



Securities Processing

7.6 STDEPI PARTICIPANT REPORT VIA CCF/CCF-II: USER'S GUIDE

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OBJECTIVES OF THIS CHAPTER

The objectives of this chapter are to give information about:

- The Participant Report (STDEPI) function
- The format of STDEPI information records.

STDEPI FUNCTION

The STDEPI function enables Participants to obtain information about deposits they have made. It details the type of deposit, the status of the deposit, and messages relating to the status, and all associated dates, together with detailed information relating to aging items, if appropriate. This information, in machine-readable format, is the same as that provided on the PTS function STDI.

STDEPI Transmission Modes

STDEPI files are available via CCF and CCF-II.

- Users requesting STDEPI via CCF receive a file consisting of a CCF Header Record followed by the STDEPI Detail Records.
- Users requesting STDEPI via CCF-II receive a file comprised of CCF-II Header and Trailer Records separated by the STDEPI Detail Records.

The formats for the CCF Header Record, CCF-II Header and Trailer Records, and the STDEPI Record are described in [Record Templates](#).

Availability of STDEPI

The STDEPI function is normally available from 6:00 a.m. until 4:30 p.m. Eastern Time.

RECORD TEMPLATES

The file structure associated with the Legal Deposits function consists of the following records, whose format is described on subsequent pages in this section:

1. CCF Header Record, for CCF Users
or
CCF-II Header Record, for CCF-II Users
2. STDEPI Data Record
3. CCF-II Trailer Record, for CCF-II Users only

Note:

If you are a CCF User, go to [CCF Header Record](#).

If you are a CCF-II User, go to [CCF-II Header and Trailer Records](#).

CCF Header Record

When HEADER=YES is specified on the function file as a CCFDTFDB parameter, the first record on the STDEPI function file is a Header Record that contains information regarding the creation of the file.

NDM Users executing NDMDTF01 and RJE 3770 Users executing RJE SDF2 receive the CCF Header Record shown below.

CCF Header Record Format				
Position	Length	Format	Field Name	Field Description
1	6	Character	Data Type Requested	STDEPI
7	6	Character	Data Type Created	STDEPI
13	8	Character	Creation Date	<i>mm/dd/yy</i>
21	8	Character	Spool Date	<i>mm/dd/yy</i>
29	8	Character	Load Time	<i>hh:mm:ss</i>
37	2	Binary	Record Size	Size of each data record (388 bytes)
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB
43	4	Binary	Record Count	Number of data records
47	???	Character	Filler	DTC use only; do not use.

Note:

For STDEPI Data Records, go to [STDEPI Data Record](#).

CCF-II Header and Trailer Records

The required format of each CCF-II Header and Trailer Record is described below. Please note that the Header and Trailer Records are identical except for the first and last field of each Record.

NDM Users executing NDMDTF01, and RJE 3770 Users executing RJESDTF2, receive the CCF Header Record shown on the previous page.

CCF-II Header and Trailer Record Format (Part 1 of 2)				
Position	Length	Format	Field Name	Field Description
1	3	Character	Record Identifier	HDR or TLR
4	4	Character	Signon ID	Signon ID
8	6	Character	Data Type Requested	STDEPI
14	6	Character	Data Type Created	STDEPI
20	8	Character	Creation Date	<i>mm/dd/yy</i>
28	8	Character	Spool Date	<i>mm/dd/yy</i>
36	8	Character	Load Time	<i>hh:mm:ss</i>
44	4	Numeric	Record Length	Record Length of data requested
48	8	Numeric	Record Count	Number of data records in file
56	4	Numeric	80-Byte Record Count	<i>nnnn</i> number of 80-byte records per data type requested
60	15	Character	Filler	DTC use only; do not use.
75	6	Numeric	Sequence Number	Used as a data integrity check HDR 000000 TLR 999999

STDEPI Data Record

The required format of the STDEPI Detail Record is described below. Boxed fields correspond to DTC's universal 26-byte header.

STDEPI Detail Record Format (Part 1 of 2)				
Position	Length	Format	Field Name	Field Description
1	1	Character	Feedback Indicator	* (asterisk) to Indicate an output message
2	1	Character	Production/ Test Indicator	P Production
3	6	Character	Record Type	STDEPI
9	2	Character	Record Suffix	01
11	2	Character	Version Number	01
13	6	Character	User Reference Number	Spaces
19	8	Character	Addressee ID	00nnnnnn entity on whose behalf the transaction is processed; right aligned, zero-filled
27	12	Character	CUSIP	12-character issue identifier (positions 1–2 = US; positions 3–11 = CUSIP; position 12 = 0)
39	20	Character	CUSIP Description	Free-form detailed description of the security from DTC's master table
59	6	Numeric	Deposit Date	yymmdd date of the deposit
65	11	Numeric	Quantity	Number of shares/bonds deposited
76	13	Numeric	Value	Value of the deposit
89	1	Character	Deposit Activity Type	L legal deposit R regular deposit

STDEPI Detail Record Format (Part 2 of 2)				
Position	Length	Format	Field Name	Field Description
90	3	Character	Deposit Status	Current status of the deposit STP stop PDR pending reject I/T in transfer AGD aging
93	6	Numeric	Status Date	<i>yymmdd</i> date the status became effective
99	6	Character	Fast/NFast	FAST fast CUSIP N/FAST non-fast CUSIP
105	20	Character	Message	Free-form message to describe status in more detail
125	6	Numeric	Message Date	<i>yymmdd</i> date the message was entered
131	225	Character	Aging Message	If entry in Deposit Status (position 90) = AGD, free-form details of aging status, including all messages associated with research of the problem
356	6	Numeric	Aging Message Date	<i>yymmdd</i> date the aging message was entered
362	5	Numeric	Fractional Share Quantity	Quantity of fractional shares
367	22	Character	Filler	DTC use only; do not use.

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For More Information

Email DTCC Learning at:

DTCCLearning@dtcc.com

or visit us on the web at:

www.dtccllearning.com

