DTCC

Asset Services

CORPORATE ACTIONS ISO 20022 MESSAGING SUBSCRIPTION OPTIONS

SEPTEMBER 30, 2024

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ANNOUNCEMENTS

ANNOUNCEMENTS

CANO/CACN/MTNO/MTCN Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
Real Time** Automatic Delivery	1:30 AM 3:30 AM 6:00 AM 9:00 AM 11:00 AM 12:00 PM 1:00 PM 2:00 PM 3:00 PM 4:00 PM 5:00 PM 6:00 PM 7:00 PM 8:00 PM (End of Day) 9:00 PM 11:30 PM 9:00 AM (Saturday) *Any or all file times can be selected	• Any available Announcements for the following issue and event types can be selected: ○ CD Early Redemption/PUT (with Survivor Option) ○ Distribution ○ Factors ○ Maturity Cash ○ Municipals New ○ Municipals Updates ○ Redemption ○ Meeting ○ MMI Commercial Paper ○ Reorganization

DISTRIBUTION EVENTS

DISTRIBUTION EVENTS

CANO-E Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
2:00 PM	2:00 PM	• P&I late announcements created before 1:45 PM on payable date
11:30 PM	11:30 PM	• Record Date Entitlements (Eligible Balance) resulting from money Market Instrument (MMI) and Principal & Interest (P&I) Distributions

CAPA Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
1:30 AM	1:30 AM	• Projected Allocations or Cancelled Projections resulting from all Canadian Dollar, Money Market Instrument (MMI), Principal & Interest (P&I) and Stock Distributions • NEWM messages sent on pay date minus 7 days and REPL messages sent on pay date minus 1 day - OR – NEWM messages sent on pay date minus 7 days plus REPL (replacement/delta) messages sent each day thereafter until REPL messages pay date minus 1 day

CAPC Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
1:30 AM and hourly on the half hour between 10:30 AM ET and 6:30 PM ET	1:30 AM	• Projected Allocations or Cancelled Projections resulting from all Canadian Dollar, Money Market Instrument (MMI), Principal & Interest (P&I) and Stock Distributions • NEWM messages sent on pay date minus 7 days and REPL messages sent on pay date minus 1 day - OR - NEWM messages sent on pay date minus 7 days plus REPL (replacement/delta) messages sent each day thereafter until REPL messages pay date minus 1 day

CACO/CARE Subscription		
***Note*:** *CARE messages are only sent for P&I events*		
MQ Delivery	**File Transmission***	**Included Activity**
For MMI and P&I: Every 20 minutes from 8:20 AM ET to 4:30 PM ET; For STKD: 8:20 AM ET and 7:00 PM ET	4:00 PM	• Any available Money Market Instrument (MMI) Distribution Allocations and Principal & Interest (P&I) Allocations • Could also include any available Stock or Cash Allocations
	7:00 PM	• Any available Stock Allocations • Could also include any available Cash Allocations
	1:30 AM	• Any available Stock Allocations • Could also include any available Cash Allocations

CAPS Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
Hourly from 1 PM to 7 PM ET	4:00 PM	• Unallocated positions resulting from Canadian Dollar and Money Market Instrument (MMI) Distribution events
	5:00 PM	• Unallocated positions resulting from Principal & Interest (P&I) Distribution events
	7:00 PM	• Unallocated positions resulting from Stock Distribution events • Could also include any Unallocated positions resulting from Principal & Interest (P&I) Distribution events

CAIS/CACS Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
Real Time** Automatic Delivery	N/A	• CAIS is an instruction reply for EDS Eligible events in correspondence with an incoming CAIN Instruction/Election message • CACS is a Withdrawal Reply for EDS Eligible events in correspondence with an incoming CAIC Instruction/Election withdrawal message

CAST Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
10 PM ET	10 PM ET	• Corporate Actions Instructions Report for Elective Dividends

REDEMPTION EVENTS

REDEMPTION EVENTS

CANO-E Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
12:30 AM 1:45 PM 5:30 PM 6:50 PM	12:30 AM 1:45 PM 5:30 PM 6:50 PM	• Record Date Entitlements (Eligible Balance) resulting from Lottery events

CAPA Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
12:30 AM 10:45 AM 1:30 PM 2:30 PM 5:30 PM	12:30 AM 10:45 AM 1:30 PM 2:30 PM 5:30 PM	• Any available Projected Allocations resulting from Lottery events • Could also include any available Projected Allocations resulting from any Redemption event including Non-Lottery events (Full Call etc.) and Maturities
1:30 AM	1:30 AM	• Any available Projected Allocations resulting from all Redemption events (Lottery, Non-Lottery and Maturities)

CAPC Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
1:30AM, Hourly from 10:30 AM to 6:30 PM ET	12:30 AM 10:45 AM 1:30 PM 2:30 PM 5:30 PM	• Any available Cancelled Projections resulting from Lottery events • Could also include any available Cancelled Projections resulting from any Redemption event including Non-Lottery events (Full Call etc.) and Maturities
1:30 AM	1:30 AM	• Any available Cancelled Projections resulting from all Redemption events (Lottery, Non-Lottery, and Maturities)

CACO Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
Every 20 minutes from 8:20 AM ET to 5:30 PM ET	12:30 AM 10:45 AM 1:30 PM 2:30 PM 5:30 PM	• Any available Allocations resulting from Lottery events and any available advices of allocation reversals • Could also include any available Allocations resulting from any Redemption event including Non-Lottery events (Full Call etc.) and Maturities
	4:00 PM	• Any available Allocations resulting from any Redemption event including Lottery, Non-Lottery events (Full Call etc.) and Maturities

CAPS Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
Hourly from 1 PM to 7 PM ET	4:00 PM 5:00 PM 7:00 PM	• Any Unallocated Positions resulting from Lottery, Non-Lottery and Maturity events

REORGANIZATION EVENTS

REORGANIZATION EVENTS

CANO-E Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
12:00 PM 1:30 PM 4:00 PM 4:30 PM 5:00 PM 8:00 PM	12:00 PM 1:30 PM 4:00 PM 4:30 PM 5:00 PM 8:00 PM	• Record Date Entitlements (Eligible Balance) resulting from Reorganization events

CACO Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
8 AM to 3:30 PM Real Time Automatic Delivery	4:00 PM	• Allocations resulting from all Reorganization events

CAPA Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
1:10 AM	1:30 AM	• Projected Allocations or Cancelled Projections resulting from all Reorganization events • NEWM messages sent on pay date minus 7 days and REPL messages sent on pay date minus 1 day - OR - NEWM messages sent on pay date minus 7 days and REPL (replacement/delta) messages sent each day thereafter until REPL messages pay date minus 1 day

CAPS Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
Hourly from 1 PM to 4 PM	4:00 PM	• Unallocated positions resulting from all Reorganization events

CAIS/CACS Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
Real Time** Automatic Delivery	N/A	• CAIS is an instruction reply for VRI Eligible events in correspondence with an incoming CAIN Instruction/Election message. • CACS is a Withdrawal Reply for VRI Eligible events in correspondence with an incoming CAIC Instruction/Election withdrawal message.

CAST Subscription		
MQ Delivery	**File Transmission***	**Included Activity**
12:00 PM 1:30 PM 4:00 PM 4:30 PM 8:00 PM	12:00 PM 1:30 PM 4:00 PM 4:30 PM 8:00 PM	• Instructions statements for all Reorganization instruction activity

* Individual messages are accumulated and transmitted at the times specified

** ”Real Time” is defined as messages that are immediately disseminated upon availability from DTC processing systems

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Doc Date: September 30, 2024
Publication Code: CA240
Service: Asset Services
Title: Corporate Actions ISO 20022 Messaging Subscription Options Title

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