practice Usage Rule:**

Used when the value is required but is not yet available.
- **on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/BidInterval/NotSpecifiedRate**
 - **Market Practice Usage Rule:**

Used when the value is required but is not yet available.

6.150.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- **on seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/BidInterval/Amount**

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/BidInterval/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775

- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/BidInterval/Amount](#)

– **Market Practice Usage Rule:**

Used when terms of the bid are in monetary units.

6.150.4 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: ["RestrictedFINDecimalNumber"](#) on page 777

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/BidInterval/IndexPoints](#)

Type changed to: [RestrictedFINDecimalNumber](#) on page 777

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/BidInterval/IndexPoints](#)

Type changed to: [RestrictedFINDecimalNumber](#) on page 777

- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/BidInterval/IndexPoints](#)

Comment:

Will be used for basis points.

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/BidInterval/IndexPoints](#)

Comment:

Will be used for basis points.

6.151 RateAndAmountFormat59Choice__1

Definition: Choice of format between rate and amount.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -

 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		491
	Amount	<Amt>	Or}	[1..1]	 	491

Used in element(s)

["DeclaredRate" on page 248](#), ["InterestShortfall" on page 247](#), ["RealisedLoss" on page 247](#)

6.151.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

6.151.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/InterestShortfall/Amount](#)) is removed.
- This element([seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/RealisedLoss/Amount](#)) is removed.
- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/DeclaredRate/Amount](#) Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/InterestShortfall/Amount](#) Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/RealisedLoss/Amount](#) Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

6.152 RateAndAmountFormat60Choice__1

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		492
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		492
	Amount	<Amt>	Or	[1..1]	 	492
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		493

Used in element(s)

["SecondLevelTax" on page 256](#), ["SecondLevelTax" on page 265](#), ["SecondLevelTax" on page 475](#),
["WithholdingTaxRate" on page 264](#), ["WithholdingTaxRate" on page 474](#)

6.152.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

6.152.2 NotSpecifiedRate

XML Tag: NotSpecfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 772](#)

6.152.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/Amount](#)) is removed.
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775

6.152.4 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

The **RateTpAndRate** block contains the following elements (see datatype "[RateTypeAndPercentageRate13_1](#)" on page 518 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		518
	Rate	<Rate>		[1..1]		518

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate](#)) is removed.

6.153 RateAndAmountFormat61Choice__1

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		493
	Amount	<Amt>	Or	[1..1]		494
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		494
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		494
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		495

Used in element(s)

["DeemedRate"](#) on page 483

6.153.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Rate](#)) is removed.

6.153.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Amount](#)
Synonym (DTCC): DTC Cash Rate

6.153.3 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 772](#)

6.153.4 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype ["RateTypeAndAmountAndStatus37_1" on page 509](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		510
	Amount	<Amt>		[1..1]	⚠	510
	RateStatus	<RateSts>		[0..1]	🚫	510

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus) is removed.

6.153.5 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

The **RateTpAndRate** block contains the following elements (see datatype "RateTypeAndPercentageRate14__1" on page 519 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		519
	Rate	<Rate>		[1..1]		519

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate) is removed.

6.154 RateFormat12Choice

Definition: Choice between a rate or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Used in element(s)

["NextFactor" on page 310](#), ["NextFactor" on page 246](#), ["NextFactor" on page 319](#), ["PreviousFactor" on page 309](#), ["PreviousFactor" on page 245](#), ["PreviousFactor" on page 319](#)

6.154.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["BaseOne14Rate" on page 589](#)

6.154.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType5Code" on page 768](#)

6.155 RateFormat24Choice

Definition: Choice between a rate or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		496
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		496

Used in element(s)

["ApplicableRate" on page 263](#), ["ApplicableRate" on page 479](#), ["FinancialTransactionTaxRate" on page 264](#), ["FiscalStamp" on page 262](#), ["FiscalStamp" on page 477](#), ["InterestRate" on page 308](#), ["InterestRate" on page 320](#), ["MaximumAllowedOversubscriptionRate" on page 254](#), ["NextInterestRate" on page 308](#), ["NextInterestRate" on page 320](#), ["PercentageOfDebtClaim" on page 309](#), ["ProrationRate" on page 255](#), ["ReinvestmentDiscountRateToMarket" on page 246](#), ["RelatedIndex" on page 244](#), ["Spread" on page 244](#), ["TaxOnProfits" on page 481](#), ["TaxReclaimRate" on page 482](#)

6.155.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

6.155.2 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType5Code" on page 768](#)

6.156 RateFormat25Choice

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		497
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		497

Used in element(s)

["PercentageSought" on page 243](#)

6.156.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionDetails/RateAndAmountDetails/PercentageSought/Rate](#)
 - **Market Practice Usage Rule:**

Quantity Sought Percentage value mapped to this element.

6.156.2 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType10Code" on page 765](#)

6.157 RateFormat26Choice__1

Definition: Choice between a rate or an unspecified rate.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		498
	Amount	<Amt>	Or	[1..1]		498
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		498

Used in element(s)

"TaxCreditRate" on page 263, "TaxCreditRate" on page 480, "ThirdPartyIncentiveRate" on page 477

6.157.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 749

6.157.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/TaxCreditRate/Amount) is removed.
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/TaxCreditRate/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ThirdPartyIncentiveRate/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/TaxCreditRate/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

6.157.3 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 772](#)

6.158 RateStatus3Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		499
	Proprietary	<Prtry>	Or}	[1..1]	🚫	499

Guidelines

1. RateStatusGuideline

The code value ACTU is the default value for a rate status.

Used in element(s)

["RateStatus" on page 512](#), ["RateStatus" on page 513](#), ["RateStatus" on page 508](#), ["RateStatus" on page 509](#), ["RateStatus" on page 515](#), ["RateStatus" on page 517](#), ["RateStatus" on page 510](#)

6.158.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 764](#)

6.158.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 358](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	</ssr>		[1..1]	⚠	360

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary) is removed.
- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary) is removed.

6.159 RateType33Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		500
	Proprietary	<Prtry>	Or}	[1..1]		500

Used in element(s)

"RateType" on page 507

6.159.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 769

6.159.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/Rate-AndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

6.160 RateType36Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		501
	Proprietary	<Prtry>	Or}	[1..1]		501

Used in element(s)

"RateType" on page 508

6.160.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of tax rate.

Datatype: "DividendRateType1Code" on page 679

6.160.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of tax rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.161 RateType42Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		502
	Proprietary	<Prtry>	Or}	[1..1]		502

Used in element(s)

"RateType" on page 517, "RateType" on page 518

6.161.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 785

6.161.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.162 RateType76Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		503
	Proprietary	<Prtry>	Or}	[1..1]	🚫	503

Used in element(s)

"RateType" on page 511

6.162.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 694

6.162.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/Gross-DistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

6.163 RateType77Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		504
	Proprietary	<Prtry>	Or}	[1..1]		504

Used in element(s)

"RateType" on page 513

6.163.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 728

6.163.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

6.164 RateType78Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		505

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		505

Used in element(s)

"RateType" on page 514

6.164.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 698

6.164.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

6.165 RateType79Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		506
	Proprietary	<Prtry>	Or}	[1..1]		506

Used in element(s)

"RateType" on page 516

6.165.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 729

6.165.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

6.166 RateTypeAndAmountAndStatus24__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		507
	Amount	<Amt>		[1..1]	⚠	507
	RateStatus	<RateSts>		[0..1]	🚫	508

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 400

6.166.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType33Choice_1](#)" on page 500 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		500
	Proprietary	<Prtry>	Or}	[1..1]	🚫	500

6.166.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 775

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount](#)
Synonym (DTCC): Declared Gross Rate

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount](#)

Synonym (DTCC): DTC Cash Rate

6.166.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice__1](#)" on [page 499](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		499
	Proprietary	<Prtry>	Or}	[1..1]		499

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus](#)) is removed.

6.167 RateTypeAndAmountAndStatus26__1

Definition: Specifies the value expressed as a rate and an amount.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		508
	Amount	<Amt>		[1..1]		509
	RateStatus	<RateSts>		[0..1]		509

Used in element(s)

"[TaxableIncomePerDividendShare](#)" on [page 256](#)

6.167.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType36Choice__1](#)" on [page 501](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		501
	Proprietary	<Prtry>	Or}	[1..1]		501

6.167.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on [page 775](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePer-DividendShare/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on [page 775](#)

6.167.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice__1](#)" on [page 499](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		499
	Proprietary	<Prtry>	Or}	[1..1]		499

6.168 RateTypeAndAmountAndStatus37__1

Definition: Specifies the value expressed as a rate and an amount.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		510
	Amount	<Amt>		[1..1]	⚠	510
	RateStatus	<RateSts>		[0..1]	🚫	510

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 494

6.168.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[DeemedRateType1Choice__1](#)" on page 284 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		284
	Proprietary	<Prtry>	Or}	[1..1]		285

6.168.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 775

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/Amount](#)
Synonym (DTCC): Gross Amount

6.168.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice__1](#)" on [page 499](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		499
	Proprietary	<Prtry>	Or}	[1..1]		499

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus) is removed.

6.169 RateTypeAndAmountAndStatus55__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		511
	Amount	<Amt>		[1..1]		512
	RateStatus	<RateSts>		[0..1]		512

Used in element(s)

"[RateTypeAndAmountAndRateStatus](#)" on [page 390](#)

6.169.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType76Choice__1](#)" on [page 503](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		503
	Proprietary	<Prtry>	Or}	[1..1]		503

6.169.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775

6.169.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "RateStatus3Choice__1" on page 499 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		499
	Proprietary	<Prtry>	Or}	[1..1]		499

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus) is removed.

6.170 RateTypeAndAmountAndStatus56__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		513
	Amount	<Amt>		[1..1]		513
	RateStatus	<RateSts>		[0..1]		513

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 408

6.170.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType77Choice_1](#)" on page 503 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		504
	Proprietary	<Prtry>	Or}	[1..1]		504

6.170.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 775

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/NetDistribution-Rate/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 775

6.170.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice__1](#)" on [page 499](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		499
	Proprietary	<Prtry>	Or}	[1..1]		499

6.171 RateTypeAndAmountAndStatus57__1

Definition: Specifies the value expressed as a rate and an amount.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		514
	Amount	<Amt>		[1..1]	⚠	514
	RateStatus	<RateSts>		[0..1]	🚫	515

Used in element(s)

"[RateTypeAndAmountAndRateStatus](#)" on [page 392](#)

6.171.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType78Choice__1](#)" on [page 504](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		505
	Proprietary	<Prtry>	Or}	[1..1]	🚫	505

6.171.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Synonym (DTCC): Declared Cash Rate
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Synonym (DTCC): DTC Cash Rate
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Synonym (DTCC): Declared Gross Rate

6.171.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "RateStatus3Choice__1" on page 499 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		499
	Proprietary	<Prtry>	Or}	[1..1]	🚫	499

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus) is removed.

6.172 RateTypeAndAmountAndStatus58__1

Definition: Specifies the value expressed as a rate and an amount.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		516
	Amount	<Amt>		[1..1]	⚠	516
	RateStatus	<RateSts>		[0..1]	🚫	517

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 410

6.172.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType79Choice_1" on page 505 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		506
	Proprietary	<Prtry>	Or}	[1..1]	🚫	506

6.172.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Synonym (DTCC): Declared Net Rate
- on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Synonym (DTCC): DTC Cash Rate

6.172.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "RateStatus3Choice__1" on page 499 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		499
	Proprietary	<Prtry>	Or}	[1..1]		499

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus) is removed.

6.173 RateTypeAndPercentageRate12__1

Definition: Specifies the value expressed as a rate type and a percentage rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		517
	Rate	<Rate>		[1..1]		518

Used in element(s)

"RateTypeAndRate" on page 485

6.173.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType42Choice__1" on page 502 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		502
	Proprietary	<Prtry>	Or}	[1..1]		502

6.173.2 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 749

6.174 RateTypeAndPercentageRate13__1

Definition: Specifies the value expressed as a rate type and a percentage rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		518
	Rate	<Rate>		[1..1]		518

Used in element(s)

"RateTypeAndRate" on page 493

6.174.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType42Choice__1" on page 502 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		502
	Proprietary	<Prtry>	Or}	[1..1]		502

6.174.2 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

6.175 RateTypeAndPercentageRate14__1

Definition: Specifies the value expressed as a deemed rate type and a percentage rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		519
	Rate	<Rate>		[1..1]		519

Used in element(s)

["RateTypeAndRate" on page 495](#)

6.175.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["DeemedRateType1Choice__1" on page 284](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		284
	Proprietary	<Prtry>	Or}	[1..1]		285

6.175.2 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

6.176 RatioFormat17Choice__1

Definition: Choice of format to express a ratio.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		520
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		520
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		520

Used in element(s)

"AdditionalQuantityForExistingSecurities" on page 260, "AdditionalQuantityForSubscribedResultantSecurities" on page 259

6.176.1 QuantityToQuantity

XML Tag: QtyToQty

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype "QuantityToQuantityRatio1__1" on page 466 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		467
	Quantity2	<Qty2>		[1..1]		468

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity

– Market Practice Implementation Rule:

Used when security is not debt.

6.176.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "RateValueType7Code" on page 772

6.176.3 AmountToAmount

XML Tag: AmtToAmt

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

The **AmtToAmt** block contains the following elements (see datatype "[AmountToAmountRatio2__1](#)" on [page 59](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		60
	Amount2	<Amt2>		[1..1]		60

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount](#)
 - **Market Practice Implementation Rule:**
Used when security is debt. Reported in face values.

6.177 RatioFormat18Choice__1

Definition: Choice of format to express a ratio.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		521
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		522
	AmountToAmount	<AmtToAmt>	Or	[1..1]		522
	AmountToQuantity	<AmtToQty>	Or	[1..1]		523
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]		523

Used in element(s)

"[NewToOld](#)" on [page 260](#)

6.177.1 QuantityToQuantity

XML Tag: QtyToQty

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype "[QuantityToQuantityRatio1__1](#)" on page 466 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]	⚠	467
	Quantity2	<Qty2>		[1..1]	⚠	468

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity](#)
 - **Market Practice Implementation Rule:**
Used when underlying and movement security is a not debt security.

6.177.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "[RateValueType7Code](#)" on page 772

6.177.3 AmountToAmount

XML Tag: AmtToAmt

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

The **AmtToAmt** block contains the following elements (see datatype "[AmountToAmountRatio2__1](#)" on page 59 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]	⚠	60
	Amount2	<Amt2>		[1..1]	⚠	60

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount](#)
 - **Market Practice Implementation Rule:**

Used when underlying and movement security is a debt security.

6.177.4 AmountToQuantity

XML Tag: AmtToQty

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype "[AmountAndQuantityRatio4__1](#)" on page 49 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		49
	Quantity	<Qty>		[1..1]		50

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity

– Market Practice Implementation Rule:

Used when underlying security is a non debt security, and movement security is a debt security.

6.177.5 QuantityToAmount

XML Tag: QtyToAmt

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

The **QtyToAmt** block contains the following elements (see datatype "[AmountAndQuantityRatio4__1](#)" on page 49 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		49
	Quantity	<Qty>		[1..1]		50

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount](#)

- **Market Practice Implementation Rule:**

Used when underlying security is a debt security, and movement security is a non debt security.

6.178 RenounceableEntitlementStatusTypeFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of the renounceable entitlement status.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		524
	Proprietary	<Prtry>	Or}	[1..1]		524

Used in element(s)

"RenounceableEntitlementStatusType" on page 298, "RenounceableEntitlementStatusType" on page 95

6.178.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the renounceable status.

Datatype: "RenounceableStatus1Code" on page 774

6.178.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the renounceable status.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 358 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionDetails/RenounceableEntitlementStatusType/Proprietary) is removed.

6.179 SafekeepingPlaceFormat41Choice__1

Definition: Choice between formats for the place of safekeeping.

⓪ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		525
	Country	<Ctry>	Or	[1..1]		526
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		526
	TypeAndIdentification	<TpAndId>	Or	[1..1]		526
	Proprietary	<Prtry>	Or}	[1..1]		526

Used in element(s)

"SafekeepingPlace" on page 538

6.179.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText8__1" on page 530 for details)

⓪ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		530
	Identification	<Id>		[0..1]	⚠	530

6.179.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 664

6.179.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 665

6.179.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentification1" on page 528 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		529
	Identification	<Id>		[1..1]		529

6.179.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78__1" on page 388 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		388
	Identification	<Id>		[0..1]	⚠	388

6.180 SafekeepingPlaceFormat42Choice__1

Definition: Choice of formats for a place of safekeeping.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		527
	Country	<Ctry>	Or	[1..1]		527
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		527
	TypeAndIdentification	<TpAndId>	Or	[1..1]		528
	Proprietary	<Prtry>	Or}	[1..1]		528

Used in element(s)

"SafekeepingPlace" on page 45

6.180.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText6__1" on page 529 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		529
	Identification	<Id>		[0..1]	⚠	529

6.180.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 664

6.180.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 665

6.180.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentification1" on page 528 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		529
	Identification	<Id>		[1..1]		529

6.180.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78__1" on page 388 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		388
	Identification	<Id>		[0..1]	⚠	388

6.181 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		529
	Identification	<Id>		[1..1]		529

Used in element(s)

["TypeAndIdentification" on page 528](#), ["TypeAndIdentification" on page 526](#)

6.181.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace1Code" on page 782](#)

6.181.2 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping.

Datatype: ["AnyBICDec2014Identifier" on page 588](#)

6.182 SafekeepingPlaceTypeAndText6__1

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		529
	Identification	<Id>		[0..1]		529

Used in element(s)

["Identification" on page 527](#)

6.182.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace2Code" on page 783](#)

6.182.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: ["RestrictedFINXMax30Text" on page 779](#)

Usage Guideline details

- on [seev.031.001.15/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Identification/Identification](#)

Type changed to: [RestrictedFINXMax30Text](#) on page 779

6.183 SafekeepingPlaceTypeAndText8__1

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		530
	Identification	<Id>		[0..1]	⚠	530

Used in element(s)

"Identification" on page 525

6.183.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace3Code"](#) on page 783

6.183.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: ["RestrictedFINXMax30Text"](#) on page 779

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/Safekeeping-Place/Identification/Identification](#)

Type changed to: [RestrictedFINXMax30Text](#) on page 779

6.184 SecuritiesOption113__1

Definition: Provides information about the corporate action security option.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityDetails	<SctyDtls>		[1..1]		532
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		533
	TemporaryFinancialInstrumen- tIndicator	<TempFinInstrmInd>		[0..1]		533
	NonEligibleProceedsIndicator	<NonElgblPrcdsInd>		[0..1]		534
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		535
	NewSecuritiesIssuanceIndicator	<NewSctiesIssncInd>		[0..1]		535
	IncomeType	<IncmTp>		[0..1]		536
	OtherIncomeType	<OthrIncmTp>		[0..*]		536
	ExemptionType	<XmptnTp>		[0..*]		537
	EntitledQuantity	<EntitldQty>		[0..1]		537
	SafekeepingPlace	<SfkpgPlc>		[0..1]		538
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		538
	FractionDisposition	<FrctnDspstn>		[0..1]		539
	CurrencyOption	<CcyOptn>		[0..1]		539
	TradingPeriod	<TradgPrd>		[0..1]		540
	DateDetails	<DtDtls>		[1..1]		540
	RateDetails	<RateDtls>		[0..1]		541
	PriceDetails	<PricDtls>		[0..1]		542
	AmountDetails	<AmtDtls>		[0..1]		542

Rules

R92 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

Error handling: Undefined

R93 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R94 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R95 IncomeType1Rule ✓

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00544
- *Error Text:* Invalid message contents for IncomeType. Element must be present.

Used in element(s)

"SecuritiesMovementDetails" on page 205

6.184.1 SecurityDetails

XML Tag: SctyDtls

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

The **SctyDtls** block contains the following elements (see datatype "[FinancialInstrumentAttributes131_1](#)" on page 312 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]	⚠	313
	PlaceOfListing	<PlcOfListg>		[0..1]	🚫	314
	DayCountBasis	<DayCntBsis>		[0..1]	🚫	314
	ClassificationType	<ClssfctnTp>		[0..1]	🚫	315
	OptionStyle	<OptnStyle>		[0..1]	🚫	315

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DenominationCurrency	<DnmtnCcy>		[0..1]	🚫	316
	NextCouponDate	<NxtCpnDt>		[0..1]	🚫	316
	FloatingRateFixingDate	<FltgRateFxdDt>		[0..1]	🚫	316
	MaturityDate	<MtrtyDt>		[0..1]	⚠️	317
	IssueDate	<IsseDt>		[0..1]	🚫	317
	NextCallableDate	<NxtClblDt>		[0..1]	🚫	318
	PutableDate	<PutblDt>		[0..1]	🚫	318
	DatedDate	<DtdDt>		[0..1]	🚫	318
	ConversionDate	<ConvsDt>		[0..1]	🚫	318
	PreviousFactor	<PrvsFctr>		[0..1]	🚫	319
	NextFactor	<NxtFctr>		[0..1]	🚫	319
	InterestRate	<IntrstRate>		[0..1]	🚫	320
	NextInterestRate	<NxtIntrstRate>		[0..1]	🚫	320
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	🚫	321
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]	🚫	321
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]	🚫	322
	ContractSize	<CtrctSz>		[0..1]	🚫	322
	IssuePrice	<IssePric>		[0..1]	🚫	323

6.184.2 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 664

6.184.3 TemporaryFinancialInstrumentIndicator

XML Tag: TempFinInstrmInd

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

The **TempFinInstrmInd** block contains the following elements (see datatype "TemporaryFinancialInstrumentIndicator3Choice_1" on page 562 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TemplInd>	{Or	[1..1]		562
	Proprietary	<Prtry>	Or}	[1..1]	🚫	562

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator
Synonym (DTCC): DTC Contra Cusip Flag
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator
Comment:
Indicates whether the DTC disbursed security identifier is a contra cusip (generated by DTC for processing purposes).

6.184.4 NonEligibleProceedsIndicator

XML Tag: NonElgblPrcdsInd

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

The **NonElgblPrcdsInd** block contains the following elements (see datatype "NonEligibleProceedsIndicator5Choice__1" on page 410 for details)

🚫 Removed - 🚫 Partial Removed - ♦ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		411
	Proprietary	<Prtry>	Or}	[1..1]	🚫	411

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator
 - **Market Practice Implementation Rule:**
Used when Disbursed Security Restriction Text has values.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator
 - **Market Practice Usage Rule:**

Used in conjunction with SecurityRestriction tag that contains textual explanation. Indicator is set to true to "point" that issuer / market announced (Declared Disbursed Security) mapped or extended to those securities movement has a restriction.

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator

Synonym (DTCC): Disbursed Security Restriction Text

6.184.5 IssuerOfferorTaxabilityIndicator

XML Tag: IssrOfferrTaxbltyInd

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype "IssuerOfferorTaxabilityIndicator2Choice" on page 401 for details)

❌ Removed - ❌ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		401
	Proprietary	<Prtry>	Or}	[1..1]		402

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/IssuerOfferorTaxabilityIndicator) is removed.

6.184.6 NewSecuritiesIssuanceIndicator

XML Tag: NewScitiesIssnclnd

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType5Code" on page 729

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator
 - **Applicability:**
true
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator

Synonym: Enter Synonym Value

6.184.7 IncomeType

XML Tag: IncmTp

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

The **IncmTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	🚫 ⚠	371

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType](#)
Synonym (IRS): IRS Code
- on [seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType](#)
Comment:
 Each U.S. tax withholding eligible distribution must have a determination of the tax liability. This code is relevant to tax withholding and U.S. IRS reporting.

 * DTC does not provide tax advice. Clients should make their own determination as to the character and tax treatment of a corporate action. Consult your own tax advisors or contact the issuer regarding the tax treatment of a corporate action.

6.184.8 OtherIncomeType

XML Tag: OthrIncmTp

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

The **OthrIncmTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]	 	371

Usage Guideline details

- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncome-Type**
Synonym (DTCC): Canadian Revenue Service Code
- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncome-Type**
Comment:
Will be the Canadian Income Type Code (CRAX) if there is both a Canadian Income Type code (CRAX) and US Income Type Code for the same securities movement.

* DTC does not provide tax advice. Clients should make their own determination as to the character and tax treatment of a corporate action. Consult your own tax advisors or contact the issuer regarding the tax treatment of a corporate action.

6.184.9 ExemptionType

XML Tag: XmptnTp

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

The **XmptnTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 358](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

Usage Guideline details

- This element(**seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/ExemptionType**) is removed.

6.184.10 EntitledQuantity

XML Tag: EntitldQty

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

The **EntitledQty** block contains the following elements (see datatype "Quantity51Choice__1" on page 465 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		466
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		466

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity) is removed.

6.184.11 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "SafekeepingPlaceFormat41Choice__1" on page 525 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		525
	Country	<Ctry>	Or	[1..1]		526
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		526
	TypeAndIdentification	<TpAndId>	Or	[1..1]		526
	Proprietary	<Prtry>	Or}	[1..1]		526

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SafekeepingPlace) is removed.

6.184.12 CountryOfIncomeSource

XML Tag: CtryOfIncmSrc

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Datatype: "CountryCode" on page 664

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/CountryOfIncomeSource) is removed.

6.184.13 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "FractionDisposition-Type26Choice__1" on page 356 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		356
	Proprietary	<Prtry>	Or}	[1..1]		357

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition
 - **Applicability:**
true
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition

Synonym (DTCC): Fractional Security Rule
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition

Comment:
Indicates how fractional positions will be handled (e.g., cash in lieu, rounded up at x.x).

6.184.14 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Datatype: "ActiveCurrencyCode" on page 572

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/CurrencyOption) is removed.

6.184.15 TradingPeriod

XML Tag: TradgPrd

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

The **TradgPrd** block contains the following elements (see datatype "Period6Choice" on page 433 for details)

❌ Removed - ❌ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		434
	PeriodCode	<PrdCd>	Or}	[1..1]		434

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod
 - **Market Practice Usage Rules:**

Used in rights events on the security option where the rights are the payout disbursed security.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod

Synonym (DTCC): Trading Start Date
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod

Synonym (DTCC): Trading End Date
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod

Comment:
Identifies trading period for interim security (usually Rights).

6.184.16 DateDetails

XML Tag: DtDtIs

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

The **DtDtls** block contains the following elements (see datatype "[SecurityDate20__1](#)" on page 548 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]	⚠	548
	AvailableDate	<AvlblDt>		[0..1]	🚫	549
	DividendRankingDate	<DvddRnkgDt>		[0..1]	🚫	550
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]	⚠	550
	PariPassuDate	<PrpssDt>		[0..1]	🚫	551
	LastTradingDate	<LastTradgDt>		[0..1]	🚫	551

6.184.17 RateDetails

XML Tag: RateDtls

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

The **RateDtls** block contains the following elements (see datatype "[CorporateActionRate128__1](#)" on page 258 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcbrsIntScities>		[0..1]	🚫	259
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScities>		[0..1]	⚠	260
	NewToOld	<NewToOd>		[0..1]	⚠	260
	TransformationRate	<TrfrmatnRate>		[0..1]	🚫	261
	ChargesFees	<ChrgsFees>		[0..1]	⚠	262
	FiscalStamp	<FscIStmp>		[0..1]	🚫	262
	ApplicableRate	<AplblRate>		[0..1]	🚫	263
	TaxCreditRate	<TaxCdtRate>		[0..1]	🚫	263
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]	🚫	264
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	264
	SecondLevelTax	<ScndLvITax>		[0..*]	🚫	265

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails

- Market Practice Implementation Rule:

Additional Quantity for Existing Securities is used when the event is one of the events listed below (DTCC event names) otherwise NewTo Old is used.

Automatic Dividend Reinvestment
 Bonus Issue
 Capital Gains Distribution
 Cash Dividend
 Coupon Distribution
 Distribution
 Dividend with Option
 Interest
 Liquidation
 Pay in Kind
 Principal
 Return of Capital
 Rights Distribution
 Special Dividend
 Spin-Off
 Stock Dividend
 Stock Split (without sub event type)
 Subscription Offer

6.184.18 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

The **PricDtls** block contains the following elements (see datatype "[CorporateActionPrice89__1](#)" on page 227 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]	🚫	227
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	⚠	228
	CashValueForTax	<CshValForTax>		[0..1]	🚫	228
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	⚠	229
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]	⚠	229

6.184.19 AmountDetails

XML Tag: AmtDtls

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

The **AmtDtls** block contains the following elements (see datatype "[CorporateActionAmounts60__1](#)" on [page 102](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]	🚫 ⚠	103
	SecondLevelTaxAmount	<ScndLvITaxAmt>		[0..1]	🚫 ⚠	103

Usage Guideline details

- This element(see [v.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/AmountDetails](#)) is removed.

6.185 SecuritiesOption81__1

Definition: Specifies the security option of a corporate event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantityToInstruct	<MaxQtyToInst>		[0..1]	⚠	543
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]	⚠	544
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]	⚠	545
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]	🚫	545
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]	🚫	546
	FrontEndOddLotQuantity	<FrntEndOddLotQty>		[0..1]	🚫	546
	BackEndOddLotQuantity	<BckEndOddLotQty>		[0..1]	⚠	547

Used in element(s)

"[SecuritiesQuantity](#)" on [page 205](#)

6.185.1 MaximumQuantityToInstruct

XML Tag: MaxQtyToInst

Presence: [0..1]

Definition: Maximum quantity of financial instrument that may be instructed.

The **MaxQtyToInst** block contains the following elements (see datatype "[FinancialInstrumentQuantity34Choice__1](#)" on [page 343](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		344
	FaceAmount	<FaceAmt>	Or	[1..1]		345
	AmortisedValue	<AmtsdVal>	Or	[1..1]		346
	Code	<Cd>	Or	[1..1]		347
	DigitalTokenUnit	<DgtITknUnit>	Or}	[1..1]		347

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct
Synonym (DTCC): Option Maximum Quantity
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct
Comment:
 Option is applicable only for positions below and including this quantity.

6.185.2 MinimumQuantityToInstruct

XML Tag: MinQtyToInst

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

The **MinQtyToInst** block contains the following elements (see datatype "[FinancialInstrumentQuantity34Choice__1](#)" on page 343 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		344
	FaceAmount	<FaceAmt>	Or	[1..1]		345
	AmortisedValue	<AmtsdVal>	Or	[1..1]		346
	Code	<Cd>	Or	[1..1]		347
	DigitalTokenUnit	<DgtITknUnit>	Or}	[1..1]		347

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct

Synonym (DTCC): Option Minimum Quantity

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct

Comment:

Identifies that the option is applicable only for positions above and including this quantity.

6.185.3 MinimumMultipleQuantityToInstruct

XML Tag: MinMltplQtyToInst

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

The **MinMltplQtyToInst** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice__1" on page 347 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		348
	FaceAmount	<FaceAmt>	Or	[1..1]		349
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	350
	Code	<Cd>	Or	[1..1]		352
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		352

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct

Synonym (DTCC): Instruction Integral Multiple

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct

Comment:

Indicates whether the instructions have to be submitted in multiples of a certain quantity.

6.185.4 NewBoardLotQuantity

XML Tag: NewBrdLotQty

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

The **NewBrdLotQty** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice__1" on page 347 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		348
	FaceAmount	<FaceAmt>	Or	[1..1]		349
	AmortisedValue	<AmtsdVal>	Or	[1..1]		350
	Code	<Cd>	Or	[1..1]		352
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		352

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/NewBoard-LotQuantity) is removed.

6.185.5 NewDenominationQuantity

XML Tag: NewDnmtnQty

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

The **NewDnmtnQty** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice__1" on page 347 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		348
	FaceAmount	<FaceAmt>	Or	[1..1]		349
	AmortisedValue	<AmtsdVal>	Or	[1..1]		350
	Code	<Cd>	Or	[1..1]		352
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		352

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/NewDenominationQuantity) is removed.

6.185.6 FrontEndOddLotQuantity

XML Tag: FrntEndOddLotQty

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

The **FrntEndOddLotQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice__1](#)" on page 347 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	🚫 ⚠	348
	FaceAmount	<FaceAmt>	Or	[1..1]	🚫 ⚠	349
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	350
	Code	<Cd>	Or	[1..1]		352
	DigitalTokenUnit	<DgtITknUnit>	Or}	[1..1]		352

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/FrontEndOddLotQuantity) is removed.

6.185.7 BackEndOddLotQuantity

XML Tag: BckEndOddLotQty

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

The **BckEndOddLotQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice__1](#)" on page 347 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	🚫 ⚠	348
	FaceAmount	<FaceAmt>	Or	[1..1]	🚫 ⚠	349
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	350
	Code	<Cd>	Or	[1..1]	⚠	352
	DigitalTokenUnit	<DgtITknUnit>	Or}	[1..1]	🚫	352

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity
– **Market Practice Usage Rule:**
Only code is used.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity
Synonym (DTCC): Back End Odd Lot Flag
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity
Comment:
Determines the disposition of odd lot quantities resulting from proration. Depending on the event, if the remaining quantity (instructed quantity less accepted prorated quantity) is an odd lot, it may be added to the accepted instruction quantity.

6.186 SecurityDate20__1

Definition: Specifies security date details.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]	⚠	548
	AvailableDate	<AvlbdDt>		[0..1]	🚫	549
	DividendRankingDate	<DvddRnkgDt>		[0..1]	🚫	550
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]	⚠	550
	PariPassuDate	<PrpssDt>		[0..1]	🚫	551
	LastTradingDate	<LastTradgDt>		[0..1]	🚫	551

Used in element(s)

"DateDetails" on page 540

6.186.1 PaymentDate

XML Tag: PmtDt

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PaymentDate**
 - **Applicability:**
true
 - **REDEMG Trace:**
Redemption Date; Distribution Date (for Default events)
 - **REDEML Trace:**
Redemption Date
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PaymentDate**
Synonym (DTCC): Declared Payable Date
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PaymentDate**
Comment:
 Payable Date as announced by the issuer/ offeror on the market.

6.186.2 AvailableDate

XML Tag: AvlblDt

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

The **AvlblDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/AvailableDate) is removed.

6.186.3 DividendRankingDate

XML Tag: DvddRnkgDt

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

The **DvddRnkgDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate) is removed.

6.186.4 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

The **EarlstPmtDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate

– **Applicability:**
true

- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate**

Synonym (DTCC): DTC Anticipated Payment Date

- on **seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate**

Comment:

Date on which DTC expects to receive funds for distribution from the issuer / offeror.

6.186.5 PariPassuDate

XML Tag: PrpssDt

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

The **PrpssDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(**seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate**) is removed.

6.186.6 LastTradingDate

XML Tag: LastTradgDt

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

The **LastTradgDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 276 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		277
	DateCode	<DtCd>	Or}	[1..1]		278

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/LastTradingDate) is removed.

6.187 SecurityIdentification19__1

Definition: Identification of a security.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		553
	OtherIdentification	<OthrId>		[0..*]		554
	Description	<Desc>		[0..1]		555

Rules

R47 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R48 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R49 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R50 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R51 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 302, "FinancialInstrumentIdentification" on page 201, "FinancialInstrumentIdentification" on page 313, "SecurityIdentification" on page 297

6.187.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 704

Usage Guideline details

- **on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/ISIN**
 - **Market Practice Implementation Rules:**

Security ID Type will not be mapped in this case because this tag assumes it is ISIN.
- **on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/ISIN**
 - **Market Practice Usage Rule:**

Used when security identifier ISIN is available.
- **on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/ISIN**
 - **Market Practice Implementation Rule:**

Only security identifier is mapped, security type is not mapped tag implies it is ISIN.

6.187.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype "OtherIdentification1__1" on page 417 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		417
	Suffix	<Sfx>		[0..1]		418
	Type	<Tp>		[1..1]		418

Usage Guideline details

- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification**
 - Market Practice Usage Rule:**

Used when other than ISIN security identifiers are available. This component will be repeated for every identifier available.
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification**
 - Market Practice Implementation Rule:**

Used for other than ISIN security identifier types available. This component will be repeated for every identifier available.
- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification**
 - Applicability:**

true
 - REDEML Trace:**

CUSIP
 - REDEMG Trace:**

CUSIP
 - REDEMA Trace:**

CUSIP
 - REDEMX Trace:**

CUSIP

– REDEMC Trace: CUSIP
• on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification
– Applicability: true
– REDEMG Trace: Pre-Ref CUSIP Number; Non Pre-Ref CUSIP Number; Def CUSIP Number; Non Def CUSIP 9 Number; Contra-CUSIP
– Redemption Rule: Appropriate cusip from the DTCC system will be mapped depending on the scenario. For example when even allocates into Contra CUSIP for the purpose of position segregation Contra CUSIP will be mapped here.
– REDEMC Trace: (empty)
– REDEML Trace: Redemption Contra-CUSIP

6.187.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "RestrictedFINXMax140Text" on page 778

Usage Guideline details

• on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description
Type changed to: <u>RestrictedFINXMax140Text on page 778</u>
• on seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification/Description
Type changed to: <u>RestrictedFINXMax140Text on page 778</u>
• on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/Description
Type changed to: <u>RestrictedFINXMax140Text on page 778</u>
• on seev.031.001.15/IntermediateSecurity/SecurityIdentification/Description
Type changed to: <u>RestrictedFINXMax140Text on page 778</u>
• on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description
– Applicability: true

- **REDEML Trace:**
CUSIP
Description
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/Description
 - **Applicability:**
true
 - **REDEMG Trace:**
Pre-Ref CUSIP Description
- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description
Synonym (DTCC): Event Security Description
- on seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification/Description
Synonym (DTCC): Security Description (Contra CUSIP)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/Description
Synonym (DTCC): Security Description (DTC Disbursed Security)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/Description
Synonym (DTCC): Security Description (DTC Fractional Disbursed Security)
- on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/Description
Synonym (DTCC): Security Description (Declared Disbursed Security)
- on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description
Comment:
Description of the security.
- on seev.031.001.15/CorporateActionOptionDetails/FinancialInstrumentIdentification/Description
Comment:
The description associated with the contra CUSIP.

6.188 SignedQuantityFormat10__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		557

Used in element(s)

"EligibleBalance" on page 62, "EligibleBalance" on page 63, "FullPeriodUnits" on page 563, "FullPeriodUnits" on page 64, "NotEligibleBalance" on page 62, "NotEligibleBalance" on page 64, "PartWayPeriodUnits" on page 564, "PartWayPeriodUnits" on page 64, "SignedQuantity" on page 465

6.188.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 784

6.188.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 323 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		324
	FaceAmount	<FaceAmt>	Or	[1..1]		330
	AmortisedValue	<AmtsdVal>	Or	[1..1]		337
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		343

6.189 SignedQuantityFormat11__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		558
	QuantityChoice	<QtyChc>		[1..1]		558

Used in element(s)

["Balance" on page 61](#), ["Balance" on page 63](#)

6.189.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 784](#)

6.189.2 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype ["Quantity48Choice__1" on page 462](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		463
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		463

6.190 SolicitationFeeRateFormat11Choice__1

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		558
	AmountToQuantity	<AmtToQty>	Or	[1..1]		559
	Amount	<Amt>	Or	[1..1]	 	559
	NotSpecifiedRate	<NotSpdfdRate>	Or}	[1..1]		559

Used in element(s)

["EarlySolicitationFeeRate" on page 476](#), ["SolicitationFeeRate" on page 479](#)

6.190.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 749](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/Rate](#)) is removed.

6.190.2 AmountToQuantity

XML Tag: AmtToQty

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype ["AmountAndQuantityRatio4__1" on page 49](#) for details)

❌ Removed -
 ❌ Partial Removed -
 ♦ Ignored -
 ♦ Partial Ignored -
 ⚠ Details below -
 M Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	49
	Quantity	<Qty>		[1..1]	⚠	50

6.190.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#)

Usage Guideline details

- This element([seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/Amount](#)) is removed.
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)
- on [seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 775](#)

6.190.4 NotSpecifiedRate

XML Tag: NotSpecfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 772

6.191 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]	🚫	560
	Envelope	<Envlp>		[1..1]		560

Rules

R119 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 41

6.191.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 726

Usage Guideline details

- This element(seev.031.001.15/SupplementaryData/PlaceAndName) is removed.

6.191.2 Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

6.192 TaxableIncomePerShareCalculatedFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify whether the taxable income per share or per dividend is calculated.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		561
	Proprietary	<Prtry>	Or}	[1..1]		561

Used in element(s)

"TaxableIncomePerShareCalculated" on page 98

6.192.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Datatype: "CorporateActionTaxableIncomePerShareCalculated1Code" on page 663

6.192.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]		360
	SchemeName	<SchmeNm>		[0..1]		371

6.193 TemporaryFinancialInstrumentIndicator3Choice__1

Definition: Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TempInd>	{Or	[1..1]		562
	Proprietary	<Prtry>	Or}	[1..1]	🚫	562

Used in element(s)

"TemporaryFinancialInstrumentIndicator" on page 533

6.193.1 TemporaryIndicator

XML Tag: TempInd

Presence: [1..1]

Definition: Temporary financial instrument identification used for processing reasons.

Datatype: "YesNoIndicator" on page 785

6.193.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary code to specify whether the security is a temporary security.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		359
	Issuer	<Issr>		[1..1]	⚠	360
	SchemeName	<SchmeNm>		[0..1]	⚠	371

Usage Guideline details

- This element(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary) is removed.

6.194 TotalEligibleBalanceFormat10__1

Definition: Total eligible balance for the corporate action and full and part way period units.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		563
	FullPeriodUnits	<FullPrdUnits>		[0..1]		563
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]		564

Used in element(s)

"TotalEligibleBalance" on page 122

6.194.1 Balance

XML Tag: Bal

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[Quantity49Choice__1](#)" on page 463 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		464
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		464

6.194.2 FullPeriodUnits

XML Tag: FullPrdUnits

Presence: [0..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on page 556 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557
	Quantity	<Qty>		[1..1]		557

6.194.3 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [0..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 556 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		557
	Quantity	<Qty>		[1..1]		557

6.195 UpdatedAdditionalInformation19__1

Definition: Additional information with update description and date.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]	⚠	564
	AdditionalInformation	<AddtlInf>		[1..1]	⚠	565

Used in element(s)

"AdditionalText" on page 178, "NarrativeVersion" on page 178

6.195.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 704

Usage Guideline details

- on seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/AdditionalText/Language

Comment:

Will always be en - English

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/NarrativeVersion/Language](#)

Comment:

Will always be en - English

6.195.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: ["RestrictedFINXMax350Text" on page 780](#)**Usage Guideline details**

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/AdditionalText/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 780](#)

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/NarrativeVersion/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 780](#)

6.196 UpdatedAdditionalInformation20__1

Definition: Additional information with update description and date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -

 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		566
	AdditionalInformation	<AddtlInf>		[1..*]		566

Rules**R117 AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

Error handling: Undefined

Used in element(s)

["AdditionalText" on page 182](#),
 ["BasketOrIndexInformation" on page 187](#),
 ["CertificationBreakdown" on page 187](#),
 ["Disclaimer" on page 185](#),
 ["InformationConditions" on page 184](#),
 ["InformationTo-ComplyWith" on page 184](#),
 ["NarrativeVersion" on page 183](#),
 ["PartyContactNarrative" on page 186](#),
 ["ProcessingTextForNextIntermediary" on page 188](#),
 ["RegistrationDetails" on page 186](#),
 ["Taxation-Conditions" on page 185](#)

6.196.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 704](#)

Usage Guideline details

- **on seev.031.001.15/AdditionalInformation/AdditionalText/Language**
Comment:
 Will always be English - en
- **on seev.031.001.15/AdditionalInformation/InformationConditions/Language**
Comment:
 Will always be en - English
- **on seev.031.001.15/AdditionalInformation/InformationToComplyWith/Language**
Comment:
 Will always be en - English
- **on seev.031.001.15/AdditionalInformation/NarrativeVersion/Language**
Comment:
 Will always be en - English

6.196.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: ["RestrictedFINZMax8000Text" on page 781](#)

Usage Guideline details

- **on seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation**
Type changed to: [RestrictedFINZMax8000Text on page 781](#)
- **on seev.031.001.15/AdditionalInformation/BasketOrIndexInformation/AdditionalInformation**
Type changed to: [RestrictedFINZMax8000Text on page 781](#)
- **on seev.031.001.15/AdditionalInformation/CertificationBreakdown/AdditionalInformation**
Type changed to: [RestrictedFINZMax8000Text on page 781](#)
- **on seev.031.001.15/AdditionalInformation/Disclaimer/AdditionalInformation**
Type changed to: [RestrictedFINZMax8000Text on page 781](#)
- **on seev.031.001.15/AdditionalInformation/InformationConditions/AdditionalInformation**

Type changed to: [RestrictedFINZMax8000Text on page 781](#)

- on **seev.031.001.15/AdditionalInformation/InformationToComplyWith/AdditionalInformation**

Type changed to: [RestrictedFINZMax8000Text on page 781](#)

- on **seev.031.001.15/AdditionalInformation/NarrativeVersion/AdditionalInformation**

Type changed to: [RestrictedFINZMax8000Text on page 781](#)

- on **seev.031.001.15/AdditionalInformation/PartyContactNarrative/AdditionalInformation**

Type changed to: [RestrictedFINZMax8000Text on page 781](#)

- on **seev.031.001.15/AdditionalInformation/ProcessingTextForNextIntermediary/AdditionalInformation**

Type changed to: [RestrictedFINZMax8000Text on page 781](#)

- on **seev.031.001.15/AdditionalInformation/RegistrationDetails/AdditionalInformation**

Type changed to: [RestrictedFINZMax8000Text on page 781](#)

- on **seev.031.001.15/AdditionalInformation/TaxationConditions/AdditionalInformation**

Type changed to: [RestrictedFINZMax8000Text on page 781](#)

- on **seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation**

– **Market Practice Usage Rule:**

The following fields in order are mapped to Additional Text:

- 1) Distribution Order Date
- 2) Merger Price
- 3) Escrow Fund
- 4) Basis of Case
- 5) Court
- 6) Docket Description

- on **seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation**

– **Annotation:** (empty)

- on **seev.031.001.15/AdditionalInformation/InformationConditions/AdditionalInformation**

– **Annotation:** (empty)

- on **seev.031.001.15/AdditionalInformation/InformationToComplyWith/AdditionalInformation**

– **Annotation:** (empty)

- on **seev.031.001.15/AdditionalInformation/PartyContactNarrative/AdditionalInformation**

– **Annotation:** (empty)

- on **seev.031.001.15/AdditionalInformation/NarrativeVersion/AdditionalInformation**

– **Annotation:** (empty)

- on **seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation**

Synonym (DTCC): Text

- on **seev.031.001.15/AdditionalInformation/InformationConditions/AdditionalInformation**

Synonym (DTCC): Text

- on seev.031.001.15/AdditionalInformation/InformationToComplyWith/AdditionalInformation
Synonym (DTCC): Text
- on seev.031.001.15/AdditionalInformation/NarrativeVersion/AdditionalInformation
Synonym (DTCC): Text
- on seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation
Synonym (DTCC): Distribution Order Date
- on seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation
Synonym (DTCC): Merger Price
- on seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation
Synonym (DTCC): Escrow Fund
- on seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation
Synonym (DTCC): Basis of Case
- on seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation
Synonym (DTCC): Court
- on seev.031.001.15/AdditionalInformation/AdditionalText/AdditionalInformation
Synonym (DTCC): Docket Description
- on seev.031.001.15/AdditionalInformation/InformationConditions/AdditionalInformation
Synonym (DTCC): Text (Terms)
- on seev.031.001.15/AdditionalInformation/InformationToComplyWith/AdditionalInformation
Synonym (DTCC): Text (Restrictions)
- on seev.031.001.15/AdditionalInformation/PartyContactNarrative/AdditionalInformation
Synonym (DTCC): Text (Comments)
- on seev.031.001.15/AdditionalInformation/NarrativeVersion/AdditionalInformation
Synonym (DTCC): Text (Vendor)

6.197 UpdatedAdditionalInformation21__1

Definition: Additional information with update description and date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		569
	AdditionalInformation	<AddtlInf>		[1..*]		569

Used in element(s)

["CertificationBreakdown" on page 181](#), ["Disclaimer" on page 180](#), ["InformationConditions" on page 179](#), ["InformationToComplyWith" on page 179](#), ["SecurityRestriction" on page 179](#), ["Taxation-Conditions" on page 180](#)

6.197.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 704](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/InformationToComplyWith/Language](#)
Comment:
Will always be en - English
- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/SecurityRestriction/Language](#)
Comment:
Will always be en - English

6.197.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: ["RestrictedFINXMax350Text" on page 780](#)

Usage Guideline details

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/CertificationBreakdown/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 780](#)
- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/Disclaimer/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 780](#)
- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/InformationConditions/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 780](#)
- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/InformationToComplyWith/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 780](#)

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/SecurityRestriction/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 780](#)

- on [seev.031.001.15/CorporateActionOptionDetails/AdditionalInformation/TaxationConditions/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 780](#)

6.198 UpdatedURLInformation6__1

Definition: Additional information with update description and date.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		570
	URLAddress	<URLAdr>		[1..1]	⚠	570

Used in element(s)

["URLAddress" on page 176](#), ["URLAddress" on page 187](#)

6.198.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 704](#)

6.198.2 URLAddress

XML Tag: URLAdr

Presence: [1..1]

Definition: Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.

Datatype: ["RestrictedFINZMax2048Text" on page 781](#)

Usage Guideline details

- on [seev.031.001.15/AdditionalInformation/URLAddress/URLAddress](#)

Type changed to: [RestrictedFINZMax2048Text on page 781](#)

- on [seev.031.001.15/CorporateActionDetails/AdditionalInformation/URLAddress/URLAddress](#)

Type changed to: RestrictedFINZMax2048Text on page 781

- **on seev.031.001.15/AdditionalInformation/URLAddress/URLAddress**
 - **Annotation:** (empty)
- **on seev.031.001.15/AdditionalInformation/URLAddress/URLAddress**

Synonym (DTCC): Vendor Company Website

7 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

7.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 572

Format

fractionDigits	13
minInclusive	0
totalDigits	18

Used in element(s)

"Amount" on page 486

7.2 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern	[A-Z]{3,3}
---------	------------

Rules

R60 ActiveCurrency ✓

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

Error handling:

– Error severity: Fatal

- *Error Code:* D00005
- *Error Text:* Invalid currency code

Used in element(s)

["CurrencyOption" on page 198](#), ["CurrencyOption" on page 539](#), ["QuotedCurrency" on page 354](#), ["QuotedCurrency" on page 353](#), ["UnitCurrency" on page 354](#), ["UnitCurrency" on page 353](#)

Used in attribute(s)

["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 775](#), ["RestrictedFINActiveCurrencyAndAmount" on page 776](#), ["ActiveCurrencyAnd13DecimalAmount" on page 572](#)

Restricts

["ParentCurrencyCode" on page 748](#)

7.3 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Rules
R46 ActiveOrHistoricCurrency ✓

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00006
- *Error Text:* Invalid currency code.

Used in element(s)

["DenominationCurrency" on page 305](#), ["DenominationCurrency" on page 316](#)

Restricts

["ParentCurrencyCode" on page 748](#)

7.4 AdditionalBusinessProcess9Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

Code	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/AutomaticMarketClaim) is removed.
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/SubjectToTransformation) is removed.
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/CancelUnderlyingTrades) is removed.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS). Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/Consent

Code	Name	Definition
		– Market Practice Implementation Rule: Used when DTCC sub event types is Consent or Vote. Appears in the following event types: Exchange Offer (Consent), Plan of Reorganisation (Vote), Tender Offer-Repurchase (Consent) or Dutch Auction (Consent). • on seev.031.001.15/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/Consent Synonym (DTCC): Sub Event Type (Consent) • on seev.031.001.15/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/Consent Synonym (DTCC): Sub Event Type (Vote)
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrefunding – Full Prerefunding Rule: Used when event type is PDEF and DTCC extended event type is Full Prerefunding • on seev.031.001.15/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrefunding Synonym (DTCC): DTCC Event type Full Prerefunding

Code	Name	Definition
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/IncentivePremiumPayment) is removed.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/NoAutomaticMarketClaimsAndTransformations) is removed.
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/NoSplitElection) is removed.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding) is removed.

Code	Name	Definition
		nessProcessIndicator/Code/PartialPreFunding – Partial Prerefunding Rule: Used when Event Type is PDEF and DTC event type is Partial Prerefunding • on seev.031.001.15/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding Synonym (DTCC): DTC Event Type Partial Prerefunding
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/PartialMandatoryPutRedemption – Partial Mandatory Put Rule: Used when event type is BPUT and DTCC event type is Partial Mandatory Put • on seev.031.001.15/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/PartialMandatoryPutRedemption Synonym (DTCC): DTCC Event Type Partial Mandatory Put
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/RequiredAction) is removed.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/SchemeOrPlanOfArrangement – Market Practice Implementation Rule: Used for Tender (Dissent) to identify the announcement is a part of the scheme of events. For example a Merger. • on seev.031.001.15/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/SchemeOrPlanOfArrangement Synonym (DTCC): Event Type (Tender Offer (Dissent))

Used in element(s)

"Code" on page 48

Restricts

"AdditionalBusinessProcessCode" on page 578

7.5 AdditionalBusinessProcessCode

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

Code	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

Code	Name	Definition
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.

Is restricted by

["AdditionalBusinessProcess9Code" on page 573](#)

7.6 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

["AddressType" on page 436](#)

Restricts

["AddressTypeCode" on page 580](#)

7.7 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

["AddressType2Code" on page 580](#)

7.8 AmountDirectionCode

Definition: Specifies if an operation is an increase or a decrease or the result of a reversal operation.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

Is restricted by

["CreditDebitCode" on page 664](#)

7.9 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/Amount-

Code	Name	Definition
		Price/AmountPriceType/ActualAmount – Market Practice Usage Rule: Used as default. • on seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/Amount-Price/AmountPriceType/ActualAmount – Market Practice Usage Rule: Used as default. • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashIn-LieuOfSharePrice/Amount-Price/AmountPriceType/ActualAmount – Market Practice Usage Rule: Used as default value. • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPer-Product/AmountPrice/Amount-PriceType/ActualAmount – Market Practice Usage Rule: Used as default. • on seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/Amount-Price/AmountPriceType/ActualAmount Comment: Will be used for basis points.
DISC	Discount	Price expressed as a discount amount. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/Max-

Code	Name	Definition
		imumPrice/AmountPrice/Amount-PriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/AmountPrice/Amount-PriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/Amount-PriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/Amount-PriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/Amount-PriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/Amount-Price/AmountPriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/Amount-Price/AmountPriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/OverSubscriptionDeposit-Price/AmountPrice/AmountPrice-Type/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashIn-LieuOfSharePrice/Amount-Price/AmountPriceType/Discount) is removed.

Code	Name	Definition
		• This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/Amount-PriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceived-PerProduct/AmountPrice/Amount-PriceType/Discount) is removed.
PLOT	Lot	Price expressed as an amount of money per lot. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/AmountPrice/Amount-PriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/AmountPrice/Amount-PriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/Amount-PriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceived-PerProduct/AmountPrice/Amount-PriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceived-PerProduct/AmountPricePerFinancialInstrumentQuantity/Amount-PriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/Amount-

Code	Name	Definition
		Price/AmountPriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/AmountPrice/AmountPriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/OverSubscriptionDepositPrice/AmountPrice/AmountPriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/AmountPriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Lot) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/AmountPriceType/Lot) is removed.

Code	Name	Definition
PREM	Premium	Price expressed as a premium. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/OverSubscriptionDepositPrice/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Premium) is removed.

Used in element(s)

["AmountPriceType" on page 53](#), ["AmountPriceType" on page 58](#), ["AmountPriceType" on page 57](#)

Restricts

["AmountPriceTypeCode" on page 588](#)

7.10 AmountPriceType2Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax/Amount-Price/AmountPriceType/ActualAmount – Market Practice Implementation Rule: Used as default.

Used in element(s)

["AmountPriceType" on page 52](#)

Restricts

["AmountPriceTypeCode" on page 588](#)

7.11 AmountPriceType3Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

Used in element(s)

["AmountPriceType" on page 56](#)

Restricts

["AmountPriceTypeCode" on page 588](#)

7.12 AmountPriceTypeCode

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

Is restricted by

["AmountPriceType1Code" on page 581](#), ["AmountPriceType2Code" on page 587](#), ["AmountPriceType3Code" on page 587](#)

7.13 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules**R57 AnyBIC ✓**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

["AnyBIC" on page 423](#), ["AnyBIC" on page 424](#), ["AnyBIC" on page 420](#), ["Identification" on page 529](#)

7.14 BaseOne14Rate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

["ExchangeRate" on page 354](#), ["ExchangeRate" on page 353](#), ["Rate" on page 496](#)

7.15 BeneficiaryCertificationType6Code

Definition: Specifies the type of certification required.

Type: CodeSet

Code	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/AccreditedInvestor) is removed.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.
DOMI	DomicileCountry	The holder of the security has to certify his domicile. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/DomicileCountry) is removed.

Code	Name	Definition
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/CertificationBreakdownType/Code/FractionBreakdown – Market Practice Implementation Rule: Used when DTCC's "Fractional Security Rule" values are for Beneficial Owner level (i.e. Beneficial Owner Round Up x and above. • on seev.031.001.15/Corporate-ActionOptionDetails/CertificationBreakdownType/Code/FractionBreakdown Synonym (DTCC): Fractional Security Rule (Beneficial Owner rounding) • on seev.031.001.15/Corporate-ActionOptionDetails/CertificationBreakdownType/Code/FractionBreakdown Comment: Indicates how fractional positions will be handled at beneficial owner level.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/CertificationBreakdownType/Code/FullBeneficialOwnerBreakdown Synonym (DTCC): DTC Beneficial Owner Details Flag (Yes) • on seev.031.001.15/Corporate-ActionOptionDetails/Certifica-

Code	Name	Definition
		tionBreakdownType/Code/Full-BeneficialOwnerBreakdown Comment: Indicates when the beneficial owner level details are required by DTC to submit instructions.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/Non-Company) is removed.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/Non-DomicileCountry) is removed.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/ICSDParticipantBreakdown Synonym (DTCC): Beneficial Owner Details Flag (Yes) • on seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/ICSDParticipantBreakdown Comment: Indicates when beneficial owner level details are required by

Code	Name	Definition
		the issuer to submit instructions.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork. Usage Guideline restrictions for this code - on seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/BeneficialOwnerPaperwork Synonym (DTCC): Documentation Required Flag (Yes) - on seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/BeneficialOwnerPaperwork Comment: Indicates when documentation is required to submit instructions for an option.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/QIBCertification) is removed.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/CertificationBreakdownType/Code/TaxRateBreakdown) is removed.

Used in element(s)

["Code" on page 65](#)

Restricts

["BeneficiaryCertificationTypeCode" on page 593](#)

7.16 BeneficiaryCertificationTypeCode

Definition: The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

Type: CodeSet

Code	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NARR	NarrativeDescription	See narrative field for certification requirements.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.

Is restricted by

["BeneficiaryCertificationType6Code" on page 589](#)

7.17 BidRangeType1Code

Definition: Types of acceptable bid range values.

Type: CodeSet

Code	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

Used in element(s)

["Code" on page 66](#)

Restricts

["BidRangeTypeCode" on page 594](#)

7.18 BidRangeTypeCode

Definition: Types of acceptable bid range values.

Type: CodeSet

Code	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

Is restricted by

["BidRangeType1Code" on page 594](#)

7.19 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Note: this identifier also supports the updated versions of the standard, which were published in 2019 and 2021 (ISO 10962 :2019 and ISO 10962:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{6,6}

Used in element(s)

["ClassificationFinancialInstrument" on page 82](#)

7.20 CertificationFormatType1Code

Definition: Specifies the certification format required, that is, physical or electronic format.

Type: CodeSet

Code	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

Used in element(s)

["Code" on page 82](#)

Restricts

["CertificationFormatTypeCode" on page 595](#)

7.21 CertificationFormatTypeCode

Definition: Specifies the certification format required, that is, physical or electronic format.

Type: CodeSet

Code	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

Is restricted by

["CertificationFormatType1Code" on page 595](#)

7.22 ConsentType1Code

Definition: Specifies the type of consent announced.

Type: CodeSet

Code	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

Used in element(s)

["Code" on page 83](#)

Restricts

["ConsentTypeCode" on page 596](#)

7.23 ConsentTypeCode

Definition: Specifies the type of consent announced.

Type: CodeSet

Code	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

Is restricted by

["ConsentType1Code" on page 596](#)

7.24 CorporateActionCodeType1Code

Definition: Specifies the type of changes.

Type: CodeSet

Code	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionDetails/ChangeType/Code/BearToRegistered) is removed.

Code	Name	Definition
CERT	Certificates	Change of all instruments into certificates. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/ChangeType/Code/Certificates) is removed.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/ChangeType/Code/Decimalisation) is removed.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/ChangeType/Code/DematerialisedToPhysical) is removed.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/ChangeType/Code/GlobalPermanentToPhysical) is removed.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/ChangeType/Code/GlobalTemporaryToGlobalPermanent) is removed.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/ChangeType/Code/GlobalTemporaryToPhysical) is removed.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/ChangeType/Code/Name – Market Practice Implementation Rules: Populated when the event type is Name Change. • on seev.031.001.15/CorporateActionDetails/ChangeType/Code/Name Synonym (DTCC): Event Type (Name Change) • on seev.031.001.15/CorporateActionDetails/ChangeType/Code/Name Comment: Identifies Name Change event. The issuer changes its name or the name of its security/securities. This may involve surrendering physical securities. A new security identifier may

Code	Name	Definition
		be assigned to the new name, especially if new securities are issued.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/ChangeType/Code/PhysicalToDematerialised) is removed.
REBE	RegisteredToBearer	Change from registered into bearer form. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/ChangeType/Code/RegisteredToBearer) is removed.

Code	Name	Definition
TERM	Terms	Change in the terms of the security. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/ChangeType/Code/Terms – Market Practice Implementation Rules: Populated when the event type is Change and sub event type is Security. • on seev.031.001.15/Corporate-ActionDetails/ChangeType/Code/Terms Synonym (DTCC): Event Type (Change (Security)) • on seev.031.001.15/Corporate-ActionDetails/ChangeType/Code/Terms Comment: Identifies an event where there is a change in one or more attributes of the security.

Used in element(s)

"Code" on page 129

Restricts

"CorporateActionChangeTypeCode" on page 600

7.25 CorporateActionChangeTypeCode

Definition: Specifies the type of changes.

Type: CodeSet

Code	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

Code	Name	Definition
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

Is restricted by

["CorporateActionChangeType1Code" on page 596](#)

7.26 CorporateActionEventProcessingType1Code

Definition: Specifies the type of event processing.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
GENL	General	No debit or credit of resources.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

Used in element(s)

["Code" on page 163](#)

Restricts

["CorporateActionEventProcessingTypeCode" on page 601](#)

7.27 CorporateActionEventProcessingTypeCode

Definition: Specifies the type of event processing.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
GENL	General	No debit or credit of resources.
REDM	Redemption	Indicates that the announcement is for a redemption of securities for events including full and partial calls, maturities partial prerefunding and partial defeasance events.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

Is restricted by

"CorporateActionEventProcessingType1Code" on page 601

7.28 CorporateActionEventStage3Code

Definition: Specifies the stage of the CA event.

Type: CodeSet

Code	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/EventStage/Code/Approved) is removed.
CLDE	Deactivated	Offer is closed for acceptance. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/EventStage/Code/Deactivated) is removed.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/EventStage/Code/Lapsed) is removed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/EventStage/Code/ActionPeriod) is removed.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/EventStage/Code/SubjectToApproval) is removed.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/EventStage/Code/UnconditionalAsToAcceptance Synonym (DTCC): Conditional Flag

Code	Name	Definition
		on seev.031.001.15/Corporate-ActionDetails/EventStage/Code/UnconditionalAsToAcceptance Comment: Indicates conditions of the offer that may result in subsequent cancellation of the event.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionDetails/EventStage/Code/WhollyUnconditional) is removed.

Used in element(s)

"Code" on page 166

Restricts

"CorporateActionEventStageV2Code" on page 604

7.29 CorporateActionEventStageV2Code

Definition: Specifies the stage of the corporate action event.

Type: CodeSet

Code	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.

Code	Name	Definition
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

Is restricted by

"CorporateActionEventStage3Code" on page 602

7.30 CorporateActionEventType35Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Accumulation) is removed.
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/ActiveTradingStatus) is removed.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Attachment) is removed.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer Synonym (DTCC): Tender Offer • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer Comment: Offer made to security holders, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices).
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/BonusIssue) is removed.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Put • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Partial Mandatory Put • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Mandatory Put • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PutRedemption Comment: Mandatory Put: mandatory exchange of all outstanding bonds (with a Put feature) for cash. The issuer may offer holders the right to retain their securities instead of exchanging them. Partial Mandatory Put: mandatory exchange of a portion of bonds, where the exchanged securities maybe remarketed. The issuer may offer holders the right to retain instead of exchanging their securities.

Code	Name	Definition
		Put: feature of a bond that entitles the holder to elect to surrender the bond for cash during a predetermined time period, with a predetermined payable date.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Bankruptcy Synonym (DTCC): Plan of Reorganisation (Vote) • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Bankruptcy Comment: Plan of Reorganisation (Vote): reorganisation plan devised by the company or trustees that is usually associated with a bankruptcy filing. Identifies an event where a vote/consent is sought for the plan of reorganisation.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC Distributions): Return of Capital (DRIP DTC Only) • on seev.031.001.15/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/CapitalDistribution Synonym (DTCC Distributions): Return of Capital • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC Distributions): Return of Capital (Opt Out) • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Comment: Distribution of cash resulting from the sale of a capital asset or securities, or any other transaction unrelated to retained earnings. Security holders may have to adjust the 'cost basis' of their holding to account for the payment.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution Synonym (DTCC): Capital Gains Distribution • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution Comment: Distribution of cash that the issuer has determined will be declared as income financed from capital gains and not ordinary income.

Code	Name	Definition
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Capitalisation Synonym (DTCC): Principal
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/NonUSTEFRADCertification) is removed.
CHAN	Change	Information regarding a change further described in the corporate action details. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Change – Market Practice Implementation Rule: Used when the event type is Name Change (no sub event type) or Change (Reclassified) When event type is Name Change, ChangeType:NAME code must be used also in the core schema. • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Change

Code	Name	Definition
		Synonym (DTCC): Change • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Change Synonym (DTCC): Name Change • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Change Comment: Change: issuer is announcing a change in company or security details. Name Change: issuer changes its name or the name of its security(ies). This may involve surrendering physical securities. A new security identifier may be assigned to the new name, especially when new securities are issued.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/ClassActionProposedSettlement Synonym (DTCC): Class Action
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Consent Synonym (DTCC): Consent

Code	Name	Definition
		• on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Consent Comment: Solicitation to security holders for their agreement (consent) to proposed changes in the terms of the security, usually without a formal general meeting. A fee may be paid to the security holder.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Conversion Synonym (DTCC): Conversion • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Conversion Comment: Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price or rate.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CompanyOption) is removed.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CreditEvent) is removed.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/DecreaseInValue) is removed.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Detachment Synonym (DTCC): Security Separation

Code	Name	Definition
		on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Detachment Comment: Separation of a "unit" security (which consists of 2 or more securities that were originally issued) back into individual components.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied. Usage Guideline restrictions for this code - on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/BondDefault Synonym (DTCC): Default - on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/BondDefault Comment: Notice of failure by the issuer to honor commitments made within the terms of the issued security. It usually relates to making timely payments of interest and principal as they come due. A payment may be made in lieu of reinstituting the original payments.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/TradingStatusDelisted) is removed.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction.

Code	Name	Definition
		The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Drawing Synonym (DTCC): Partial Call • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Drawing Comment: Securities are redeemed by the issuer for cash, in part, before their scheduled maturity date. The outstanding amount of securities will be proportionally reduced based on a specific percentage of holding. A lottery may be run where pooled securities are held.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales Synonym (DTCC Distribution): Return of Capital (Sale of Assets) • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales Synonym (DTCC Distribution): Sale of Rights (ADR) • on seev.031.001.15/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales Comment: This event will be used for the scenarios where securities are subject to redemptions other than full and partial calls. Specifically, when there is a feature of the security that allows the issuer to make a payment to the security holder. Sale of Rights (ADR) is defined as a corporate action event where the rights are ineligible and must be sold, thus the holder can only receive cash for them. Return of Capital (ADR) is defined as corporate action event where the securities other than rights are ineligible and must be sold, thus the holder can only receive cash.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Automatic Dividend Reinvestment • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Comment: Automatic Dividend Reinvestment: mandatory event for which the dividend payment is paid only in additional shares of the same security. This event type will predominantly be used for US securities and differs from the Dividend Reinvestment option (a voluntary portion of the event that applies to non-US securities).

Code	Name	Definition
		"DRIP" also appears as an option under relevant events.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Disclosure) is removed.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/DutchAuction Synonym (DTCC): Dutch Auction • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/DutchAuction Comment: Identifies a tender event in which the corporation offers to purchase up to a certain amount of securities within a price range. Holders must submit a specific price within that range that they would be willing to accept. The actual tender price is not determined until the end of the offer.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CashDividend – Market Practice Implementation Rule: Used when the event type is Cash Dividend with no sub event type, or sub event types DTC DRIP (DTC announcement dividend reinvestment and opt out, or special dividend event type.) When event type is special dividend, DividendType:SPEC code must be used in core schema. • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CashDividend Synonym (DTCC): Cash Dividend • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CashDividend Synonym (DTCC): Special Dividend • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CashDividend Comment: Cash Dividend: distribution of cash to shareholders, paid by the issuer, usually based upon current earnings and/or accumulated profits as declared by the board of directors. Special Dividend: cash payment to shareholders that represents an extra or non-regular payment.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/DividendOption Synonym (DTCC): Dividend With Option • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/DividendOption Comment: Distribution of a dividend to shareholders with the choice of payment method. The shareholder has the option to choose the form of payment (e.g. securities, cash, or both).
DVSC	ScripDividend	Dividend or interest paid in the form of scrip. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/ScripDividend) is removed.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/StockDividend Synonym (DTCC): Stock Dividend • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/StockDividend Comment:

Code	Name	Definition
		Dividend paid to shareholders in the form of shares of stock of the same security.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation". Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Termination (ADR) • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Exchange Offer • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Mandatory Exchange • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Termination (GDR) • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Exchange Comment: Exchange Offer: offer to surrender securities in exchange for other securities or a combination of securities and cash. The exchange may also include a consent solicitation. Mandatory Exchange: required surrender of certificates to be exchanged for a new security or cash. Termination: security, usually a form of a derivative for

Code	Name	Definition
		which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities Synonym (DTCC): Sale of Rights (Poison Pill) • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities Synonym (DTCC): Rights Subscription • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities Comment: Rights Subscription is defined as privilege granted to holders of rights to purchase new or additional securities. Rights are often tradable in a secondary market. Sale of Rights (Poison Pill) is defined as an event where the issuer redeems poison pill rights for cash.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/MaturityExtension) is removed.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue). Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/WarrantExercise Synonym (DTCC): Warrants Exercise • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/WarrantExercise Comment: Feature of a security that permits the holder to exercise an option to exchange the security into another form (usually, warrants into shares). The exercise will commonly require a payment based upon a predetermined value and time.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/IncreaseInValue) is removed.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Information Synonym (General Info): DTCC • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Information Comment: Used for General Information events only
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Interest-Payment Synonym (DTCC): Interest • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Interest-Payment Comment: Payment of an obligation that the issuer agrees to make to holders of an interest-bearing security. Usually, the payment is made in cash and on a scheduled basis.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend

Code	Name	Definition
		Synonym (DTCC): Liquidation • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend Comment: Company reports its intentions to dismantle its business, paying off debts in order of priority and distributing the remaining assets in cash and/or securities to the owners of the securities. The payment of proceeds may require the presentation of securities.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/FullCall Synonym (DTCC): Final Paydown • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/FullCall Synonym (DTCC): Full Call • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/FullCall Comment: Final Paydown: final distribution of principal due on a security, typically CMOs. Full Call: security is redeemed for cash in its entirety on a date that is prior to the maturity date, and for which the holders receive the principal amount of the security.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an ex-

Code	Name	Definition
		ternal, third party company. Cash payments may accompany share exchange. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Merger Synonym (DTCC): Merger • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Merger Comment: Exchange of one company's security for another company's security, cash, or a combination of cash and securities.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer Synonym (DTCC): Tender Offer (Mini) • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer Comment: Identifies a tender offer event presented by a third party to shareholders of the target company, in which the conditions of the offer (e.g., quantity sought) are less than the requirements established by market regulators. As such, regulatory approval is not required.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the

Code	Name	Definition
		holder of the security or through an offer made by the issuer. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase Synonym (DTCC): Odd Lot Offer • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase Comment: Identifies a tender offer event made by the corporation or its agent to purchase/sell shares from/to odd-lot shareholders. An odd-lot shareholder typically refers to a holder of less than 100 shares of stock.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/OtherEvent – Market Practice Implementation Rule: Identifies DTCC events that do not have ISO equivalent definition. Additional identifiers in the message will provide a one to one mapping for the DTCC event. Complete mapping matrix is available in DTCC Events Dictionary. • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Distribution • on seev.031.001.15/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/OtherEvent Synonym (DTCC): General Information • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Reorganisation • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/OtherEvent Comment: Distribution: General distribution by the issuer that cannot be classified as a specific event. A distribution can be eligible for dividend reinvestment, also there is a sub category of such events as tax credit distribution. Reorganization: A reorganization event announced by the issuer that cannot be classified as another event. General Information: General information provided by the issuer that should not result in material changes to the security.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PariPassu) is removed.

Code	Name	Definition
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithoutPoolFactorReduction) is removed.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Prefunding Synonym (DTCC): Full Prerefunding • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Prefunding Synonym (DTCC): Partial Prerefunding • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Prefunding Synonym (DTCC): Partial Defeasance • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Prefunding Comment: Full Prerefunding: exercise of a privilege by the issuer to repay, in full, any debt security prior to maturity when the issuer deposits assets in

Code	Name	Definition
		trust. This irrevocably restricts their use to satisfaction of the debt. Partial Prerefunding: similar to a full prerefunding, a partial prerefunding is the exercise of a privilege by the issuer to repay, in part, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. New securities are issued for the portion prerefunded. Partial Defeasance: issuer sets aside cash in escrow to pay off a portion of the issue on the maturity date. New securities are issued for the portion defeased.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PayInKind Synonym (DTCC): Pay In Kind • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PayInKind Comment: Income on interest-bearing securities where the payment is made in additional securities rather than cash.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation

Code	Name	Definition
		Synonym (DTCC): Change (Domicile) on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation Comment: Identifies a change event where the issuer has changed the domicile of the corporation.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/InstalmentCall) is removed.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs. Usage Guideline restrictions for this code on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction Synonym (DTCC): Principal
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/Priority/Issue) is removed.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Reclassification Synonym (DTCC): Tax Event • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Reclassification Comment: Used for US Tax Event 1042-S
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/FinalMaturity Synonym (DTCC): Redemption of Warrants • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/FinalMaturity Synonym (DTCC): Maturity • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/FinalMaturity Comment: Maturity: repayment, usually in cash, by an issuer for the entire issue, or remaining out-

Code	Name	Definition
		standing securities of a specific security on a specified date. Redemption of Warrants: issuer pays proceeds to holders on or after the expiration date of the warrant rather than expire the warrant for no cash (worthless).
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Redenomination) is removed.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/RemarketingAgreement) is removed.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution Synonym (DTCC): Rights Distribution • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution

Code	Name	Definition
		Comment: Securities distributed to common stock holders of a company that grant the option to purchase new or additional securities of the same company during a predetermined time period at a predetermined price. A Rights Distribution is accompanied by a corresponding Rights Subscription, which provides the details related to exercising the rights. In some cases, DTC will allocate rights that are not issued by the company to process a subscription offer.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/RightsIssue) is removed.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/SharesPremiumDividend) is removed.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/SmallestNegotiableUnit) is removed.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/SpinOff Synonym (DTCC): Spin-Off • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/SpinOff Comment: Distribution of subsidiary securities to the shareholders of the parent company without a surrender of securities or payment. A spin-off represents a form of divestiture resulting in an independent company.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/StockSplit Synonym (DTCC): Stock Split • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/StockSplit Comment: Increase in a company's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. The share price is normally re-

Code	Name	Definition
		duced. Forward split events are included here.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit Synonym (DTCC): Reverse Stock Split • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit Comment: Exchange of a company's security for the same company's new security at a preset rate. This corporate action reduces the number of shares outstanding.
SUSP	TradingStatusSuspended	Trading in the security has been suspended. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/TradingStatusSuspended) is removed.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Tender Synonym (DTCC): Tender Offer

Code	Name	Definition
		• on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Tender Comment: Offer made to security holders, normally by a third party, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices). Generally, the objective of a tender offer is to take control of the target company.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/TaxOnNonDistributedProceeds Synonym (DTCC): Tax Event • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/TaxOnNonDistributedProceeds Comment: Used for US Tax events 305C and 871M
TREC	TaxReclaim	Event related to tax reclaim activities. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/TaxReclaim Synonym (DTCC): Tax Refund • on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/TaxReclaim Comment:

Code	Name	Definition
		Event that enables DTC to make a withholding tax refund, usually non U.S. dividends.
WRTH	Worthless	Booking out of valueless securities. Usage Guideline restrictions for this code - on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Worthless Synonym (DTCC): Worthless - on seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/Worthless Comment: DTCC advising its participants that their positions in that security will be taken down. The announcement is created when DTC receives a formal letter advising that securities held are worthless.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionGeneralInformation/EventType/Code/WithholdingTaxReliefCertification) is removed.

Used in element(s)

"Code" on page 168

Restricts

"CorporateActionEventTypeV7Code" on page 637

7.31 CorporateActionEventTypeV7Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.

Code	Name	Definition
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CMET	CourtMeeting	Announcement of a meeting at a Court.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CPST	CouponStripping	Coupon stripping is the process whereby interest coupons for future payment dates are separated from the security corpus that entitles the holder to the principal repayment.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken

Code	Name	Definition
		up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.

Code	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
ERED	EarlyRedemption	This includes drawing, partial and full call, put. Redemption in part or full before the scheduled final maturity date of a security, subject to the terms and conditions of the issue. Drawing - Securities are redeemed in part by lottery. Partial Call - Securities are redeemed in part by reducing proportionally the outstanding amount of securities. Put - Early redemption of a bond at the election of the bondholder. Full Call - The entire outstanding of a security is redeemed by the issuer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).

Code	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
MEET	AnnualGeneralMeeting	Meeting Annual general meeting.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OMET	OrdinaryGeneralMeeting	Ordinary general meeting.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible af-

Code	Name	Definition
		ter a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PRII	InterestPaymentWithPrincipal	Event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negoti-

Code	Name	Definition
		ation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
RMRK	Remarketing	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
SUBS	Subscription	Ability for security holders to purchase (additional or new) securities at a certain price, in proportion to their holding.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.

Code	Name	Definition
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
XMET	ExtraordinaryGeneralMeeting	Extraordinary or Special General Meeting. Extraordinary or special general meeting.

Is restricted by

"CorporateActionEventType35Code" on page 605

7.32 CorporateActionFrequencyType5Code

Definition: Automatic Reinvestment of Cash distributed by accumulating funds.

Type: CodeSet

Code	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/Dividend-Type/Code/Final) is removed.
INTE	Interim	Dividend declared and paid before annual earnings have been determined. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/Dividend-Type/Code/Interim) is removed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/Dividend-Type/Code/Regular) is removed.
REIN	Reinvestment	Reinvestment of cash distributed.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/Dividend-Type/Code/Reinvestment – DTCC Reinvestment Rule: This indicator will be used when sub event type for the event type is Reinvestment. • on seev.031.001.15/CorporateActionDetails/Dividend-Type/Code/Reinvestment Synonym (DTCC): Sub Event Type (DRIP)
SPEC	Special	Dividend in addition to the regular dividend being paid by the company. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/Dividend-Type/Code/Special – Market Practice Usage Rule: Used to identify DTCC event type Special Dividend. • on seev.031.001.15/CorporateActionDetails/Dividend-Type/Code/Special Synonym (DTCC): Event Type (Special Dividend)

Code	Name	Definition
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/Dividend-Type/Code/SpecialDividend-WithReinvestment – Market Practice Rule: Used only when Special Dividend Event has a reinvestment option • on seev.031.001.15/CorporateActionDetails/Dividend-Type/Code/SpecialDividend-WithReinvestment Synonym (DTCC): Event Type Special Dividend

Used in element(s)

"Code" on page 288

Restricts

"CorporateActionFrequencyTypeV2Code" on page 647

7.33 CorporateActionFrequencyTypeV2Code

Definition: Frequency of the cash distribution.

Type: CodeSet

Code	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
REIN	Reinvestment	Reinvestment of cash distributed.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

Is restricted by

["CorporateActionFrequencyType5Code" on page 645](#)

7.34 CorporateActionInformationType1Code

Definition: Specifies the type of information event.

Type: CodeSet

Code	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

Used in element(s)

["Code" on page 397](#)

Restricts

["CorporateActionInformationTypeCode" on page 648](#)

7.35 CorporateActionInformationTypeCode

Definition: Specifies the type of information event.

Type: CodeSet

Code	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

Is restricted by

["CorporateActionInformationType1Code" on page 648](#)

7.36 CorporateActionMandatoryVoluntary1Code

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

Code	Name	Definition
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.

Code	Name	Definition
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

Used in element(s)

"Code" on page 174

Restricts

"CorporateActionMandatoryVoluntaryCode" on page 649

7.37 CorporateActionMandatoryVoluntaryCode

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

Code	Name	Definition
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

Is restricted by

"CorporateActionMandatoryVoluntary1Code" on page 648

7.38 CorporateActionNotificationType1Code

Definition: Type of notification advice.

Type: CodeSet

Code	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

Code	Name	Definition
RMDR	Reminder	Notification sent as a reminder of an event taking place. Usage Guideline restrictions for this code • This code(seev.031.001.15/NotificationGeneralInformation/NotificationType/Reminder) is removed.

Used in element(s)

["NotificationType" on page 189](#)

Restricts

["CorporateActionNotificationTypeCode" on page 650](#)

7.39 CorporateActionNotificationTypeCode

Definition: Type of notification.

Type: CodeSet

Code	Name	Definition
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.
NEWM	New	New notification.
PROC	Processing	Cancellation due to a processing error.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.

Is restricted by

["CorporateActionNotificationType1Code" on page 649](#)

7.40 CorporateActionOption15Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Abstain Synonym (DTCC): Abstain • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Abstain Comment: Holder to abstain from voting on the proposal.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/BeneficialOwnerBreakdownRequest) is removed.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/BonusSharePlan) is removed.
BUYA	BuyUp	Buy additional securities to round up position.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/BuyUp) is removed.
CASE	CashAndSecurity	Option to choose between different security and cash options. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/CashAndSecurity Synonym (DTCC): Cash and Securities • on seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/CashAndSecurity Comment: Holder to receive a combination of cash and securities.
CASH	Cash	Option to choose cash. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/Cash Synonym (DTCC): Cash • on seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment Unfavourable • on seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment • on seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/Cash

Code	Name	Definition
		Synonym (DTCC): Foreign Tax Exempt • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Tax Unfavourable • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment Exempt • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment Favourable • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Tax Favourable • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Cash Comment: Cash: holder to receive cash. Foreign Tax Unfavourable/Favourable/Exempt: DTC only option. The event and security are eligible for Foreign Tax Relief service at DTC. Option to select Unfavourable/Favourable/Exempt respectively for this service. Foreign Currency Payment: DTC only option. The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency instructed. Foreign Currency Payment Unfavourable/Favourable/Exempt: The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency and the tax with-

Code	Name	Definition
		holding on that distribution will be subject to Unfavourable/Favourable/Exempt tax withholding respectively.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/ConsentAndExchange Synonym (DTCC): Consent and Exchange • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/ConsentAndExchange Comment: Holder to submit securities for exchange in addition to voting to approve the proposal.
CONN	ConsentDenied	Vote not to approve the event or proposal. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/ConsentDenied Synonym (DTCC): Consent Denied • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/ConsentDenied Comment: Holder does not approve the proposal
CONY	ConsentGranted	Vote to approve the event or proposal.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/ConsentGranted Synonym (DTCC): Consent Granted • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/ConsentGranted Comment: Holder to approve the proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/ConsentAndTender Synonym (DTCC): Consent and Tender • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/ConsentAndTender Comment: Holder to submit securities for tender in addition to voting to approve the proposal.
EXER	Exercise	Exercise intermediate securities or warrants. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Exercise – Market Practice Implementation Rules: Used for Subscribe (Rights) or Exercise (Warrants, etc) option types.

Code	Name	Definition
		• on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Exercise – Market Practice Usage Rule: Identifies Subscribe (Rights) or Exercise (Warrants, etc) option types. • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Exercise Synonym (DTCC): Subscribe • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Exercise Synonym (DTCC): Exercise • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Exercise Comment: Exercise: holder to exercise the intermediate security or warrant. Subscribe: holder to exercise their right to subscribe to additional securities based upon the existing position.
LAPS	Lapse	Allow event or entitled security to expire. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/Lapse) is removed.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Retain Synonym (DTCC): Retain

Code	Name	Definition
		• on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Retain Comment: Holder to keep/retain their existing security rather than receiving cash or a new security.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/NoAction Synonym (DTCC): No Action • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/NoAction Comment: Holder to not to take part in the event.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/NonQualifiedInvestor) is removed.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/ProposedRate) is removed.

Code	Name	Definition
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Other – Market Practice Usage Rule: Identifies option type that does not have ISO equivalent. • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Other Synonym (DTCC): Other • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Other Comment: Applies when a specific ISO option code cannot be used.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Oversubscribe Synonym (DTCC): Subscribe and Oversubscribe • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Oversubscribe Comment: Holder to subscribe to more securities than underlying securities position allows.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/UnknownProceeds – Market Practice Usage Rule: Used only when the proceeds are not known. • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/UnknownProceeds Synonym (DTCC): Convert • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/UnknownProceeds Comment: Holder elects to convert security into a new security, cash or combination of cash and securities, without knowledge of the actual outcome.
QINV	QualifiedInvestor	Account owner is a qualified investor. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Option-Type/Code/QualifiedInvestor) is removed.
SECU	Security	Distribution of securities to holders. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Security Synonym (DTCC): DRIP Unfavorable • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Security Synonym (DTCC): Securities

Code	Name	Definition
		• on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Security Synonym (DTCC): DRIP • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Security Synonym (DTCC): DRIP Exempt • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Security Synonym (DTCC): DRIP Favorable • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/Security Comment: Securities: holder to receive securities. DRIP: holder to receive additional securities instead of the cash distribution. Option may be declared by the issuer or a service offered by DTC. DRIP Unfavourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at unfavourable rate. DRIP Favourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at Favourable rate. DRIP Exempt: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at exempt rate.

Code	Name	Definition
SLLE	SellEntitlement	Sell the intermediate securities. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/SellEntitlement Synonym (DTCC): Sell • on seev.031.001.15/Corporate-ActionOptionDetails/Option-Type/Code/SellEntitlement Comment: Holder to sell their security.

Used in element(s)

"Code" on page 208

Restricts

"CorporateActionOptionCode" on page 661

7.41 CorporateActionOptionCode

Definition: Specifies the type of corporate action options offered to the account holder for a corporate action.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
AMGT	VoteAgainstManagement	Vote against management.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.

Code	Name	Definition
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MNGT	VoteWithManagement	Vote with management.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PROX	ProxyCard	Issue a proxy card in case of voting.

Code	Name	Definition
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, for example, a split voting instruction on a meeting.
TAXI	TaxInstruction	Tax instruction.

Is restricted by

"CorporateActionOption15Code" on page 650

7.42 CorporateActionTaxableIncomePerShare-Calculated1Code

Definition: Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Type: CodeSet

Code	Name	Definition
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

Used in element(s)

"Code" on page 561

Restricts

"CorporateActionTaxableIncomePerShareCalculatedCode" on page 663

7.43 CorporateActionTaxableIncomePerShare-CalculatedCode

Definition: Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Type: CodeSet

Code	Name	Definition
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

Is restricted by

["CorporateActionTaxableIncomePerShareCalculated1Code" on page 663](#)

7.44 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R58 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

["Country" on page 527](#), ["Country" on page 526](#), ["Country" on page 437](#), ["CountryOfIncomeSource" on page 538](#), ["CountryOfIncomeSource" on page 76](#), ["NonDomicileCountry" on page 198](#), ["ValidDomicile-Country" on page 198](#)

7.45 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/CreditDebitIndicator/Credit – Applicability: true
DBIT	Debit	Operation is a decrease. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/CreditDebitIndicator/Debit – Applicability: true – Redemption Rule: Debit indicator applicable only to Redemption events. • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/CreditDebitIndicator/Debit Comment: Will be expressed as a debit for Tax events 871m or 305c

Used in element(s)

"CreditDebitIndicator" on page 533, "CreditDebitIndicator" on page 72

Restricts

"AmountDirectionCode" on page 581

7.46 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

Used in element(s)

["DigitalLedgerIdentification" on page 527](#), ["DigitalLedgerIdentification" on page 526](#)

7.47 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

["Code" on page 273](#)

Restricts

["DateTypeCode" on page 675](#)

7.48 DateType7Code

Definition: Type of date.

Type: CodeSet

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Used in element(s)

["Code" on page 274](#)

Restricts

["DateTypeCode" on page 675](#)

7.49 DateType8Code

Definition: Specifies the type of dates.

Type: CodeSet

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/DateDetails/EffectiveDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/DateDetails/FilingDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/DateDetails/HearingDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/DateDetails/LotteryDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/DateDetails/MarketClaimTrackingEndDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/DateDetails/MeetingDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/DateDetails/RecordDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/DateDetails/ResultsPublicationDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/DateDetails/UnconditionalDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/End-

Code	Name	Definition
		Date/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/ClaimPeriod/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/CoDepositoriesSuspensionPeriod/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForBookEntryTransfer/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForBookEntryTransfer/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDeposit/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDeposit/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForDepositAtAgent/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDe-

Code	Name	Definition
		tails/DepositorySuspensionPeriod-ForDepositAtAgent/Period/Start-Date/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodFor-Pledge/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodFor-Pledge/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForSegregation/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForSegregation/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalAtAgent/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalAtAgent/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInNomineeName/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInNomineeName/Peri-

Code	Name	Definition
		od/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInStreetName/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawalInStreetName/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionDetails/PeriodDetails/InterestPeriod/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/DateDetails/CoverExpirationDeadline/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpira-

Code	Name	Definition
		tionDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/DateDetails/ExpiryDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/DateDetails/MarketDeadline/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/DateDetails/ProtectDeadline/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/AccountServicerRevocabilityPeriod/PeriodCode/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/ActionPeriod/PeriodCode/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/DepositorySuspensionPeriodForWithdrawal/Period/End-

Code	Name	Definition
		Date/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Period-Details/DepositorySuspensionPeriodForWithdrawal/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Period-Details/RevocabilityPeriod/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Period-Details/RevocabilityPeriod/Period-Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod/Period/EndDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod/Period/StartDate/NotSpecifiedDate/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod/PeriodCode/Ongoing) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Period-Details/RevocabilityPeriod/Period/EndDate/NotSpecifiedDate/Ongoing) is removed.

Code	Name	Definition
		• This code(seev.031.001.15/CorporateActionOptionDetails/Period-Details/ActionPeriod/Period/Start-Date/NotSpecifiedDate/Ongoing) is removed. • on seev.031.001.15/Corporate-ActionOptionDetails/DateDe-tails/SubscriptionCostDebit-Date/DateCode/Code/Ongoing – Market Practice Usage Rule: Mapped when charges are im-mediate, else it is implied that it is accumulated.

Code	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebitDate/DateCode/Code/Unknown) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PeriodDetails/PrivilegeSuspensionPeriod/PeriodCode/Unknown) is removed. • on seev.031.001.15/CorporateActionDetails/DateDetails/EffectiveDate/DateCode/Code/Unknown – Market Practice Usage Rule: Used when this date is required for the event but the value is unknown. • on seev.031.001.15/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Code/Unknown – Market Practice Usage Rule: Used when this date is required for the event but the value is unknown. • on seev.031.001.15/CorporateActionDetails/DateDetails/FilingDate/DateCode/Code/Unknown – Market Practice Usage Rule: Used when this date is required for the event but the value is unknown. • on seev.031.001.15/CorporateActionDetails/DateDetails/HearingDate/DateCode/Code/Unknown – Market Practice Usage Rule: Used when this date is required for the event but the value is unknown.

Used in element(s)

["Code" on page 271](#), ["NotSpecifiedDate" on page 282](#), ["PeriodCode" on page 434](#)

Restricts

["DateTypeCode" on page 675](#)

7.50 DateType9Code

Definition: Date is specified as a fixed code value.

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

["Code" on page 275](#)

Restricts

["DateTypeCode" on page 675](#)

7.51 DateTypeCode

Definition: Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.

Code	Name	Definition
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

Is restricted by

"DateType1Code" on page 666, "DateType7Code" on page 666, "DateType8Code" on page 666, "DateType9Code" on page 675

7.52 DeemedRateType1Code

Definition: Specifies the type of a deemed rate.

Type: CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmount-Details/DeemedRate/RateType-AndAmountAndRateStatus/Rate-

Code	Name	Definition
		Type/Code/DeemedInterestProceeds) is removed.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

Used in element(s)

"Code" on page 284

Restricts

"DeemedRateTypeCode" on page 677

7.53 DeemedRateTypeCode

Definition: Specifies the type of a deemed rate.

Type: CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

Is restricted by

"DeemedRateType1Code" on page 676

7.54 DistributionType3Code

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Type: CodeSet

Code	Name	Definition
FINL	Final	Final payment.
		Usage Guideline restrictions for this code on seev.031.001.15/CorporateActionDetails/Occurrence-Type/Code/Final

Code	Name	Definition
		Synonym (DTCC): Sub Event Type (Final Payment)
INTE	Interim	Interim payment. Usage Guideline restrictions for this code on seev.031.001.15/CorporateActionDetails/Occurrence-Type/Code/Interim Synonym (DTCC): Sub Event Type (Interim Payment)
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionDetails/Occurrence-Type/Code/Ongoing) is removed.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent. Usage Guideline restrictions for this code on seev.031.001.15/CorporateActionDetails/Occurrence-Type/Code/RollingBasis Comment: For Mortgage Backed Put events (AC62, AC62B)

Used in element(s)

"Code" on page 287

Restricts

"DistributionTypeCode" on page 678

7.55 DistributionTypeCode

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Type: CodeSet

Code	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

Is restricted by

"DistributionType3Code" on page 677

7.56 DividendRateType1Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

Used in element(s)

"Code" on page 501

Restricts

"DividendRateTypeCode" on page 679

7.57 DividendRateTypeCode

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ConduitForeignIncome	Rate relating to a conduit foreign income type.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Code	Name	Definition
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Is restricted by

["DividendRateType1Code"](#) on page 679

7.58 DividendRateTypeV2Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.

Code	Name	Definition
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Is restricted by

"GrossDividendRateType6Code" on page 694, "GrossDividendRateType7Code" on page 698, "NetDividendRateType6Code" on page 728, "NetDividendRateType7Code" on page 729

7.59 DutchAuctionType1Code

Definition: Specifies the type of Dutch auction.

Type: CodeSet

Code	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

Used in element(s)

"Code" on page 294

Restricts

"DutchAuctionTypeCode" on page 682

7.60 DutchAuctionTypeCode

Definition: Specifies the type of Dutch auction.

Type: CodeSet

Code	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

Is restricted by

["DutchAuctionType1Code" on page 681](#)

7.61 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

Code	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

Used in element(s)

["Code" on page 69](#)

Restricts

["EUCapitalGainCode" on page 682](#)

7.62 EUCapitalGainCode

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

Code	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.

Code	Name	Definition
OTHR	Other	Another type of EU capital gain.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

Is restricted by

"EUCapitalGain2Code" on page 682

7.63 ElectionMovementType2Code

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

Code	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

Used in element(s)

"Code" on page 295

Restricts

"ElectionMovementTypeCode" on page 683

7.64 ElectionMovementTypeCode

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

Code	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
REST	Restricted	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

Is restricted by

"ElectionMovementType2Code" on page 683

7.65 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

Code	Name	Definition
COMP	Complete	Event details are complete. Usage Guideline restrictions for this code • on seev.031.001.15/NotificationGeneralInformation/ProcessingStatus/Code/EventCompletenessStatus/Complete Comment: Identifies that event composite record at DTCC is in the definite state of either Approved (passed criteria by the validation service) or Not Supported (determined to be not supported event type by the validation service).
INCO	Incomplete	Event details are not complete. Usage Guideline restrictions for this code • on seev.031.001.15/NotificationGeneralInformation/ProcessingStatus/Code/EventCompletenessStatus/Incomplete Comment: Identifies that event composite record at DTCC is not in definite state. It is either pending a condition (resolving conflicting data) or an authorised approval.

Used in element(s)

"EventCompletenessStatus" on page 167

Restricts

"EventCompletenessStatusCode" on page 684

7.66 EventCompletenessStatusCode

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

Code	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

Is restricted by

["EventCompletenessStatus1Code" on page 684](#)

7.67 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

Used in element(s)

["EventConfirmationStatus" on page 167](#)

Restricts

["EventConfirmationStatusCode" on page 685](#)

7.68 EventConfirmationStatusCode

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
PDNG	Pending	Occurrence of the event is pending.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

Is restricted by

["EventConfirmationStatus1Code" on page 685](#)

7.69 EventSequenceType1Code

Definition: Specifies whether the event is an interim or a final event in a series of successive events.

Type: CodeSet

Code	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

Used in element(s)

["Code" on page 296](#)

Restricts

["EventSequenceTypeCode" on page 686](#)

7.70 EventSequenceTypeCode

Definition: Specifies whether the event is an interim or a final event in a series of successive events.

Type: CodeSet

Code	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

Is restricted by

["EventSequenceType1Code" on page 685](#)

7.71 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern [0-9]{3}

Used in element(s)

["OptionNumber" on page 193](#), ["ShortNumber" on page 293](#)

7.72 Exact3UpperCaseAlphaNumericText

Definition: Specifies an upper case alphanumeric string with a length of three characters.

Type: Text

Format

pattern [A-Z0-9]{3}

Used in element(s)

["AcceptancePriorityLevel" on page 91](#), ["AcceptancePriorityLevel" on page 196](#), ["ShortIdentification" on page 393](#)

7.73 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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Used in element(s)

["Identification" on page 359](#), ["Identification" on page 358](#), ["QuantityType" on page 455](#), ["QuantityType" on page 458](#)

7.74 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

["Code" on page 394](#)

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 687](#)

7.75 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

"ExternalFinancialInstrumentIdentificationType1Code" on page 687

7.76 FractionDispositionType8Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/BuyUp) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/FractionDisposition/Code/BuyUp) is removed. • on seev.031.001.15/CorporateActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/BuyUp Comment: Purchase fraction to round a position.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/FractionDisposition/Code/CashInLieuOfFraction) is removed.

Code	Name	Definition
		• on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/CashInLieuOf-Fraction Synonym (DTCC): Cash In Lieu of Fractional Share (CL) • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/CashInLieuOf-Fraction Synonym (DTCC): Beneficial Owner Cash in Lieu (BC) • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/CashInLieuOf-Fraction Comment: Receive cash in lieu of fractional share.
DIST	IssueFraction	Take distribution of fractions in the form of securities. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/FractionDisposition/Code/IssueFraction) is removed. • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/IssueFraction Synonym (DTCC): Allocate Fractional Share (EX) • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/IssueFraction Comment: Receive fraction of a share.

Code	Name	Definition
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/RoundDown Synonym (DTCC): Drop (DF) • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/RoundDown Synonym (DTCC): Round Down (RD) • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/RoundDown Comment: Round down fraction to the nearest whole number.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/RoundUp Synonym (DTCC): Beneficial Owner Round Up (BU) • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/RoundUp Synonym (DTCC): Round Up (RU) • on seev.031.001.15/Corporate-ActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/RoundUp Comment:

Code	Name	Definition
		Round up fraction to the nearest whole number above X value. Where X is the Rounding Factor - data element extended as supplementary data.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/FractionDisposition/Code/RoundToNearest) is removed. • on seev.031.001.15/CorporateActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/RoundToNearest Synonym (DTCC): Beneficial Owner Round up 0.5 and above (BF) • on seev.031.001.15/CorporateActionOptionDetails/Securities-MovementDetails/FractionDisposition/Code/RoundToNearest Synonym (DTCC): Round to Nearest Whole Number if 0.5 or above (NW)

Code	Name	Definition
UKWN	Unknown	Characteristics of the disposition of fractions are unknown. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/FractionDisposition/Code/Unknown) is removed. • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition/Code/Unknown Synonym (DTCC): Other • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition/Code/Unknown Comment: Rounding method that cannot be explained using standard. Please refer to the comment for explanation.

Used in element(s)

"Code" on page 356

Restricts

"FractionDispositionTypeV2Code" on page 693

7.77 FractionDispositionType9Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

Code	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round

Code	Name	Definition
		up, else round down as specified in the respective RDUP and RDDN codes.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

Used in element(s)

"Code" on page 356

Restricts

"FractionDispositionTypeV2Code" on page 693

7.78 FractionDispositionTypeV2Code

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
EXPI	Expire	Let fractions expire worthless.
FULL	Full	Pre-allocate shares only if fully dealt.
NOFR	NoFraction	No fractional shares are allowed.
PROR	ProRate	In case of a partial fill, pro-rate the allocations.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
RETA	Retain	Indicates that fractional value should be retained; no rounding.
SPEC	Specific	Pre-allocate according to the amounts shown in a linked allocation instruction.
SSTD	Standard	Indicates that if the fraction is greater than or equal to 0.5 of the value should be rounded up; otherwise rounded down.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
TALK	DiscussFirst	Do not pro-rate; discuss first.

Code	Name	Definition
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

Is restricted by

"FractionDispositionType8Code" on page 688, "FractionDispositionType9Code" on page 692

7.79 GrossDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed. Usage Guideline restrictions for this code - on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/CapitalPortion – DTCC Reinvestment Income Type Rule: Use when option type is any reinvestment option (DTCC, issuer) and RIC (Reinvestment Income Classification) is ROCA.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ForeignIncome) is removed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/FullyFranked) is removed.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/IncomePortion – DTCC Reinvestment Income Type Rule: Use when option type is any reinvestment option (DTCC, issuer) and RIC (Reinvestment Income Classification) is DVCA or CDPS.
INTR	Interest	Rate relating to the underlying security for which interest is paid. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Interest – DTCC Reinvestment Income Type Rule: Use when option type is any reinvestment option (DTCC, issuer) and RIC (Reinvestment Income Classification) is INTR.

Code	Name	Definition
LTCG	LongTermCapitalGain	Long term capital gain. Usage Guideline restrictions for this code - on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/LongTermCapitalGain - DTCC Reinvestment Income Type Rule: Use when option type is any reinvestment option (DTCC, issuer) and RIC (Reinvestment Income Classification) is LTCG.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/RealEstatePropertyIncomePortion) is removed.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/SundryOrOtherIncome) is removed.
STCG	ShortTermCapitalGain	Short term capital gain.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ShortTermCapitalGain – DTCC Reinvestment Income Type Rule: Use when option type is any reinvestment option (DTCC, issuer) and RIC (Reinvestment Income Classification) is STCG.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxablePortion) is removed.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxDeferred) is removed.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxFree) is removed.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Unfranked) is removed.

Used in element(s)

["Code" on page 503](#)

Restricts

["DividendRateTypeV2Code" on page 680](#)

7.80 GrossDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAn-

Code	Name	Definition
		dAmountAndRateStatus/Rate-Type/Code/CapitalPortion – Market Practice Implementation Rule: Used for Return of Capital or Capital Gains Payout type.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmount-Details/GrossDistribution-Rate/RateTypeAndAmountAndRateStatus/RateType/Code/ForeignIncome) is removed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmount-Details/GrossDistribution-Rate/RateTypeAndAmountAndRateStatus/RateType/Code/FullyFranked) is removed.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/

Code	Name	Definition
		FullPeriodUnitsIncomePortion) is removed.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Rate-Type/Code/IncomePortion – Market Practice Implementation Rule: Used for Dividend Payout type.
INTR	Interest	Rate relating to the underlying security for which interest is paid. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Rate-Type/Code/Interest – Market Practice Implementation Rule: Used for Interest Payout Type
LTCG	LongTermCapitalGain	Long term capital gain. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAn-

Code	Name	Definition
		dAmountAndRateStatus/Rate-Type/Code/LongTermCapital-Gain – Market Practice Implementation Rule: Used for Long Term Capital Gains Payout type.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmount-Details/GrossDistribution-Rate/RateTypeAndAmountAndRateStatus/RateType/Code/Part-WayPeriodUnitsIncomePortion) is removed.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/RealEstatePropertyIncomePortion) is removed.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/

Code	Name	Definition
		SundryOrOtherIncome) is removed.
STCG	ShortTermCapitalGain	Short term capital gain. Usage Guideline restrictions for this code - on seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ShortTermCapitalGain – Market Practice Implementation Rule: Used for Short Term Capital Gains Payout type.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxablePortion) is removed.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxDeferred) is removed.

Code	Name	Definition
TXFR	TaxFree	Rate relating to the underlying security which is not taxable. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxFree) is removed.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Unfranked) is removed.

Used in element(s)

["Code" on page 505](#)

Restricts

["DividendRateTypeV2Code" on page 680](#)

7.81 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Rules**R103 IBAN ✓**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00003
- *Error Text:* Invalid IBAN format or invalid check digits.

Used in element(s)

"IBAN" on page 70

7.82 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

"ISIN" on page 553

7.83 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

Used in element(s)

"LongNumber" on page 293

7.84 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

Used in element(s)

["Language" on page 570](#), ["Language" on page 564](#), ["Language" on page 569](#), ["Language" on page 566](#)

7.85 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

["ConversionDate" on page 307](#), ["ConversionDate" on page 318](#), ["Date" on page 277](#), ["Date" on page 282](#), ["Date" on page 266](#), ["DatedDate" on page 307](#), ["DatedDate" on page 318](#), ["ExpiryDate" on page 305](#), ["FloatingRateFixingDate" on page 306](#), ["FloatingRateFixingDate" on page 316](#), ["IssueDate" on page 306](#), ["IssueDate" on page 317](#), ["MaturityDate" on page 306](#), ["MaturityDate" on page 317](#), ["NextCallableDate" on page 307](#), ["NextCallableDate" on page 318](#), ["NextCouponDate" on page 305](#), ["NextCouponDate" on page 316](#), ["PutableDate" on page 307](#), ["PutableDate" on page 318](#)

7.86 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

["DateTime" on page 268](#)

7.87 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time

Used in element(s)

["Time" on page 276](#)

7.88 InterestComputationMethod2Code

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

Code	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds. Usage Guideline restrictions for this code on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/Day-CountBasis/Code/IC30360ISDAor30360AmericanBasicRule

Code	Name	Definition
		Synonym (DTCC): 30/360
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/IC30365) is removed.
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/IC30Actual) is removed.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/Actual360 Synonym (DTCC): Actual/ 360
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/Actual365Fixed Synonym (DTCC): Actual/ 365
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/ActualActualICMA) is removed.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed

Code	Name	Definition
		to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/IC30E360orEuroBondBasismodel1) is removed.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/ActualActualISDA Synonym (DTCC): Actual/ Actual
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/Actual365LorActuActubasisRule) is removed.
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued

Code	Name	Definition
		days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/ActualActualAFB) is removed.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/IC30360ICMAor30360basicrule) is removed.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a reg-

Code	Name	Definition
		ular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/IC30E2360orEurobondbasismodel2) is removed.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/IC30E3360orEurobondbasismodel3) is removed.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any

Code	Name	Definition
		leap day from the count, and a 365-day year. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/Actual365NL) is removed.
NARR	Narrative	Other method than A001-A020. See Narrative. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Code/Narrative) is removed.

Used in element(s)

["Code" on page 398](#)

Restricts

["InterestComputationMethodCode" on page 712](#)

7.89 InterestComputationMethodCode

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

Code	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-

Code	Name	Definition
		day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the

Code	Name	Definition
		coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb

Code	Name	Definition
		when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
A015	ActualActualUltimo	Method whereby interest is calculated based on the actual number of days in the coupon period divided by the actual number of days in the year. This method is a variation of the ActualActualICMA method with the exception that it assumes that the coupon always falls on the last day of the month. Method equal to ACT/ACT.ISMA in the FpML model and Act/Act (ICSMA Ultimo) in the FixML model.
A016	IC30EPlus360	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. This method is a variation of the 30E360 method with the exception that if the coupon falls on the last day of the month, change it to 1 and increase the month by 1 (ie next month). Method equal to ThirtyEPlusThreeSixty in the FixML model.

Code	Name	Definition
A017	Actual364	Method whereby interest is calculated based on the actual number of accrued days in the interest period divided by 364. Method equal to Act364 in the FixML model.
A018	Business252	Method whereby interest is calculated based on the actual number of business days in the interest period divided by 252. Usage: Brazilian Currency Swaps. Method equal to BUS/252 in the FpML model and BusTwoFiftyTwo in the FixML model.
A019	Actual360NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 360-day year.
A020	OneOne	Also named 1/1. ELABORATION: If parties specify the Day Count Fraction to be 1/1 then in calculating the applicable amount, 1 is simply input into the calculation as the relevant Day Count Fraction. See also 2006 ISDA Definitions, Section 4.16. Day Count Fraction, paragraph (a).
NARR	Narrative	Other method than A001-A020. See Narrative.
OTHR	Other	Any other interest computation method.

Is restricted by

"InterestComputationMethod2Code" on page 706

7.90 InterestRateTypeCode

Definition: Specifies the type of interest rate.

Type: CodeSet

Code	Name	Definition
CAPP	FloatingRateUnderlyingExposureWithCap	Interest rate type is Floating rate underlying exposure with cap.
DISC	Discount	Interest rate type is Discount.
FINX	FloatingLinkedToIndex	Interest rate type is Floating rate underlying exposure linked to one index that will revert to another index in the future.
FIXE	Fixed	Rate is fixed.

Code	Name	Definition
FLCA	FloatingRateUnderlyingExposureWithFloor-AndCap	Interest rate type is Floating rate underlying exposure with both floor and cap.
FLCF	FixedRateUnderlyingExposureWithCompulsory-FutureSwitchToFloating	Interest rate type is Fixed rate underlying exposure with compulsory future switch to floating.
FLFL	FloatingRateUnderlyingExposureWithFloor	Interest rate type is Floating rate underlying exposure with floor.
FLIF	FloatingRateUnderlyingExposureForLife	Interest rate type is Floating rate underlying exposure (for life).
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
FXPR	FixedWithFuturePeriodicResets	Interest rate type is Fixed with future periodic resets.
FXRL	FixedRateUnderlyingExposureForLife	Interest rate type is Fixed rate underlying exposure (for life).
MODE	Modular	Interest rate type is Modular.
OBLS	ObligorSwapped	Interest rate type is Obligor Swapped.
OTHR	Other	Any other type of interest rate that can be applied.
SCHD	Scheduled	Rate of the scheduled payment.
SWIC	SwichOptionality	Interest rate type is Switch Optionality.
USCD	Unscheduled	Rate of the unscheduled payment.
VARI	Variable	Rate is variable.

Is restricted by

"RateType7Code" on page 769

7.91 IntermediateSecurityDistributionType5Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/IntermediateSecuritiesDistributionType/Code/ReverseRights – Market Practice Implementation Rule:

Code	Name	Definition
		Used when Tender Offer (Tender with Rights) event type. on seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/ReverseRights Synonym (DTCC): Event Type (Tender Offer (Tender With Rights))
BONU	BonusRights	Distribution of bonus rights. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/BonusRights) is removed.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/DividendReinvestment) is removed.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/CashDividend) is removed.
DVOP	DividendOption	Distribution of dividend option. Usage Guideline restrictions for this code on seev.031.001.15/CorporateActionDetails/IntermediateSe-

Code	Name	Definition
		curitiesDistributionType/Code/DividendOption – Market Practice Implementation Rule: Used when Coupon Distribution event type. • on seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/DividendOption Synonym (DTCC): Event Type (Coupon Distribution)
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/ScripDividendOrPayment) is removed.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/StockDividend) is removed.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation". Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/IntermediateSe-

Code	Name	Definition
		curitiesDistributionType/Code/Exchange) is removed.
EXRI	SubscriptionRights	Distribution of subscription rights. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/SubscriptionRights – Market Practice Implementation Rule: Used when Rights Distribution events. • on seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/SubscriptionRights Synonym (DTCC): Event Type (Rights Distribution)
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/InterestPayment) is removed.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/LiquidationDividendOrPayment) is removed.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an ex-

Code	Name	Definition
		ternal, third party company. Cash payments may accompany share exchange. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/Merger) is removed.
PRI0	OpenOfferRights	Distribution of open offer rights. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/OpenOfferRights) is removed.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/SpinOff) is removed.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/StockSplit) is removed.

Used in element(s)"Code" on page 400**Restricts**"IntermediateSecurityDistributionTypeCode" on page 722

7.92 IntermediateSecurityDistributionTypeCode

Definition: Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	SubscriptionRights	Distribution of subscription rights.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
PRIO	OpenOfferRights	Distribution of open offer rights.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

Code	Name	Definition
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

Is restricted by

"IntermediateSecurityDistributionType5Code" on page 717

7.93 IssuerTaxability2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

Used in element(s)

"Code" on page 401

Restricts

"IssuerTaxabilityV2Code" on page 723

7.94 IssuerTaxabilityV2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

Is restricted by

"IssuerTaxability2Code" on page 723

7.95 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

Used in element(s)

["LEI" on page 425](#)

7.96 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date. Usage Guideline restrictions for this code on seev.031.001.15/CorporateActionDetails/Lottery-Type/Code/OriginalLotteryNotification Synonym (DTCC): Lottery Type (Original)
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery. Usage Guideline restrictions for this code on seev.031.001.15/CorporateActionDetails/Lottery-Type/Code/SupplementalLotteryNotification Synonym (DTCC): Lottery Type (Supplemental)

Used in element(s)

["Code" on page 402](#)

Restricts

["LotteryTypeCode" on page 724](#)

7.97 LotteryTypeCode

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Is restricted by

["LotteryType1Code" on page 724](#)

7.98 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}

Used in element(s)

["MarketIdentifierCode" on page 403](#)

7.99 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength 140
minLength 1

Used in element(s)

["Identification" on page 67](#)

7.100 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength 16
minLength 1

Used in element(s)

["BuildingNumber" on page 436](#), ["PostCode" on page 436](#), ["Suffix" on page 418](#)

7.101 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

["DigitalTokenUnit" on page 343](#), ["DigitalTokenUnit" on page 347](#), ["DigitalTokenUnit" on page 352](#)

7.102 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

["PlaceAndName" on page 560](#)

7.103 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

["CountrySubDivision" on page 437](#), ["Issuer" on page 358](#), ["SchemeName" on page 358](#), ["TownName" on page 436](#)

7.104 Max3Number

Definition: Number (max 999) of objects represented as an integer.

Type: Quantity

Format

fractionDigits	0
totalDigits	3

Rules

R66 NumberRule

If Number is negative, then Sign must be present.

Error handling: Undefined

Used in element(s)

["InterestAccruedNumberOfDays" on page 90](#)

7.105 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

["Issuer" on page 360](#), ["Issuer" on page 383](#), ["Issuer" on page 386](#), ["Issuer" on page 455](#), ["Issuer" on page 458](#), ["SchemeName" on page 371](#), ["SchemeName" on page 384](#), ["SchemeName" on page 387](#), ["SchemeName" on page 455](#), ["SchemeName" on page 460](#)

7.106 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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Used in element(s)

["PageNumber" on page 419](#)

7.107 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength 70
minLength 1

Used in element(s)

"AddressLine" on page 436, "Name" on page 67, "StreetName" on page 436

7.108 NetDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 504

Restricts

"DividendRateTypeV2Code" on page 680

7.109 NetDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

["Code" on page 506](#)

Restricts

["DividendRateTypeV2Code" on page 680](#)

7.110 NewSecuritiesIssuanceType5Code

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/DefeasedSecurityIndicator Synonym (DTCC Redemptions): Defeasement Indicator (Defeased)
EXIS	ExistingIssue	Securities proceeds are not new issues. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/ExistingIssue) is removed.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NonDefeasedSecurityIndicator Synonym (DTCC Redemptions): Defeasement Indicator (Non defeased)
NEIS	NewIssue	Securities proceeds are newly issued.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NewIssue) is removed.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NonRefundedSecurityIndicator Synonym (DTCC Redemptions): Non Refunded CUSIP Indicator
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/RefundedSecurityIndicator Synonym: Refunded CUSIP Indicator

Code	Name	Definition
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/Unknown) is removed.

Used in element(s)

"NewSecuritiesIssuanceIndicator" on page 535

Restricts

"NewSecuritiesIssuanceTypeCode" on page 732

7.111 NewSecuritiesIssuanceTypeCode

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NEIS	NewIssue	Securities proceeds are newly issued.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

Code	Name	Definition
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.

Is restricted by

"NewSecuritiesIssuanceType5Code" on page 729

7.112 NonEligibleProceedsIndicator2Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

Code	Name	Definition
ACLI	AccountLimitation	Participant's account limitation. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/NonEligibleProceedsIndicator/Code/AccountLimitation) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/Securities-MovementDetails/NonEligibleProceedsIndicator/Code/AccountLimitation) is removed.
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD). Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Securities-MovementDetails/NonEligibleProceedsIndicator/Code/NonEligibleCurrency) is removed. • on seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/NonEligibleProceedsIndicator/Code/NonEligibleCurrency – Market Practice Implementation Rule:

Code	Name	Definition
		Used for Mandatory Foreign Currency Flag when set to "Yes". on seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/NonEligibleProceedsIndicator/Code/NonEligibleCurrency Comment: Identifies issues that will pay entitlement in a foreign currency. These are normally settled outside of DTC.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD). Usage Guideline restrictions for this code - This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/NonEligibleProceedsIndicator/Code/NonEligibleSecurity) is removed. - This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator/Code/NonEligibleSecurity) is removed.

Code	Name	Definition
ONEL	OtherNonEligibility	Other source of non eligibility. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/NonEligibleProceedsIndicator/Code/OtherNonEligibility) is removed. • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator/Code/OtherNonEligibility – Market Practice Usage Rule: Identifies there is a restriction on disbursed security. The narrative with details is provided in Security Restriction tag.

Used in element(s)

"Code" on page 411

Restricts

"NonEligibleProceedsIndicatorCode" on page 735

7.113 NonEligibleProceedsIndicatorCode

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

Code	Name	Definition
ACLI	AccountLimitation	Participant's account limitation.
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).
ONEL	OtherNonEligibility	Other source of non eligibility.

Is restricted by

"NonEligibleProceedsIndicator2Code" on page 733

7.114 OfferType5Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

Code	Name	Definition
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/OfferType/Code/DissenterRights – Market Practice Implementation Rules: When Dissenter's Rights Applicable Flag value is set to "Yes". • on seev.031.001.15/Corporate-ActionDetails/OfferType/Code/DissenterRights Synonym (DTCC): Dissenter's Rights Applicable Flag • on seev.031.001.15/Corporate-ActionDetails/OfferType/Code/DissenterRights Comment: Indicates whether rights are available to stockholders as a remedy when they object to the terms of proposed corporate actions. For example, actions can include but are not limited to a merger or a sale of assets.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa). Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/OfferType/Code/RestrictionExchange

Code	Name	Definition
		– Market Practice Usage Rule: Used to identify Exchange Offer event type with sub event types Type 144a or Reg S - Exchange offer where the security is either subject to 144a or RegS SEC regulation.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/OfferType/Code/FirstComeFirstServed) is removed.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/OfferType/Code/FinalOffer) is removed.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/OfferType/Code/DissenterRightsNotApplicable Synonym (DTCC): Dissenters Rights Applicable Flag
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/OfferType/Code/PartialOffer) is removed.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/OfferType/Code/SqueezeOutBid) is removed.

Used in element(s)

"Code" on page 412

Restricts

"OfferTypeV2Code" on page 738

7.115 OfferTypeV2Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

Code	Name	Definition
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.

Code	Name	Definition
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniOddLotOffer	Sale or purchase of odd lots to/from a third party other than the issuing company.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

Is restricted by

"OfferType5Code" on page 735

7.116 OptionAvailabilityStatus1Code

Definition: Specifies the status of the option availability.

Type: CodeSet

Code	Name	Definition
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Option-AvailabilityStatus/Code/Cancelled – Market Practice Implementation Rules: Mapped when the Option Status value is Deleted. • on seev.031.001.15/Corporate-ActionOptionDetails/Option-AvailabilityStatus/Code/Cancelled

Code	Name	Definition
		Synonym (DTCC): Deleted on seev.031.001.15/CorporateActionOptionDetails/OptionAvailabilityStatus/Code/Cancelled Comment: Option has been marked as "Deleted" on DTCC system.
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/OptionAvailabilityStatus/Code/Inactive) is removed.

Used in element(s)

["Code" on page 412](#)

Restricts

["OptionAvailabilityStatusCode" on page 740](#)

7.117 OptionAvailabilityStatusCode

Definition: Specifies the status of the option availability.

Type: CodeSet

Code	Name	Definition
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.

Is restricted by

["OptionAvailabilityStatus1Code" on page 739](#)

7.118 OptionFeatures13Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

Code	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/OptionFeatures/Code/AccountServicerOption – Market Practice Implementation Rules: Populate when the flag is set to "No". • on seev.031.001.15/Corporate-ActionOptionDetails/OptionFeatures/Code/AccountServicerOption Synonym (DTCC): Issuer Supported (No) • on seev.031.001.15/Corporate-ActionOptionDetails/OptionFeatures/Code/AccountServicerOption Comment: Identifies the option was not offered by the issuer. It is DTC only option.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/OptionApplicability) is removed.

Code	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/OptionFeatures/Code/Conditional – Market Practice Implementation Rules: Populate when the flag is set to "Yes". • on seev.031.001.15/Corporate-ActionOptionDetails/OptionFeatures/Code/Conditional Synonym (DTCC): Conditional Tenders Accepted Flag (Yes) • on seev.031.001.15/Corporate-ActionOptionDetails/OptionFeatures/Code/Conditional Comment: Identifies event options that allow holders to submit an instruction conditionally (e.g., to process the instruction only if a minimum of 1000 shares are accepted after pro-rata).
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/MaximumCash) is removed.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/MaximumSecurities) is removed.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option). Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/NoServiceOffered – Market Practice Implementation Rules: Populate when the flag is set to "No". • on seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/NoServiceOffered Synonym (DTCC): DTC Supported Flag (No) • on seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/NoServiceOffered Comment: Indicates that the option will not be processed by DTC.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/OddLotPreference Synonym (Odd Lot Priority Flag): DTCC

Code	Name	Definition
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/PreviousInstructionInvalidity) is removed.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/Proration – Market Practice Implementation Rules: Populate when the flag is set to "Yes". • on seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/Proration Synonym (DTCC): Proration Flag (Yes) • on seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/Proration Comment: Indicator that states whether the option is subject to proration.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Op-

Code	Name	Definition
		tionFeatures/Code/Instruct-CashAmount) is removed.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/GrossDividendReinvestment) is removed.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/NetDividendReinvestment) is removed.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code). Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/ShareholderNumber Synonym (DTCC): Allocation Code
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/OptionFeatures/Code/ReducedWithholdingTax) is removed.

Used in element(s)["Code" on page 413](#)**Restricts**["OptionFeaturesCode" on page 746](#)

7.119 OptionFeaturesCode

Definition: Specifies the features that may apply to a corporate action option.*Type:* CodeSet

Code	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.

Code	Name	Definition
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

Is restricted by

["OptionFeatures13Code" on page 741](#)

7.120 OptionStyle2Code

Definition: Defines how an option can be exercised.

Type: CodeSet

Code	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

Used in element(s)

["Code" on page 414](#)

Restricts

["OptionStyleCode" on page 747](#)

7.121 OptionStyleCode

Definition: Defines how an option can be exercised.

Type: CodeSet

Code	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not

Code	Name	Definition
		before a set time period (typically one year) has elapsed.
EURO	European	Option that can be exercised on expiry date only.
OTHR	Other	Other type of option style.

Is restricted by

"OptionStyle2Code" on page 747

7.122 ParentCurrencyCode

Definition: Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

Type: CodeSet

Format

pattern [A-Z]{3,3}

Is restricted by

"ActiveCurrencyCode" on page 572, "ActiveOrHistoricCurrencyCode" on page 573

7.123 Payment2Code

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

Used in element(s)

"ContractualPaymentIndicator" on page 73

Restricts

"PaymentCode" on page 748

7.124 PaymentCode

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

Is restricted by

"Payment2Code" on page 748

7.125 Percentage14Rate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

"PriceValue" on page 426, "PriceValue" on page 427, "Rate" on page 487, "Rate" on page 489, "Rate" on page 491, "Rate" on page 399, "Rate" on page 485, "Rate" on page 518, "Rate" on page 492, "Rate" on page 518, "Rate" on page 498, "Rate" on page 558, "Rate" on page 493, "Rate" on page 519, "Rate" on page 486, "Rate" on page 496, "Rate" on page 497, "TransformationRate" on page 261

7.126 PriceCalculationMethod1Code

Definition: Standard code to specify the method applied for the calculation of the price.

Type: CodeSet

Code	Name	Definition
AVCL	AverageClosingPrice	Price of the asset at close of a given day.
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.

Code	Name	Definition
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.

Used in element(s)

"Code" on page 437

Restricts

"PriceCalculationMethodCode" on page 750

7.127 PriceCalculationMethodCode

Definition: Specifies the method applied for the calculation of the price.

Type: CodeSet

Code	Name	Definition
AVCL	AverageClosingPrice	Price of the asset at close of a given day.
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.

Is restricted by

"PriceCalculationMethod1Code" on page 749

7.128 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

Code	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/PercentagePrice/PercentagePriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/PercentagePrice/PercentagePriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/PercentagePrice/PercentagePriceType/Discount) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/PercentagePrice/PercentagePriceType/Discount) is removed.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/PercentagePrice/PercentagePriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/PercentagePrice/PercentagePriceType/Premium) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/PercentagePrice/PercentagePriceType/Premium) is removed.

Code	Name	Definition
		This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/PercentagePrice/PercentagePriceType/Premium) is removed.
YIEL	Yield	Price expressed as a yield. Usage Guideline restrictions for this code - This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MaximumPrice/PercentagePrice/PercentagePriceType/Yield) is removed. - This code(seev.031.001.15/CorporateActionDetails/PriceDetails/MinimumPrice/PercentagePrice/PercentagePriceType/Yield) is removed. - This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MaximumPrice/PercentagePrice/PercentagePriceType/Yield) is removed. - This code(seev.031.001.15/CorporateActionOptionDetails/PriceDetails/MinimumPrice/PercentagePrice/PercentagePriceType/Yield) is removed.

Used in element(s)

["PercentagePriceType" on page 426](#), ["PercentagePriceType" on page 427](#)

Restricts

["PriceValueTypeCode" on page 756](#)

7.129 PriceValueType10Code

Definition: Specifies the value of a price.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/NotSpecifiedPrice/Unknown – Market Practice Usage Rule: Used when the value is expected but not yet available. • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/NotSpecifiedPrice/Unknown – Market Practice Implementation Rule: Used when the value is expected but not yet available.

Used in element(s)

"NotSpecifiedPrice" on page 444, "NotSpecifiedPrice" on page 452, "NotSpecifiedPrice" on page 442, "NotSpecifiedPrice" on page 449, "NotSpecifiedPrice" on page 442

Restricts

"PriceValueTypeCode" on page 756

7.130 PriceValueType8Code

Definition: Specifies a type of price value.

Type: CodeSet

Code	Name	Definition
NILP	NilPayment	Price will not be paid. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Secu-

Code	Name	Definition
		uritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/NilPayment) is removed. • on seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/NilPayment – Market Practice Implementation Rule: NILP will be used only in the following instances: (1) there is no fungible mass for that security at CEDE & Co (2) the agent or issuer has informed DTC that the event will never allocate.
TBSP	ToBeSpecified	Price to be specified by account owner. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/ToBeSpecified) is removed. • on seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/ToBeSpecified – Market Practice Implementation Rule: Used when the Unspecified Price Allowed Flag value is "No". Indicates the price must be specified by the owner when the instruction is submitted.
UKWN	Unknown	Price is unknown by the sender or has not been established.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/Unknown – Market Practice Implementaiton Rule: Used when the cash rate is expected for the event but is not yet available. • on seev.031.001.15/Corporate-ActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/Unknown – Market Practice Implementation Rule: Used when the value is expected but not yet available.

Code	Name	Definition
UNSP	Unspecified	Price is not required to be specified by account owner. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/Unspecified – Market Practice Implementation Rule: Used when the Unspecified Price Allowed Flagvalue is "Yes". Indicates the price does not need to be specified by the owner when the instruction is submitted. • on seev.031.001.15/Corporate-ActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/Unspecified Comment: Will be used for Dutch Auction or a Tender (Bid Tender / Sealed Tender) event to display the securities option which will be used tendered at unspecified price. Once the DTC securities rate is known, this will not be displayed.

Used in element(s)

"NotSpecifiedPrice" on page 446

Restricts

"PriceValueTypeCode" on page 756

7.131 PriceValueTypeCode

Definition: Price will not be paid.

Type: CodeSet

Code	Name	Definition
ABSO	Absolute	Price is expressed as absolute.

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
NEGA	NegativeActualAmount	Price must be interpreted as a negative amount of currency per unit or per share.
NILP	NilPayment	Price will not be paid.
OPEN	OpenDated	Price has not been established.
PARV	Par	Price is the face amount.
PEUN	PerUnit	Price expressed per unit.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
SPRE	Spread	Difference between a market maker's bid and asked price.
TBSP	ToBeSpecified	Price to be specified by account owner.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
UKWN	Unknown	Price is unknown by the sender or has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
YIEL	Yield	Price expressed as a yield.

Is restricted by

"PriceRateType3Code" on page 750, "PriceValueType10Code" on page 752, "PriceValueType8Code" on page 753

7.132 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction. Usage Guideline restrictions for this code • This code(seev.031.001.15/EventsLinkage/LinkageType/Code/After) is removed.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction. Usage Guideline restrictions for this code • This code(seev.031.001.15/EventsLinkage/LinkageType/Code/Before) is removed.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only. Usage Guideline restrictions for this code • on seev.031.001.15/EventsLinkage/LinkageType/Code/Information – Market Practice Usage Rule: Used as default.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction. Usage Guideline restrictions for this code • This code(seev.031.001.15/EventsLinkage/LinkageType/Code/With) is removed.

Used in element(s)

["Code" on page 453](#)

Restricts

["ProcessingPositionCode" on page 759](#)

7.133 ProcessingPositionCode

Definition: Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Is restricted by

["ProcessingPosition3Code" on page 757](#)

7.134 ProrationBelowMinimumQuantity1Code

Definition: Specifies the type of proration when below the minimum quantity to instruct.

Type: CodeSet

Code	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct. Usage Guideline restrictions for this code This code(seev.031.001.15/CorporateActionOptionDetails/ProrationBelowMinimumQuantity/Code/MinimumQuantity) is removed.

Code	Name	Definition
MILT	MultipleQuantity	Instructions will be accepted but rounded up or down to the specified minimum multiple quantity to instruct according to the value of the disposition of fraction indicator.
REJT	Rejected	Instructions will be rejected.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/ProrationBelowMinimumQuantity/Code/Unknown) is removed.

Used in element(s)

"Code" on page 462

Restricts

"ProrationBelowMinimumQuantityCode" on page 760

7.135 ProrationBelowMinimumQuantityCode

Definition: Specifies the type of proration when below the minimum quantity to instruct.

Type: CodeSet

Code	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct.
MILT	MultipleQuantity	Instructions will be accepted but rounded up or down to the specified minimum multiple quantity to instruct according to the value of the disposition of fraction indicator.
REJT	Rejected	Instructions will be rejected.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown.

Is restricted by

"ProrationBelowMinimumQuantity1Code" on page 759

7.136 Quantity4Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/Code/AnyAndAll) is removed. • This code(seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/Code/AnyAndAll) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantity-TolInstruct/Code/AnyAndAll) is removed. • This code(seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantity-TolInstruct/Code/AnyAndAll) is removed.

Code	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/Code/UnknownQuantity – Market Practice Usage Rule: Used when the value is expected for the event but is not yet available. • on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/Code/UnknownQuantity – Market Practice Usage Rule: Used when the value is expected for the event but is not yet available.

Used in element(s)

["Code" on page 347](#)

Restricts

["QuantityCode" on page 763](#)

7.137 Quantity5Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

Code	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/Code/UnknownQuantity – Market Practice Usage Rule: Used when the value is expected for the event but is not yet available. • on seev.031.001.15/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/Code/UnknownQuantity – Market Practice Usage Rule: Used when the value is expected for the event but is not yet available. • on seev.031.001.15/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/Code/UnknownQuantity – Market Practice Usage Rule: Used as default.

Used in element(s)

"Code" on page 352

Restricts

"QuantityCode" on page 763

7.138 QuantityCode

Definition: Quantity is unknown by the sender or has not been established.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

Code	Name	Definition
OPEN	Open	Quantity has not been established.
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Is restricted by

"Quantity4Code" on page 761, "Quantity5Code" on page 762

7.139 RateStatus1Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmount-Details/GrossDistribution-Rate/RateTypeAndAmountAndRateStatus/RateStatus/Code/ActualRate) is removed.
INDI	IndicativeRate	Rate is indicative. Usage Guideline restrictions for this code • This code(seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmount-Details/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Code/IndicativeRate) is removed.

Used in element(s)

"Code" on page 499, "RateStatus" on page 52

Restricts

"RateStatusCode" on page 765

7.140 RateStatusCode

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

Is restricted by

"RateStatus1Code" on page 764

7.141 RateType10Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought. Usage Guideline restrictions for this code - on seev.031.001.15/CorporateActionDetails/Rate-AndAmountDetails/PercentageSought/NotSpecifiedRate/AnyAndAll - Market Practice Usage Rule: Used when the announced quantity sought type is any or all. - on seev.031.001.15/CorporateActionDetails/Rate-AndAmountDetails/PercentageSought/NotSpecifiedRate/AnyAndAll Synonym (DTCC): Quantity Sought Type - on seev.031.001.15/CorporateActionDetails/Rate-AndAmountDetails/PercentageSought/NotSpecifiedRate/AnyAndAll Comment:

Code	Name	Definition
		Quantity sought by the offeror (e.g., any and all outstanding shares).
UKWN	Unknown	Rate is unknown by the sender or has not been established. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionDetails/Rate-AndAmountDetails/PercentageSought/NotSpecifiedRate/Unknown – Market Practice Usage Rule: Used when the value is expected for the event but is not yet available.

Used in element(s)

"NotSpecifiedRate" on page 497

Restricts

"RateTypeCode" on page 770

7.142 RateType13Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
NILP	NilPayment	Rate will not be paid. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate/NilPayment – Market Practice Implementation Rule: NILP will be used only in the following instances: (1) there is no fungible mass

Code	Name	Definition
		for that security at CEDE & Co (2) the agent or issuer has informed DTC that the event will never allocate. • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate/NilPayment – Market Practice Implementation Rule: NILP will be used only in the following instances: (1) there is no fungible mass for that security at CEDE & Co (2) the agent or issuer has informed DTC that the event will never allocate. • on seev.031.001.15/Corporate-ActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate/NilPayment – Market Practice Implementation Rule: NILP will be used only in the following instances: (1) there is no fungible mass for that security at CEDE & Co (2) the agent or issuer has informed DTC that the event will never allocate.

Code	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate/Unknown – Market Practice Implementation Rule: Used when the rate is not yet available. • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate/Unknown – Market Practice Usage Rule: Used when the rate is not yet available. • on seev.031.001.15/Corporate-ActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate/Unknown – Market Practice Implementation Rule: Used when the rate is not available.

Used in element(s)

"NotSpecifiedRate" on page 391, "NotSpecifiedRate" on page 400, "NotSpecifiedRate" on page 392

Restricts

"RateTypeCode" on page 770

7.143 RateType5Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established. Usage Guideline restrictions for this code • on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/MaximumAllowedOversubscriptionRate/NotSpecifiedRate/Unknown – Market Practice Implementation Rule: Used when the value is required but not available yet. • on seev.031.001.15/CorporateActionOptionDetails/RateAndAmountDetails/ProrationRate/NotSpecifiedRate/Unknown – Market Practice Implementation Rule: Used when the value is required but not available yet.

Used in element(s)

["NotSpecifiedRate" on page 496](#), ["NotSpecifiedRate" on page 496](#)

Restricts

["RateTypeCode" on page 770](#)

7.144 RateType7Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Code/Scheduled – Market Practice Implementation Rule: Used when the event type is Interest.
USCD	Unscheduled	Rate of the unscheduled payment. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Code/Unscheduled – Market Practice Implementation Rule: Used when the event type is not Interest.

Used in element(s)

"Code" on page 500

Restricts

"InterestRateTypeCode" on page 716

7.145 RateTypeCode

Definition: Any and all rate is sought.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
ATAX	AdditionalTax	Rate used for additional tax that cannot be categorised.
CHAR	Charges	Rate used to calculate the amount of the charges/fees that cannot be categorised.
CINL	CashInLieuOfSecurities	Rate used to calculate the cash disbursement in lieu of a fractional quantity of, for example, equity.
FIXE	Fixed	Rate is fixed.
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
GRSS	Gross	Cash dividend per equity before deductions or allowances have been made.
IMPU	Imputed	Imputed tax.
INCE	CashIncentive	Rate of the cash premium made available if the securities holder consents or participates to an event, for example, consent fees.
LIDT	LocalTax	Interest liable for interest down payment tax (proportion of gross interest per unit/ interim profits that is not covered by the credit in the interest pool).
NETT	Net	Relates to the netting of settlement instructions.
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
PREC	Precompte	Rate is a precompte.
SCHD	Scheduled	Rate of the scheduled payment.
SOFE	Sollicitation	Cash rate made available in an offer in order to encourage participation in the offer.
STAM	StampDuty	Financial instrument has not been stamped and/or duly signed.
STEX	StockExchangeTax	Rate of stock exchange tax.
TAXR	WithholdingTax	Relates to a tax refund from the authorities on the associated corporate action event.
TIER	OneTierTax	Rate is a one tier tax.
TRAN	TransferTax	Transaction has been generated due to transformation following a corporate action.
TRAX	TransactionTax	Rate used to calculate the amount of transaction tax.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.

Code	Name	Definition
TXFR	TaxFeeAmount	Rate relating to the underlying security which is not taxable.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
USCD	Unscheduled	Rate of the unscheduled payment.
VARI	Variable	Rate is variable.
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

Is restricted by

"RateType10Code" on page 765, "RateType13Code" on page 766, "RateType5Code" on page 768, "RateValueType7Code" on page 772

7.146 RateValueType7Code

Definition: Specifies the value of a rate.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/RateAndAmountDetails/InterestRate/NotSpecifiedRate/Unknown – Market Practice Usage Rule: Used when the value is expected for the event but is not yet available. • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/Charges-Fees/NotSpecifiedRate/Unknown – Market Practice Implementation Rule: Used when the rate is not yet available. • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/NetDistributionRate/NotSpecifiedRate/Unknown – Market Practice Implementation Rule: Used when the rate is not yet available. • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/Solicitation-FeeRate/NotSpecifiedRate/Unknown – Market Practice Implementation Rule: Used when the rate is not yet available or Solicitation Fee Flag is set to "Yes". • on seev.031.001.15/Corporate-ActionOptionDetails/Cash-MovementDetails/RateAndAmountDetails/Withholding-TaxRate/NotSpecifiedRate/Unknown – Market Practice Implementation Rule: Used when the rate is not yet available.

Used in element(s)

["NotSpecifiedRate" on page 487](#), ["NotSpecifiedRate" on page 489](#), ["NotSpecifiedRate" on page 408](#),
["NotSpecifiedRate" on page 485](#), ["NotSpecifiedRate" on page 492](#), ["NotSpecifiedRate" on page 520](#),
["NotSpecifiedRate" on page 522](#), ["NotSpecifiedRate" on page 498](#), ["NotSpecifiedRate" on page 559](#),
["NotSpecifiedRate" on page 410](#), ["NotSpecifiedRate" on page 484](#), ["NotSpecifiedRate" on page 494](#),
["NotSpecifiedRate" on page 486](#)

Restricts

["RateTypeCode" on page 770](#)

7.147 RenounceableStatus1Code

Definition: Specifies the renounceable status.

Type: CodeSet

Code	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/RenounceableEntitlementStatusType/Code/Non-Renounceable – Market Practice Implementation Rules: Populate when the flag value is no. • on seev.031.001.15/Corporate-ActionDetails/RenounceableEntitlementStatusType/Code/Non-Renounceable Comment: Rights are not tradable.

Code	Name	Definition
RENO	Renounceable	Intermediate securities can be sold. Usage Guideline restrictions for this code • on seev.031.001.15/Corporate-ActionDetails/RenounceableEntitlementStatusType/Code/Renounceable – Market Practice Implementation Rules: Populate when the flag value is yes. • on seev.031.001.15/Corporate-ActionDetails/RenounceableEntitlementStatusType/Code/Renounceable Comment: Rights are tradable.

Used in element(s)

["Code" on page 524](#)

Restricts

["RenounceableStatusCode" on page 775](#)

7.148 RenounceableStatusCode

Definition: Specifies the renounceable status.

Type: CodeSet

Code	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

Is restricted by

["RenounceableStatus1Code" on page 774](#)

7.149 RestrictedFINActiveCurrencyAnd13Decimal-Amount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 572

Format

fractionDigits	13
minInclusive	0
totalDigits	14

Used in element(s)

"Amount" on page 487, "Amount" on page 489, "Amount" on page 491, "Amount" on page 389, "Amount" on page 51, "Amount" on page 512, "Amount" on page 407, "Amount" on page 513, "Amount" on page 399, "Amount" on page 507, "Amount" on page 485, "Amount" on page 492, "Amount" on page 509, "Amount" on page 49, "Amount" on page 498, "Amount" on page 57, "Amount" on page 391, "Amount" on page 514, "Amount" on page 559, "Amount" on page 409, "Amount" on page 516, "Amount" on page 484, "Amount" on page 494, "Amount" on page 510, "Amount1" on page 60, "Amount2" on page 60, "PriceValue" on page 53, "PriceValue" on page 54, "PriceValue" on page 56, "PriceValue" on page 58, "PriceValue" on page 57

7.150 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 572

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Rules

R98 CurrencyAmount ✓

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00007
- *Error Text:* Invalid currency code or too many decimal digits

Used in element(s)

"AccruedInterestAmount" on page 116, "AdditionalTaxAmount" on page 111, "BackUpWithholdingTaxAmount" on page 117, "BuyUpAmount" on page 120, "CapitalGain" on page 106, "CashInLieuOfShare" on page 106, "ChargesAmount" on page 114, "DeemedAmount" on page 118, "Deemed-DividendAmount" on page 119, "DeemedFundAmount" on page 119, "DeemedInterestAmount" on page 120, "DeemedRoyaltiesAmount" on page 120, "EUTaxRetentionAmount" on page 116, "EntitledAmount" on page 114, "EqualisationAmount" on page 116, "ExecutingBrokerAmount" on page 112, "FATCATaxAmount" on page 117, "FiscalStampAmount" on page 112, "ForeignIncomeAmount" on page 119, "FullyFrankedAmount" on page 108, "GrossAmount" on page 105, "IncomePortion" on page 115, "IndemnityAmount" on page 107, "InterestAmount" on page 107, "LocalBrokerCommissionAmount" on page 113, "ManufacturedDividendPaymentAmount" on page 107, "NRATaxAmount" on page 117, "NetAmount" on page 105, "OriginalAmount" on page 114, "PayingAgentCommissionAmount" on page 112, "PrincipalOrCorpus" on page 115, "RedemptionPremiumAmount" on page 115, "RegulatoryFeesAmount" on page 113, "ReinvestmentAmount" on page 108, "ResultingAmount" on page 355, "SecondLevelTaxAmount" on page 103, "SecondLevelTaxAmount" on page 111, "ShippingFeesAmount" on page 113, "SolicitationFees" on page 106, "StampDutyAmount" on page 110, "StockExchangeTax" on page 116, "SundryOrOtherAmount" on page 109, "TaxCreditAmount" on page 110, "TaxDeferredAmount" on page 109, "TaxFreeAmount" on page 109, "TaxOnIncomeAmount" on page 118, "TaxReclaimAmount" on page 110, "TransactionTax" on page 118, "UnfrankedAmount" on page 108, "ValueAddedTaxAmount" on page 110, "WithholdingTaxAmount" on page 103, "WithholdingTaxAmount" on page 111

7.151 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	14
totalDigits	14

Used in element(s)

"IndexPoints" on page 490, "IndexPoints" on page 444, "IndexPoints" on page 450, "IndexPoints" on page 448, "Quantity" on page 454, "Quantity" on page 456, "Quantity" on page 298, "Quantity" on page 50, "Quantity1" on page 467, "Quantity2" on page 468, "Unit" on page 324, "Unit" on page 344, "Unit" on page 348

7.152 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must have a pattern XX|TS.

Type: Text

Format

length	2
pattern	XX TS

Used in element(s)

"Proprietary" on page 395

7.153 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Used in element(s)

"AmortisedValue" on page 337, "AmortisedValue" on page 417, "AmortisedValue" on page 346, "AmortisedValue" on page 350, "AmortisedValue" on page 416, "FaceAmount" on page 330, "FaceAmount" on page 416, "FaceAmount" on page 345, "FaceAmount" on page 349, "FaceAmount" on page 415

7.154 RestrictedFINX2Max34Text

Definition: Specifies a character string with a maximum length of 34 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	34
minLength	1
pattern	[0-9a-zA-Z/\-!\?:\(\)\.,'\+]{1,34}

Used in element(s)

"Proprietary" on page 70

7.155 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	140
minLength	1
pattern	[0-9a-zA-Z/\-!\?:\(\)\.\\n\r,'\+]{1,140}

Used in element(s)

["BlockchainAddressOrWallet" on page 44](#), ["Description" on page 555](#), ["Name" on page 404](#)

7.156 RestrictedFINXMax16Text

Definition: Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	16
minLength	1
pattern	(([0-9a-zA-Z/\-!\?:\(\)\.\\n\r,'\+]([0-9a-zA-Z/\-!\?:\(\)\.\\n\r,'\+])*([0-9a-zA-Z/\-!\?:\(\)\.\\n\r,'\+])?)*

Used in element(s)

["AccountOwnerDocumentIdentification" on page 292](#), ["AccountServicerDocumentIdentification" on page 291](#), ["ClassActionNumber" on page 170](#), ["CorporateActionEventIdentification" on page 169](#), ["Identification" on page 289](#), ["Identification" on page 293](#), ["LinkedCorporateActionIdentification" on page 164](#), ["LinkedOfficialCorporateActionEventIdentification" on page 163](#), ["NotificationIdentification" on page 189](#), ["OfficialCorporateActionEventIdentification" on page 170](#)

7.157 RestrictedFINXMax30Text

Definition: Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	30
minLength	1
pattern	(([0-9a-zA-Z/\-!\?:\(\)\.\\n\r,'\+]([0-9a-zA-Z/\-!\?:\(\)\.\\n\r,'\+])*([0-9a-zA-Z/\-!\?:\(\)\.\\n\r,'\+])?)*

Used in element(s)

["Description" on page 403](#), ["Identification" on page 383](#), ["Identification" on page 529](#), ["Identification" on page 388](#), ["Identification" on page 530](#), ["LongIdentification" on page 393](#)

7.158 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	31
minLength	1
pattern	[0-9a-zA-Z/\-?:\(\)\.,'\+]{1,31}

Used in element(s)

"Identification" on page 417

7.159 RestrictedFINXMax34Text

Definition: Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	34
minLength	1
pattern	(([0-9a-zA-Z/\-?:\(\)\.,'\+]([0-9a-zA-Z/\-?:\(\)\.,'\+]*([0-9a-zA-Z/\-?:\(\)\.,'\+])?*))

Used in element(s)

"Identification" on page 384

7.160 RestrictedFINXMax350Text

Definition: Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	350
minLength	1
pattern	[0-9a-zA-Z/\-?:\(\)\.,\n\r,'\+]{1,350}

Used in element(s)

"AdditionalInformation" on page 565, "AdditionalInformation" on page 569, "NewCompanyName" on page 176, "NewPlaceOfIncorporation" on page 101, "Offeror" on page 175

7.161 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	35
minLength	1
pattern	[0-9a-zA-Z/\-!\?:(\)\.,'\+]{1,35}

Used in element(s)

"SafekeepingAccount" on page 44

7.162 RestrictedFINZMax2048Text

Definition: Specifies a character string with a maximum length of 2048 characters limited to character set Z that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format

maxLength	2048
minLength	1
pattern	[0-9a-zA-Z!"%&*;<> \.,\(\)\n\r/=!\+:!\?@#\{-_]{1,2048}

Used in element(s)

"EventProcessingWebSiteAddress" on page 176, "URLAddress" on page 570

7.163 RestrictedFINZMax8000Text

Definition: Specifies a character string with a maximum length of 8000 characters limited to character set Z, that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format

maxLength	8000
minLength	1
pattern	[0-9a-zA-Z!"%&*;<> \.,\(\)\n\r/=!\+:!\?@#\{-_]{1,8000}

Used in element(s)

"AdditionalInformation" on page 566

7.164 SafekeepingAccountIdentification1Code

Definition: Specifies the account identification via a code.

Type: CodeSet

Code	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

Used in element(s)

"IdentificationCode" on page 46

Restricts

"SafekeepingAccountIdentificationCode" on page 782

7.165 SafekeepingAccountIdentificationCode

Definition: Specifies the account identification via a code.

Type: CodeSet

Code	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

Is restricted by

"SafekeepingAccountIdentification1Code" on page 782

7.166 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 529](#)

Restricts

["SafekeepingPlaceCode" on page 783](#)

7.167 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 529](#)

Restricts

["SafekeepingPlaceCode" on page 783](#)

7.168 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 530](#)

Restricts

["SafekeepingPlaceCode" on page 783](#)

7.169 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

"SafekeepingPlace1Code" on page 782, "SafekeepingPlace2Code" on page 783, "SafekeepingPlace3Code" on page 783

7.170 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Used in element(s)

"ShortLongPosition" on page 416, "ShortLongPosition" on page 557, "ShortLongPosition" on page 454, "ShortLongPosition" on page 558

Restricts

"ShortLongCode" on page 784

7.171 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.

Code	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

["ShortLong1Code" on page 784](#)

7.172 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Used in element(s)

["Code" on page 502](#)

Restricts

["WithholdingTaxRateTypeCode" on page 785](#)

7.173 WithholdingTaxRateTypeCode

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Is restricted by

["WithholdingTaxRateType1Code" on page 785](#)

7.174 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"AccruedInterestIndicator" on page 92, "AppliedOptionIndicator" on page 201, "CertificationBreakdownIndicator" on page 91, "CertificationBreakdownIndicator" on page 200, "ChangeAllowedIndicator" on page 201, "ChargesAppliedIndicator" on page 92, "ChargesAppliedIndicator" on page 199, "DefaultOptionIndicator" on page 285, "ForfeitureOfInterestIndicator" on page 93, "LastPageIndicator" on page 419, "LetterOfGuaranteedDeliveryIndicator" on page 93, "RestrictionIndicator" on page 92, "ShareholderRightsDirectiveIndicator" on page 93, "StandingInstructionIndicator" on page 286, "TemporaryIndicator" on page 562, "WithdrawalAllowedIndicator" on page 200

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